

On recent results by Zuidam and Bukh & Cox on the Shannon capacity

Lex Schrijver

University of Amsterdam and CWI Amsterdam

with Sven Polak

The Shannon capacity of a graph

The Shannon capacity of a graph

$\alpha(G)$ =stable set number of $G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\left\{ \begin{array}{l} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \end{array} \right.$$

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$

Shannon capacity $\Theta(G)$

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$

Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$

The Shannon capacity of a graph

$\alpha(G)$ = stable set number of G = $\max\{|S| \mid S \subseteq V(G) \text{ stable}\}$.

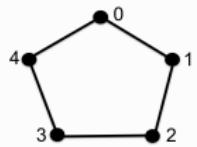
For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$

Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



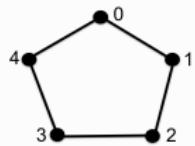
$$\alpha(C_5) = 2$$

Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



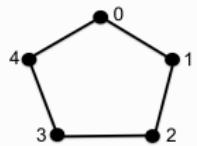
$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5$$

Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

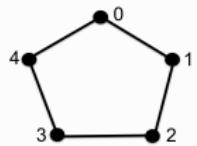
$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

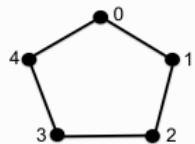
$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5)$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

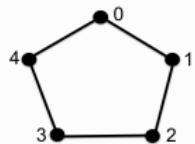
$$\alpha(C_5) = 2$$

$$\alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2}$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

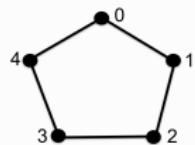
$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

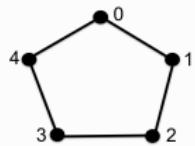
$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G)$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

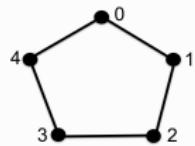
$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid \right.$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

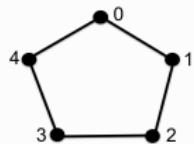
$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \right.$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

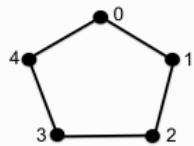
$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

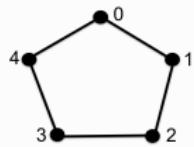
$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

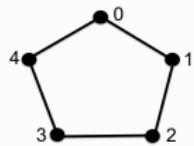
$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

- (i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H)$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the
'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

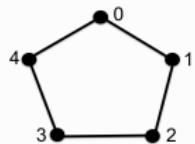
$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

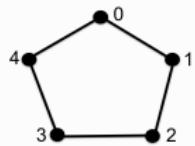
(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

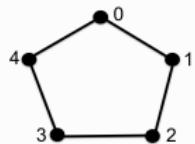
Lovász
1978:

$$\vartheta(G)$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

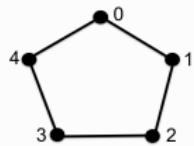
Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

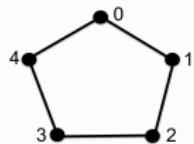
Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)},$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

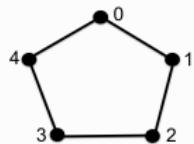
Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD},$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

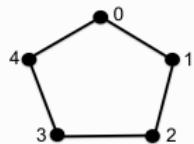
Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1,$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by:
$$\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$$



Shannon capacity $\Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})}$ (Shannon 1956)

$$\{(0, 0), (1, 2), (2, 4), (3, 1), (4, 3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

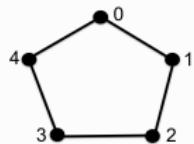
Lovász
1978:

$$\vartheta(G) := \max\{ \mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0 \}.$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



$$\text{Shannon capacity } \Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})} \quad (\text{Shannon 1956})$$

$$\{(0,0), (1,2), (2,4), (3,1), (4,3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

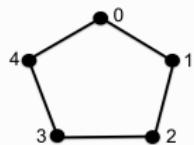
$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0\}.$$

(i) $\forall G: \alpha(G) \leq \vartheta(G)$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



$$\text{Shannon capacity } \Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})} \quad (\text{Shannon 1956})$$

$$\{(0,0), (1,2), (2,4), (3,1), (4,3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

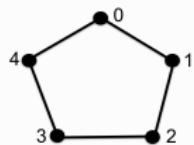
$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0\}.$$

(i) $\forall G: \alpha(G) \leq \vartheta(G)$ (ii) $\forall G, H: \vartheta(G \boxtimes H) \leq \vartheta(G)\vartheta(H)$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



$$\text{Shannon capacity } \Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})} \quad (\text{Shannon 1956})$$

$$\{(0,0), (1,2), (2,4), (3,1), (4,3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

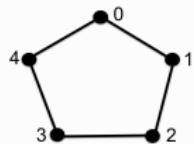
$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0\}.$$

(i) $\forall G: \alpha(G) \leq \vartheta(G)$ (ii) $\forall G, H: \vartheta(G \boxtimes H) \leq \vartheta(G)\vartheta(H) \implies \forall G: \Theta(G) \leq \vartheta(G).$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



$$\text{Shannon capacity } \Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})} \quad (\text{Shannon 1956})$$

$$\{(0,0), (1,2), (2,4), (3,1), (4,3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0\}.$$

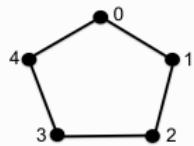
(i) $\forall G: \alpha(G) \leq \vartheta(G)$ (ii) $\forall G, H: \vartheta(G \boxtimes H) \leq \vartheta(G)\vartheta(H) \implies \forall G: \Theta(G) \leq \vartheta(G).$

$$\vartheta(C_5) = \sqrt{5}$$

The Shannon capacity of a graph

$\alpha(G) = \text{stable set number of } G = \max\{|S| \mid S \subseteq V(G) \text{ stable}\}.$

For undirected graphs G_1 and G_2 , the 'strong product' $G \boxtimes G_2$ is defined by: $\begin{cases} V(G_1 \boxtimes G_2) = V(G_1) \times V(G_2) \\ (u_1, u_2) \cong (v_1, v_2) \iff u_1 \cong v_1 \text{ and } u_2 \cong v_2. \end{cases}$



$$\text{Shannon capacity } \Theta(G) := \sup_{d \in \mathbb{N}} \sqrt[d]{\alpha(G^{\boxtimes d})} \quad (\text{Shannon 1956})$$

$$\{(0,0), (1,2), (2,4), (3,1), (4,3)\}$$

$$\alpha(C_5) = 2 \quad \alpha(C_5^{\boxtimes 2}) = 5 \implies \sqrt{5} \leq \Theta(C_5) \leq \frac{5}{2} = \alpha^*(C_5)$$

$$\alpha^*(G) := \max\left\{ \sum_{v \in V(G)} x(v) \mid x : V(G) \rightarrow \mathbb{R}_+, \forall \text{ clique } C : \sum_{v \in C} x(v) \leq 1 \right\}.$$

(i) $\forall G: \alpha(G) \leq \alpha^*(G)$ (ii) $\forall G, H: \alpha^*(G \boxtimes H) \leq \alpha^*(G)\alpha^*(H) \implies \forall G: \Theta(G) \leq \alpha^*(G).$

Lovász
1978:

$$\vartheta(G) := \max\{\mathbf{1}^\top X \mathbf{1} \mid X \in \mathbb{R}^{V(G) \times V(G)}, X \text{ PSD}, \text{tr}(X) = 1, \forall u \sim v: X_{uv} = 0\}.$$

(i) $\forall G: \alpha(G) \leq \vartheta(G)$ (ii) $\forall G, H: \vartheta(G \boxtimes H) \leq \vartheta(G)\vartheta(H) \implies \forall G: \Theta(G) \leq \vartheta(G).$

$$\vartheta(C_5) = \sqrt{5} \implies \boxed{\Theta(C_5) = \sqrt{5}}$$

On the Shannon capacity of a graph

by

L. Lovász (Szeged)

0. Let G be a graph, whose vertices are letters in an alphabet and in which adjacency means that the letters are confoundable. Then the maximum number of one-letter messages which can be sent without the danger of confusion is clearly $\alpha(G)$, the maximum number of independent points in the graph G . Denote by $\alpha(G^k)$ the maximum number of k -letter messages which can be sent without the danger of confusion (two k -letter words are confoundable if for each $1 \leq i \leq k$, their i^{th} letters are confoundable or equal). It is clear that there are at least $\alpha(G)^k$ such words (formed from a maximum set of non-confoundable letters), but one may be able to do better. For example, if C_5 is a pentagon, then $\alpha(C_5^2) = 5$. In fact, if v_1, \dots, v_5 are the vertices of the pentagon (in this cyclic order), then the words $v_1v_1, v_2v_3, v_3v_5, v_4v_2$ and v_5v_4 are non-confoundable.

It is easily seen that

$$\Theta(G) \stackrel{\text{def}}{=} \sup_k \sqrt[k]{\alpha(G^k)} = \lim_{k \rightarrow \infty} \sqrt[k]{\alpha(G^k)}.$$

This number was introduced by Shannon [3], and is called the Shannon capacity of the graph G . The previous consideration shows that $\alpha(G) \leq \Theta(G)$ and that, in general, equality does not hold.

On the Shannon capacity of a graph

by

L. Lovász (Szeged)

0. Let G be a graph, whose vertices are letters in an alphabet and in which adjacency means that the letters are confoundable. Then the maximum number of one-letter messages which can be sent without the danger of confusion is clearly $\alpha(G)$, the maximum number of independent points in the graph G . Denote by $\alpha(G^k)$ the maximum number of k -letter messages which can be sent without the danger of confusion (two k -letter words are confoundable if for each $1 \leq i \leq k$, their i^{th} letters are confoundable or equal). It is clear that there are at least $\alpha(G)^k$ such words (formed from a maximum set of non-confoundable letters), but one may be able to do better. For example, if C_5 is a pentagon, then $\alpha(C_5^2) = 5$. In fact, if v_1, \dots, v_5 are the vertices of the pentagon (in this cyclic order), then the words $v_1v_1, v_2v_3, v_3v_5, v_4v_2$ and v_5v_4 are non-confoundable.

It is easily seen that

$$\Theta(G) \stackrel{\text{def}}{=} \sup_k \sqrt[k]{\alpha(G^k)} = \lim_{k \rightarrow \infty} \sqrt[k]{\alpha(G^k)}.$$

This number was introduced by Shannon [3], and is called the Shannon capacity of the graph G . The previous consideration shows that $\alpha(G) \leq \Theta(G)$ and that, in general, equality does not hold.

Proof. The "diagonal" in $G \cdot \overline{G}$ is independent, hence

$$\Theta(G \cdot \overline{G}) \geq \alpha(G \cdot \overline{G}) \geq |\nu(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \overline{G}) \leq \vartheta(G \cdot \overline{G}) = \vartheta(G)\vartheta(\overline{G}) = |\nu(G)|.$$

If G is self-complementary then

$$\Theta(G \cdot \overline{G}) = \Theta(G^2) = \Theta(G)^2.$$

This proves the theorem. The proof also shows that in these cases $\Theta = \vartheta$.

Theorem 12. Let $n \geq 2r$ and let the graph $K(n,r)$ be defined as the graph whose vertices are the r -subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

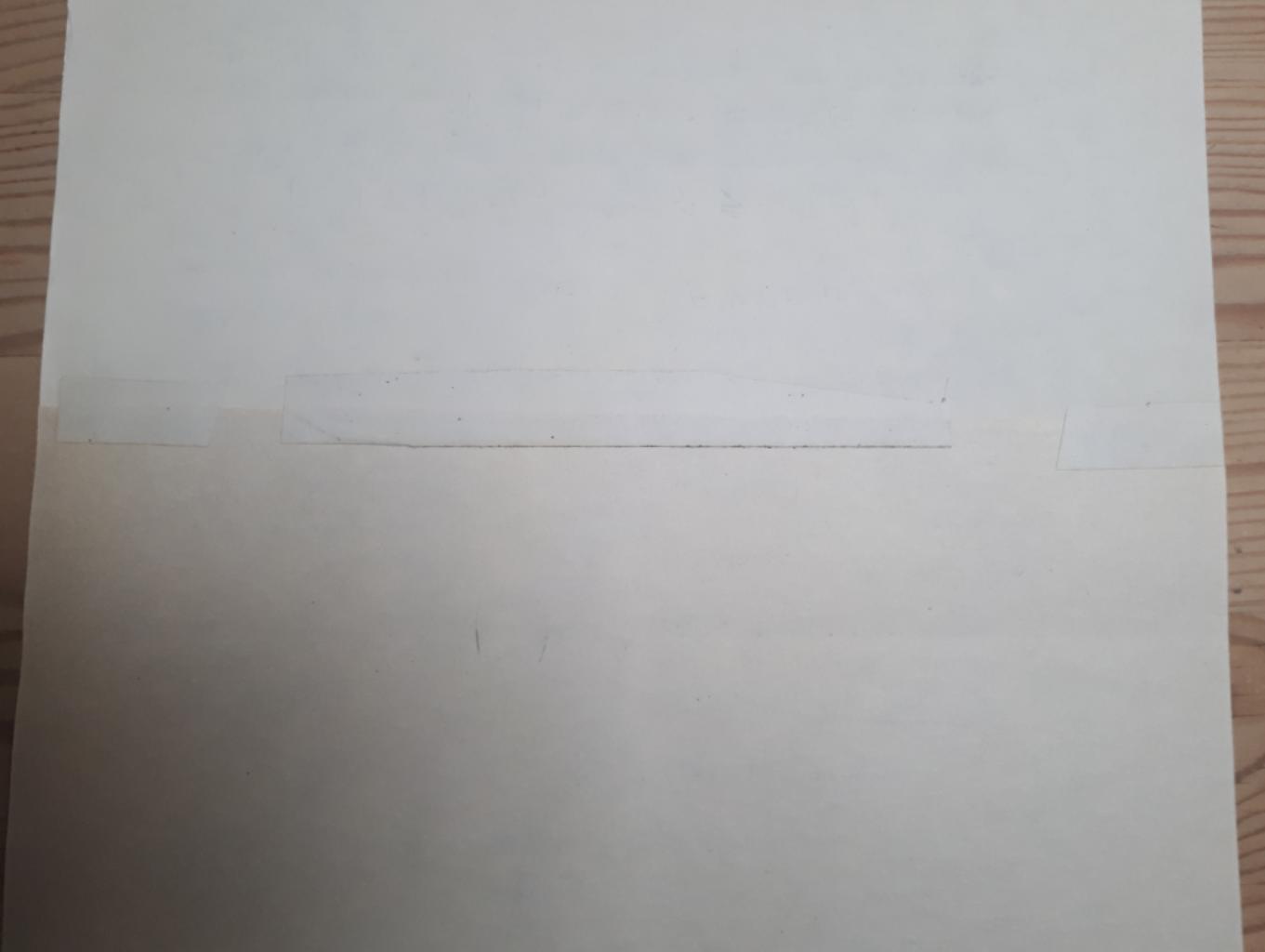
$$\Theta(K(n,r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n,r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n,r)$; hence

$$\Theta(K(n,r)) \geq \alpha(K(n,r)) \geq \binom{n-1}{r-1}.$$



Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\text{V}(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) \leq \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\text{V}(G)|.$$

If G is self-complementary then

$$\Theta(G \cdot \bar{G}) = \Theta(G^2) = \Theta(G)^2.$$

This proves the theorem. The proof also shows that in these cases $\Theta = \vartheta$.

Theorem 12. Let $n \geq 2r$ and let the graph $K(n, r)$ be defined as the graph whose vertices are the r -subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

$$\Theta(K(n, r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n, r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n, r)$; hence

$$\Theta(K(n, r)) \geq \alpha(K(n, r)) \geq \binom{n-1}{r-1}$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\text{V}(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\text{V}(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G}) = \Theta(G^2) = \Theta(G)^2.$$

This proves the theorem. The proof also shows that in these cases $\Theta = \vartheta$.

Theorem 12. Let $n \geq 2r$ and let the graph $K(n, r)$ be defined as the graph whose vertices are the r -subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

$$\Theta(K(n, r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n, r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n, r)$; hence

$$\Theta(K(n, r)) \geq \alpha(K(n, r)) \geq \binom{n-1}{r-1}$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\text{V}(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\text{V}(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G).$$

This proves the theorem. This also shows that in these cases $\Theta = \vartheta$.

Theorem 12. Let $n \geq 2r$ and let the graph $K(n, r)$ be defined as the graph whose vertices are the r -subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

$$\Theta(K(n, r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n, r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n, r)$; hence

$$\Theta(K(n, r)) \geq \alpha(K(n, r)) \geq \binom{n-1}{r-1}.$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\text{V}(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\text{V}(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G).$$

This proves the theorem. also shows that in these cases $\Theta = \vartheta$.

Theorem 12. Let $n \geq 2r$ and let the graph $K(n, r)$ be defined as the graph whose vertices are the r -subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

$$\Theta(K(n, r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n, r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n, r)$; hence

$$\Theta(K(n, r)) \geq \alpha(K(n, r)) \geq \binom{n-1}{r-1}.$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\nu(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\nu(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G}) = \vartheta(G) \cdot \vartheta(\bar{G}).$$

This proves the theorem. It also shows that in these cases $\Theta = \vartheta$.

That would be a great story, if true.

defined as the graph whose vertices are the n -element subsets of an n -element set S , two being adjacent iff they are disjoint.

Then

$$\Theta(K(n, r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n, r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n, r)$; hence

$$\Theta(K(n, r)) \geq \alpha(K(n, r)) \geq \binom{n-1}{r-1}.$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \alpha(G \cdot \bar{G}) \geq |\nu(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\nu(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G}) = \vartheta(G) \vartheta(\bar{G}).$$

This proves the theorem. It also shows that in these cases $\Theta = \vartheta$.

That would be a great story, if true.

However, I didn't really learn about the Lovasz theta function until I read Groetschel/Lovasz/Schrijver in 1991. \$\\vartheta\$ went into TeX in 1982 or earlier.

$$\Theta(K(n,r)) = \binom{n-1}{r-1}.$$

Corollary (Erdős-Ko-Rado Theorem)

$$\alpha(K(n,r)) = \binom{n-1}{r-1}.$$

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n,r)$; hence

$$\Theta(K(n,r)) \geq \alpha(K(n,r)) \geq \binom{n-1}{r-1}.$$

~~Proof.~~ The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \chi(G \cdot \bar{G}) \geq |\chi(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\chi(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G})$$

$$\vartheta \backslash \text{vartheta}$$

This proves the theorem. It also shows that in these cases $\Theta = \vartheta$.

$$\vartheta \backslash \text{theta}$$

That would be a great story, if true.

However, I didn't really learn about the Lovasz theta function until I read Groetschel/Lovasz/Schrijver in 1991. $\$\backslash \text{vartheta}\$$ went into TeX in 1982 or earlier.

Of course I did enjoy every moment when I wrote notes on "the sandwich theorem" in 1991, because it was such fun to use $\backslash \text{vartheta}$.

Proof. The r -subsets containing a specified element of S form an independent set of points in $K(n,r)$; hence

$$\Theta(K(n,r)) \geq \chi(K(n,r)) \geq \binom{n-1}{r-1}$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \chi(G \cdot \bar{G}) \geq |\chi(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\chi(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G})$$

$$\vartheta \backslash \text{vartheta}$$

This proves the theorem. It also shows that in these cases $\Theta = \vartheta$.

$$\vartheta \backslash \text{theta}$$

That would be a great story, if true.

However, I didn't really learn about the Lovasz theta function until I read Groetschel/Lovasz/Schrijver in 1991. $\$\backslash \text{vartheta}\$$ went into TeX in 1982 or earlier.

Of course I did enjoy every moment when I wrote notes on "the sandwich theorem" in 1991, because it was such fun to use $\backslash \text{vartheta}$.

$$\Theta = \vartheta ???$$

Proof. The "diagonal" in $G \cdot \bar{G}$ is independent, hence

$$\Theta(G \cdot \bar{G}) \geq \chi(G \cdot \bar{G}) \geq |\chi(G)|.$$

On the other hand, we have by Theorems 1, 6 and 7 that

$$\Theta(G \cdot \bar{G}) = \vartheta(G \cdot \bar{G}) = \vartheta(G)\vartheta(\bar{G}) = |\chi(G)|.$$

If G is self-complementary, then

$$\Theta(G \cdot \bar{G})$$

$$\vartheta \backslash \text{vartheta}$$

This proves the theorem. It also shows that in these cases $\Theta = \vartheta$.

$$\theta \backslash \text{theta}$$

That would be a great story, if true.

However, I didn't really learn about the Lovasz theta function until I read Groetschel/Lovasz/Schrijver in 1991. $\$\backslash \text{vartheta}\$$ went into TeX in 1982 or earlier.

Of course I did enjoy every moment when I wrote notes on "the sandwich theorem" in 1991, because it was such fun to use $\backslash \text{vartheta}$.

$$\Theta = \vartheta ???$$

Let G be a graph on n vertices. Let A be a symmetric matrix of size $n \times n$ such that $(A)_{ii} = 1$, $(A)_{ij} = 0$ iff i and j correspond to nonadjacent vertices of G .

Theorem $\Theta(G) \leq \text{rank}(A)$

Proof 1: $\text{rank}(A^k) = (\text{rank}(A))^k$

2: A^k has submatrix I of size $\alpha(A^k)$, hence $\text{rank}(A^k) \geq \alpha(A^k)$. We now have

$$\Theta(G) = \sup_k \sqrt[k]{\alpha(A^k)} \leq \sup_k \sqrt[k]{\text{rank}(A^k)} = \text{rank}(A) \quad \square$$

Example G is the Schläfli graph on 27 vertices.

The eigenvalues of its $(0,1)$ adjacency matrix B are $1, -5, 10$ with multiplicities $20, 6$ and 1 resp.

Put $A := I - B$, then $\text{rank}(A) = 7$, so $\Theta(G) \leq 7$

G and \bar{G} are edge transitive, so we have

$$\Theta(G) = 27 \frac{5}{15} = 9, \quad \Theta(\bar{G}) = \frac{27}{9} = 3, \quad \text{in fact } \Theta(\bar{G}) = 3 \text{ because } \bar{G} \text{ admits a 3-coaligue.}$$

So in the above example we have seen:

$$\Theta(G) \neq \Theta(\bar{G}) \text{ and } \Theta(G) \cdot \Theta(\bar{G}) = 27 < 27.$$

This answers problem 2, 3 and part of problem 1 of Lovász' paper.



Let G be a graph on n vertices. Let A be a symmetric matrix of size $n \times n$ such that $(A)_{ii} = 1$, $(A)_{ij} = 0$ iff i and j correspond to nonadjacent vertices of G .

Theorem $\Theta(G) \leq \text{rank}(A)$

Proof 1: $\text{rank}(A^k) = (\text{rank}(A))^k$

2: A^k has submatrix I of size $\alpha(A^k)$, hence $\text{rank}(A^k) \geq \alpha(A^k)$. We now have

$$\Theta(G) = \sup_k \sqrt[k]{\alpha(A^k)} \leq \sup_k \sqrt[k]{\text{rank}(A^k)} = \text{rank}(A) \quad \square$$

Example G is the Schläfli graph on 27 vertices.

The eigenvalues of its $(0,1)$ adjacency matrix B are $1, -5, 10$ with multiplicities $20, 6$ and 1 resp.

Put $A := I - B$, then $\text{rank}(A) = 7$, so $\Theta(G) \leq 7$

G and \bar{G} are edge transitive, so we have

$$\Theta(G) = 27 \frac{5}{15} = 9, \quad \Theta(\bar{G}) = \frac{27}{9} = 3, \quad \text{in fact } \Theta(\bar{G}) = 3 \text{ because } \bar{G} \text{ admits a 3-coaligue.}$$

So in the above example we have seen:

$$\Theta(G) \neq \Theta(\bar{G}) \quad \text{and} \quad \Theta(G) \cdot \Theta(\bar{G}) = 27 < 27.$$

This answers problem 2,3 and part of problem 2 of Lovász' paper.



The Haemers bound

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) :=$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V},$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1,$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

- (i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H)$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}(G).$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}(G).$

If G is the *Schläfli graph*:

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}(G).$

If G is the Schläfli graph:

$$h_{\mathbb{R}}(G) = 7 < 9 = \vartheta(G)$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}(G).$

If G is the Schläfli graph:

$$\Theta(G) \leq h_{\mathbb{R}}(G) = 7 < 9 = \vartheta(G)$$

The Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound (1978):

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}(G)$ (ii) $\forall G, H: h_{\mathbb{F}}(G \boxtimes H) \leq h_{\mathbb{F}}(G)h_{\mathbb{F}}(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}(G).$

If G is the Schläfli graph:

$$\Theta(G) \leq h_{\mathbb{R}}(G) = 7 < 9 = \vartheta(G)$$

Jeroen Zuiddam's theorem (2018)

Jeroen Zuiddam's theorem (2018)

$$\Theta(G)$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta :=$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H),$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H),$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$,

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\equiv v \Rightarrow \varphi(u) \not\equiv \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

$$f(K_1) = 1\}.$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$$f(K_1) = 1\}. \quad \text{"unital"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

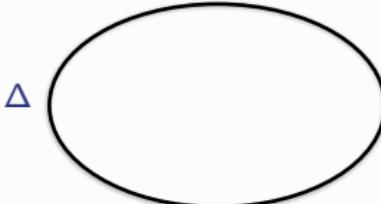
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$$f(K_1) = 1\}.$$

"unital"

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

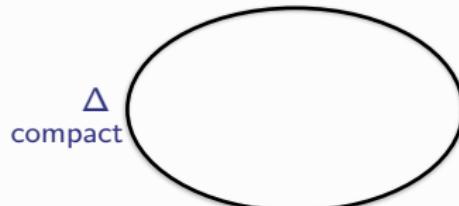
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$

$$f(K_1) = 1\}.$$

"unital"



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

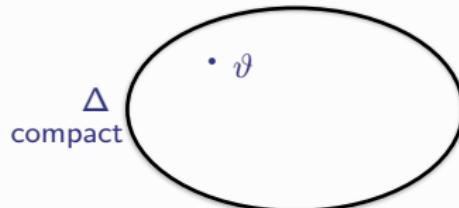
$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

$$f(K_1) = 1\}. \quad \text{"unital"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

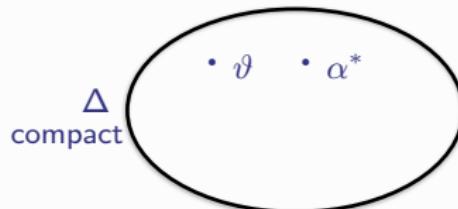
$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

$$f(K_1) = 1\}. \quad \text{"unital"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

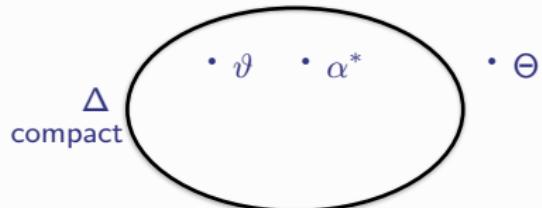
$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, "monotone"

$$f(K_1) = 1\}. \quad \text{"unital"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$



Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

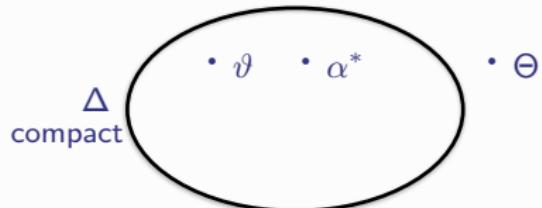
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$

$$f(K_1) = 1\}.$$

"unital"



👉 Find $f \in \Delta$ with $f(C_7) = \Theta(C_7)$.

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

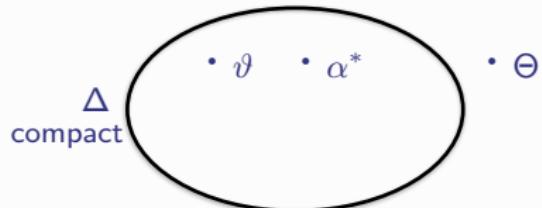
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$

$$f(K_1) = 1\}.$$

"unital"



👉 Find $f \in \Delta$ with $f(C_7) = \Theta(C_7)$.

👉 Is Δ connected ?

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

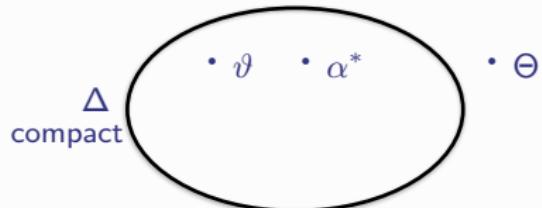
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$

$$f(K_1) = 1\}.$$

"unital"



👉 Find $f \in \Delta$ with $f(C_7) = \Theta(C_7)$.

👉 Is Δ connected ?

What about the Haemers bound???

Jeroen Zuiddam's theorem (2018)

$$\Theta(G) = \min_{f \in \Delta} f(G),$$

where $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$

$$f(G \sqcup H) = f(G) + f(H), \quad \text{"additive"}$$

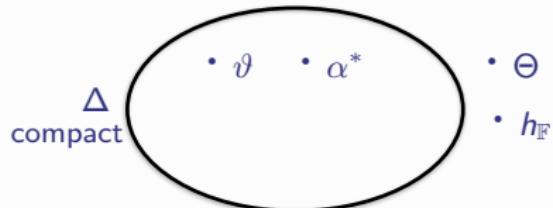
$$f(G \boxtimes H) = f(G)f(H), \quad \text{"multiplicative"}$$

$$\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), \quad \text{"monotone"}$$

$\varphi : V(G) \rightarrow V(H)$ with
 $u \not\cong v \Rightarrow \varphi(u) \not\cong \varphi(v)$

$$f(K_1) = 1\}.$$

"unital"



👉 Find $f \in \Delta$ with $f(C_7) = \Theta(C_7)$.

👉 Is Δ connected ?

What about the Haemers bound???

The fractional Haemers bound

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) :=$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid \right.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N},\right.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V},\right.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \neq v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k,\right.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G : \alpha(G) \leq h_{\mathbb{F}}^*(G)$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

- (i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H)$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018:

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\sim v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\sim v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018: Theorem: $h_{\mathbb{F}}^*$ is multiplicative

$\implies h_{\mathbb{F}}^*$ belongs to Δ .

The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\sim v : X_{u,v} = 0\}.$$

Blasiak 2013:

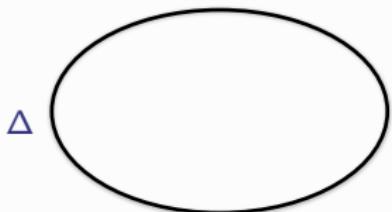
fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\sim v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018: Theorem: $h_{\mathbb{F}}^*$ is multiplicative

$\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

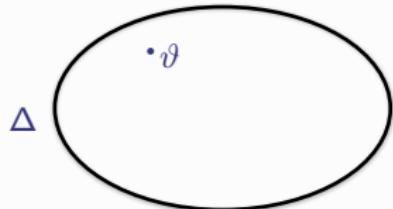
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

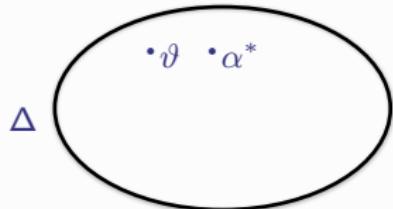
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

$$(i) \forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G) \quad (ii) \forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

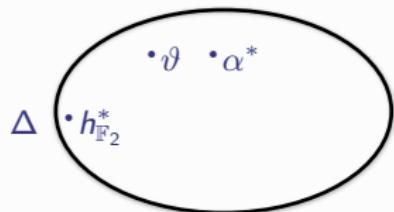
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

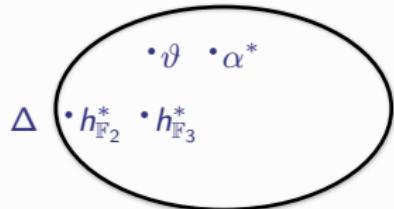
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

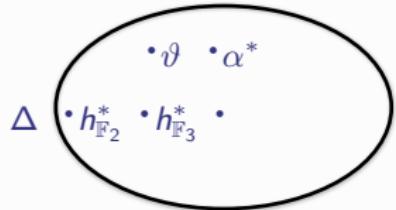
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

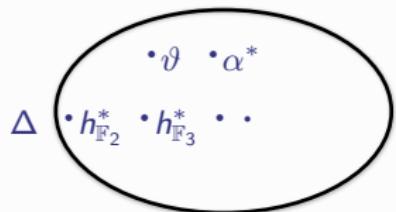
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

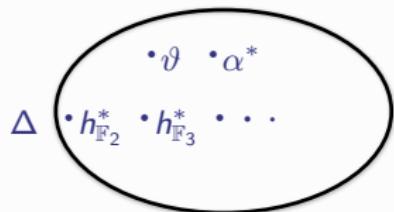
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

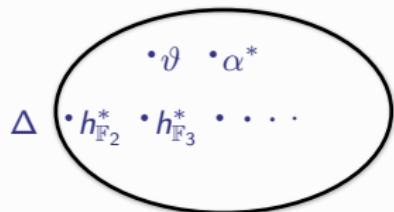
Blasiak 2013:

fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: **Theorem:** $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

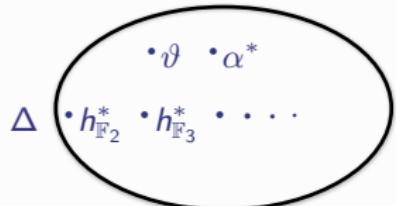
fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: Theorem: $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .

 $\lim_{p \rightarrow \infty} h_{\mathbb{F}_p}^* = h_{\mathbb{Q}}^* ?$



The fractional Haemers bound

Let \mathbb{F} be a field and $G = (V, E)$ be a graph.

Haemers bound:

$$\Theta(G) \leq h_{\mathbb{F}}(G) := \min\{\text{rank}(X) \mid X \in \mathbb{F}^{V \times V}, \forall v : X_{v,v} = 1, \forall u \not\equiv v : X_{u,v} = 0\}.$$

Blasiak 2013:

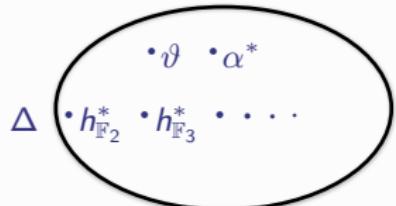
fractional Haemers bound:

$$h_{\mathbb{F}}^*(G) := \inf\left\{\frac{\text{rank}(X)}{k} \mid k \in \mathbb{N}, X \in (\mathbb{F}^{k \times k})^{V \times V}, \forall v : X_{v,v} = I_k, \forall u \not\equiv v : X_{u,v} = \mathbf{0}\right\}.$$

(i) $\forall G: \alpha(G) \leq h_{\mathbb{F}}^*(G)$ (ii) $\forall G, H: h_{\mathbb{F}}^*(G \boxtimes H) \leq h_{\mathbb{F}}^*(G)h_{\mathbb{F}}^*(H) \implies \forall G: \Theta(G) \leq h_{\mathbb{F}}^*(G).$

Bukh & Cox 2018: Theorem: $h_{\mathbb{F}}^*$ is multiplicative
 $\implies h_{\mathbb{F}}^*$ belongs to Δ .

☞ $\lim_{p \rightarrow \infty} h_{\mathbb{F}_p}^* = h_{\mathbb{Q}}^*$? $\lim_{p \rightarrow \infty} h_{\mathbb{F}_p}^* = h_{\overline{\mathbb{Q}}}^*$?



“Right convergence”

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, $f(K_1) = 1\}$.

“Right convergence”

Recall:

$\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, $f(K_1) = 1\}$.

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)|$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, $f(K_1) = 1\}$.

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

👉 What is the completion?

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
if \exists a homomorphism $\overline{G} \rightarrow \overline{H}$, then $f(G) \leq f(H)$, $f(K_1) = 1\}$.

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n,$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7},$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \left\{ \begin{array}{l} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{array} \right.$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9},$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \left\{ \begin{array}{l} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{array} \right.$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}},$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

Note: if $\frac{n}{k} = \frac{n'}{k'}$, then \exists homomorphism $\overline{C_{k,n}} \rightarrow \overline{C_{k',n'}}$,

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

Note: if $\frac{n}{k} = \frac{n'}{k'}$, then \exists homomorphism $\overline{C_{k,n}} \rightarrow \overline{C_{k',n'}}$, hence $d(C_{k,n}, C_{k',n'}) = 0$.

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

Note: if $\frac{n}{k} = \frac{n'}{k'}$, then \exists homomorphism $\overline{C_{k,n}} \rightarrow \overline{C_{k',n'}}$, hence $d(C_{k,n}, C_{k',n'}) = 0$.

☞ Is the function $\frac{n}{k} \mapsto C_{k,n}$ continuous?

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

Note: if $\frac{n}{k} = \frac{n'}{k'}$, then \exists homomorphism $\overline{C_{k,n}} \rightarrow \overline{C_{k',n'}}$, hence $d(C_{k,n}, C_{k',n'}) = 0$.

☞ Is the function $\frac{n}{k} \mapsto C_{k,n}$ continuous?

Special cases: ☞ Is the function $\frac{n}{k} \mapsto \Theta(C_{k,n})$ continuous?

“Right convergence”

Recall: $\Delta := \{f : \{\text{graphs}\} \rightarrow \mathbb{R} \mid \text{for all graphs } G, H :$
 $f(G \sqcup H) = f(G) + f(H), f(G \boxtimes H) = f(G)f(H),$
 $\text{if } \exists \text{ a homomorphism } \overline{G} \rightarrow \overline{H}, \text{ then } f(G) \leq f(H), f(K_1) = 1\}.$

$$d(G, H) := \max_{f \in \Delta} |f(G) - f(H)| \quad \text{semimetric on } \{\text{graphs}\}$$

☞ What is the completion? Is it larger than $\{\text{graphs}\}$?

Candidates to consider: graphs $C_{k,n} \begin{cases} V(C_{k,n}) = V(C_n) \\ u \sim v \iff \text{dist}_{C_n}(u, v) < k. \end{cases}$

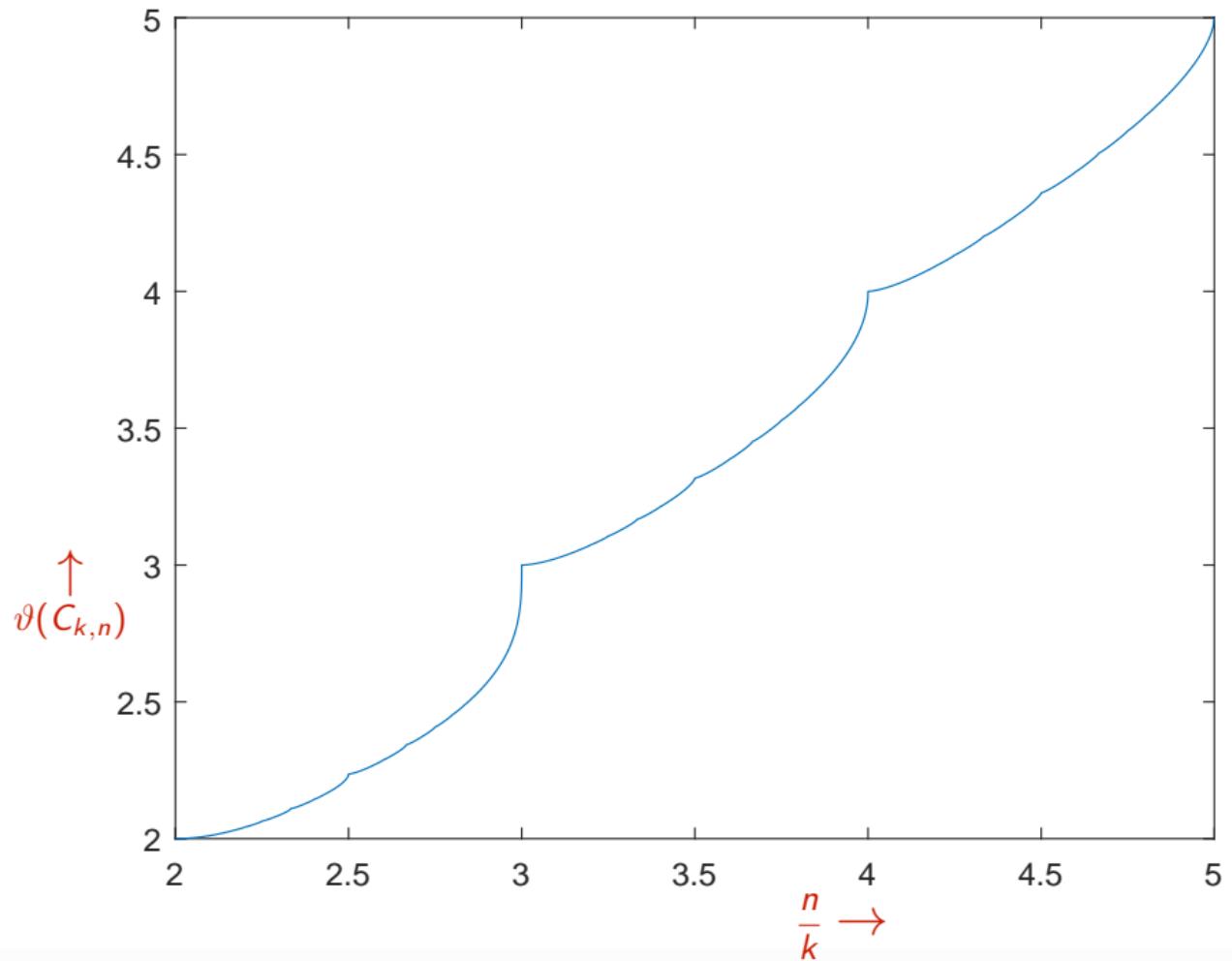
$$C_{2,n} = C_n, \quad C_{3,7} = \overline{C_7}, \quad C_{4,9} = \overline{C_9}, \quad C_{5,11} = \overline{C_{11}}, \quad \dots$$

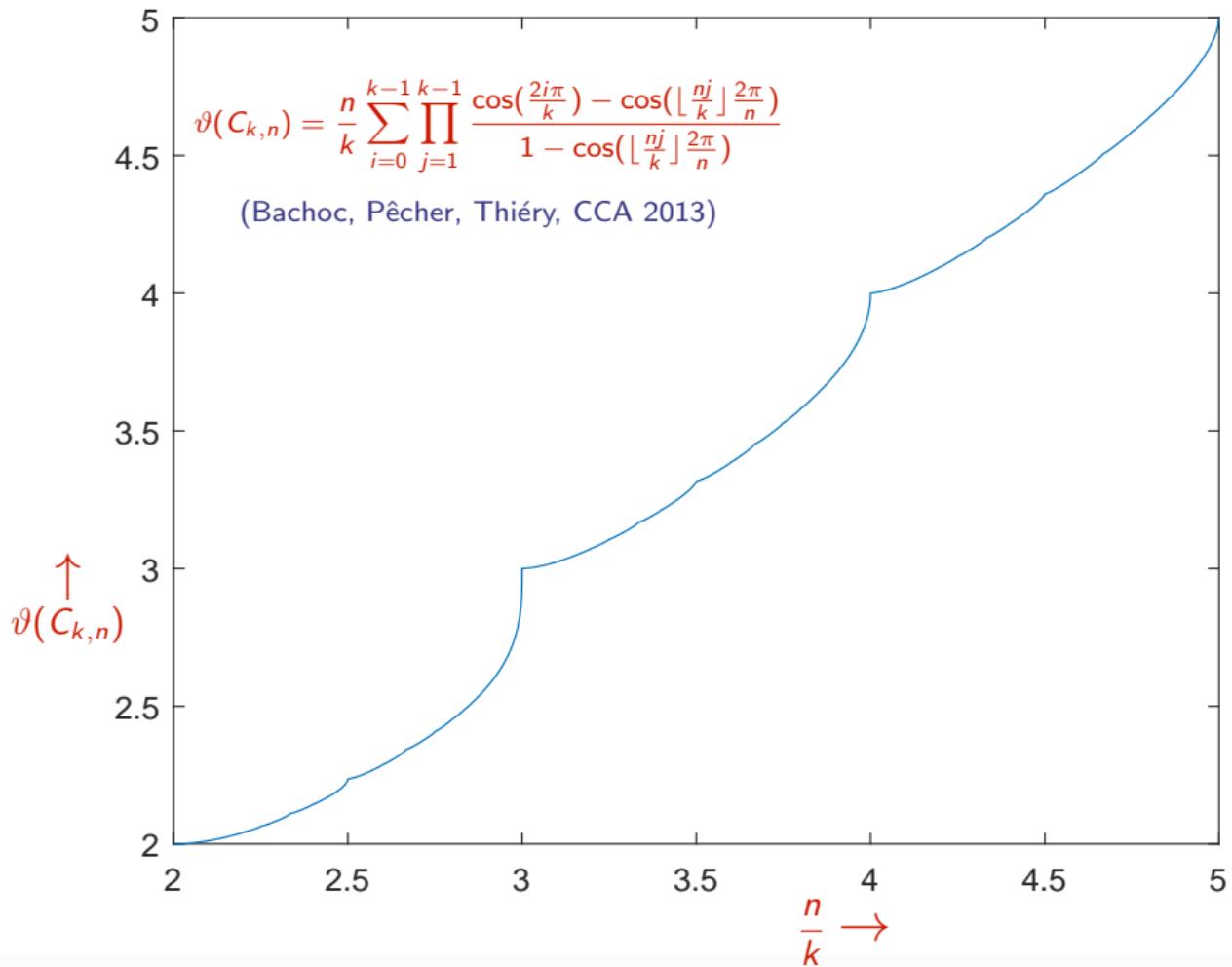
Note: if $\frac{n}{k} = \frac{n'}{k'}$, then \exists homomorphism $\overline{C_{k,n}} \rightarrow \overline{C_{k',n'}}$, hence $d(C_{k,n}, C_{k',n'}) = 0$.

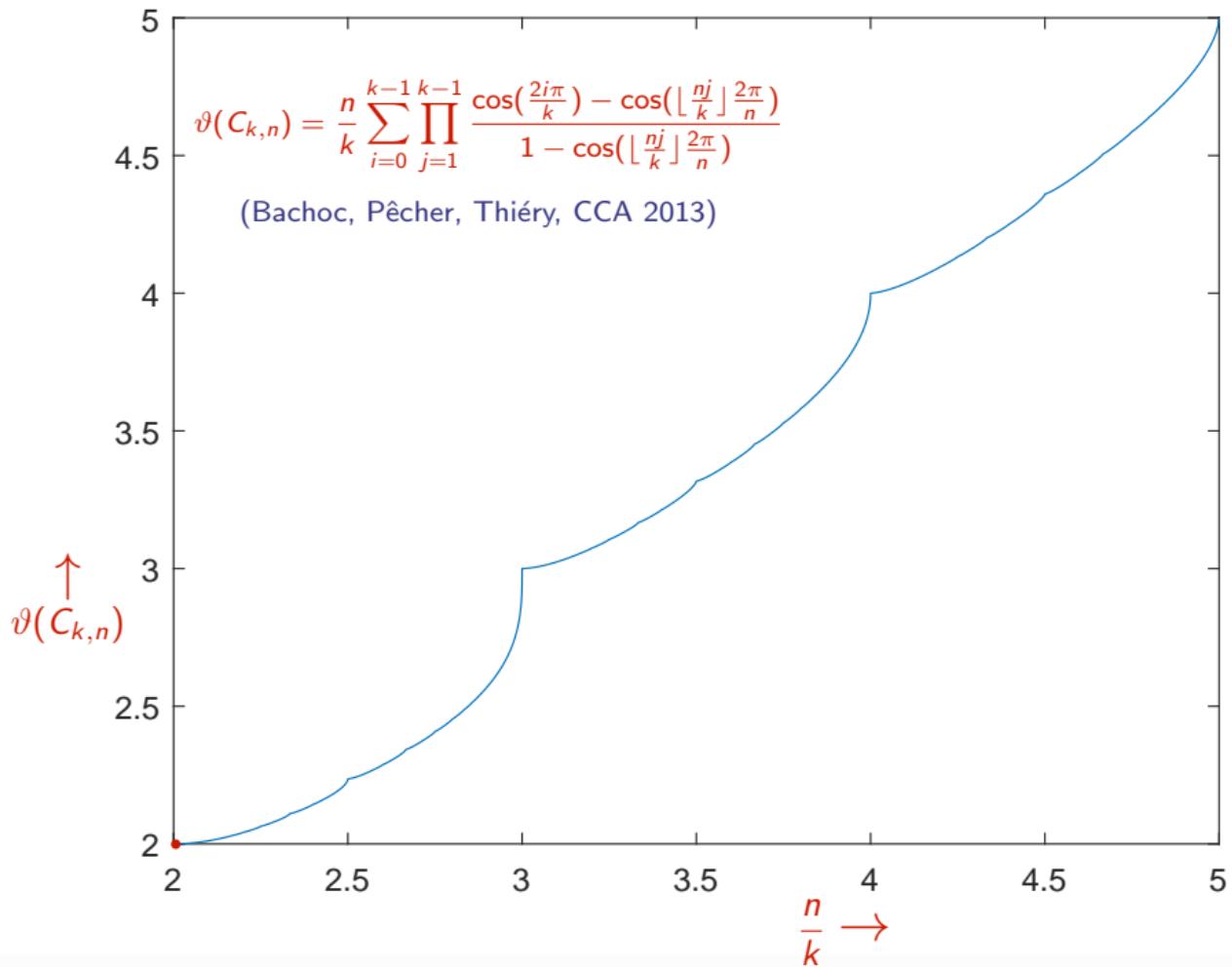
☞ Is the function $\frac{n}{k} \mapsto C_{k,n}$ continuous?

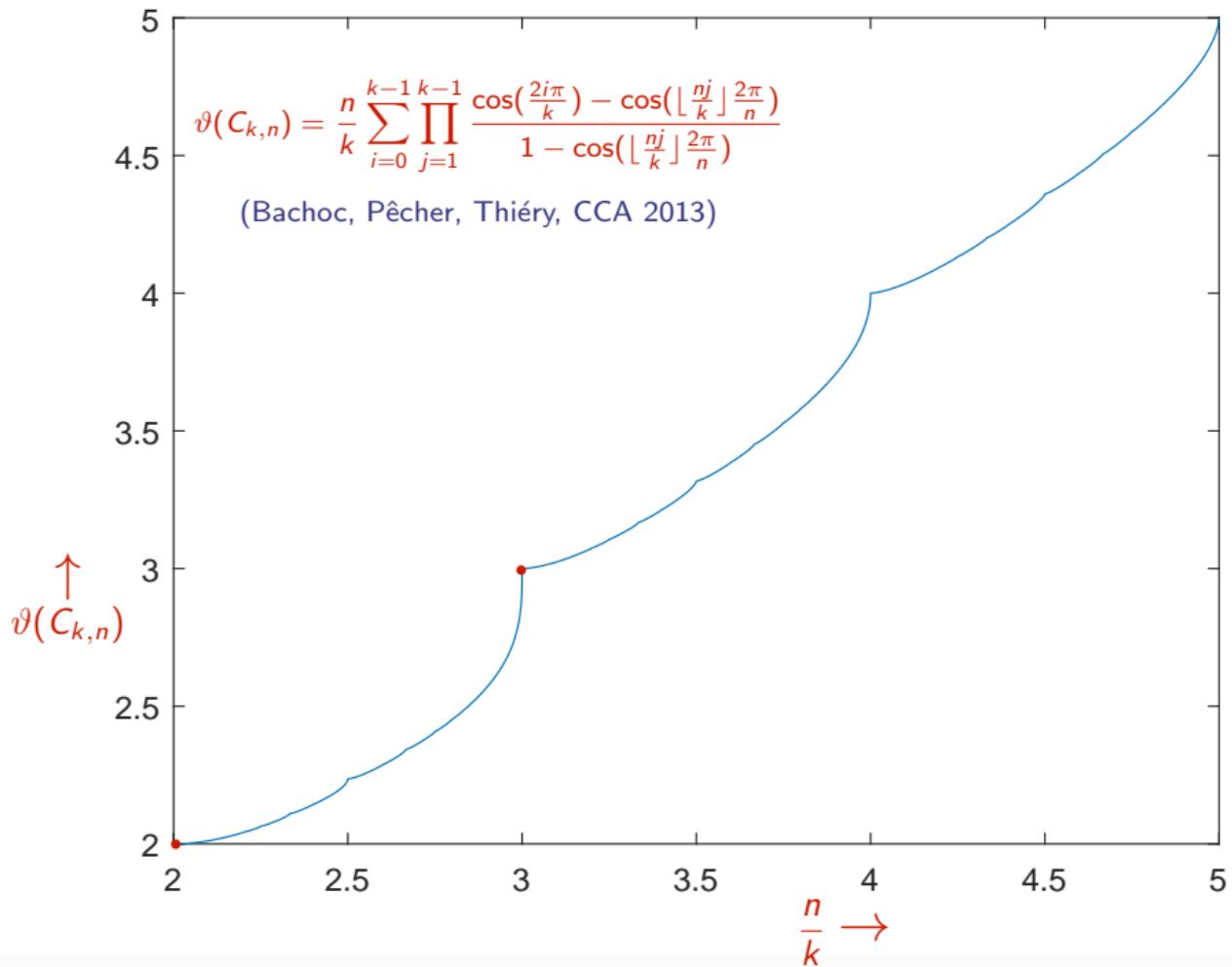
Special cases: ☞ Is the function $\frac{n}{k} \mapsto \Theta(C_{k,n})$ continuous?

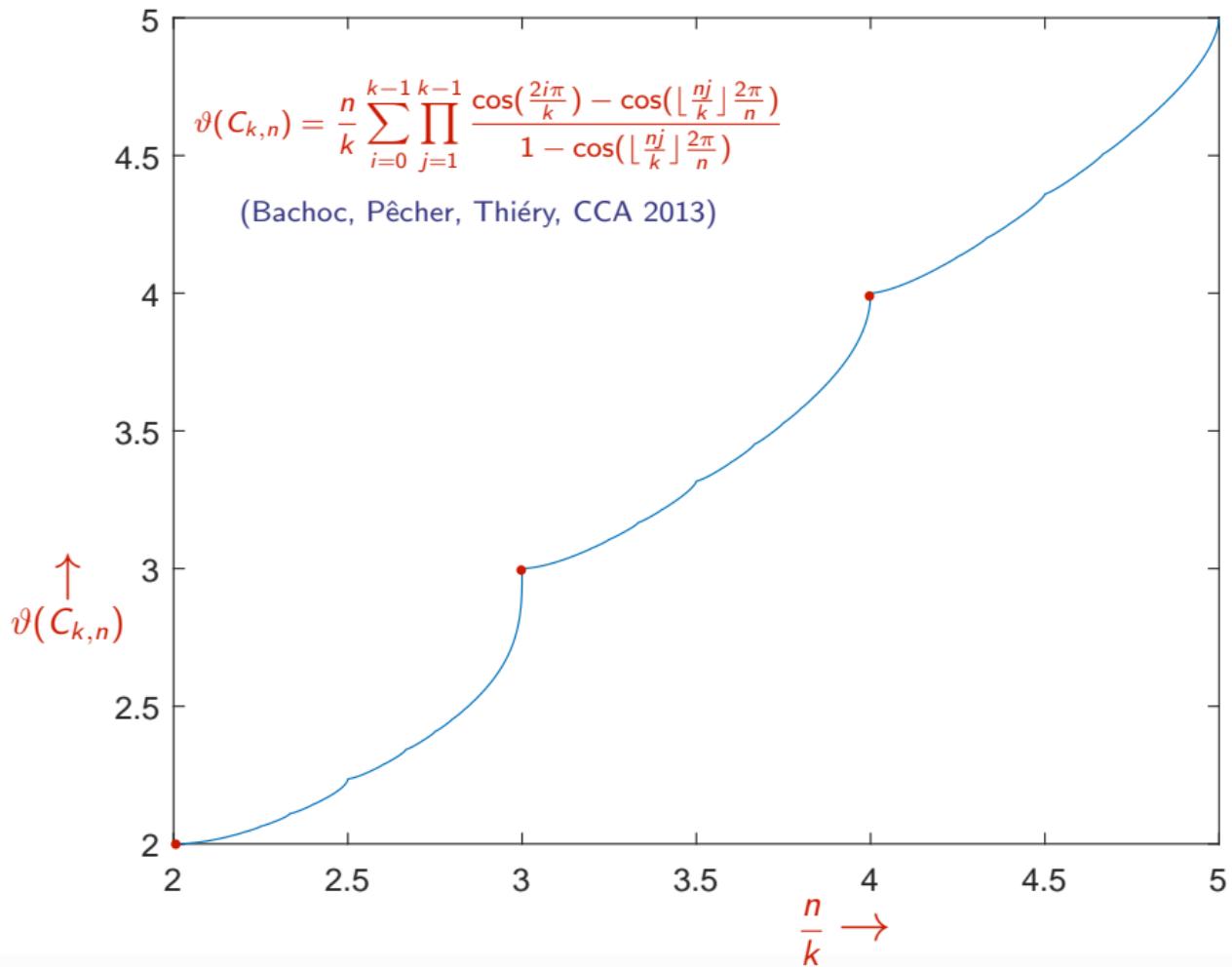
☞ Is the function $\frac{n}{k} \mapsto \vartheta(C_{k,n})$ continuous?

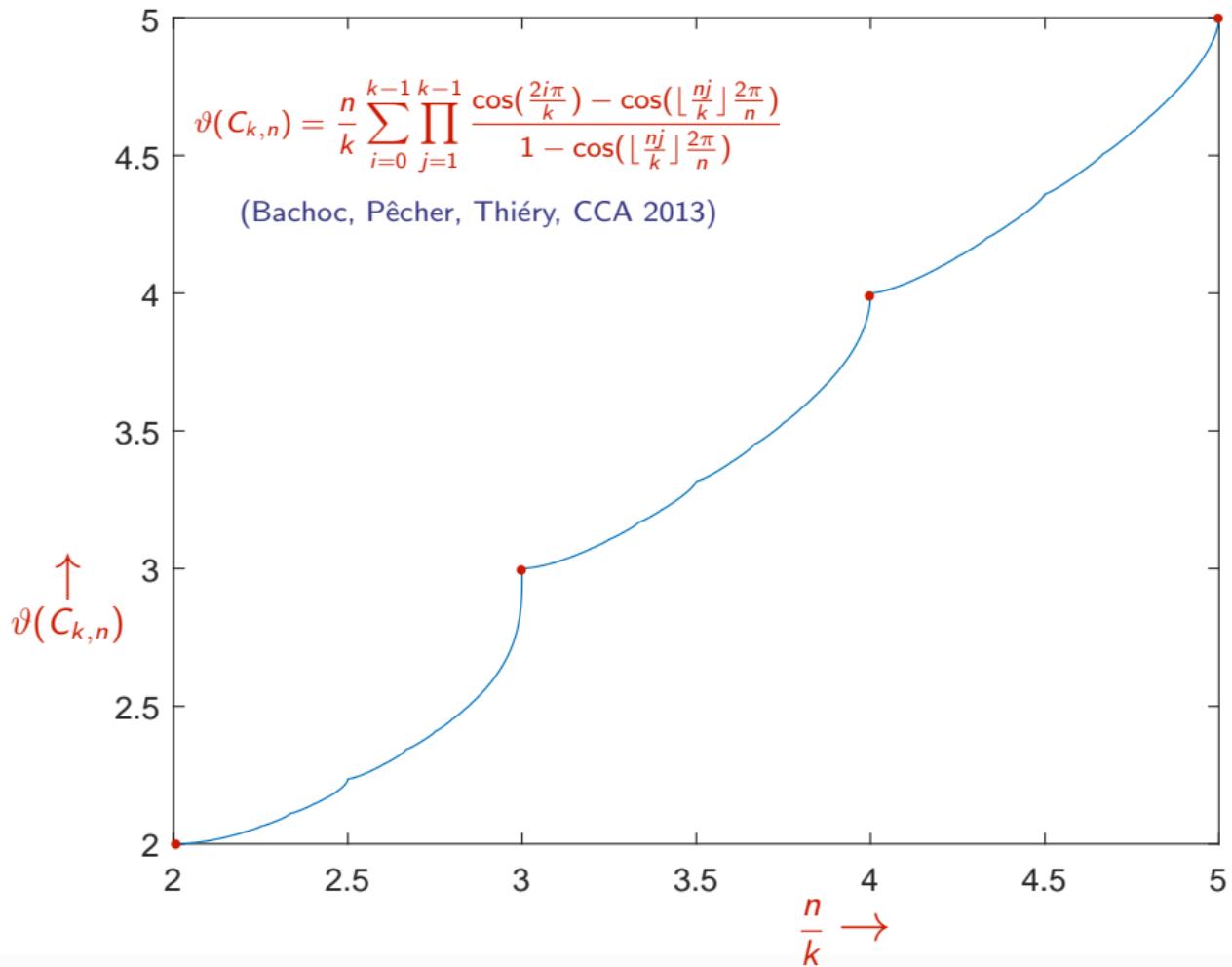


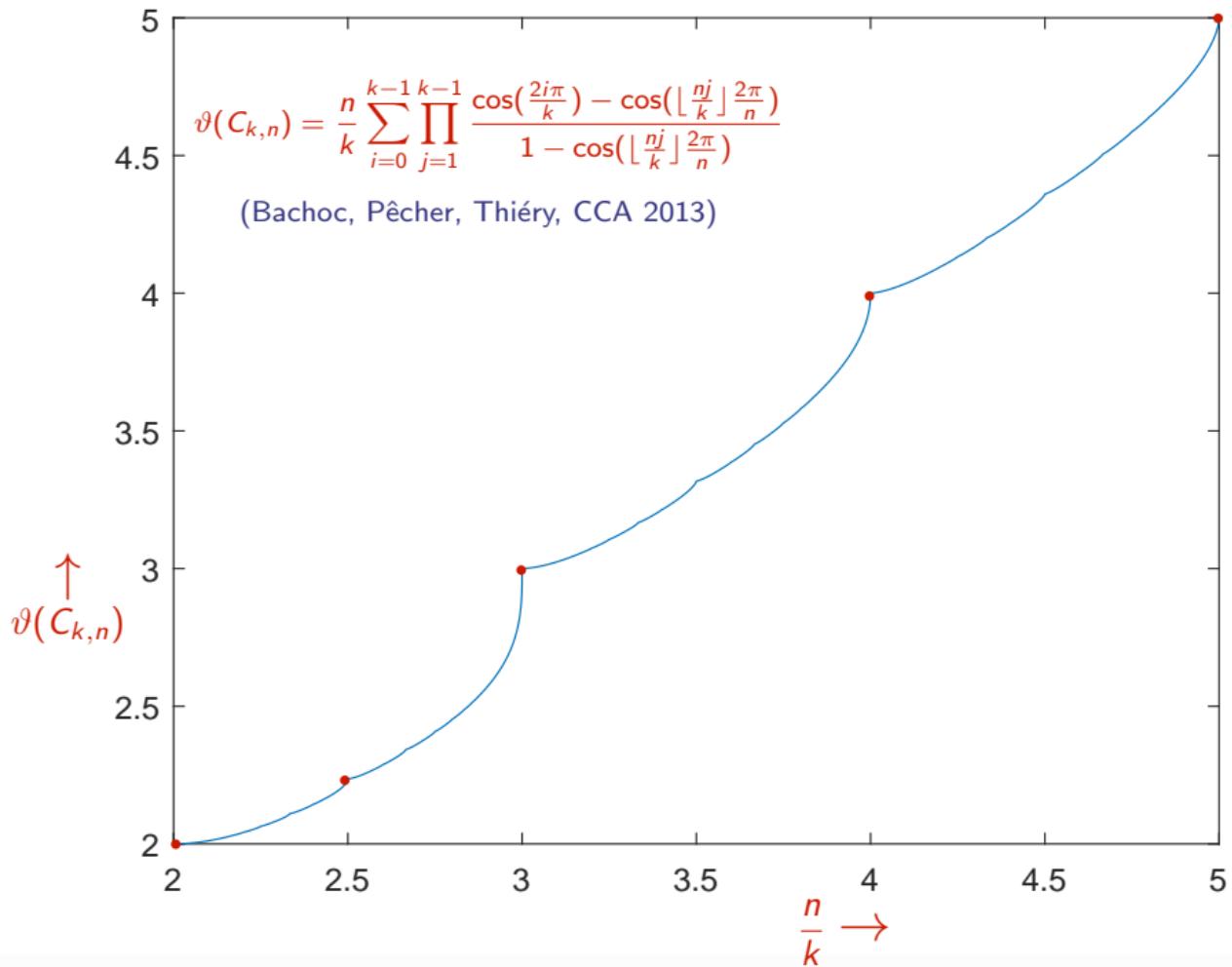


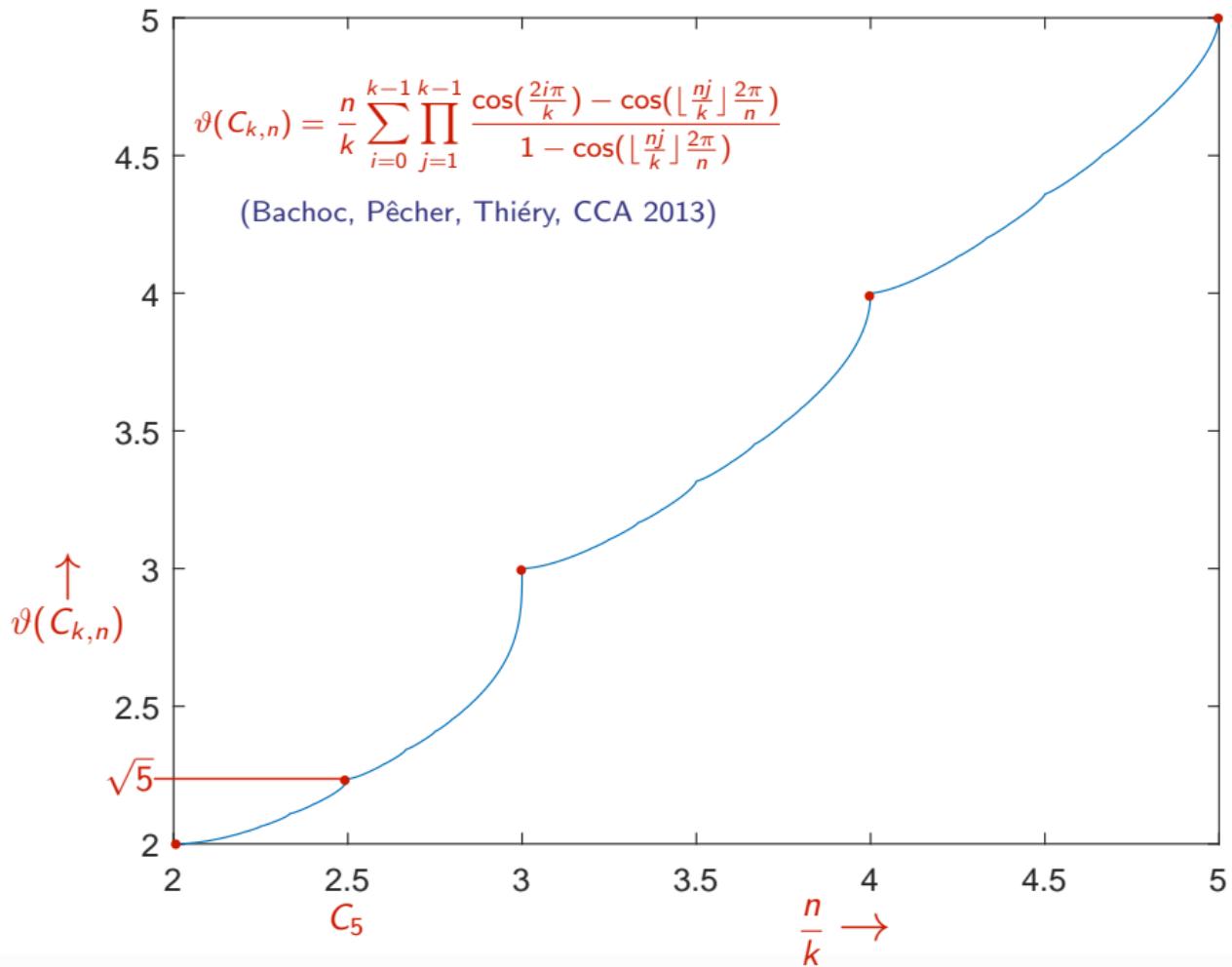


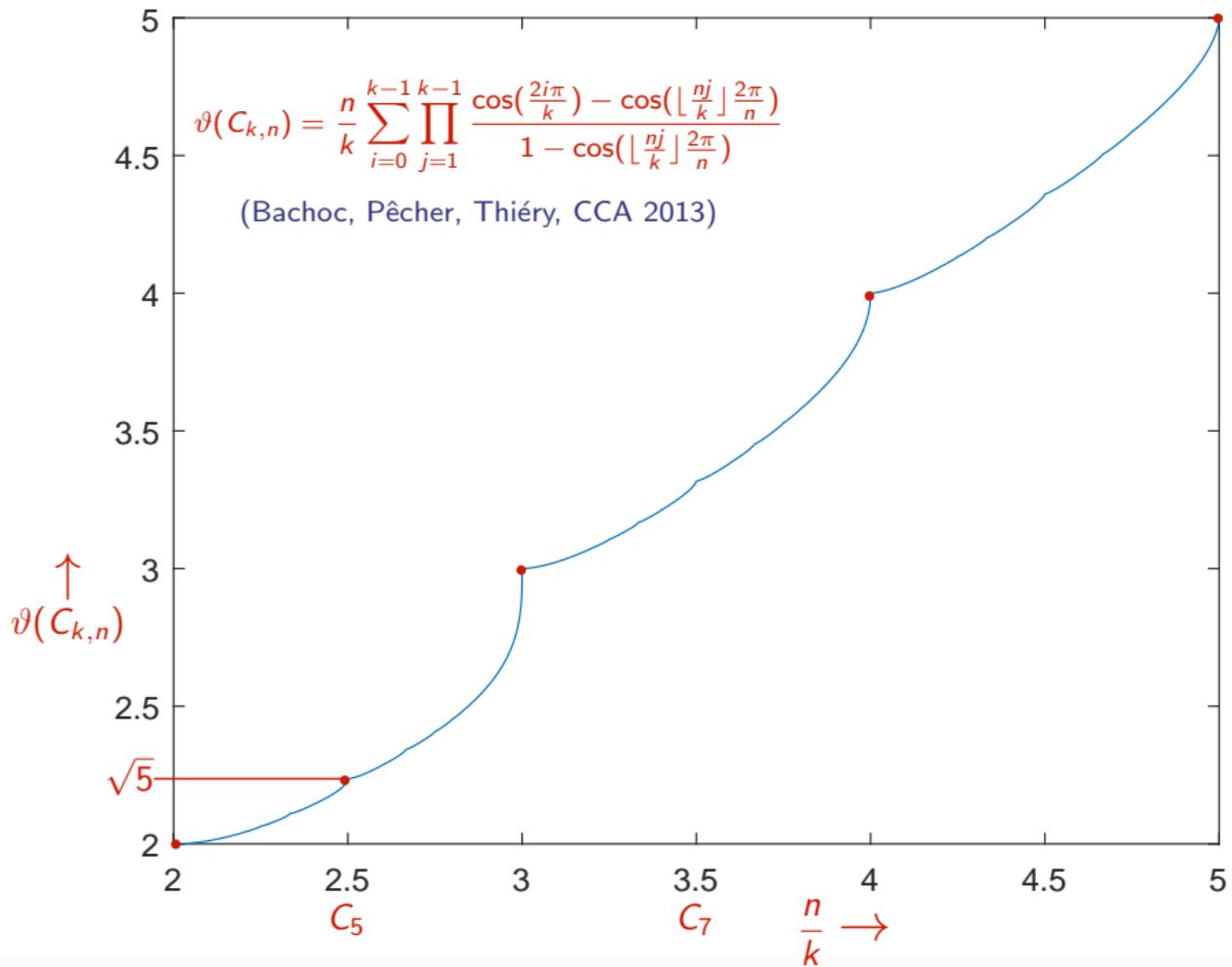


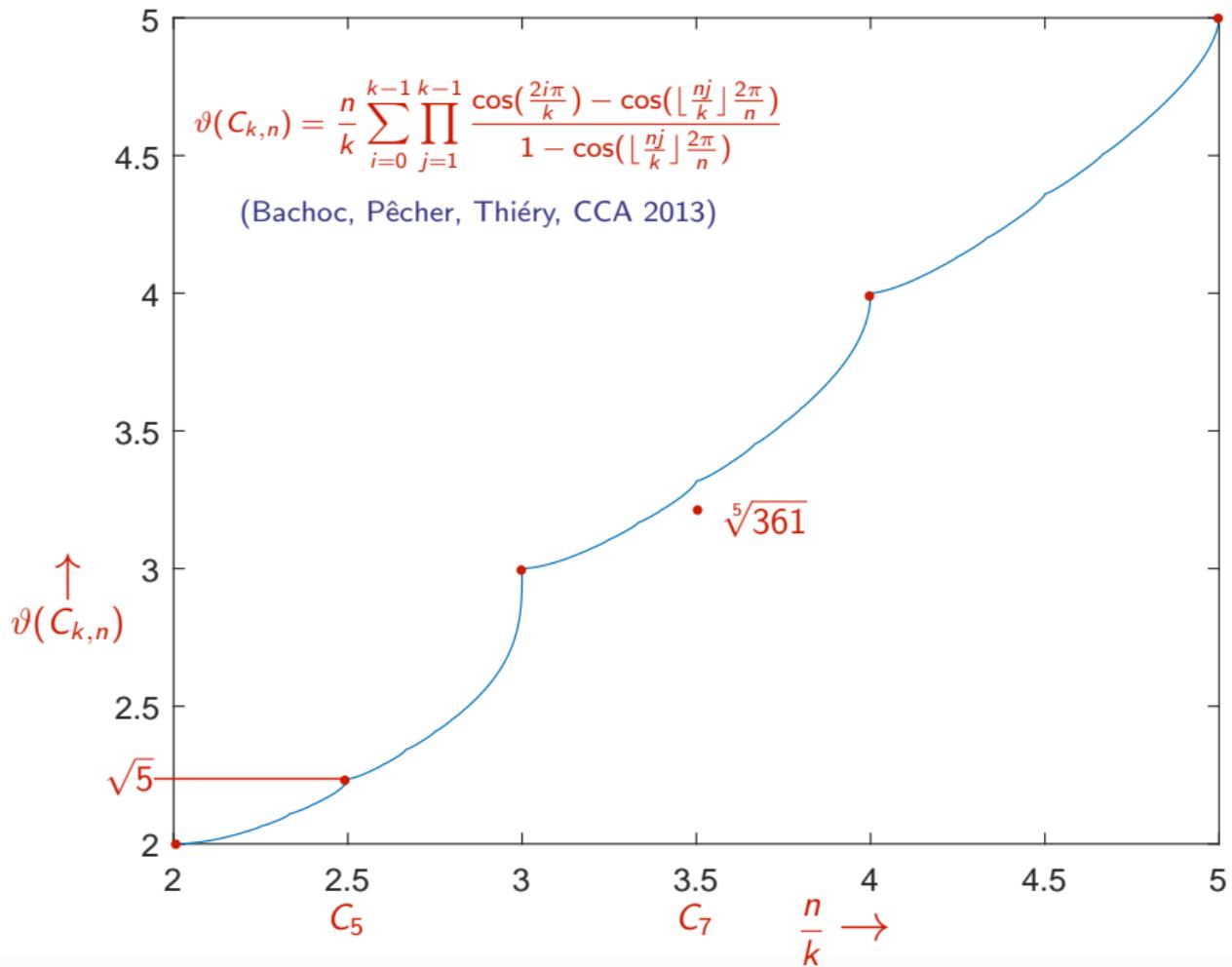


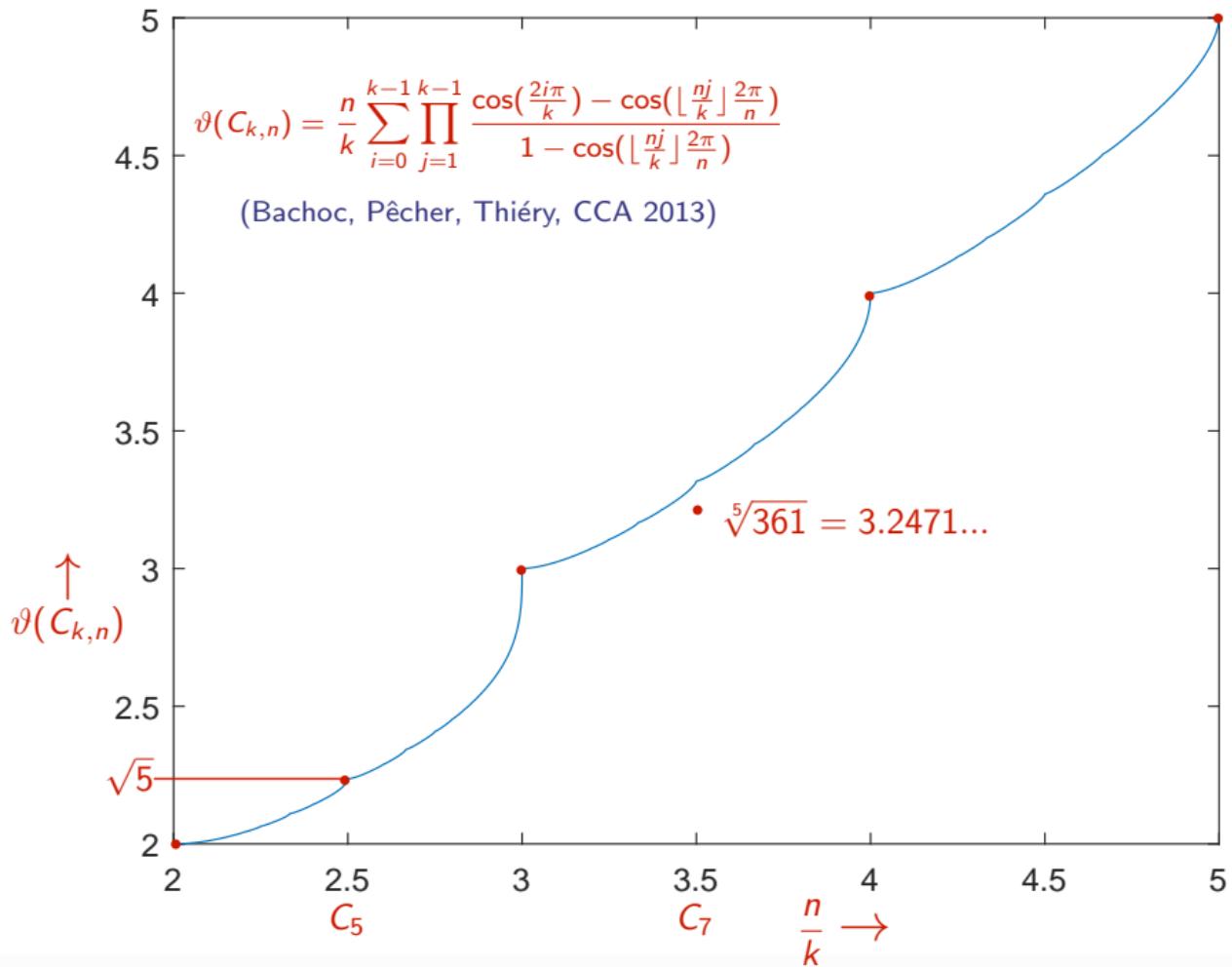


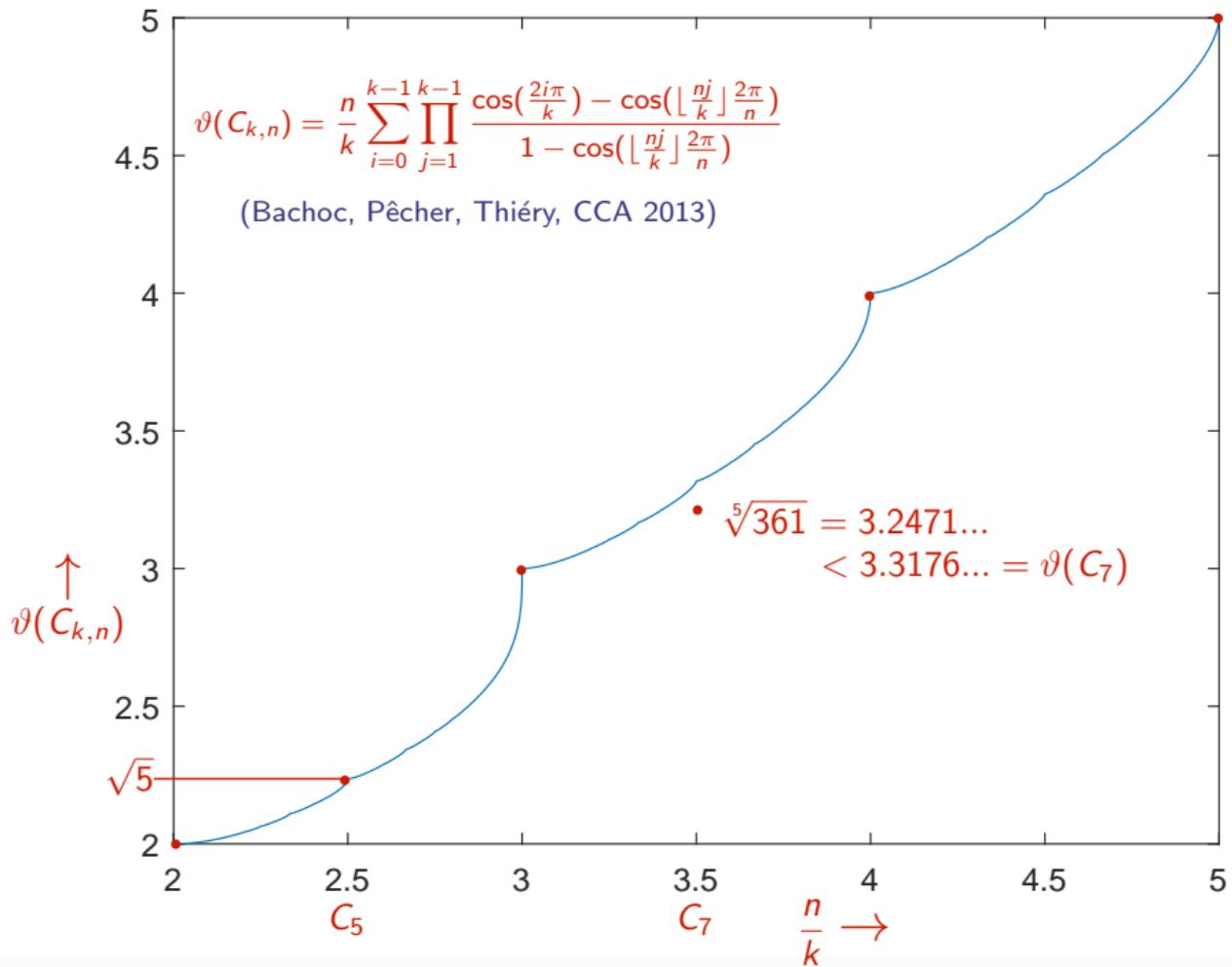


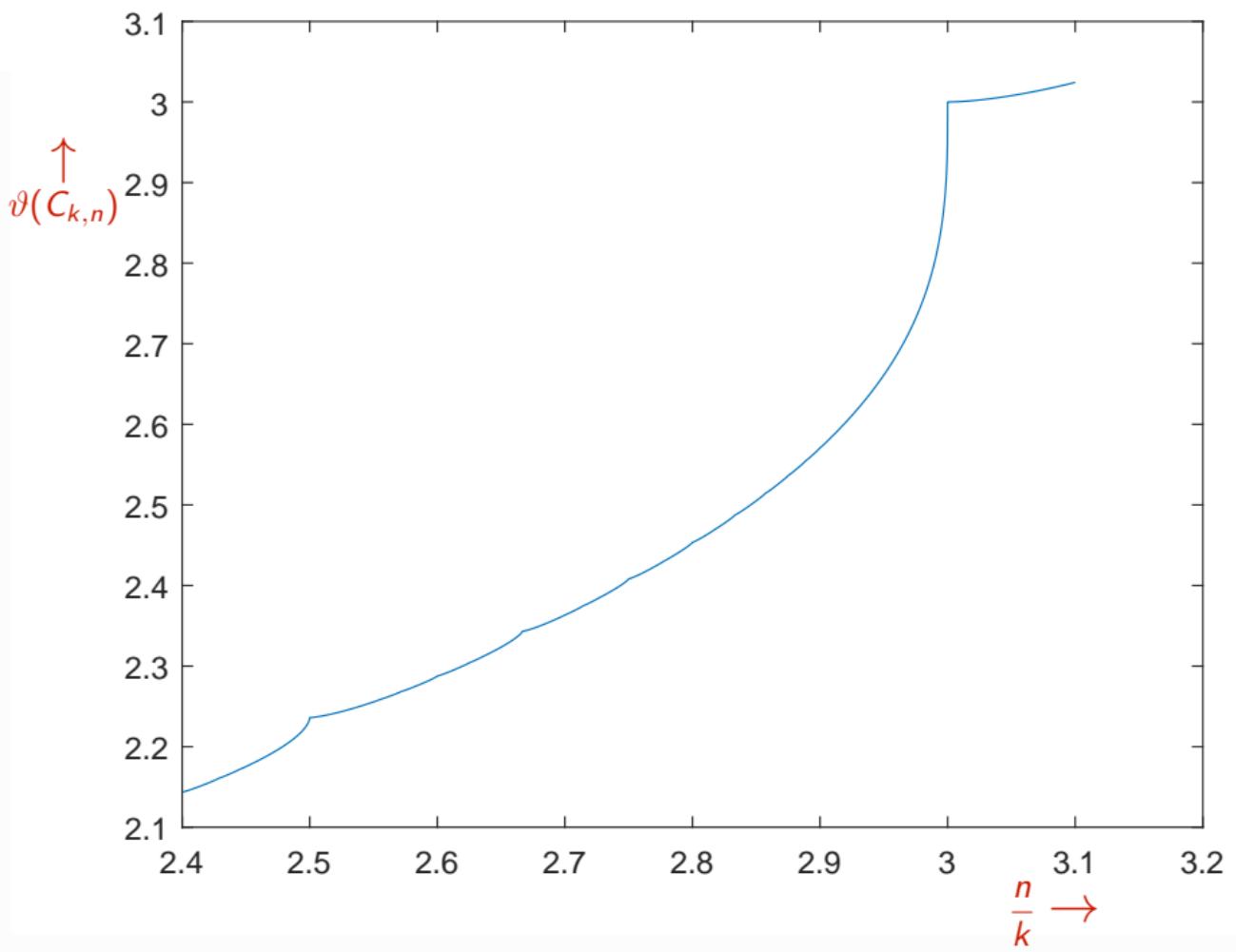


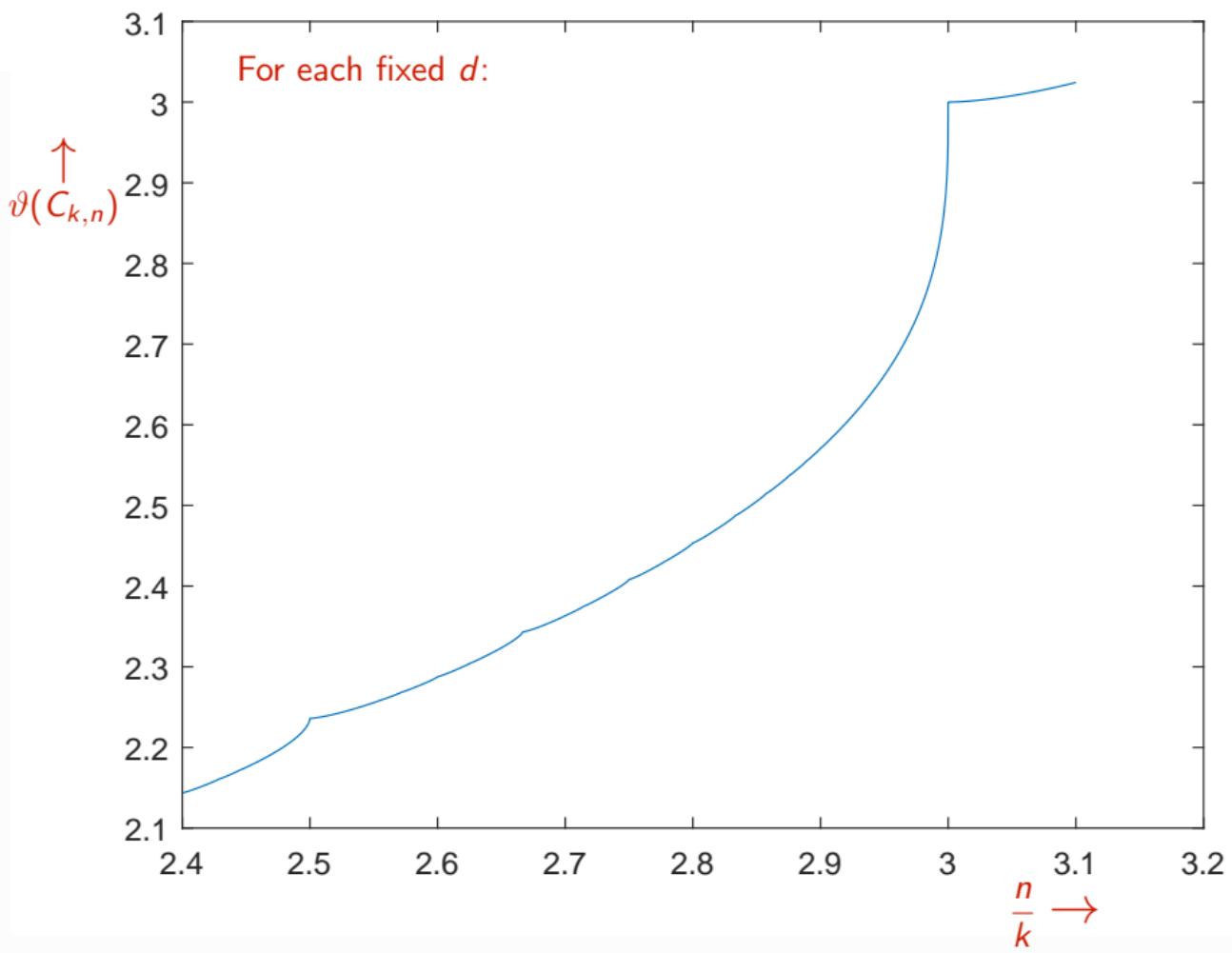


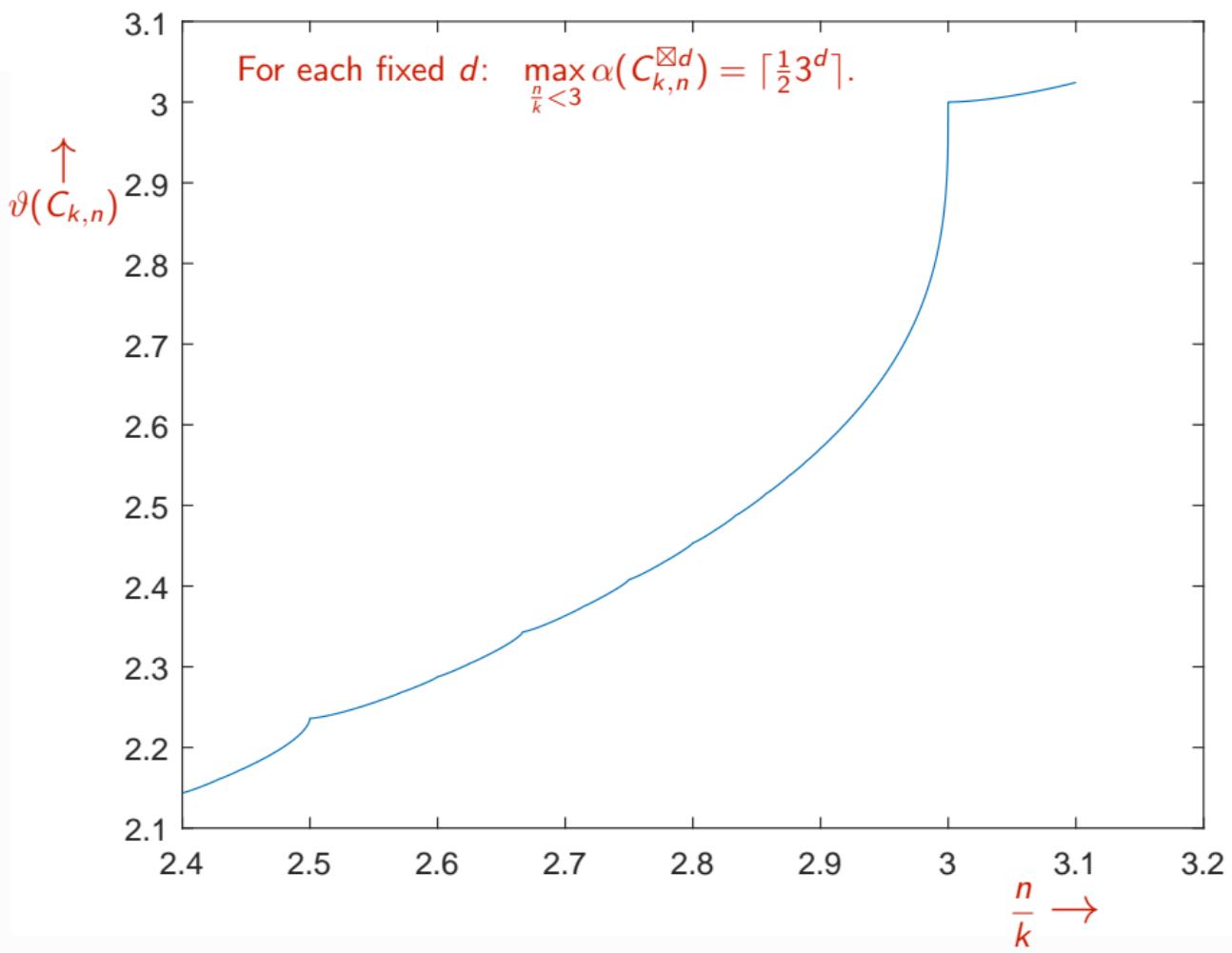


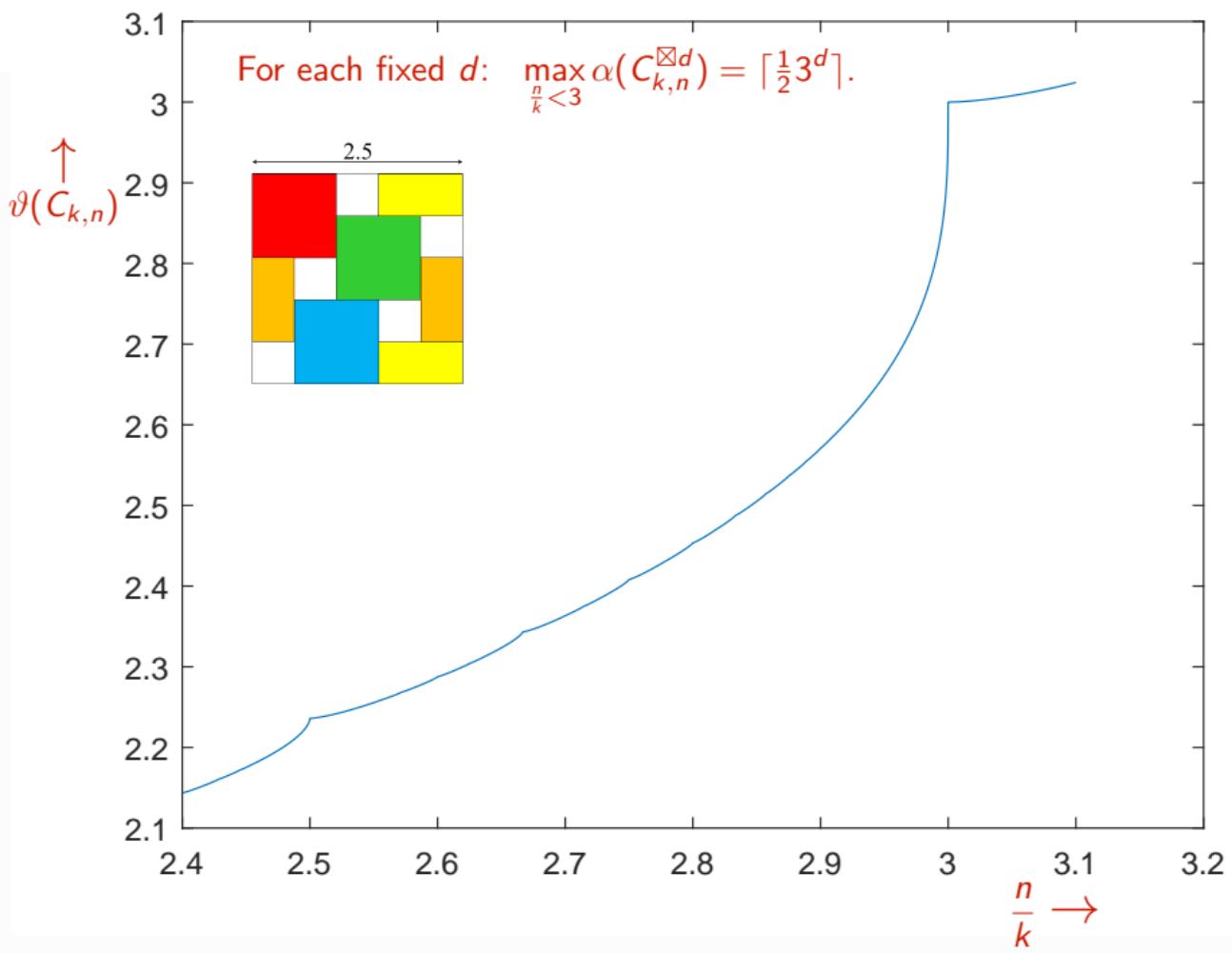


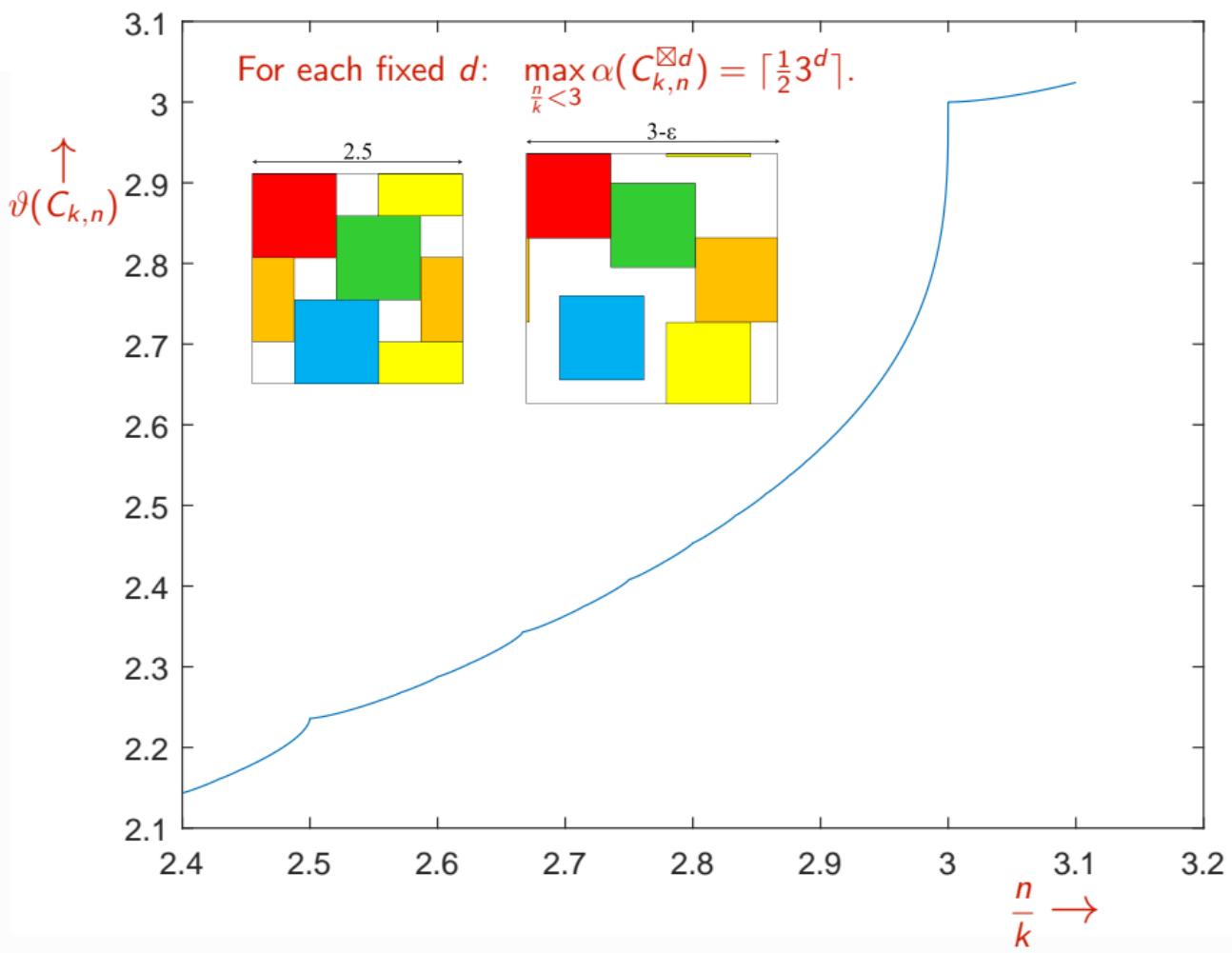


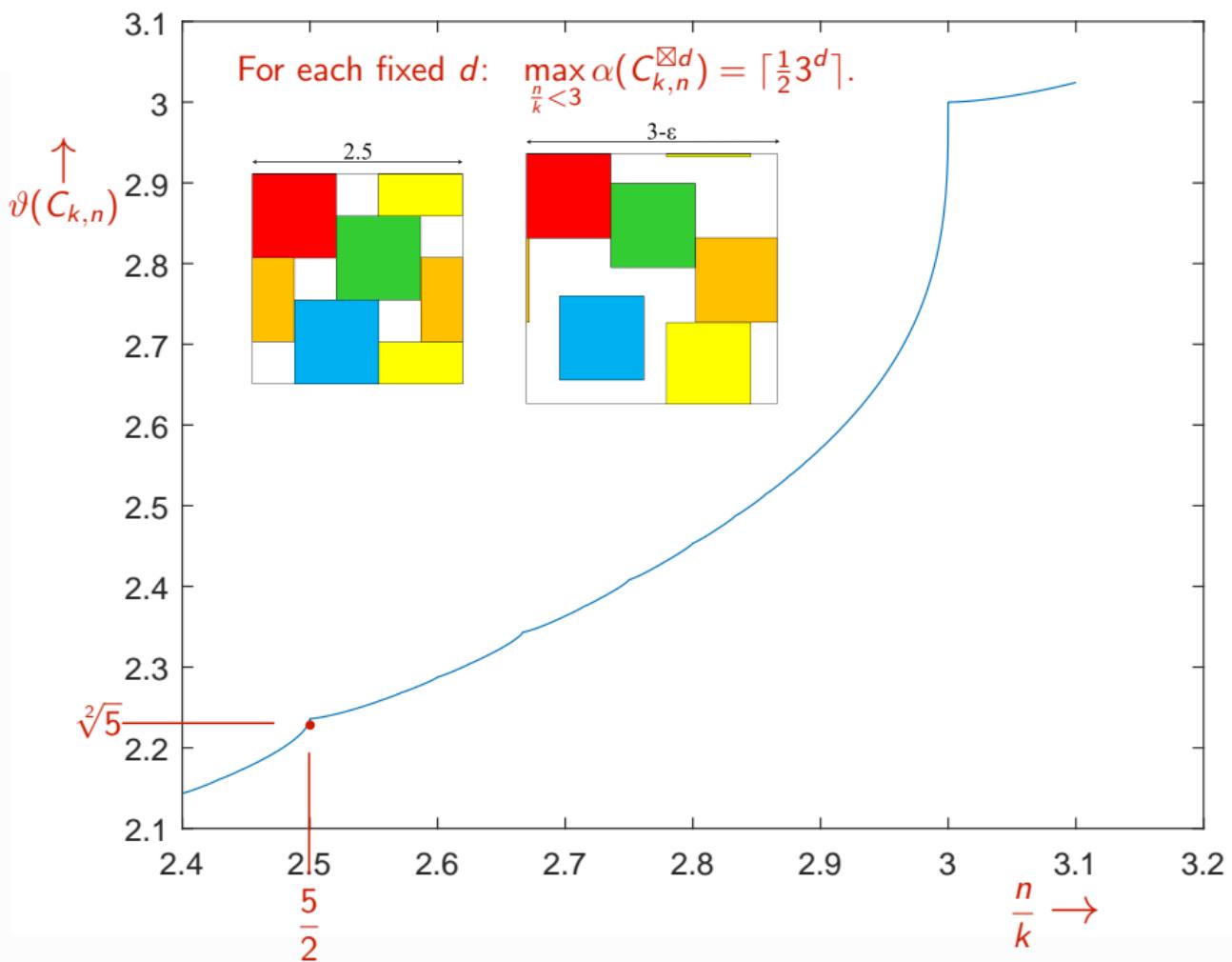


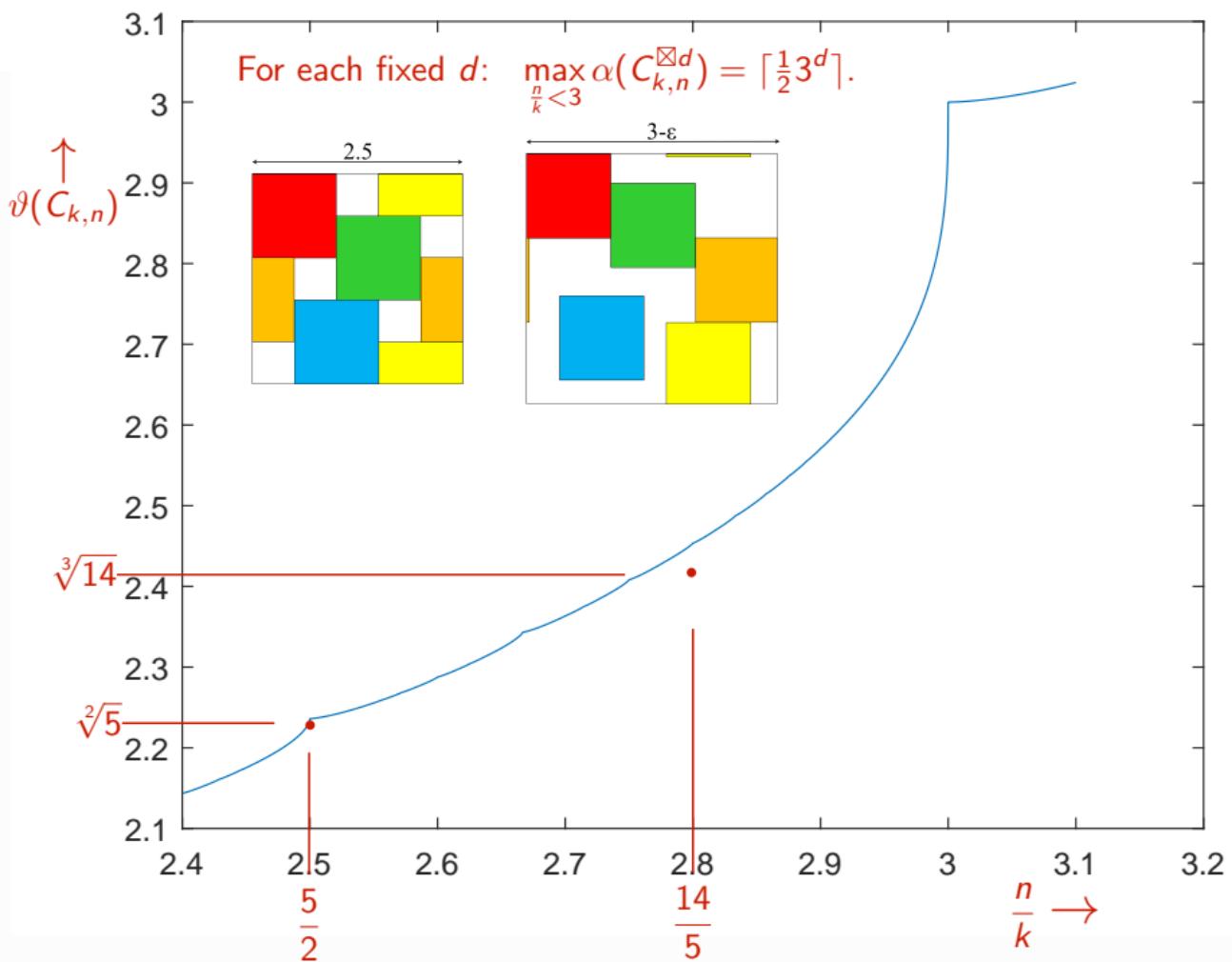


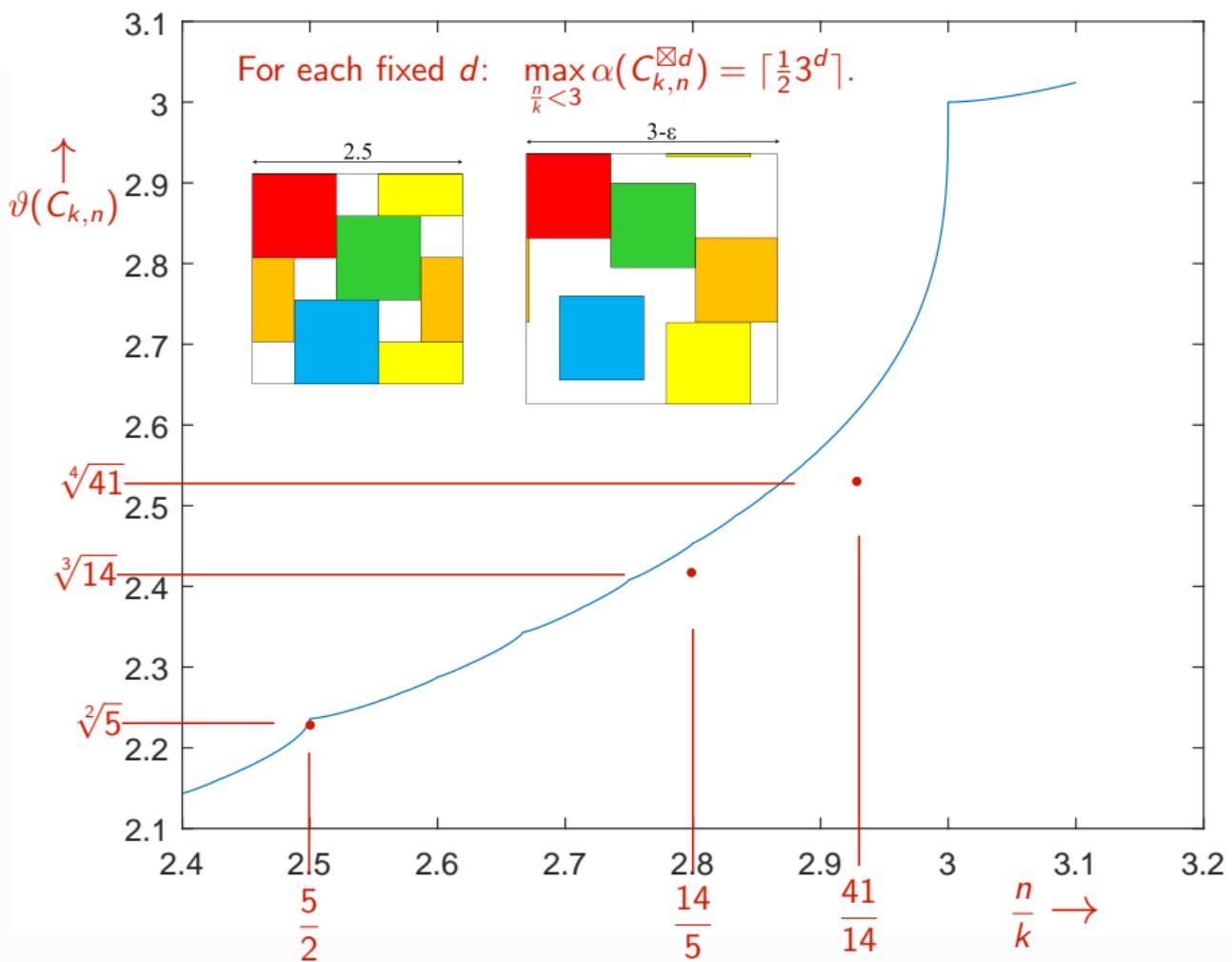


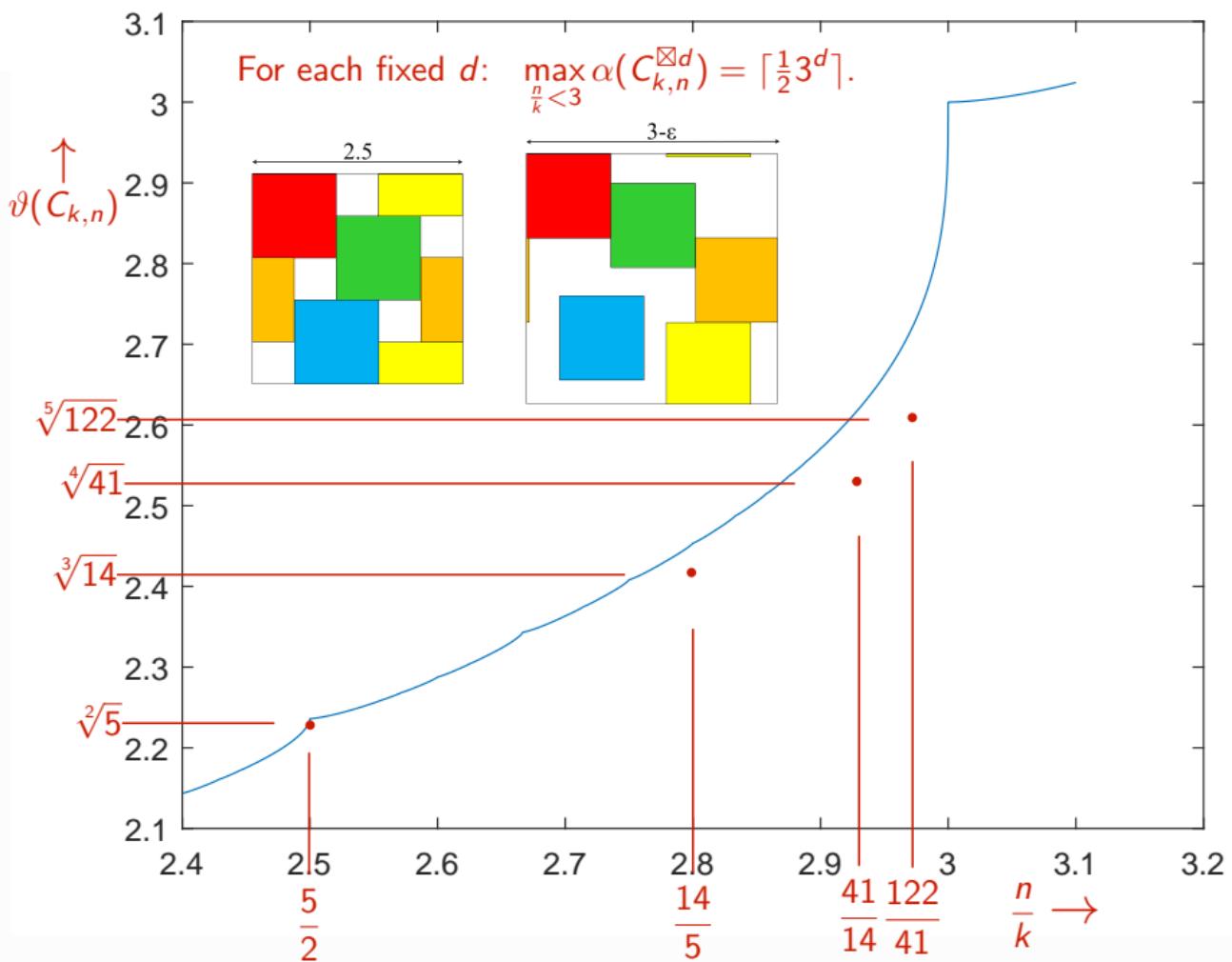


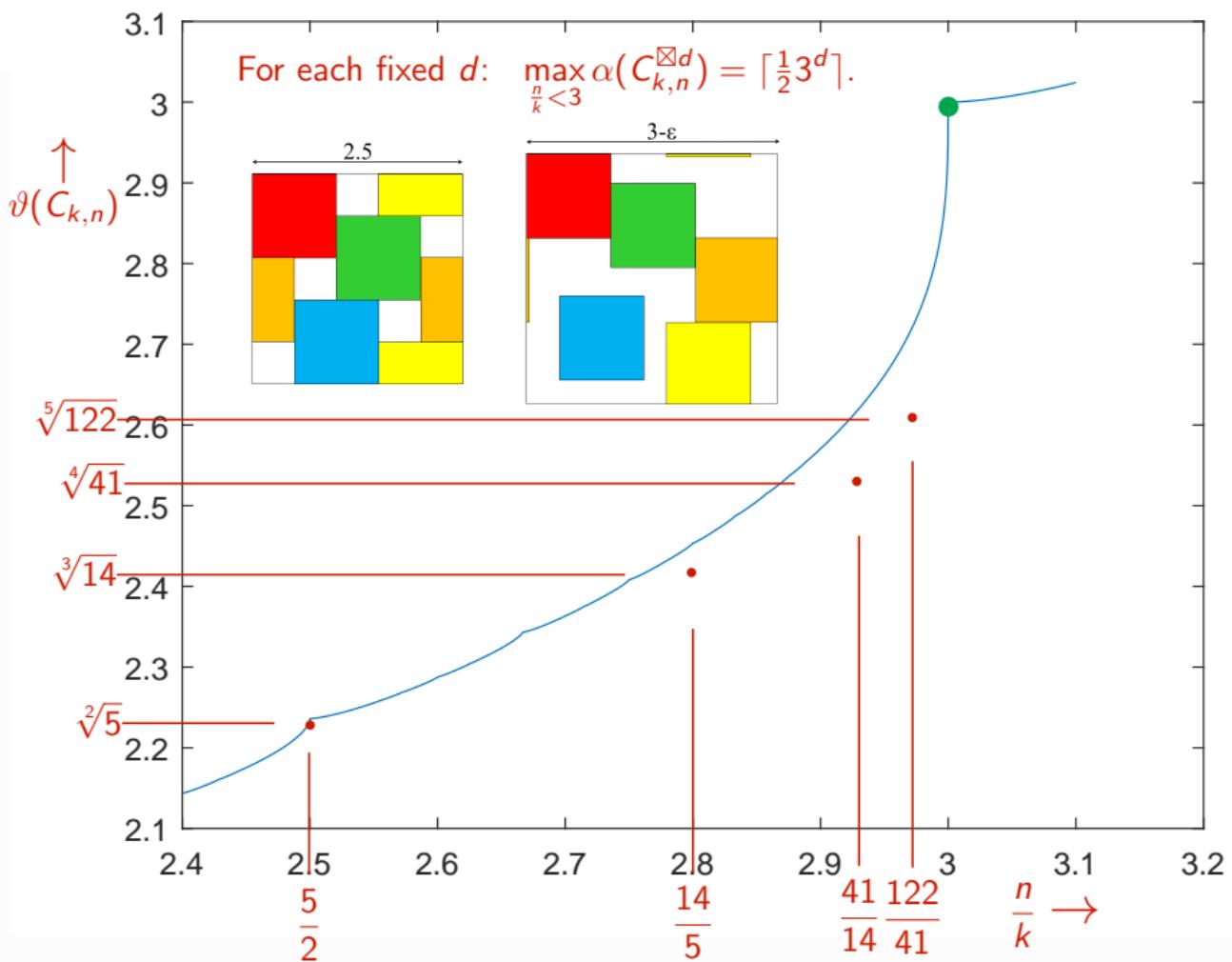












And now for something completely different:

And now for something completely different:

Conjecture: *Each simple, k -regular, properly k -edge-coloured graph*

And now for something completely different:

Conjecture: *Each simple, k -regular, properly k -edge-coloured graph contains a rainbow path of length $k - 1$.*

And now for something completely different:

Conjecture: *Each simple, k -regular, properly k -edge-coloured graph contains a rainbow path of length $k - 1$.*



all colours different

And now for something completely different:

Conjecture: *Each simple, k -regular, properly k -edge-coloured graph contains a rainbow path of length $k - 1$.*



all colours different

Proved for $k \leq 11$.

And now for something completely different:

Conjecture: *Each simple, k -regular, properly k -edge-coloured graph contains a rainbow path of length $k - 1$.*

 all colours different

Proved for $k \leq 11$.

MERCI BIEN!