

# Two-Way Parikh Automata with a Visibly Pushdown Stack

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# Outline

- 0 Motivations
- 1 (Two-way) Visibly Parikh Automata (2VPPA)
- 2 Emptiness for 2VPPA

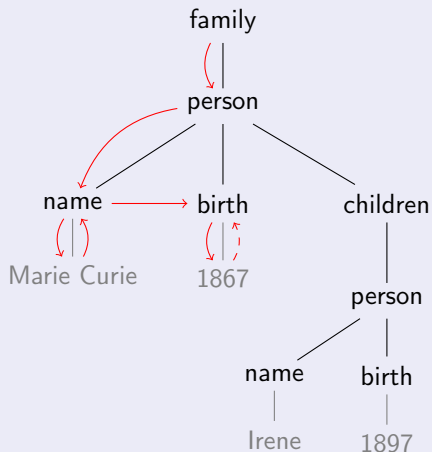
# Outline

## 0 - Motivations

# Well-nested words

## Encoding

Well-nested words  $\equiv$  linearizations of (unranked) trees



```
< family >
< person >
< name > MarieCurie < \name >
< birth > 1867 < \birth >
< children >
< name > Irene < \name >
< birth > 1897 < \birth >
< \children >
< \person >
< \family >
```

# Well-nested words

## Definition (Structured Alphabet)

A *structured alphabet*,  $\Sigma$ , is a set  $\Sigma = \Sigma_c \uplus \Sigma_r$  (call and return symbols respectively).

A word is *well-nested* if there is no pending call  $c_i$  nor return symbols  $r_i$  ( $\Sigma_{wn}^*$ )

$c_1 c_2 r_2 r_1 c_1 r_1$  ✓

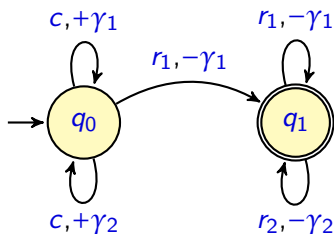
$c_1 c_2 r_2 r_1 \underline{r_3} c_1 r_1 \underline{c_2}$  ✗

Well-nested words are generated by the grammar  $u, v \in \Sigma_{wn}^* : \epsilon \mid u.v \mid cur$ .

# Visibly Pushdown Automata (VPAs) [Alur, Madhusudan, 04]

VPAs = Pushdown Automata on *structured* alphabet  $\Sigma = \Sigma_c \uplus \Sigma_r$ :

- push **one** stack symbol on **call** symbols  $\Sigma_c$
- pop **one** stack symbol on **return** symbols  $\Sigma_r$
- accept on final state



$$L(A) = \{c^n \cdot r_1 \cdot (r_1 + r_2)^{n-1} \mid n > 0\}.$$

- The height of the stack at some position in the word does not depend on the considered computation, only on the position

## Two-way VPA (2VPA) [Madhusudan, Viswanathan, 09]

Main features :

- the head is placed between symbols
- directed states  $Q \times \{\leftarrow, \rightarrow\}$  ( $\rightarrow$  : read the symbol on the right, ...)
- Behaviour :
  - ▶ Forward ( $\rightarrow$ ): just as VPA
  - ▶ Backward ( $\leftarrow$ ): dually, **pop** on call and **push** on return
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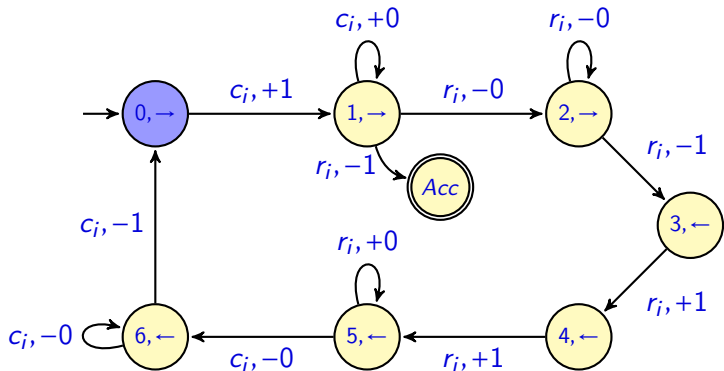
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## Theorem

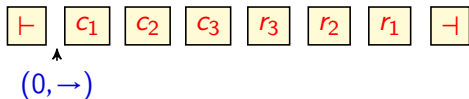
**2VPA** are as expressive as **VPA** (reading from left to right).



## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:

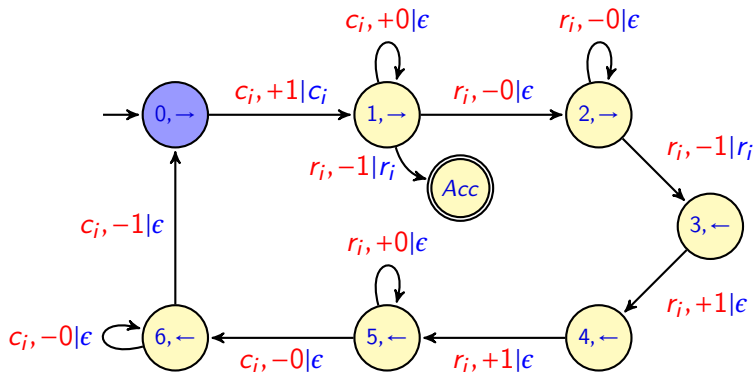


Output Tape:

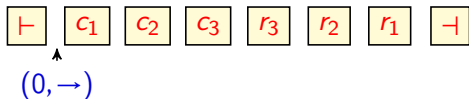


stack

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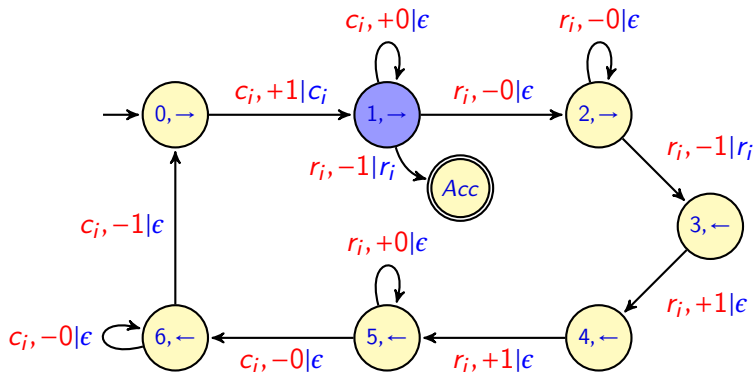
Input Tape:



Output Tape:



## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:



(1, →)

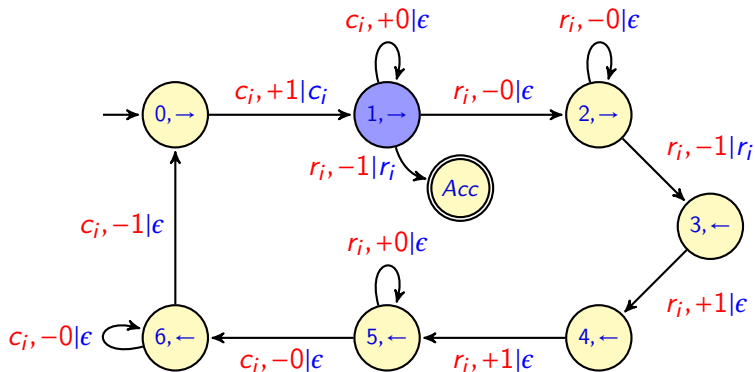


Output Tape:



stack

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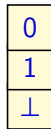


Input Tape:



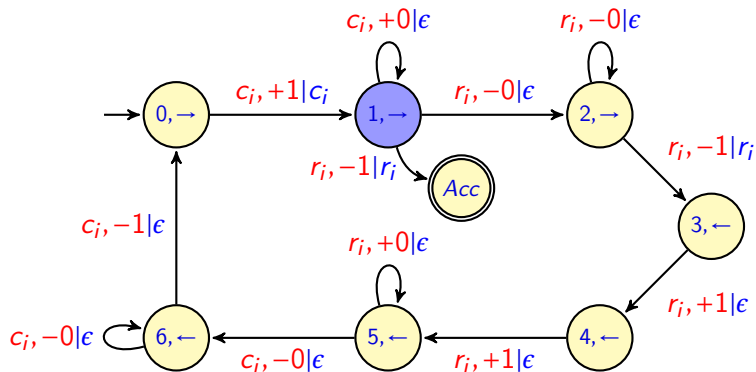
(1,  $\rightarrow$ )

Output Tape:

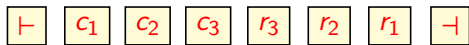


stack

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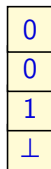


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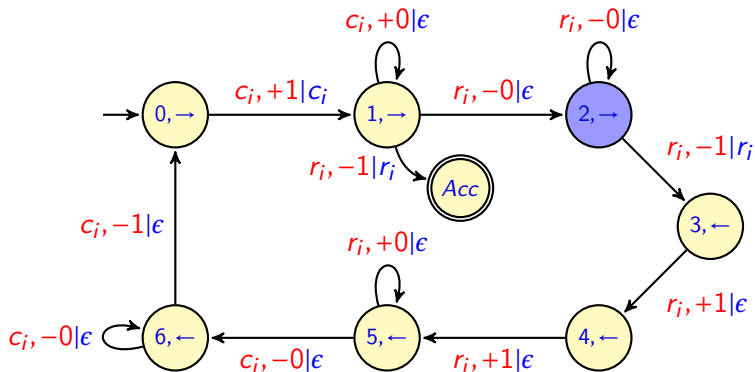
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Output Tape:



stack

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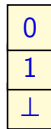


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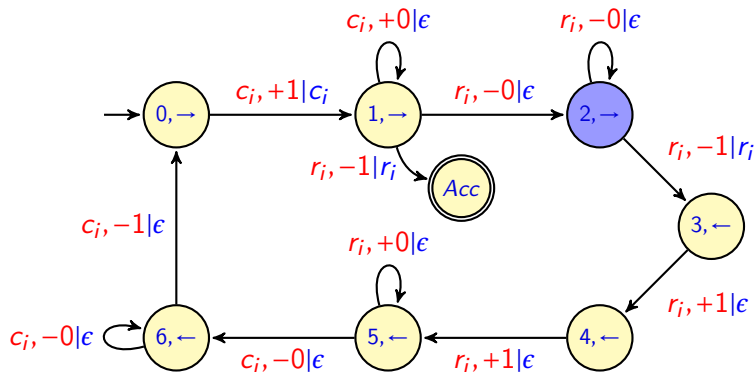
$(2, \rightarrow)$

Output Tape:



stack

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Input Tape:



$(2, \rightarrow)$

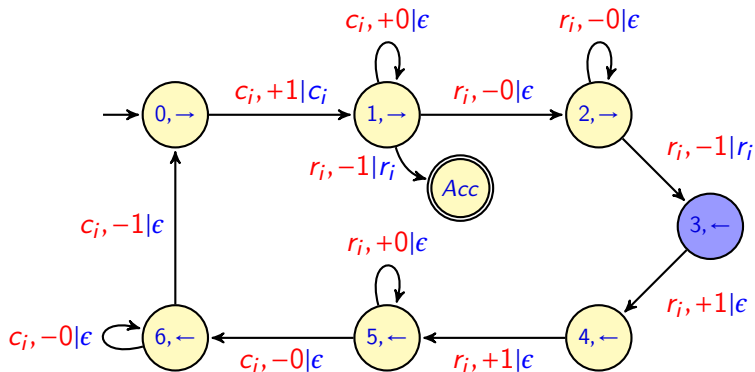


Output Tape:



stack

## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:



(3,  $\leftarrow$ )



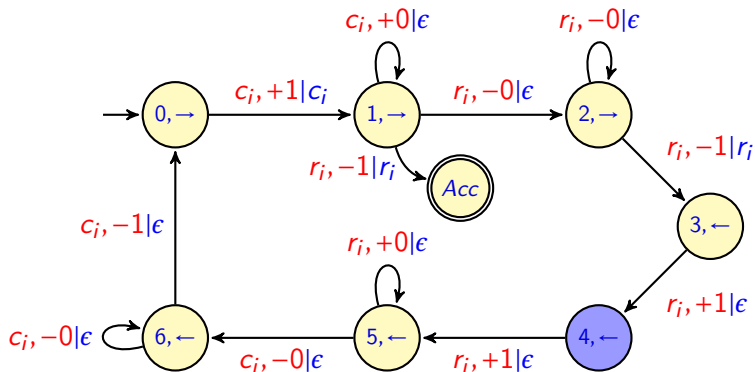
Output Tape:



stack



## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:



(4,  $\leftarrow$ )

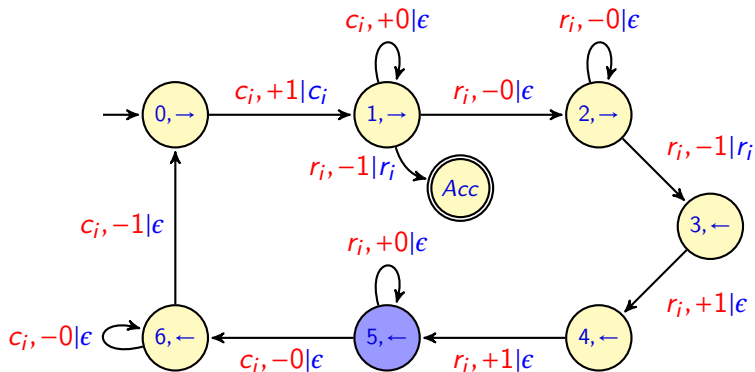


Output Tape:



stack

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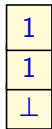


Input Tape:



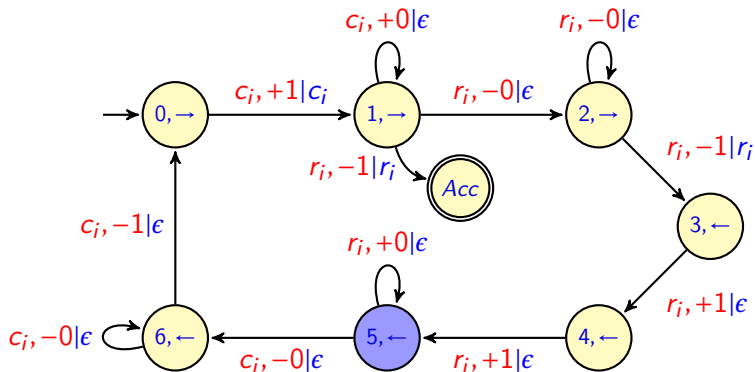
(5,  $\leftarrow$ )

Output Tape:

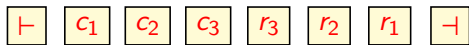


stack

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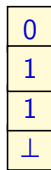


Input Tape:



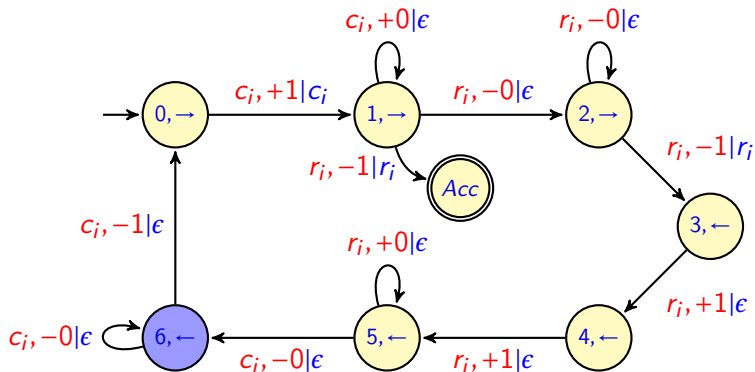
(5,  $\leftarrow$ )

Output Tape:



stack

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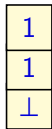


Input Tape:



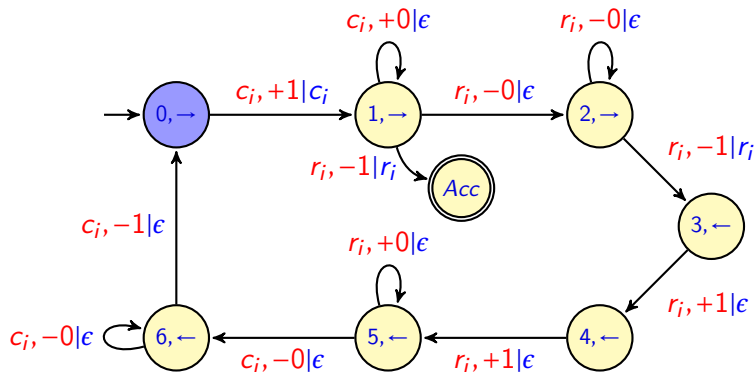
(6,  $\leftarrow$ )

Output Tape:



stack

## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:



(0,  $\rightarrow$ )

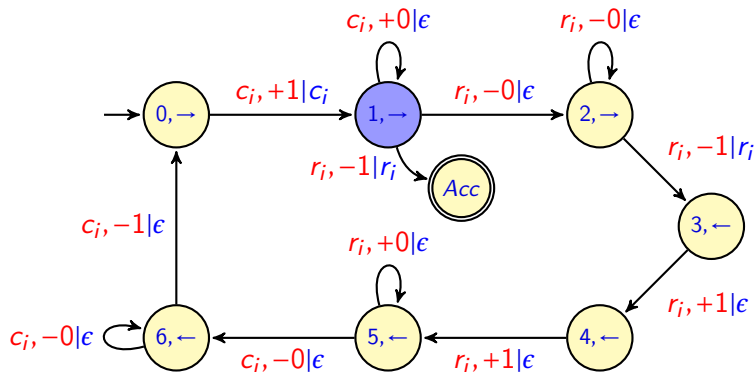


Output Tape:



stack

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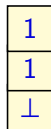
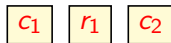


Input Tape:



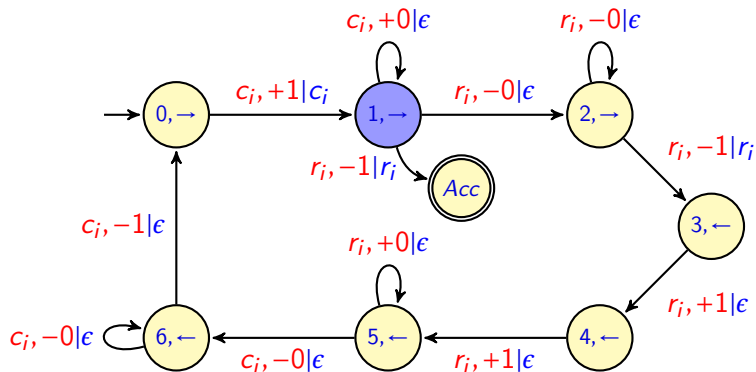
(1,  $\rightarrow$ )

Output Tape:



stack

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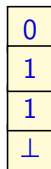


Input Tape:



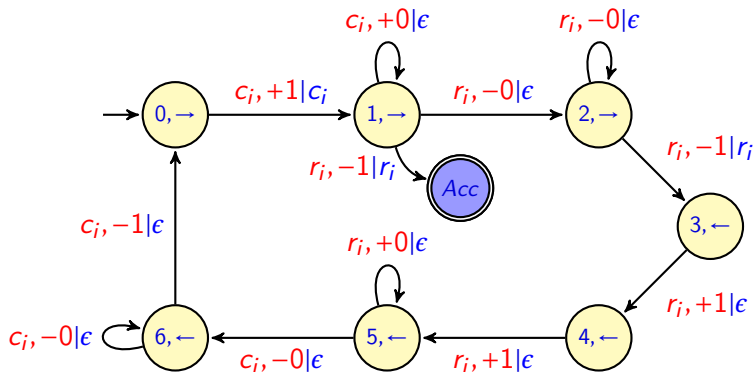
(1,  $\rightarrow$ )

Output Tape:



stack

## 2-way Visibly Pushdown Transducers (2VPT)



Input Tape:  $\vdash$   $c_1$   $c_2$   $c_3$   $r_3$   $r_2$   $r_1$   $\dashv$

$\perp$

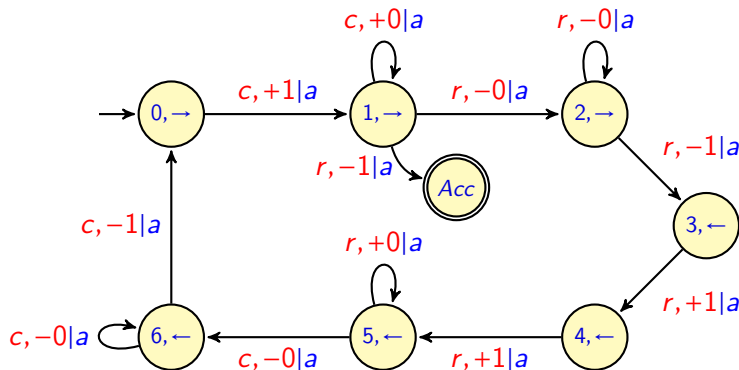
Output Tape:  $c_1$   $r_1$   $c_2$   $r_2$   $c_3$   $r_3$

stack



# Output Growth

D2VPT are not linear size increase ( $\exists k \in \mathbb{N}, \forall w \in \Sigma_{wn}^*, |[A](w)| \leq k * |w|$ ).



$$|T(c^n r^n)| = O(n^2)$$

# Single-use Property

## Single-use restriction

A  $D2VPT$  is *single-use* ( $D2VPT_{su}$ ) if in any accepting run, any producing transition occur at most once at a given position.

## Decision

Given a  $D2VPT$ , deciding if it satisfies the single-use property is Exptime-complete.

## Implication

$D2VPT_{su}$  are linear size increase.

# Some Results [Dartois, Filiot, Reynier, T. 16]

## Expressiveness

- $D2VPT_{su}$  are as expressive as  $MSO[nw2w]$ .
- Order-preserving  $MSO[nw2w]$  is equivalent to  $fVPT$ .

## Decision results

- Equivalence problem for  $D2VPT$  is decidable (reduction to  $DTOP2S^{LA}$  equiv),
- Type-checking problem against a regular language is Exptime-complete.

## Some Questions for $D2VPT_{su}$

- functionality/ $k$ -valuedness problem
- well-nested output (when structured output alphabet)  $\llbracket A \rrbracket \subseteq \Sigma_{wn}^*$

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Several questions but a single tool :

Two-way Visibly Pushdown Parikh Automata (2VPPA)

# Outline

2 - (Two-way) Visibly Parikh Automata (2VPPA)

# Parikh Automata

## Definition

A Parikh automaton  $P = (A, dim, \lambda, S)$  where  $A = (\Sigma, Q, I, F, \Delta)$  is an NFA,  $dim$  is a natural number,  $\lambda: \Delta \mapsto \mathbb{N}^{dim}$  and  $S$  is a semi-linear subset of  $\mathbb{N}^{dim}$ .

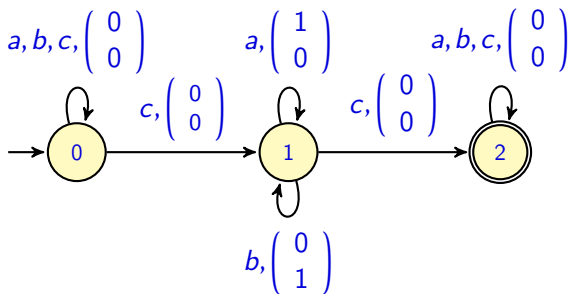
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Here,  $S$  as a Presburger formula with  $dim$  free variables  $x_1, \dots, x_{dim}$



$$\varphi_S(x_1, x_2) = (x_1 = 2 * x_2 + 1)$$

Words containing a factor  $cwc$  with  $w \in (a+b)^*$  and  $|w|_a = 2|w|_b + 1$



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Here,  $S$  as a Presburger formula with  $dim$  free variables  $x_1, \dots, x_{dim}$

Equi-expressive to (non-deterministic) reversal-bounded counter machines [Ibarra] (weaker in the deterministic case).

In Parikh automata, counter values (and thus, updates) do not influence the control state evolution.

# Two-way Visibly Pushdown Parikh Automata

## Definition

A two-way Visibly Pushdown Parikh automaton  $P = (A, dim, \lambda, S)$  where  $A = (\Sigma, Q, I, F, \Gamma, \Delta)$  is an 2VPA,  $dim$  is a natural number,  $\lambda : \Delta \mapsto \mathbb{N}^{dim}$  and  $S$  is a semi-linear subset of  $\mathbb{N}^{dim}$ .

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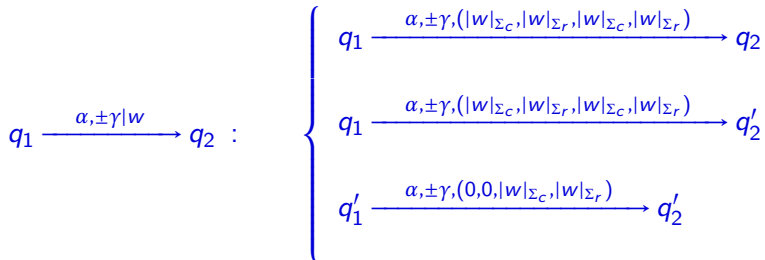
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Reducing (D)2VPT problems to emptiness of 2VPPA

## Well-nestedness of (D)2VPT

Globally as many calls as returns. Locally always more calls than returns.

$dim = 4$



$$\varphi = x_3 \neq x_4 \vee x_1 < x_2$$

Not well-nested  $\Leftrightarrow$  Not empty

# Functionality of 2VPT

On the same input word, there exists an output position where two different letters are output in two different computations (essentially).

$dim = 2$

- Compute a position in the output

$$q_1 \xrightarrow{\alpha, \pm \gamma | w} q_2 \Rightarrow q_1 \xrightarrow{\alpha, \pm \gamma, (|w|, 0)} q_2$$

- Guess the (first half of the) mismatched

$$q_1 \xrightarrow{\alpha, \pm \gamma | w} q_2 \Rightarrow q_1 \xrightarrow{\alpha, \pm \gamma, (|w_1|, 0)} q_2^a \quad w = w_1 a w_2$$

- Rewind the input word and start reading again

$$q_1 \xrightarrow{\alpha, \pm \gamma | w} q_2 \Rightarrow q_1^a \xrightarrow{\alpha, \pm \gamma, (0, |w|)} q_2^a$$

- Guess the (second half of the) mismatched

$$q_1 \xrightarrow{\alpha, \pm \gamma | w} q_2 \Rightarrow q_1^a \xrightarrow{\alpha, \pm \gamma, (0, |w_1|)} q_F \quad w = w_1 b w_2, a \neq b$$

$$\varphi = x_1 = x_2$$

Not functional  $\Leftrightarrow$  Not empty

# Outline

3 - Emptiness for 2VPPA

## Some Existing Results

Emptiness for :

- deterministic two-way counter machines with 2 counters and  $k$  (fixed) counter-reversals is **undecidable**

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## Some Existing Results

Emptiness for :

- deterministic two-way counter machines with 2 counters and  $k$  (fixed) counter-reversals is **undecidable**
- (non-deterministic) two-way reversal-bounded counter machines with finite crossing is **decidable**
- (non-deterministic) two-way pushdown automata with finite crossing is **undecidable**

# Some Bad News

## Theorem

*Emptiness for deterministic two-way visibly pushdown Parikh automata is undecidable.*

Proof Idea :

Reduction of solvability of Diophantine Eq. :  $P = Q$  with  $P, Q \in \mathbb{N}[\mathcal{X}]$ , eg  $2xy + zz = 4x + 2xz + 6$

The automaton part encodes only of monomials; sums and equality test encoded in Presburger accepting formula, a dimension for each monomial :  $2x_1 + x_2 = 4x_3 + 2x_4 + 6$ .

Input nested words represent valuations of variables

$$\begin{aligned}\text{Encode}(x * y * 1, [x \mapsto 3, y \mapsto 2]) &= x_c c^3 \text{Encode}(y * 1, [x \mapsto 3, y \mapsto 2]) r^3 x_r \\ \text{Encode}(1, [x \mapsto 3, y \mapsto 2]) &= 1\end{aligned}$$

The automaton checks well-formedness

- visibly pushdown "regular" sequence of monomials
- one dimension for each variable occurrence in  $P$  and  $Q$  : test via the Presburger accepting formula that there are valuated the same way.

and evaluates monomials via counters :

The dimension updated as

$$\llbracket \text{Encode}(x_c c^3 \text{Encode}(y * 1, [x \mapsto 3, y \mapsto 2]) r^3 x_r) \rrbracket = 3 * \llbracket \text{Encode}(y * 1, [x \mapsto 3, y \mapsto 2]) \rrbracket$$

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### Theorem

*The non-emptiness problem for (one-way) VPPA and PPA is NP-complete.*

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New alphabet  $\Sigma' = \Sigma \cup (u_v^i)$  (update counter  $i$  by adding value  $v$  ( $v \in V$ )).

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- Step 1 : Define a NPA  $B$  accepting words of the form

$\alpha_1 u_0^1 u_1^2 \alpha_2 u_3^1 u_2^2 \alpha_3 u_0^1 u_0^2 \alpha_4 u_0^1 u_1^2 \alpha_5 \dots$  such that

- ▶  $\alpha_1 \alpha_2 \alpha_3 \alpha_4 \alpha_5 \dots$  has a run in  $A$  from an initial to a final configuration
- ▶ each  $u_{v_1}^1 u_{v_2}^2$  corresponds to the update performed by the run at that position :  $(v_1, v_2) \Rightarrow u_{v_1}^1 u_{v_2}^2$
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  - ▶  $B$  is of polynomial size in  $A$
- Step 2 : There is an existential Presburger formula  $\psi((y_\sigma)_{\sigma \in \Sigma'})$  of size polynomial in  $B$  defining the Parikh image of  $L(B)$ . [Verma, et al. 05]
- Step 3 : In NP [Scarpellini84], satisfiability test of

$$\psi((y_\sigma)_{\sigma \in \Sigma'}) \wedge \phi(x_1, \dots, x_n) \wedge \bigwedge_{1 \leq i \leq \dim} x_i = \sum_{v \in V} v \cdot y_{u_v^i}$$

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Lower bound : (hardness does not rely on the acceptance set, eg Presburger satisfiability).



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Input words :  $T_1 v_1 L_2 v_2 T_3 v_3 T_4 v_4 L_5 v_5 \dots$

- $v_k$  : representation of the unary encoding of the  $k$ th natural.
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$v_k$  is encoded as  $u_n u_{n-1} \dots u_1 u_0$  where

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Thus, holds even if the automata are deterministic, with a fixed dimension 2, tuples of values in  $\{0,1\}^2$  and with a fixed Presburger formula  $(x_1, x_2) = x_1 = x_2$

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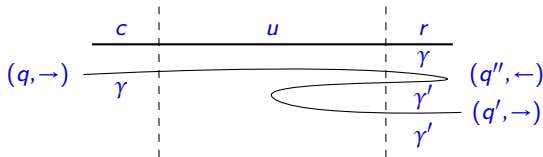
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### Proof Idea

- Extends [Dartois, Filiot, Reynier, T. 16] following Sherpheon's ideas (for FSA) on traversals T.

$((q, d)(q', d')) \in T(u)$  iff some run enters  $u$  by  $(q, d)$  and leaves it by  $(q', d')$



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- Productive and Non-productive traversals form a finite algebra.
- Consider possible decompositions of traversals  $((q, \rightarrow)(q', \leftarrow))$  on  $c_1rw_2$  into productive traversals (finitely many as single-use) reachable from each other by non-productive traversals.
- Using commutativity of addition over  $\mathbb{N}^{dim}$ , extract a VPA and a mapping  $\lambda$

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# Implied Results

For well-nestedness, functionality and  $k$ -valuedness, single-use  $2VPT$  yields single-use  $2VPPA$

## Proposition

*For single-use  $2VPT$ , well-nestedness, functionality and  $k$ -valuedness are in  $NEXP$  time.*

Both known as  $EXP$  time-hard.

Thank You !