

A Syntactical Definition of Opetopes

Pierre-Louis Curien¹ Cédric Ho Thanh² Samuel Mimram³
 πr^2 “mise au vert”, April 11, 2018

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Opetopes

Definition: in the shell of a very small nut

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Opetopes are shapes (akin to globules, cubes, simplices, etc.), designed to represent the notion of composition in every dimension. As such, they were introduced to describe laws and coherence in higher categories.

Their distinctive feature is that they are *many-to-one*, and thus exhibit a tree-like structure.

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- There is a unique 1-opetope, the arrow, denoted by \blacksquare . The arrow has a *source* $s_{\blacksquare} = \blacklozenge$ and a *target* $t_{\blacksquare} = \blacklozenge$.



Definition: dimension 2

The opetopes \blacklozenge and \blacksquare can be arranged in a tree (corolla) as follows:



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A *2-opetope* is then a coherent tree made with this corolla.

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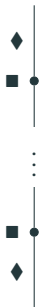
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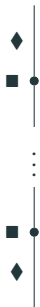
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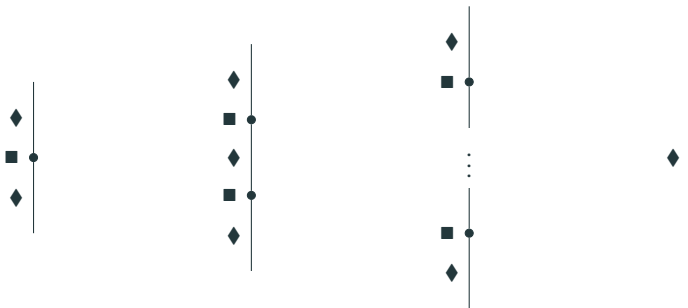
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Since the input edge and output edge of the above corolla have the same decoration (◆), the coherence condition is trivially satisfied.

Definition: dimension 2

Intuitively, such trees tell us how to compose the 1-opetope ■ with itself.



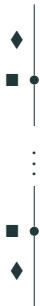
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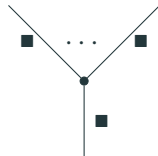
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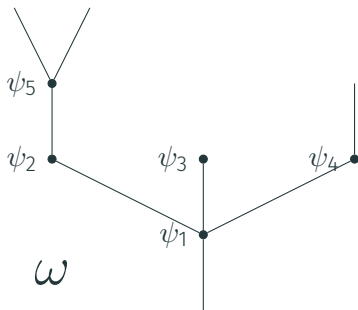
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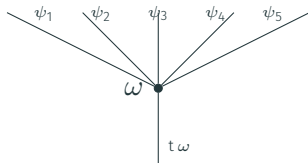
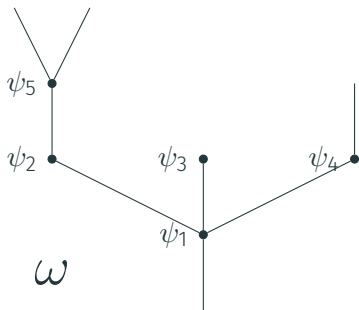
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For $n \geq 2$, an n -opetope is a tree made from the corollas associated to $(n - 1)$ -opetopes.



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In turn, it can be seen as a corolla whose input vertices correspond to the occurrences of $(n - 1)$ -opetopes.

Definition: dimension ≥ 2

Equivalently, for $n \geq 2$:

Definition

An n -opetope is a (finite) tree whose

- nodes are decorated by $(n - 1)$ -opetopes,
- edges are decorated by $(n - 2)$ -opetopes,
- all in a coherent way.

Graphical representations

Pasting schemes

As we saw before, a seemingly natural way of representing opetopes is by pasting schemes.

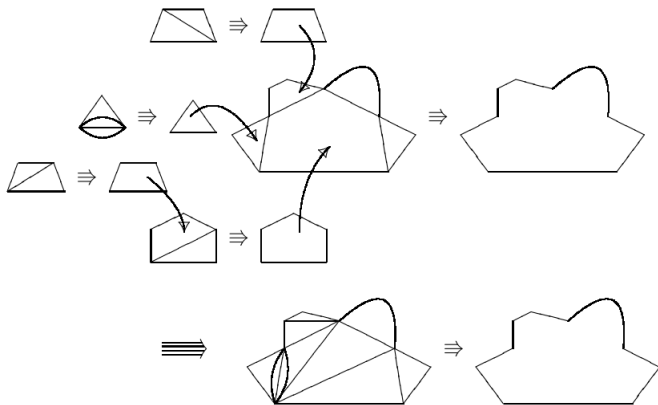


Pasting schemes

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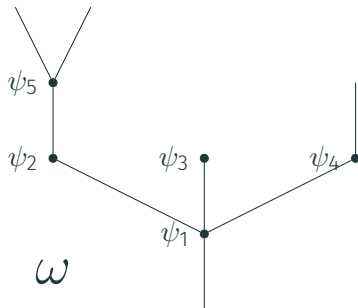
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(this is representing an opetope of dimension only 4, diagram from [CL])

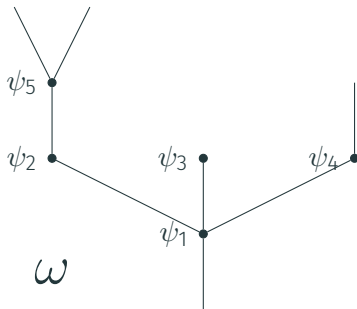
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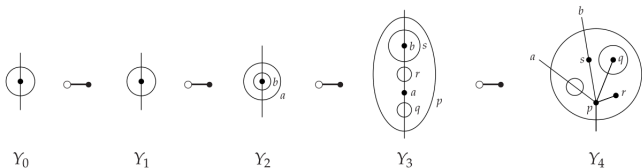


Each node is decorated by an $(n - 1)$ -opetope (ψ_1, \dots) , so it should come with its own tree, and likewise for the nodes of that tree, etc... It quickly gets out of hand.

Constellations

This tree approach has been reworked and improved by Batanin, Joyal, Kock, and Mascari [KJBM10].

A *constellation* is a sequence of trees with circles satisfying some conditions.



Syntax

Addresses

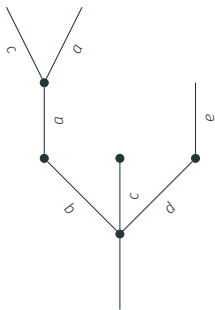
We seek to make the notion of occurrences more precise.

Addresses

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Observation

If each edge has a name that is unique among its siblings, then each node can be uniquely identified by a *path*. In turn, the corolla associated to the tree has names for its input edges.

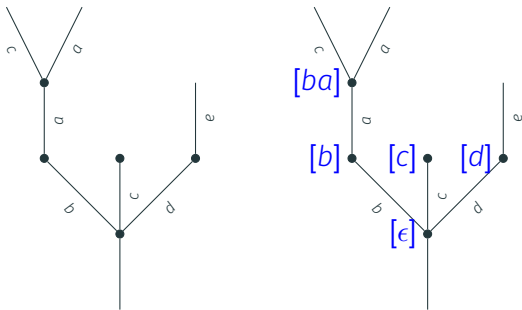


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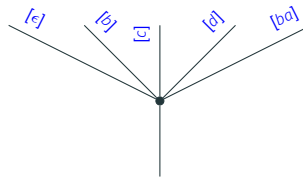
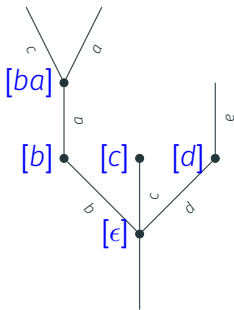
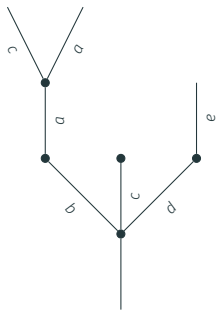


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Addresses: base case

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We decide that the name of the input edge is $*$ (in blue).

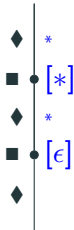
Addresses: dimension 2

As an example of how the process continues:



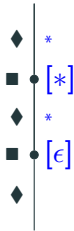
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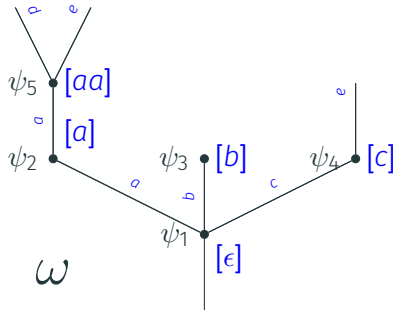
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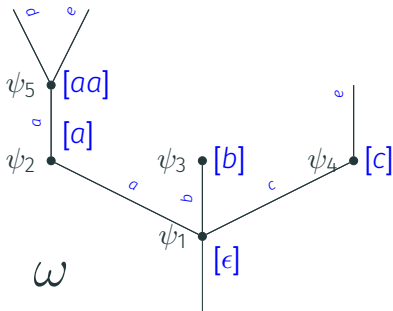
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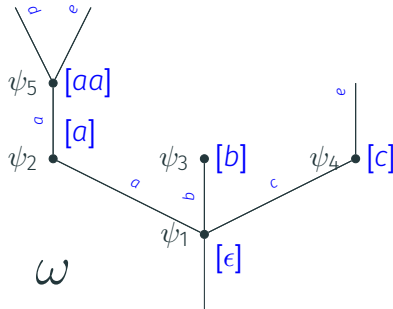


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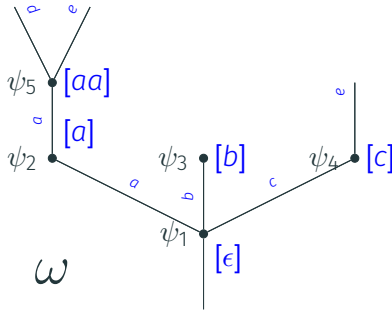
Our take on the representation of opetopes is a syntactic one.
Take ω an n -opetope, for $n \geq 2$, as below:



Observation

In ω , each node is decorated by a $(n - 1)$ -opetope, and each node has an address.

Thus, the syntactic representation (or *coding*) $\lceil \omega \rceil$ of ω is



$$\left\{ \begin{array}{l} [\epsilon] \leftarrow \lceil \psi_1 \rceil \\ [a] \leftarrow \lceil \psi_2 \rceil \\ [b] \leftarrow \lceil \psi_3 \rceil \\ [c] \leftarrow \lceil \psi_4 \rceil \\ [aa] \leftarrow \lceil \psi_5 \rceil \end{array} \right.$$

The 0 and 1-opetopes are coded by

$$\lceil \blacklozenge \rceil = \blacklozenge, \quad \lceil \blacksquare \rceil = \blacksquare = \left\{ * \leftarrow \blacklozenge \right\}.$$

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Degenerate opetopes are coded as follows:

$$\phi \mid \quad \{ \{ \lceil \phi \rceil \}$$

Preopetopes

0-addr	::=	*	
n -addr	::=	$[\epsilon]$	$n \geq 1$
		$[(n-1)\text{addr} \ \dots \ (n-1)\text{addr}]$	$n \geq 1$
0-preopt	::=	\blacklozenge	
n -preopt	::=	$\left\{ \begin{array}{l} (n-1)\text{-addr} \leftarrow (n-1)\text{-preopt} \\ \vdots \\ (n-1)\text{-addr} \leftarrow (n-1)\text{-preopt} \end{array} \right.$	$n \geq 1$
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Example

$$\left\{ \begin{array}{l} [\epsilon] \leftarrow \left\{ \begin{array}{l} [\epsilon] \leftarrow \left\{ \begin{array}{l} [\epsilon] \leftarrow \left\{ * \leftarrow \blacklozenge \\ [*] \leftarrow \left\{ * \leftarrow \blacklozenge \end{array} \right. \\ [[*]] \leftarrow \left\{ [\epsilon] \leftarrow \left\{ * \leftarrow \blacklozenge \end{array} \right. \\ [[[*]]] \leftarrow \left\{ \left\{ \left\{ * \leftarrow \blacklozenge \right. \right. \right. \end{array} \right. \right. \end{array} \right. \end{array} \right.$$

We thus define a coding function

$$\lceil - \rceil : n\text{-opetopes} \longrightarrow n\text{-preopt.}$$

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Clearly, if $\omega \neq \omega'$, then $\lceil \omega \rceil \neq \lceil \omega' \rceil$.

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- If they have the same dimension, then their tree structure differ and the result is immediate.
- The “depth” of $\ulcorner \omega \urcorner$ is the dimension of ω , so if ω and ω' don't have the same dimension, then $\ulcorner \omega \urcorner$ and $\ulcorner \omega' \urcorner$ don't have the same depth, hence are different.

Question

Is $\lceil - \rceil$ surjective? In other words, is all preopetope the coding of an actual opetope?

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Goal

Create a deduction system where derivable preopetopes are exactly the codings of opetopes.

Inference rules: structure of a sequent

Our system will deal with sequents of the form

$$\Gamma \vdash \mathfrak{p}$$

where

- \mathfrak{p} is a preopetope;
- Γ is the set of the (addresses of the) *leaves* of \mathfrak{p} .

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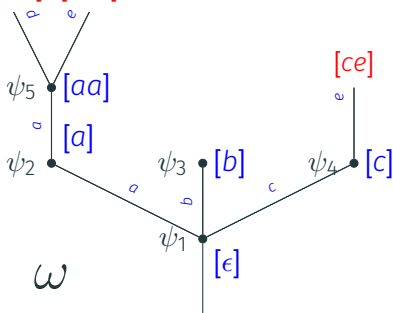
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[aad] [aae]



$$\Gamma = \{[aad], [aae], [ce]\}$$

Inference rules: `point`

In order to bootstrap the derivation process, rule `point` creates a 0-opetope \blacklozenge from no premise.

$$\frac{}{\vdash \blacklozenge} \text{point}$$

Inference rules: degen

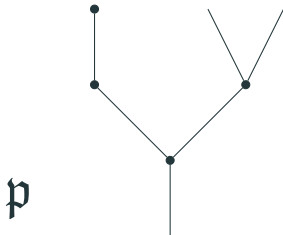
Given a n -preopetope \mathfrak{p} , we can create the *degenerate* $(n + 2)$ -preopetope at \mathfrak{p} , i.e. a tree with no node, and whose unique edge is decorated by \mathfrak{p} .

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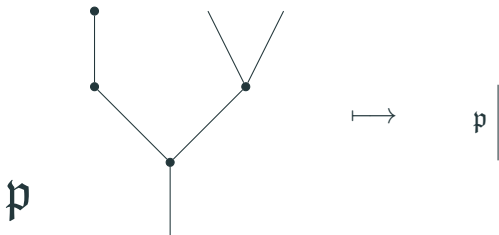
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Inference rules: `shift`

Given a n -preopetope $\mathfrak{p} = \begin{cases} [p_1] \leftarrow q_1 \\ \vdots \\ [p_k] \leftarrow q_k \end{cases}$, we can create an

$(n + 1)$ -preopetope, the corolla whose input edges correspond to the nodes of \mathfrak{p} .

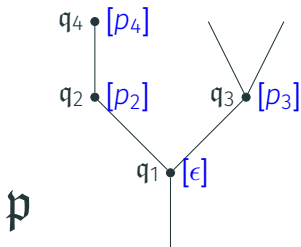
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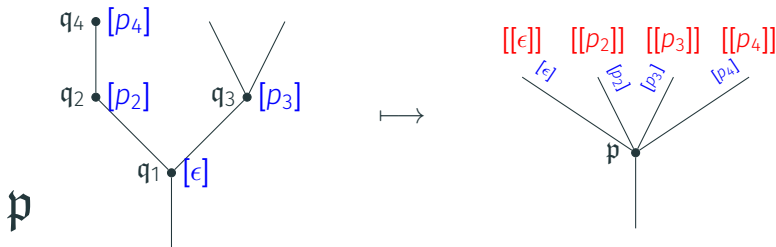


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We assume the existence of a magical *target* function

$$t : \{n\text{-preopt.}\} \longrightarrow \{(n - 1)\text{-preopt.}\}$$

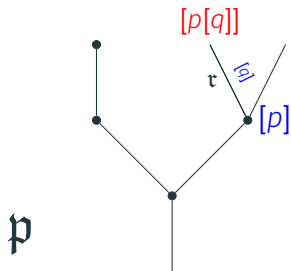
Inference rules: graft

Given an n -preopetope \mathfrak{p} on the left with a leaf at address $[p[q]]$, and an $(n - 1)$ -preopetope \mathfrak{q} , with target $t\mathfrak{q} = \mathfrak{r}$, we may graft \mathfrak{q} on \mathfrak{p} .

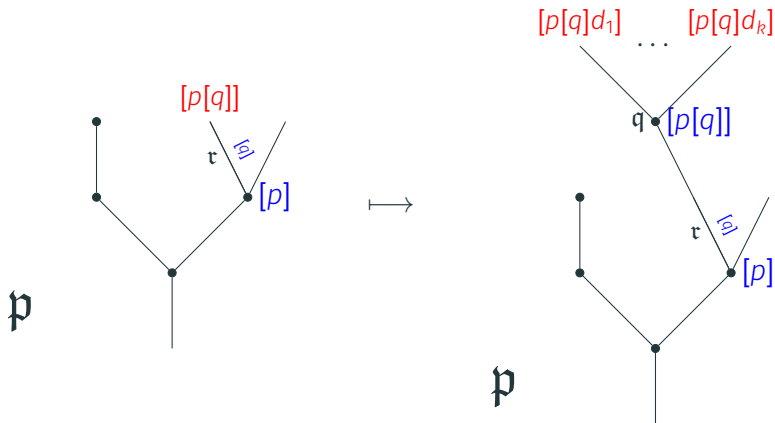
$$\frac{\Gamma, [p[q]] \vdash \left\{ \begin{array}{l} \vdots \\ [p] \leftarrow \left\{ \begin{array}{l} \vdots \\ [q] \leftarrow \mathfrak{r} \\ \vdots \end{array} \right. \\ \vdots \end{array} \right. \quad \Delta \vdash \mathfrak{q}}{\Gamma, \Xi \vdash \left\{ \begin{array}{l} \vdots \\ [p] \leftarrow \left\{ \begin{array}{l} \vdots \\ [q] \leftarrow \mathfrak{r} \\ \vdots \end{array} \right. \\ \vdots \\ [p[q]] \leftarrow \mathfrak{q} \end{array} \right.} \quad \text{graft}$$

where $\Xi = \{[p[q]d] \mid [d] \text{ address in } \mathfrak{q}\}$.

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Theorem (Curien–HT–Mimram)

The set of derivable preopetopes is in one-to-one correspondence with the set of Leinster opetopes [Lei, KJBM10].

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The proof essentially boils down to make the previous drawings rigorous.

We now refer to derivable preopetopes as simply opetopes.

Theorem (Curien–HT–Mimram)

Opetopes form a decidable subset of the set of preopetopes.

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The naive algorithm, that seeks to deconstruct the potential proof tree of a given preopetope, runs in exponential time w.r.t. the number of preopetopes involved in each dimension.

Conclusion

To summarize

- Opetopes are tricky, highly inductive objects.
- Following up on major advancements on the matter [Lei, KJBM10], we propose a purely syntactic definition.
- We believe such explicit “writable” expressions will make opetopes easier to use for subsequent work.

Thank you for your
attention!

-  Eugenia Cheng and Aaron Lauda.
Higher-Dimensional Categories: an illustrated guide book.
-  Joachim Kock, André Joyal, Michael Batanin, and Jean-François Mascari.
Polynomial functors and opetopes.
Advances in Mathematics, 224(6):2690–2737, 2010.
-  Tom Leinster.
Higher operads, higher categories.