

Non-Deterministic Abstract Machines

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Semantics (deterministic languages)

Big-step

$$\frac{t \Downarrow \lambda x.t' \quad t'\{s/x\} \Downarrow v}{t s \Downarrow v}$$

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Small-step

$$\frac{t \rightarrow t'}{t s \rightarrow t' s}$$

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Reduction semantics

$$\mathbb{E}[(\lambda x.t') s] \rightarrow \mathbb{E}[t'\{s/x\}]$$

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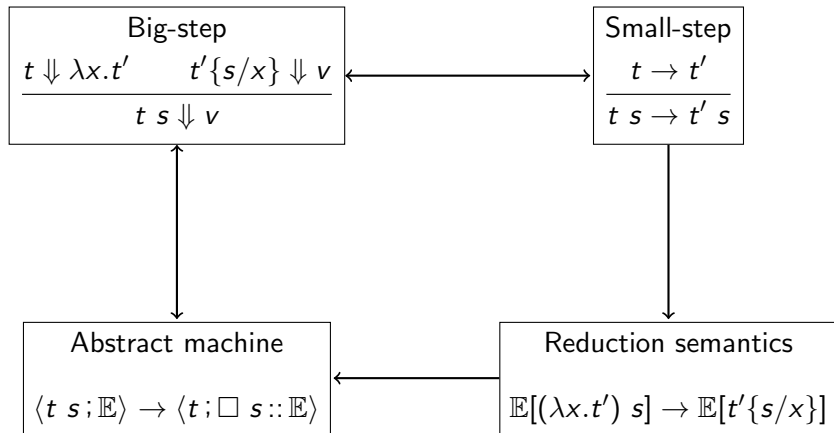
Abstract machine

$$\langle t s ; \mathbb{E} \rangle \rightarrow \langle t ; \square s :: \mathbb{E} \rangle$$

Reduction semantics

$$\mathbb{E}[(\lambda x.t') s] \rightarrow \mathbb{E}[t'\{s/x\}]$$

Semantics (deterministic languages)



Semantics (process calculi)

Small-Step

Abstract machine

Abstract machines

- ▶ Ad-hoc
- ▶ Incomplete
- ▶ No systematic derivation

λ -calculus

Syntax:

$$t, s ::= x \mid \lambda x.t \mid t @ s$$

SOS semantics:

$$\frac{t \rightarrow t'}{t @ s \rightarrow t' @ s}$$

$$\frac{s \rightarrow s'}{t @ s \rightarrow t @ s'}$$

$$\frac{t \rightarrow t'}{\lambda x.t \rightarrow \lambda x.t'}$$

$$\overline{(\lambda x.t) @ s \rightarrow t\{s/x\}}$$

Reduction semantics:

$$\mathbb{E}[(\lambda x.t) @ s] \rightarrow \mathbb{E}[t\{s/x\}]$$

Non-Deterministic Abstract Machine



NDAM for the λ -calculus

$$\langle \lambda x.t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}}$$

$$\mathbb{E}[\lambda x.t] \rightsquigarrow \mathbb{E}[\lambda x.t]$$

NDAM for the λ -calculus

$$\langle \lambda x.t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}}$$

$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid @ s :: \mathbb{E} \rangle_{\text{ctx}}$$

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$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta}$$

$$\mathbb{E}[\lambda x. t] \rightsquigarrow \mathbb{E}[\lambda x. t]$$

$$\mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

$$\mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

$$\langle \lambda x. t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}}$$

Backtracking

$$\langle x \mid \mathbb{E} \rangle_{\text{ctx}}$$

Backtracking

$$\langle X | \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle \mathbb{E} | X \rangle_{\text{bctx}}$$

Backtracking

$$\begin{aligned} \langle x \mid \mathbb{E} \rangle_{\text{ctx}} &\mapsto \langle \mathbb{E} \mid x \rangle_{\text{bctx}} \\ \langle @s :: \mathbb{E} \mid t \rangle_{\text{bctx}} &\mapsto \langle t @s \mid \mathbb{E} \rangle_{\text{ctx}} \end{aligned} \quad \mathbb{E}[t @s] \rightsquigarrow \mathbb{E}[t @s]$$

Backtracking

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Annotations to prevent infinite loops of focus/unfocus

$$\langle x \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle \mathbb{E} \mid x^{\text{ctx}} \rangle_{\text{bctx}}$$

Backtracking

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Annotations to prevent infinite loops of focus/unfocus

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Example

Subterm under focus

Surrounding context

$(\lambda x.x @ x) @ (\lambda x.x @ x)$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x @ x) @ (\lambda x. x @ x)$$

$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid @ s :: \mathbb{E} \rangle_{\text{ctx}} \quad \mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

Example

Subterm under focus

Surrounding context

$(\lambda x. x @ x) @ (\lambda x. x @ x)$

$\langle \lambda x. t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}} \quad \mathbb{E}[\lambda x. t] \rightsquigarrow \mathbb{E}[\lambda x. t]$

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Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}} @ x) @ (\lambda x. x @ x)$$

$$\langle x \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle \mathbb{E} \mid x^{\text{ctx}} \rangle_{\text{ctx}}$$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}} @ x) @ (\lambda x. x @ x)$$

$$\langle @ s :: \mathbb{E} \mid t \rangle_{\text{bctx}} \mapsto \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \quad \mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

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Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}} @ x^{\text{ctx}}) @ (\lambda x. x @ x)$$

$$\langle t @ :: \mathbb{E} \mid s \rangle_{\text{bctx}} \mapsto \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \quad \mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}, \beta} @ x^{\text{ctx}}) @ (\lambda x. x @ x)$$

$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta} \quad (3 \text{ steps})$$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}, \beta} @^{\text{ctx}} x^{\text{ctx}}) @ (\lambda x. x @ x)$$

$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle \mathbb{E} \mid t @^{\text{ctx}} s \rangle_{\text{bctx}} \quad \text{otherwise}$$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x^{\text{ctx}, \beta} @^{\text{ctx}} x^{\text{ctx}}) @ (\lambda x. x @ x)$$

$$\langle \lambda x :: \mathbb{E} \mid t \rangle_{\text{bctx}} \mapsto \langle \lambda x. t \mid \mathbb{E} \rangle_{\text{ctx}} \quad \mathbb{E}[\lambda x. t] \rightsquigarrow \mathbb{E}[\lambda x. t]$$

Example

Subterm under focus

Surrounding context

$$(\lambda^{ctx} x. x^{ctx, \beta} @^{ctx} x^{ctx}) @(\lambda x. x @ x)$$

$$\langle \lambda x. t \mid \mathbb{E} \rangle_{ctx} \mapsto \langle \mathbb{E} \mid \lambda^{ctx} x. t \rangle_{bctx} \quad \text{otherwise}$$

Example

Subterm under focus

Surrounding context

$$(\lambda^{ctx} x. x^{ctx, \beta} @^{ctx} x^{ctx}) @ (\lambda x. x @ x)$$

$$\langle @ s :: \mathbb{E} \mid t \rangle_{bctx} \mapsto \langle t @ s \mid \mathbb{E} \rangle_{ctx} \quad \mathbb{E}[t @ s] \rightsquigarrow \mathbb{E}[t @ s]$$

Example

Subterm under focus

Surrounding context

$$(\lambda x. x @ x) @ (\lambda x. x @ x)$$

$$\begin{aligned} \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} &\mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta} \\ \langle \lambda x. t \mid \mathbb{E}, s \rangle_{\beta} &\mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}} \end{aligned}$$

NDAM for the λ -calculus

$$\langle \lambda x.t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}} \quad \text{if } \text{ctx} \notin \text{an}(t)$$

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$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle s \mid t @ :: \mathbb{E} \rangle_{\text{ctx}} \quad \text{if } \text{ctx} \notin \text{an}(s)$$

$$\langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta} \quad \text{if } \beta \notin \text{an}(t)$$

$$\langle t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle \mathbb{E} \mid t^{\text{ctx}} \rangle_{\text{bctx}} \quad \text{otherwise (3 steps)}$$

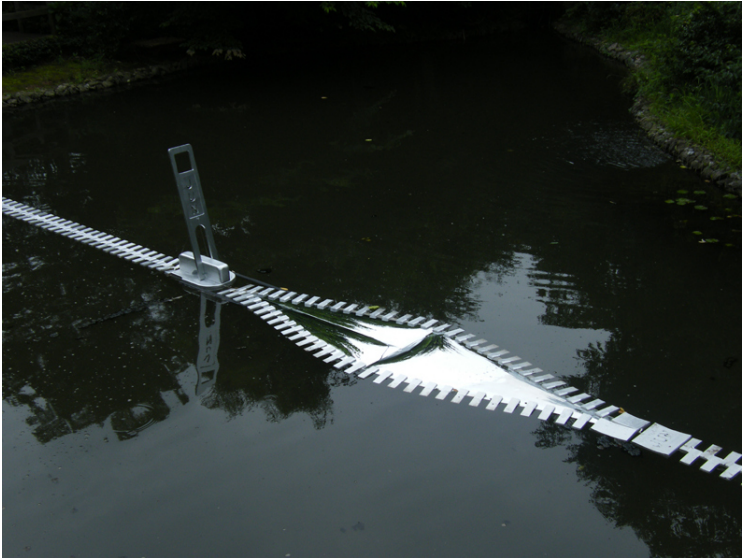
$$\langle \lambda x.t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}}$$

$$\langle t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle t^{\beta} \mid \mathbb{E}, s \rangle_{\text{b}\beta} \quad \text{otherwise (2 steps)}$$

$$\langle \lambda x :: \mathbb{E} \mid t \rangle_{\text{bctx}} \mapsto \langle \lambda x.t \mid \mathbb{E} \rangle_{\text{ctx}} \quad \text{4 steps}$$

⋮

Zipper semantics



Deriving the NDAM

$$\langle \lambda x. t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}} \quad \text{if } \text{ctx} \notin \text{an}(t)$$

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$$\langle \lambda x. t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}}$$

Deriving the NDAM

$$\begin{array}{ll} \langle \lambda x. t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(t) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid @ s :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(t) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle s \mid t @ :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(s) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta} & \text{if } \beta \notin \text{an}(t) \end{array}$$

$$\langle \lambda x. t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}}$$

$$\frac{t \xrightarrow{\lambda x :: \mathbb{E}}_{\text{ctx}} t'}{\lambda x. t \xrightarrow{\mathbb{E}}_{\text{ctx}} t'}$$

Deriving the NDAM

$$\begin{array}{ll} \langle \lambda x. t \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \lambda x :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(t) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid @ s :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(t) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle s \mid t @ :: \mathbb{E} \rangle_{\text{ctx}} & \text{if } \text{ctx} \notin \text{an}(s) \\ \langle t @ s \mid \mathbb{E} \rangle_{\text{ctx}} \mapsto \langle t \mid \mathbb{E}, s \rangle_{\beta} & \text{if } \beta \notin \text{an}(t) \end{array}$$

$$\langle \lambda x. t \mid \mathbb{E}, s \rangle_{\beta} \mapsto \langle \mathbb{E}[t\{s/x\}] \mid \square \rangle_{\text{ctx}}$$

$$\frac{t \xrightarrow{\lambda x :: \mathbb{E}}_{\text{ctx}} t'}{\lambda x. t \xrightarrow{\mathbb{E}}_{\text{ctx}} t'} \quad \frac{t \xrightarrow{@ s :: \mathbb{E}}_{\text{ctx}} t'}{t @ s \xrightarrow{\mathbb{E}}_{\text{ctx}} t'} \quad \frac{s \xrightarrow{t @ :: \mathbb{E}}_{\text{ctx}} s'}{t @ s \xrightarrow{\mathbb{E}}_{\text{ctx}} s'}$$

$$\frac{t \xrightarrow{\mathbb{E}, s}_{\beta} t'}{t @ s \xrightarrow{\mathbb{E}}_{\text{ctx}} t'} \quad \frac{}{\lambda x. t \xrightarrow{\mathbb{E}, s}_{\beta} \mathbb{E}[t\{s/x\}]}$$

Equivalence results

Theorem (RS \iff ZS)

$t \rightarrow_{rs} t'$ iff $t \xrightarrow{\square}_{ctx} t'$

Theorem

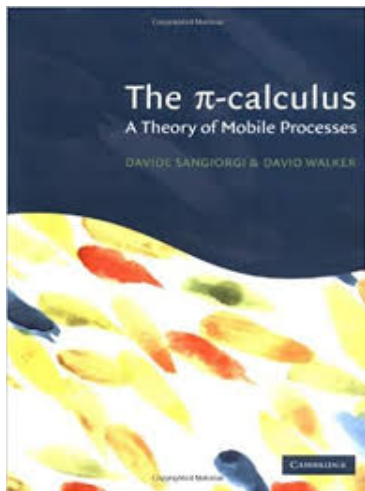
The redex search in the NDAM is terminating

Theorem (ZS \iff NDAM)

- ▶ $t \xrightarrow{\square}_{ctx} t'$ iff $\langle t \mid \square \rangle_{ctx} \mapsto^* \langle t' \mid \square \rangle_{ctx}$
- ▶ t is a normal form iff $\langle t \mid \square \rangle_{ctx} \mapsto^* \langle \square \mid t \rangle_{bctx}$

Generic result (independent from the ZS)

Process calculi



HOcore

| | |
|----------------------------|----------------------|
| $a, b \dots$ | Channel names |
| $P, Q ::= 0$ | Inactive process |
| $\bar{a}\langle P \rangle$ | Output |
| $a(X).P$ | Input |
| $P \parallel Q$ | Parallel composition |

HOcore

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$$\bar{a}\langle P \rangle \parallel a(X).Q \rightarrow 0 \parallel Q\{P/X\}$$

HOcore

| | |
|----------------------------|----------------------|
| $a, b \dots$ | Channel names |
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| $P \parallel Q$ | Parallel composition |

$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

$$\mathbb{E}, \mathbb{F}, \mathbb{G} ::= \square \mid \mathbb{E} \parallel P \mid P \parallel \mathbb{E}$$

Zipper semantics for HOcore

$$R \xrightarrow{\square}_{\text{ctx}} \dots$$

$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Zipper semantics for HOcore

$$\frac{\begin{array}{c} R_1 \parallel R_2 \xrightarrow{\mathbb{E}}_{\text{ctx}} \dots \\ \vdots \\ \mathbb{E} \end{array}}{R \xrightarrow{\square}_{\text{ctx}} \dots}$$

$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Zipper semantics for HOcore

$$\frac{\frac{\frac{R_1 \xrightarrow{\square, \mathbb{E}, R_2} \text{out} \dots}{R_1 \parallel R_2 \xrightarrow{\mathbb{E}} \text{ctx} \dots}}{\vdots \mathbb{E}}}{R \xrightarrow{\square} \text{ctx} \dots}$$

$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Zipper semantics for HOcore

$$\begin{array}{c} \hline \bar{a}\langle P \rangle \xrightarrow{\mathbb{F}, \mathbb{E}, R_2} \text{out} \dots \\ \hline \vdots \mathbb{F} \\ \hline R_1 \xrightarrow{\square, \mathbb{E}, R_2} \text{out} \dots \\ \hline R_1 \parallel R_2 \xrightarrow{\mathbb{E}} \text{ctx} \dots \\ \hline \vdots \mathbb{E} \\ \hline R \xrightarrow{\square} \text{ctx} \dots \end{array}$$

$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Zipper semantics for HOcore

$$\begin{array}{c}
 \hline
 R_2 \xrightarrow{\square, \mathbb{F}, \mathbb{E}, a, P} \text{in} \dots \\
 \hline
 \bar{a}\langle P \rangle \xrightarrow{\mathbb{F}, \mathbb{E}, R_2} \text{out} \dots \\
 \hline
 \vdots \mathbb{F} \\
 \hline
 R_1 \xrightarrow{\square, \mathbb{E}, R_2} \text{out} \dots \\
 \hline
 R_1 \parallel R_2 \xrightarrow{\mathbb{E}} \text{ctx} \dots \\
 \hline
 \vdots \mathbb{E} \\
 \hline
 R \xrightarrow{\square} \text{ctx} \dots
 \end{array}$$

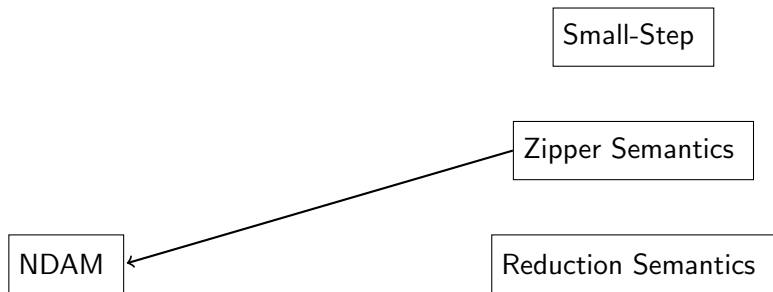
$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Zipper semantics for HOcore

$$\begin{array}{c}
 \frac{a(X).Q \xrightarrow{\mathbb{G}, \mathbb{F}, \mathbb{E}, a, P} \text{in} \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]}{\vdots \mathbb{G}} \\
 \hline
 R_2 \xrightarrow{\square, \mathbb{F}, \mathbb{E}, a, P} \text{in} \dots \\
 \hline
 \bar{a}\langle P \rangle \xrightarrow{\mathbb{F}, \mathbb{E}, R_2} \text{out} \dots \\
 \hline
 \vdots \mathbb{F} \\
 \hline
 R_1 \xrightarrow{\square, \mathbb{E}, R_2} \text{out} \dots \\
 \hline
 R_1 \parallel R_2 \xrightarrow{\mathbb{E}} \text{ctx} \dots \\
 \hline
 \vdots \mathbb{E} \\
 \hline
 R \xrightarrow{\square} \text{ctx} \dots
 \end{array}$$

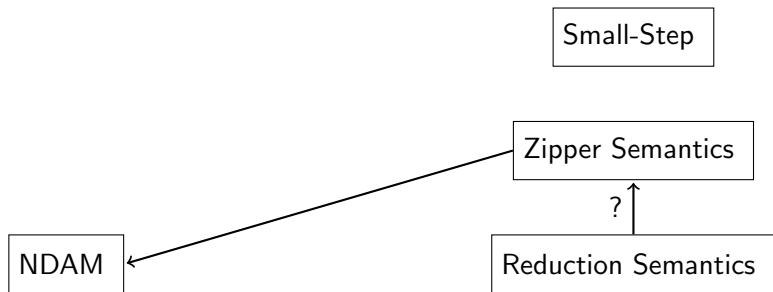
$$\mathbb{E}[\mathbb{F}[\bar{a}\langle P \rangle] \parallel \mathbb{G}[a(X).Q]] \rightarrow \mathbb{E}[\mathbb{F}[0] \parallel \mathbb{G}[Q\{P/X\}]]$$

Conclusion



- ▶ Generic design (backtracking, annotations)
- ▶ Automatic translation from the ZS
- ▶ Sound and complete w.r.t. the ZS
- ▶ Implemented in OCaml

Future work



- ▶ Distributed design (process calculi)