

---

# When is containment decidable for probabilistic automata?

---

Laure Daviaud  
University of Warwick

Joint work with Marcin Jurdziński, Ranko Lazić, Filip Mazowiecki,  
Guillermo A.Pérez and James Worrell.

Delta, Paris, 27-03-2018

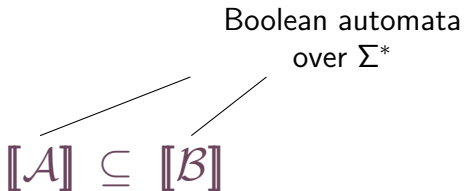
# The containment problem

---

$$[[\mathcal{A}]] \subseteq [[\mathcal{B}]]$$

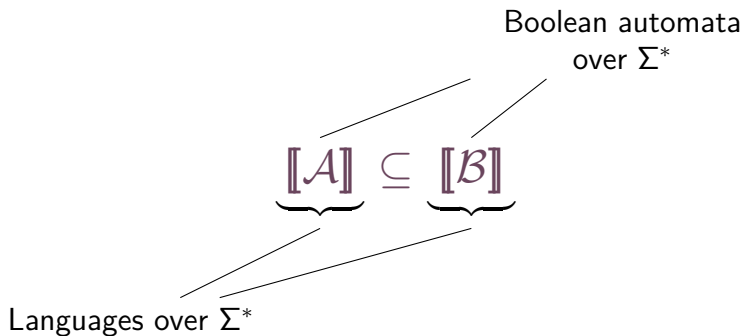
# The containment problem

---



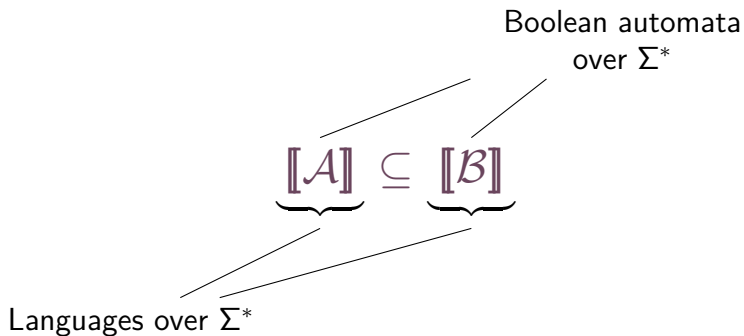
# The containment problem

---



# The containment problem

---



Check whether:  
 $[\mathcal{B}] \cap [\mathcal{A}]^c = \emptyset$

# The containment problem

---

Boolean automata  
over  $\Sigma^*$

$$\underbrace{[\mathcal{A}]} \subseteq \underbrace{[\mathcal{B}]}$$

Functions:  $\Sigma^* \rightarrow \mathbb{R}$

Check whether:  
 $[\mathcal{B}] \cap [\mathcal{A}]^c = \emptyset$

# The containment problem

---

Weighted automata  
over  $\Sigma^*$

$$\underbrace{[\mathcal{A}]} \subseteq \underbrace{[\mathcal{B}]}$$

Functions:  $\Sigma^* \rightarrow \mathbb{R}$

Check whether:  
 $[[\mathcal{B}] \cap [\mathcal{A}]^c = \emptyset$

# The containment problem

---

Weighted automata  
over  $\Sigma^*$

$$\underbrace{[\mathcal{A}]} \leq \underbrace{[\mathcal{B}]}$$

Functions:  $\Sigma^* \rightarrow \mathbb{R}$

Check whether:  
 $[[\mathcal{B}] \cap [\mathcal{A}]^c = \emptyset$



# The containment problem

---

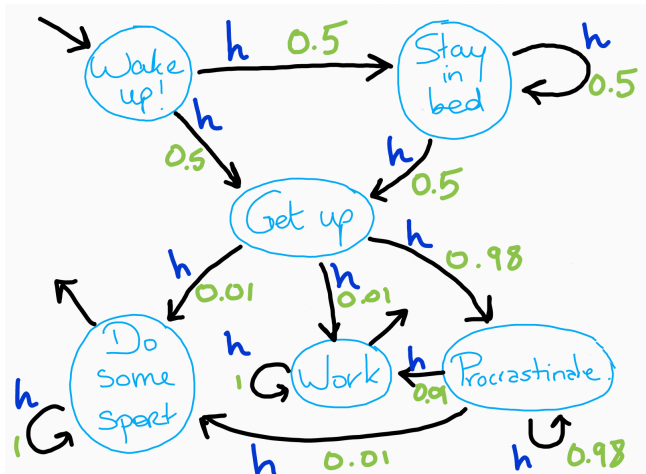
Weighted automata  
over  $\Sigma^*$

$$\underbrace{[\mathcal{A}]} \leq \underbrace{[\mathcal{B}]}$$

Functions:  $\Sigma^* \rightarrow \mathbb{R}$

Check whether:  
 $[\mathcal{B}] - [\mathcal{A}] \geq 0$

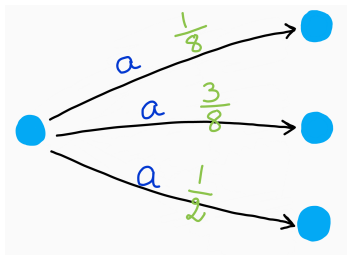
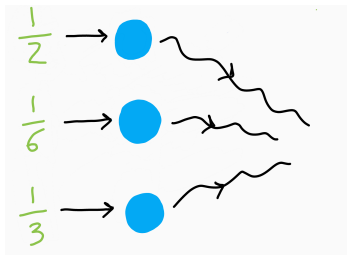
# Probabilistic automata



What is the probability that after 8 hours I have done some sport or work?

# Probabilistic automata

Initial states and transitions are weighted with probability:



$\llbracket \mathcal{A} \rrbracket$ :  $w \mapsto$  probability to read  $w$  from an initial to a final state.

## A few results

---

# A few results

---

Probabilistic automata

Max-plus automata

# A few results

---

## Probabilistic automata

- Undecidable in general  
Post correspondence problem - Paz, Bertoni...

## Max-plus automata

- Undecidable in general  
Diophantine equations - Krob

## Notion of ambiguity

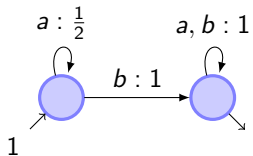
---

How many accepting runs are labelled by a given word?

# Notion of ambiguity

---

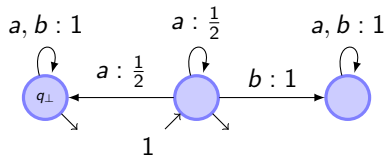
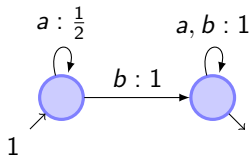
How many accepting runs are labelled by a given word?





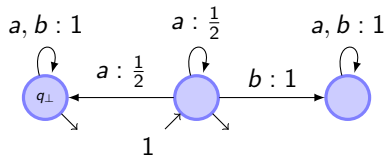
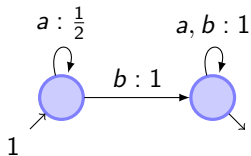
# Notion of ambiguity

How many accepting runs are labelled by a given word?



# Notion of ambiguity

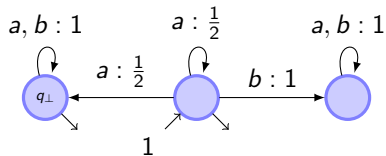
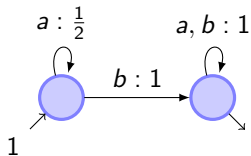
How many accepting runs are labelled by a given word?



- **Unambiguous:** for all words, at most 1

# Notion of ambiguity

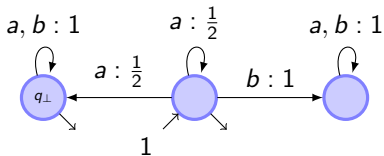
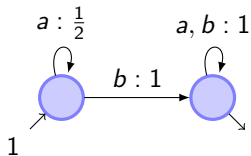
How many accepting runs are labelled by a given word?



- **Unambiguous:** for all words, at most 1
- **Finitely ambiguous:** for all words, at most  $k$

# Notion of ambiguity

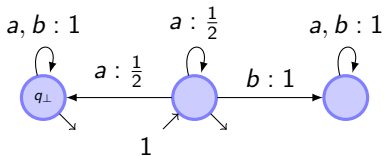
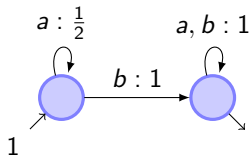
How many accepting runs are labelled by a given word?



- **Unambiguous:** for all words, at most 1
- **Finitely ambiguous:** for all words, at most  $k$
- **Linearly ambiguous:** for all words  $w$ , at most  $k|w|$

# Notion of ambiguity

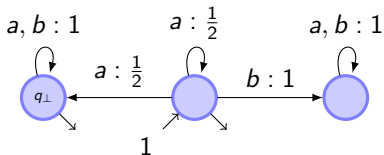
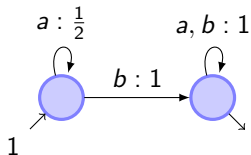
How many accepting runs are labelled by a given word?



- **Unambiguous:** for all words, at most 1
- **Finitely ambiguous:** for all words, at most  $k$
- **Linearly ambiguous:** for all words  $w$ , at most  $k|w|$
- **Quadratic:** for all words  $w$ , at most  $k|w|^2$

# Notion of ambiguity

How many accepting runs are labelled by a given word?



- **Unambiguous:** for all words, at most 1
- **Finitely ambiguous:** for all words, at most  $k$
- **Linearly ambiguous:** for all words  $w$ , at most  $k|w|$
- **Quadratic:** for all words  $w$ , at most  $k|w|^2$
- Polynomially ambiguous, exponentially ambiguous...

# A few results

---

## Probabilistic automata

- Undecidable in general  
Post correspondence problem - Paz, Bertoni...

## Max-plus automata

- Undecidable in general  
Diophantine equations - Krob

# A few results

---

## Probabilistic automata

- Undecidable in general  
Post correspondence problem - Paz, Bertoni...
- Undecidable for quadratic ambiguous  
Post correspondence problem - Fijalkow-Riveros-Worrell

## Max-plus automata

- Undecidable in general  
Diophantine equations - Krob
- Undecidable for linearly ambiguous  
halting problem of two-counter machines - Colcombet, Amalgor-Boker-Kupferman



### Probabilistic automata

- Undecidable in general  
Post correspondence problem - Paz, Bertoni...
- Undecidable for quadratic ambiguous  
Post correspondence problem - Fijalkow-Riveros-Worrell
- Emptiness problem decidable for 2-ambiguous  
Fijalkow-Riveros-Worrell

### Max-plus automata

- Undecidable in general  
Diophantine equations - Krob
- Undecidable for linearly ambiguous  
halting problem of two-counter machines - Colcombet, Amalgor-Boker-Kupferman
- Decidable for finitely ambiguous  
Filiot-Gentilini-Raskin

# When is containment decidable?

---

$$[[\mathcal{A}]] \leq [[\mathcal{B}]]$$

Undecidable

When either  $\mathcal{A}$  or  $\mathcal{B}$  is at least linearly ambiguous.

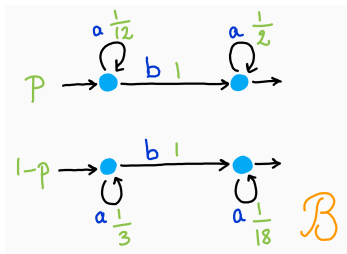
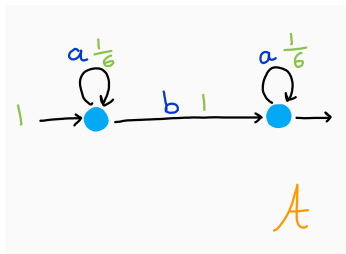
Decidable

When  $\mathcal{A}$  and  $\mathcal{B}$  are finitely ambiguous and one is unambiguous.

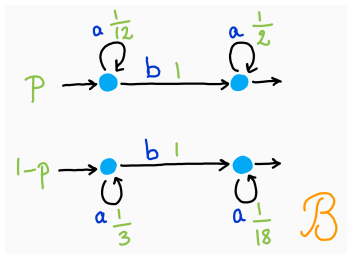
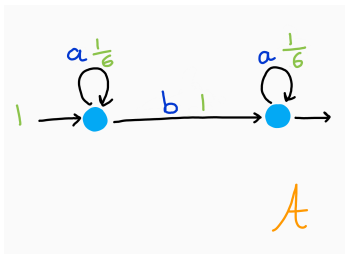
Open

When  $\mathcal{A}$  and  $\mathcal{B}$  are finitely ambiguous.

# Decidability: one example



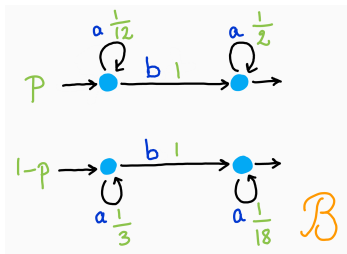
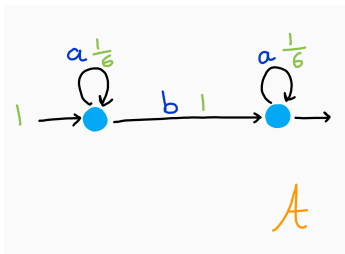
## Decidability: one example



Are there positive integers  $x$  and  $y$  such that:

$$p \cdot \left(\frac{1}{12}\right)^x \cdot \left(\frac{1}{2}\right)^y + (1-p) \cdot \left(\frac{1}{3}\right)^x \cdot \left(\frac{1}{18}\right)^y < \left(\frac{1}{6}\right)^x \cdot \left(\frac{1}{6}\right)^y$$

# Decidability: one example



Are there positive integers  $x$  and  $y$  such that:

$$p \cdot \left(\frac{1}{12}\right)^x \cdot \left(\frac{1}{2}\right)^y + (1-p) \cdot \left(\frac{1}{3}\right)^x \cdot \left(\frac{1}{18}\right)^y < \left(\frac{1}{6}\right)^x \cdot \left(\frac{1}{6}\right)^y$$

Equivalently:

$$e^{\log(p) - x \log(2) + y \log(3)} + e^{\log(1-p) + x \log(2) - y \log(3)} < 1$$

## Decidability: one example

---

$$e^{\log(p)-x \log(2)+y \log(3)} + e^{\log(1-p)+x \log(2)-y \log(3)} < 1$$

## Decidability: one example

---

$$e^{\log(p) - x \log(2) + y \log(3)} + e^{\log(1-p) + x \log(2) - y \log(3)} < 1$$

Is there positive integers  $x, y$  s.t:

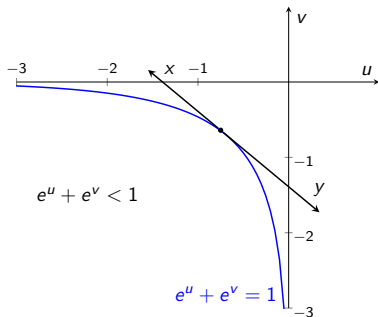
- $e^u + e^v < 1$  where:
- $u = \log(p) - x \log(2) + y \log(3)$
- $v = \log(1 - p) + x \log(2) - y \log(3)$

# Decidability: one example

$$e^{\log(p) - x \log(2) + y \log(3)} + e^{\log(1-p) + x \log(2) - y \log(3)} < 1$$

Is there positive integers  $x, y$  s.t:

- $e^u + e^v < 1$  where:
- $u = \log(p) - x \log(2) + y \log(3)$
- $v = \log(1-p) + x \log(2) - y \log(3)$



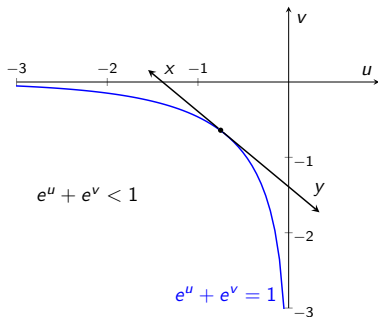


## Decidability: one example

$$e^{\log(p) - x \log(2) + y \log(3)} + e^{\log(1-p) + x \log(2) - y \log(3)} < 1$$

Is there positive integers  $x, y$  s.t:

- $e^u + e^v < 1$  where:
- $u = \log(p) - x \log(2) + y \log(3)$
- $v = \log(1-p) + x \log(2) - y \log(3)$



→ YES if and only if  $p = \frac{1}{2}$ .

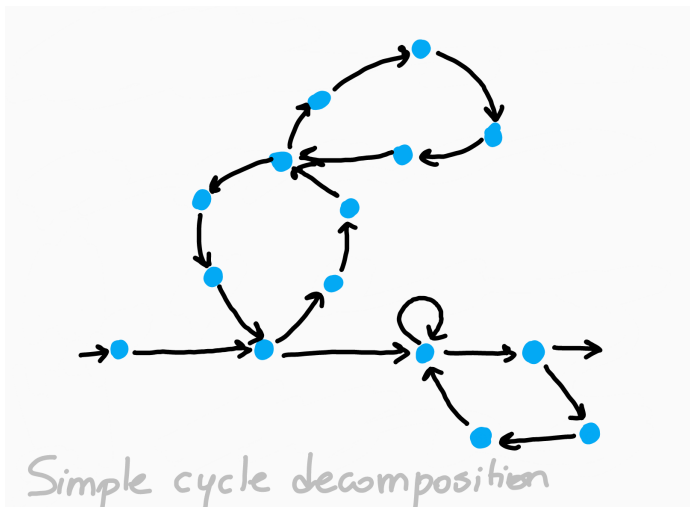
## Decidability: translating the problem

---

Is there a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) > \llbracket \mathcal{B} \rrbracket(w)$ ?

## Decidability: translating the problem

Is there a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) > \llbracket \mathcal{B} \rrbracket(w)$ ?



## Decidability: translating the problem

---

Is there a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) > \llbracket \mathcal{B} \rrbracket(w)$ ?

Given  $\mathcal{A}$  ( $k$ -ambiguous) and  $\mathcal{B}$  ( $\ell$ -ambiguous), one can compute:

- a positive integer  $n$ ,
- a finite set of tuples  $(\mathbf{p}, \mathbf{q}, \mathbf{r}, \mathbf{s})$  with
  - $\mathbf{p}$  in  $\mathbb{Q}_{>0}^k$ ,  $\mathbf{r}$  in  $\mathbb{Q}_{>0}^\ell$ ,  $\mathbf{q}$  in  $\mathbb{Q}_{>0}^{k \times n}$ ,  $\mathbf{s}$  in  $\mathbb{Q}_{>0}^{\ell \times n}$ ,

such that for one of those tuples, there exist  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \cdots q_{i,n}^{x_n} > \sum_{i=1}^{\ell} r_i s_{i,1}^{x_1} \cdots s_{i,n}^{x_n}$$

if and only if there exist a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) > \llbracket \mathcal{B} \rrbracket(w)$ .

## Decidability: first case

---

$\llbracket \mathcal{A} \rrbracket \leq \llbracket \mathcal{B} \rrbracket$  when  $\mathcal{B}$  is unambiguous.

## Decidability: first case

---

$[[\mathcal{A}]] \leq [[\mathcal{B}]]$  when  $\mathcal{B}$  is unambiguous.

Is there  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \dots q_{i,n}^{x_n} > r s_1^{x_1} \dots s_n^{x_n}$$

## Decidability: first case

---

$\llbracket \mathcal{A} \rrbracket \leq \llbracket \mathcal{B} \rrbracket$  when  $\mathcal{B}$  is unambiguous.

Is there  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \dots q_{i,n}^{x_n} > r s_1^{x_1} \dots s_n^{x_n}$$

- First case: there is  $i, j$  such that  $q_{i,j} > s_j$
- Second case: for all  $i, j$ ,  $q_{i,j} \leq s_j$

## Decidability: second case

---

Much more difficult!

### Theorem

Determining whether  $[[\mathcal{A}]] \leq [[\mathcal{B}]]$  is decidable when  $\mathcal{A}$  is unambiguous and  $\mathcal{B}$  is finitely ambiguous, assuming Schanuel's conjecture is true.



## Decidability: second case

---

Much more difficult!

### Theorem

Determining whether  $\llbracket \mathcal{A} \rrbracket \leq \llbracket \mathcal{B} \rrbracket$  is decidable when  $\mathcal{A}$  is unambiguous and  $\mathcal{B}$  is finitely ambiguous, assuming Schanuel's conjecture is true.

Is there  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \dots q_{i,n}^{x_n} < 1$$

## Decidability: second case

---

Much more difficult!

### Theorem

Determining whether  $\llbracket \mathcal{A} \rrbracket \leq \llbracket \mathcal{B} \rrbracket$  is decidable when  $\mathcal{A}$  is unambiguous and  $\mathcal{B}$  is finitely ambiguous, assuming Schanuel's conjecture is true.

Is there  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \dots q_{i,n}^{x_n} < 1$$

- semi-decidable to find such  $\mathbf{x}$

## Decidability: second case

Much more difficult!

### Theorem

Determining whether  $\llbracket \mathcal{A} \rrbracket \leq \llbracket \mathcal{B} \rrbracket$  is decidable when  $\mathcal{A}$  is unambiguous and  $\mathcal{B}$  is finitely ambiguous, assuming Schanuel's conjecture is true.

Is there  $\mathbf{x} \in \mathbb{N}^n$  such that:

$$\sum_{i=1}^k p_i q_{i,1}^{x_1} \dots q_{i,n}^{x_n} < 1$$

- semi-decidable to find such  $\mathbf{x}$
  - if there is no such  $\mathbf{x}$ , there is a non-zero vector  $\mathbf{d} \in \mathbb{Z}^n$  and  $a, b \in \mathbb{Z}$  such that  $\{\mathbf{d}^\top \mathbf{y} \mid \mathbf{y} \text{ is a real solution}\} \subseteq [a, b]$
- decrease the dimension by 1

## Proposition

Given a two-counter machine, one can construct two linearly ambiguous probabilistic automata  $\mathcal{A}$  and  $\mathcal{B}$ , such that the machine halts if and only if there exists a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) \leq \llbracket \mathcal{B} \rrbracket(w)$ .

# Undecidability

## Proposition

Given a two-counter machine, one can construct two linearly ambiguous probabilistic automata  $\mathcal{A}$  and  $\mathcal{B}$ , such that the machine halts if and only if there exists a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) \leq \llbracket \mathcal{B} \rrbracket(w)$ .

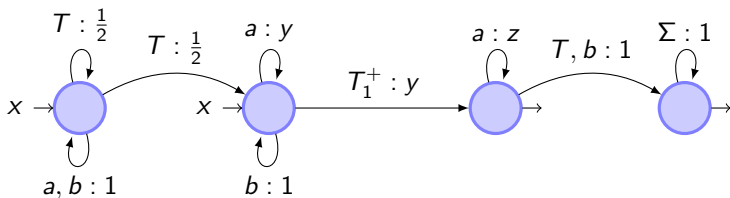
→ Simulate an execution with a word:  $a^n b^m t_1 a^{n+1} b^m t_2 a^{n+1} b^{m'}$

# Undecidability

## Proposition

Given a two-counter machine, one can construct two linearly ambiguous probabilistic automata  $\mathcal{A}$  and  $\mathcal{B}$ , such that the machine halts if and only if there exists a word  $w$  such that  $\llbracket \mathcal{A} \rrbracket(w) \leq \llbracket \mathcal{B} \rrbracket(w)$ .

→ Simulate an execution with a word:  $a^n b^m t_1 a^{n+1} b^m t_2 a^{n+1} b^{m'}$



Containment problem  
for finitely ambiguous probabilistic automata?