

Higher Universal Algebra in Type Theory

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Joint with M. Sozeau, Y. Guiraud, A. Allieux

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- ▶ Remark : There are types which are not of *any* finite *h*-level, i.e., this filtration is not exhaustive

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- ▶ 21st Century Mathematics
 - ▶ The mathematics of structures on types of higher h -level

Category Theory for Types?

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A *category* consists of the data ...

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$$\circ : (x, y, z : Ob)(f : Hom\ y\ z)(g : Hom\ x\ y) \rightarrow Hom\ x\ z$$

Laws for Categories

Definition (Cont'd)

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5. Unit Laws:

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6. Associative Law:

$$\begin{aligned} \text{assoc} : & (xyzw : Ob)(f : Hom\ z\ w)(g : Hom\ y\ z)(h : Hom\ x\ y) \\ & \rightarrow ((f \circ g) \circ h) = (f \circ (g \circ h)) \end{aligned}$$

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But we immediately run into a problem:

- ▶ To define the composition in C/Z , we must use that composition in C is associative.
- ▶ We cannot show that the composition in C/Z is *itself* associative without more axioms!

Coherence Conditions

A sufficient condition for composition in C/Z to be associative is the well known pentagon identity:

$$\begin{array}{ccccc} & & (g_1 g_2)(g_3 g_4) & & \\ & \nearrow^{a_{g_1 g_2, g_3, g_4}} & & \searrow_{a_{g_1, g_2, g_3 g_4}} & \\ ((g_1 g_2) g_3) g_4 & & & & g_1 (g_2 (g_3 g_4)) \\ & \searrow_{a_{g_1, g_2, g_3} 1_{g_4}} & & \nearrow_{1_{g_1} a_{g_2, g_3, g_4}} & \\ & (g_1 (g_2 g_3)) g_4 & \xrightarrow{a_{g_1, g_2 g_3, g_4}} & g_1 ((g_2 g_3) g_4) & \end{array}$$

We can amend the definition of category to include this new law (which lives in a doubly iterated identity type) ...

A Vicious Circle Starts

... But!

Then we will need to prove the pentagon axiom for C/Z

The classic “coherence problem”

Now it becomes clear that this completely elementary construction is not well defined unless we add *infinitely many* laws to our definition of category

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- ▶ We can avoid this problem by supposing that our types are h-sets. (or, for example, that they have decidable equality)
- ▶ But what is the correct notion of category for a general type?

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- ▶ A number of these “higher structures” already exist (and are *useful*) in modern algebraic topology and algebraic geometry
- ▶ We need a *general* theory of algebra on types

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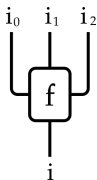
- ▶ For $i : I$, an element $f : \text{Op } i$ represents an operation whose *output* sort is i .
- ▶ For $i : I$, $f : \text{Op } i$ and $j : I$, an element $p : \text{Param } i f j$ represents an *input* parameter of sort j .

Graphical Representation

- ▶ It is helpful to think of our signature as having “elements” consisting of the operations
- ▶ We can think of them as typed symbols:

$$f(i_0, i_1, i_2) : i$$

- ▶ Or we can depict them graphically:



Trees

Associated to any polynomial $P : \text{Poly } I$ is its W -Type, that is, the type of “well-typed terms” generated by the signature.

Definition

Let $P : \text{Poly } I$ be a polynomial. Define

$$W P : I \rightarrow \text{Type}$$

$$\text{lf} : (i : I) \rightarrow W P i$$

$$\text{nd} : (i : I) \rightarrow (f : \text{Op } P i)$$

$$\rightarrow (\delta : (j : J)(p : \text{Param } f j) \rightarrow W P j)$$

$$\rightarrow W P i$$

Representations of Trees

- ▶ We can represent elements of WP as terms

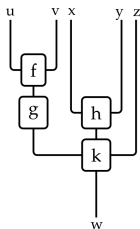
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- ▶ Or graphically as actual trees:

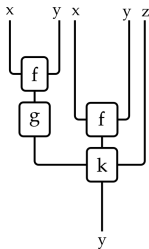


Leaves and Nodes

It is not hard to define the “type of leaves” and “type of nodes” of a give tree. These have types:

$$\text{Leaf} : \{i : I\}(w : W P i)(j : I) \rightarrow \text{Type}$$

$$\text{Node} : \{i : I\}(w : W P i)\{j : I\}(g : \text{Op } P j) \rightarrow \text{Type}$$



$$\text{Leaf } w x = \{\bullet, \bullet\}$$

$$\text{Node } w f = \{\bullet, \bullet\}$$

Adding Relations to our Signature

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$$\text{Good: } f(g(x, y), z) = k(x, y, z)$$

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$$\text{Bad: } f(g(x, y), z) = h(x, k(y))$$

- ▶ The reason for these restrictions is we can then encode such relations as a multiplication operator on the signature itself.

Frames and Magmas

Definition

Let $P : \text{Poly } I$ be a polynomial $w : W P i$ a tree and $f : \text{Op } P i$ and operation. A *frame* from w to f is

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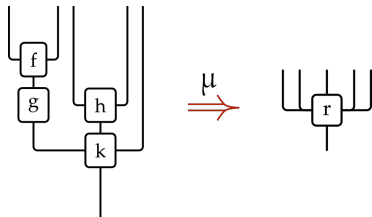
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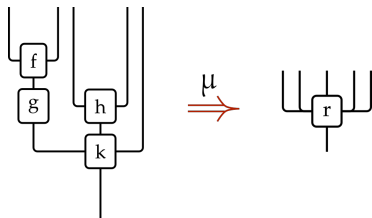
Let $P : \text{Poly } I$ be a polynomial. A *polynomial magma* M over P is

1. A function $\mu : (i : I) \rightarrow W P i \rightarrow \text{Op } P i$
2. A function $\mu_f : (i : I)(w : W P i) \rightarrow \text{Frame } P w (\mu w)$

Visualization of Relations

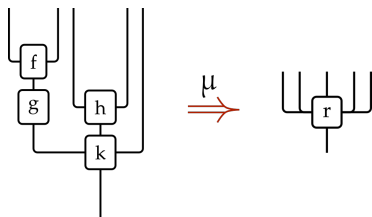


Visualization of Relations



$$k(g(f(u, v)), h(x, y), z) = r(u, v, x, y, z)$$

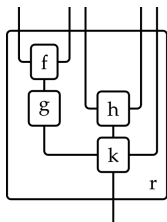
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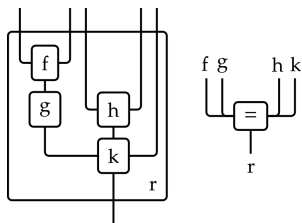
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The Slice of a Polynomial

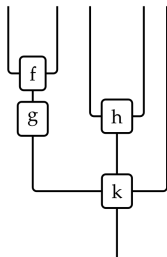
Given a polynomial $P : \text{Poly } I$ and a magma $M : \text{PolyMagma } P$, we can define a new polynomial $P//M : \text{Poly}(\Sigma I \text{ Op})$ as follows:

$$\text{Op}(P//M)(i, f) := \sum_{w:WP i} \mu w = f$$

$$\text{Param}(P//M)(i, f)(w, e)(j, g) := \text{Node } w g$$

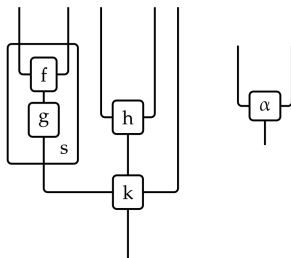
Visualizing Iterated Compositions

A tree in the slice polynomial $P//M$ can be visualized as representing a *sequence* of applications of the multiplication μ .



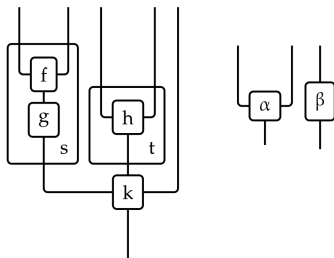
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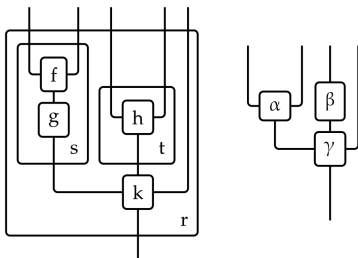
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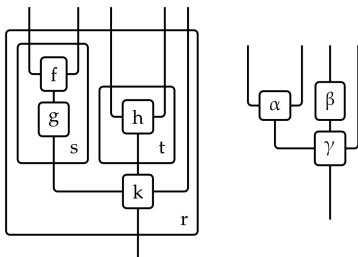


Flattening

There is a function:

$$\text{flatten} : (i : I)(f : \text{Op } P \ i) \rightarrow W(P // M) \ (i, f) \rightarrow W \ P \ i$$

which, given a *pasting diagram* extracts its “boundary”:

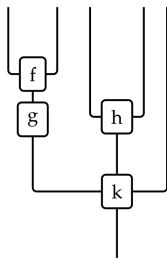


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Invariance by Subdivision

A *coherence witness* Ψ for M is proof that the multiplication μ is invariant under all subdivisions.

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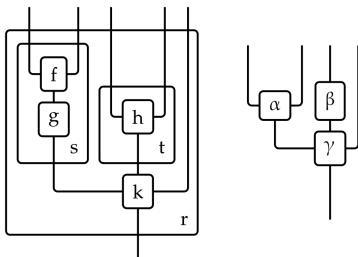
It turns out that, given a coherence witness Ψ , we can define a magma structure on $P//M$ which we will write M_Ψ .

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It turns out that, given a coherence witness Ψ , we can define a magma structure on $P//M$ which we will write M_Ψ .

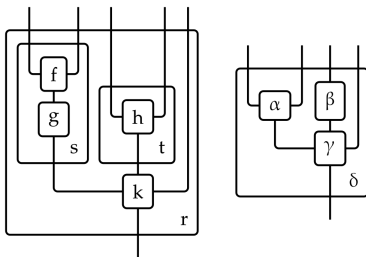


Invariance by Subdivision

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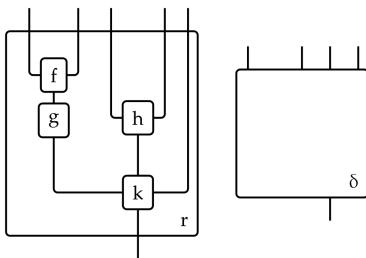


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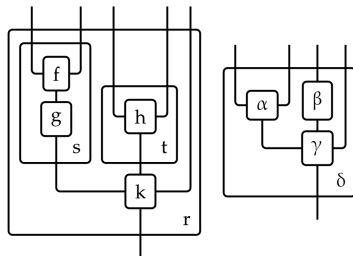
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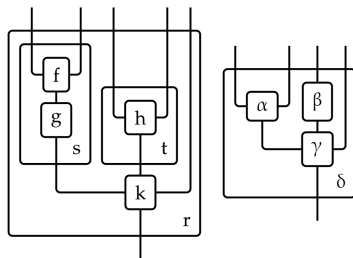
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Invariance and Associativity



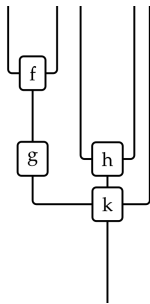
Invariance and Associativity



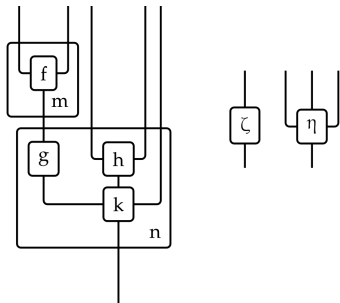
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$$\mu(f, g, h, k) = r$$

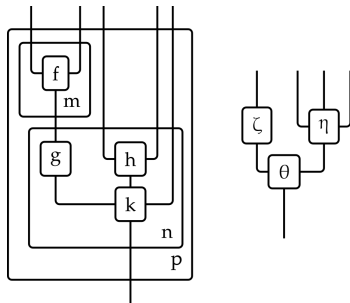
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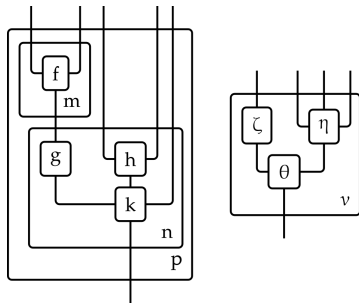
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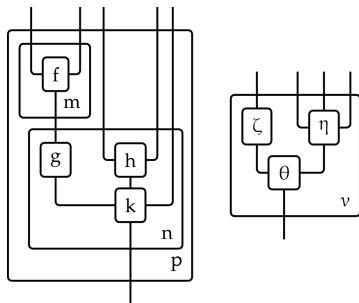
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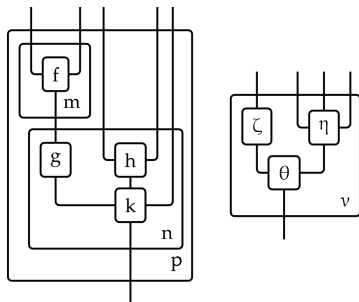
Invariance and Associativity



$$\mu(\mu(f), \mu(g, h, k)) = p$$

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Invariance and Associativity



$$p = \mu(f, g, h, k) = r$$
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Polynomial Monads

The advantage of this formulation is that we can now define a coherent structure as one for which one can find an infinite sequence of such extensions.

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A coherence structure for M consists of

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Definition

A *polynomial monad* is consists of

1. A polynomial $P : \text{Poly } I$
2. A magma $M : \text{PolyMagma } P$
3. A coherence structure C for M

Applications and future work

- ▶ A special case of this definition gives a complete definition of category structure on a type

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- ▶ More generally, this definition gives us an internalization of *higher operads*
- ▶ Remains to explore examples and use these techniques to prove coherence theorems

Thank you!