## Minimization of subsequential transducers

Daniela Petrişan joint work with Thomas Colcombet ANR Delta March 28 2018, Paris

- Word automata in a category
- Subsequential transducers in a category
- Minimization in this setting: sufficient conditions
- A unifying framework for canonical recognizers
  - minimization of deterministic and weighted automata
  - minimization of subsequential transducers à la Choffrut
  - syntactic monoid (more generally syntactic algebra)
  - syntactic Boolean space with an internal monoid
- [Colcombet, P., CALCO 2017]

Automata Minimization: a Functorial Approach

## Word automata in a category

# $\begin{array}{c} a \\ \downarrow \\ 1 \rightarrow Q \rightarrow 2 \\ in Set \end{array}$

### deterministic automata

#### deterministic automata

#### 

non-deterministic automata

#### Word automata

deterministic automata

non-deterministic automata

weighted automata



#### Word automata



non-deterministic automata

weighted automata

Subseq. transducers



#### Word automata



non-deterministic automata

weighted automata

Subseq. transducers

We see a pattern emerging!



no

deterministic automata	$1 \xrightarrow{f \circ \delta_{W} \circ i} 2$	in Set
n-deterministic automata	$1 \xrightarrow{f \circ \delta_w \circ i} 1$	in Rel
weighted automata	$K \xrightarrow{f \circ \delta_W \circ i} K$	in Vec <sub>K</sub>
Subseq. transducers	$1 \xrightarrow{f \circ \delta_W \circ i} 1$	in Kl $(\mathcal{T})$

For objects *I* and *F* in a category *C*, a (*C*, *I*, *F*)-automaton is a tuple  $\mathcal{A} = \langle Q, i, f, (\delta_a)_{a \in A} \rangle$ , where

- Q is an object of C.
- $i: I \rightarrow Q$  is the «initial» arrow
- $f: Q \rightarrow F$  is the «final» arrow
- $\delta_a: Q \to Q$  is the «transition» arrow for each  $a \in A$

For objects *I* and *F* in a category *C*, a (*C*, *I*, *F*)-automaton is a tuple  $\mathcal{A} = \langle Q, i, f, (\delta_a)_{a \in A} \rangle$ , where

- Q is an object of C.
- $i: I \rightarrow Q$  is the «initial» arrow
- $f: Q \rightarrow F$  is the «final» arrow
- $\delta_a: Q \rightarrow Q$  is the «transition» arrow for each  $a \in A$

The language accepted by  $\mathcal{A}$  is a map  $L_{\mathcal{A}}: A^* \to \mathcal{C}(I, F)$  that associates to a word  $w = a_1 \dots a_n$  the composite morphism

$$I \xrightarrow{i} Q \xrightarrow{\delta_{a_1}} Q \xrightarrow{\delta_{a_2}} \dots \xrightarrow{\delta_{a_n}} Q \xrightarrow{f} F$$

For objects *I* and *F* in a category *C*, a (*C*, *I*, *F*)-automaton is a tuple  $\mathcal{A} = \langle Q, i, f, (\delta_a)_{a \in A} \rangle$ , where

- Q is an object of C.
- $i: I \rightarrow Q$  is the «initial» arrow
- $f: Q \rightarrow F$  is the «final» arrow
- $\delta_a: Q \rightarrow Q$  is the «transition» arrow for each  $a \in A$

The language accepted by  $\mathcal{A}$  is a map  $L_{\mathcal{A}}: A^* \to \mathcal{C}(I, F)$  that associates to a word  $w = a_1 \dots a_n$  the composite morphism

$$I \xrightarrow{i} Q \xrightarrow{\delta_{a_1}} Q \xrightarrow{\delta_{a_2}} \dots \xrightarrow{\delta_{a_n}} Q \xrightarrow{f} F$$

#### Example

A DFA is a (Set, 1, 2)-automaton. It accepts a language  $L: A^* \rightarrow Set(1, 2) \cong 2$ .

# Subsequential transducers in a category

A subsequential transducer with input alphabet A and output alphabet B consists of:

- a finite set of states Q
- an initial state with an initial output in *B*<sup>\*</sup>, or an undefined initial state

A subsequential transducer with input alphabet A and output alphabet B consists of:

- a finite set of states Q
- an initial state with an initial output in *B*<sup>\*</sup>, or an undefined initial state
- for each  $a \in A$  a transition function  $Q \rightarrow B^* \times Q + 1$

A subsequential transducer with input alphabet A and output alphabet B consists of:

- a finite set of states Q
- an initial state with an initial output in *B*<sup>\*</sup>, or an undefined initial state
- for each  $a \in A$  a transition function  $Q \rightarrow B^* \times Q + 1$
- for each state in Q, either an output word in  $B^*$  or undefined.

We consider partial actions for the free monoid  $B^*$ .

We consider partial actions for the free monoid  $B^*$ .

We consider a category  $\mathsf{Kl}(\mathcal{T})$  with

- objects: sets X, Y, Z, ...
- arrows:  $f: X \rightarrow Y$ , where  $f: X \rightarrow B^* \times Y + 1$  is a function

We consider partial actions for the free monoid  $B^*$ .

We consider a category  $\mathsf{Kl}(\mathcal{T})$  with

- objects: sets X, Y, Z, ...
- arrows:  $f: X \Rightarrow Y$ , where  $f: X \Rightarrow B^* \times Y + 1$  is a function

Composition of arrows in  $Kl(\mathcal{T})$  is defined using the monoid multiplication in  $B^*$ .

If  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$  then  $g \circ f: X \rightarrow Z$  (i.e.  $g \circ f: X \rightarrow B^* \times Z + 1$ ) is given by  $g \circ f(x) = \begin{cases} (uv, z) & \text{if } f(x) = (u, y) \text{ and } g(y) = (v, z) \\ \bot & \text{otherwise.} \end{cases}$ 

We consider partial actions for the free monoid  $B^*$ .

We consider a category  $\mathsf{Kl}(\mathcal{T})$  with

- objects: sets X, Y, Z, ...
- arrows:  $f: X \Rightarrow Y$ , where  $f: X \Rightarrow B^* \times Y + 1$  is a function

Composition of arrows in  $Kl(\mathcal{T})$  is defined using the monoid multiplication in  $B^*$ .

If 
$$f: X \nleftrightarrow Y$$
 and  $g: Y \nleftrightarrow Z$  then  $g \circ f: X \nrightarrow Z$  (i.e.  $g \circ f: X \to B^* \times Z + 1$ ) is given  
by  $g \circ f(x) = \begin{cases} (uv, z) & \text{if } f(x) = (u, y) \text{ and } g(y) = (v, z) \\ \bot & \text{otherwise.} \end{cases}$ 

This is the Kleisli category for the monad  $\mathcal{T}$ : Set  $\rightarrow$  Set given by  $\mathcal{T}(X) = B^* \times X + 1$ , which associates to each set X the free partial action of  $B^*$  on X. Notice that we can replace  $B^*$  with any other monoid.

Interpretting the arrows



ammounts to give

Interpretting the arrows

$$1 \xrightarrow{i} Q \xrightarrow{f} 1 \qquad \text{in } \mathsf{Kl}(\mathcal{T})$$

ammounts to give

• a function  $i: 1 \rightarrow B^* \times Q + 1$ , i.e. an initial state with an initial output in  $B^*$ , or an undefined initial state

Interpretting the arrows

$$1 \xrightarrow{i} Q \xrightarrow{f} 1 \qquad \text{in } \mathsf{Kl}(\mathcal{T})$$

ammounts to give

- a function  $i: 1 \rightarrow B^* \times Q + 1$ , i.e. an initial state with an initial output in  $B^*$ , or an undefined initial state
- for each  $a \in A$  a function  $\delta_a: Q \to B^* \times Q + 1$

Interpretting the arrows



ammounts to give

- a function  $i: 1 \rightarrow B^* \times Q + 1$ , i.e. an initial state with an initial output in  $B^*$ , or an undefined initial state
- for each  $a \in A$  a function  $\delta_a: Q \to B^* \times Q + 1$
- a final map f: Q → B<sup>\*</sup> × 1 + 1, i.e. for each state in Q either an output word in B<sup>\*</sup> or undefined.

Interpretting the arrows

$$1 \xrightarrow{i} Q \xrightarrow{f} 1 \qquad \text{in } \mathsf{Kl}(\mathcal{T})$$

ammounts to give a subsequential transducer!

Interpretting the arrows

$$1 \xrightarrow{i} Q \xrightarrow{f} 1 \qquad \text{in } \mathsf{Kl}(\mathcal{T})$$

ammounts to give a subsequential transducer!

Furthermore, the partial function realized by the corresponding subsequential transducer applied to a word  $w \in A^*$  is exactly  $f \circ \delta_w \circ i(w)$ .

## Automata in a category: minimization

- What does it mean for a (C, I, F)-automaton to be minimal?
- What are sufficient conditions on *C* so that a minimal automaton for a language exists?

- What does it mean for a (C, I, F)-automaton to be minimal?
- What are sufficient conditions on *C* so that a minimal automaton for a language exists?

A DFA is minimal when it divides any other automaton accepting the same language.

- What does it mean for a (C, I, F)-automaton to be minimal?
- What are sufficient conditions on *C* so that a minimal automaton for a language exists?

A DFA is minimal when it divides any other automaton accepting the same language. Here divides = «is a quotient of a sub-automaton of»

- What does it mean for a (C, I, F)-automaton to be minimal?
- What are sufficient conditions on *C* so that a minimal automaton for a language exists?

A DFA is minimal when it divides any other automaton accepting the same language. Here divides = «is a quotient of a sub-automaton of»

Thus we need a notion of «quotient» (surjection for sets) and «sub-object» (injection for sets), i.e. a factorization system.

When does a 'minimal' automaton accepting a language  ${\cal L}$  exist?

- an initial object  $\mathcal{A}_{\texttt{init}}(\mathcal{L})\text{,}$ 

- an initial object  $\mathcal{A}_{\texttt{init}}(\mathcal{L})\text{,}$
- a final object  $\mathcal{A}_{\texttt{final}}(\mathcal{L}),$  and,

- an initial object  $\mathcal{A}_{\texttt{init}}(\mathcal{L})\text{,}$
- a final object  $\mathcal{A}_{\texttt{final}}(\mathcal{L}),$  and,
- a factorization system

then  $\mathtt{Min}(\mathcal{L})$  is obtained as the factorization

$$\mathcal{A}_{\text{init}}(\mathcal{L}) \twoheadrightarrow \operatorname{Min}(\mathcal{L}) \mapsto \mathcal{A}_{\text{final}}(\mathcal{L}).$$

- an initial object  $\mathcal{A}_{\texttt{init}}(\mathcal{L})\text{,}$
- a final object  $\mathcal{A}_{\texttt{final}}(\mathcal{L}),$  and,
- a factorization system

✓ when C has copowers ✓ when C has powers ✓ when C has one

then  $Min(\mathcal{L})$  is obtained as the factorization

 $\mathcal{A}_{\text{init}}(\mathcal{L}) \twoheadrightarrow \text{Min}(\mathcal{L}) \rightarrowtail \mathcal{A}_{\text{final}}(\mathcal{L}) \,.$ 

deterministic automata, i.e. (Set, 1, 2)-automata accepting a (Set, 1, 2)-language



deterministic automata, i.e. (Set, 1, 2)-automata accepting a (Set, 1, 2)-language



 $\mathbb{R}$ -weighted automata, i.e. (Vec,  $\mathbb{R}$ ,  $\mathbb{R}$ )-automata accepting a (Vec,  $\mathbb{R}$ ,  $\mathbb{R}$ )-language



 $\mathbb{R}$ -weighted automata, i.e. (Vec,  $\mathbb{R}$ ,  $\mathbb{R}$ )-automata accepting a (Vec,  $\mathbb{R}$ ,  $\mathbb{R}$ )-language



#### The automaton $Min(\mathcal{L})$ divides any other automaton accepting $\mathcal{L}$ .



#### The automaton $Min(\mathcal{L})$ divides any other automaton accepting $\mathcal{L}$ .



Thus far we identified simple sufficient conditions on C so that minimization of C-automata is guaranteed!

## Minimization of subsequential transducers

















Recall that subsequential transducers are word automata interpreted in the category Kl(T):

- objects: sets X, Y, Z, ...
- arrows:  $f: X \Rightarrow Y$  where  $f: X \Rightarrow B^* \times Y + 1$  is a function

Does  $Kl(\mathcal{T})$  satisfy the sufficient conditions for minimization?

Recall that subsequential transducers are word automata interpreted in the category Kl(T):

- objects: sets X, Y, Z, ...
- arrows:  $f: X \rightarrow Y$  where  $f: X \rightarrow B^* \times Y + 1$  is a function

Does  $Kl(\mathcal{T})$  satisfy the sufficient conditions for minimization?

Not quite! It does not have products, powers... so proving the existence of the final automaton for a language is problematic. The latter exists nevertheless in this case.

- initial automaton
- final automaton
- factorization system

- initial automaton  $\checkmark$
- final automaton
- factorization system

**Idea:** We use the lifting of the Kleisli adjunction for the monad  $\mathcal{T}$ . The left adjoint preserves the initial automaton.

The set of states of the initial  $Kl(\mathcal{T})$ -automaton is  $A^*$ 



- $\cdot$  initial automaton  $\checkmark$
- $\cdot$  final automaton  $\checkmark$
- factorization system

**Idea:** There exists a  $Kl(\mathcal{T})$ -automaton mapped by the right adjoint to the final Set-automaton.

The set of states of the final  $Kl(\mathcal{T})$ -automaton is  $Irr(A^*, B^*)$  – the set of partial functions

- $f: A^* \to B^* + 1$  such that
  - f is defined on some word in  $A^*$  and
  - the longest common prefix of  $\{f(w) | f(w) \in B^*\}$  is  $\epsilon$ .

- $\cdot$  initial automaton  $\checkmark$
- $\cdot$  final automaton  $\checkmark$
- factorization system

**Idea:** There exists a  $Kl(\mathcal{T})$ -automaton mapped by the right adjoint to the final Set-automaton.

The set of states of the final  $Kl(\mathcal{T})$ -automaton is  $Irr(A^*, B^*)$  – the set of partial functions

- $f: A^* \rightarrow B^* + 1$  such that
  - f is defined on some word in  $A^*$  and
  - the longest common prefix of  $\{f(w) | f(w) \in B^*\}$  is  $\epsilon$ .

**Crucial fact:**  $B^* \times \operatorname{Irr}(A^*, B^*) \cong (A^* + 1)^{B^*}$ .

Longest common prefixes play a fundamental role.

- $\cdot$  initial automaton  $\checkmark$
- $\cdot$  final automaton  $\checkmark$
- factorization system  $\checkmark$

**Idea:** The factorization system is inherited from a factorization system  $(\mathcal{E}, \mathcal{M})$  on Kl $(\mathcal{T})$ :

- $e: X \rightarrow Y$  (i.e.  $e: X \rightarrow B^* \times Y + 1$ ) is in  $\mathcal{E}$  iff each  $y \in Y$  is in the image of the second projection of e.
- $m:X \Rightarrow Y$  (i.e.  $m:X \Rightarrow B^* \times Y + 1$ ) is in  $\mathcal{M}$  iff m is everywhere defined, the second projection is injective and the first projection is constant  $\varepsilon$ .

- $\cdot$  initial automaton  $\checkmark$
- $\cdot$  final automaton  $\checkmark$
- factorization system  $\checkmark$

**Idea:** The factorization system is inherited from a factorization system  $(\mathcal{E}, \mathcal{M})$  on Kl $(\mathcal{T})$ :

- $e: X \rightarrow Y$  (i.e.  $e: X \rightarrow B^* \times Y + 1$ ) is in  $\mathcal{E}$  iff each  $y \in Y$  is in the image of the second projection of e.
- $m:X \Rightarrow Y$  (i.e.  $m:X \Rightarrow B^* \times Y + 1$ ) is in  $\mathcal{M}$  iff m is everywhere defined, the second projection is injective and the first projection is constant  $\varepsilon$ .

This also works if we replace  $B^*$  by a right cancellative monoid.

### The minimal transducer in a picture

We obtain  $Min(\mathcal{L})$  – the minimal subsequential transducer as obtained by Choffrut!



### Conclusions



We put under the same umbrella concepts like

- minimal DFA,
- syntactic monoid/algebras,
- minimal subsequential transducers (à la Choffrut)?
- new forms of automata: minimal hybrid-set-vector automata (see [MFCS'17]),

### Conclusions



We put under the same umbrella concepts like

- minimal DFA,
- syntactic monoid/algebras,
- minimal subsequential transducers (à la Choffrut)?
- new forms of automata: minimal hybrid-set-vector automata (see [MFCS'17]),

## Conclusions



We put under the same umbrella concepts like

- minimal DFA,
- syntactic monoid/algebras,
- minimal subsequential transducers (à la Choffrut)?
- new forms of automata: minimal hybrid-set-vector automata (see [MFCS'17]),

#### What next

- Transducers with outputs in an arbitrary monoid? See next talk...
- Algebras for recognition beyond Set?
- Learning and minimization? Generic learning algorithms?