

# Mirroring Call-by-Need, or Values Acting Silly

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# Outline

Evaluation Strategies

Silly Substitution Calculus

Silly Multi Types

Call-by-Value and Operational Equivalence

Conclusion

# Call-by-Name and Call-by-Value

Evaluation strategies describe how to compute.

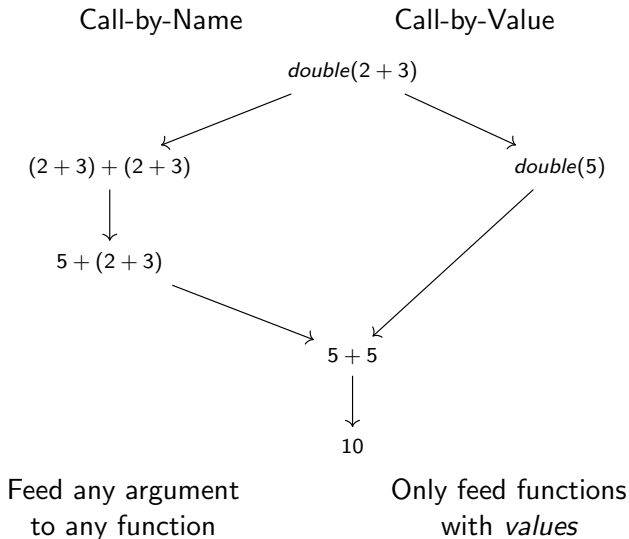
$\beta$ -Reduction by **Name**:  $(\lambda x.t)u \mapsto_{\beta} t\{x \leftarrow u\}$

$\beta$ -Reduction by **Value**:  $(\lambda x.t)v \mapsto_{\beta_v} t\{x \leftarrow v\}$

For values  $v$  that are *answers*, i.e. computations that ended.

## Call-by-Name vs. Call-by-Value

Let  $double := x \mapsto x + x$ . How do you calculate  $double(2 + 3)$ ?



# Call-by-Name vs. Call-by-Value

**Computations may never end:**  $\Omega := (\lambda x.xx)(\lambda x.xx)$

However, consider a constant function  $always\_1 : x \mapsto 1$ .  
How to compute  $always\_1(\Omega)$ ?

Call-by-Name

Call-by-Value



Feed any argument  
to any function

Only feed functions  
with *values*

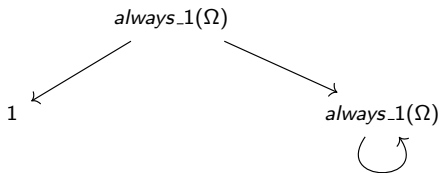
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## Call-by-Name vs. Call-by-Value

Call-by-Name and Call-by-Value compute quite differently.

**Efficiency:** Call-by-Value computes *faster* than Call-by-Name.

**Erasability:** Call-by-Value gets stuck on *erasable* arguments.

Efficiency and Erasability can be combined: **Call-by-Need!**

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## Evaluation Strategies combining Name and Value

	Duplication by Name <i>Silly Duplication</i>	Duplication by Value <i>Wise Duplication</i>
Erasure by Name <i>Wise Erasure</i>	Call-by-Name	Call-by-Need
Erasure by Value <i>Silly Erasure</i>	<b>Call-by-Silly</b>	Call-by-Value

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Erasure by Value <i>Silly Erasure</i>	<b>Call-by-Silly</b>	Call-by-Value

## Evaluation Strategies: duplication and erasure rules

Duplication by **Name**:  $(\lambda x.t)u \mapsto_{\beta} t\{x \leftarrow u\}$  where  $x \in \text{fv}(t)$

Duplication by **Value**:  $(\lambda x.t)v \mapsto_{\beta} t\{x \leftarrow v\}$  where  $x \in \text{fv}(t)$

Erasure by **Name**:  $(\lambda x.t)u \mapsto_{\beta} t\{x \leftarrow u\}$  where  $x \notin \text{fv}(t)$

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# Mirroring Call-by-Need, Or Values Acting Silly

## Contributions

Main results about Call-by-Silly:

- ▶ Rewriting Properties and Multi Types
- ▶ CbSilly induces the same contextual equivalence than CbV
  - ▶ Mirroring the main theorem about CbNeed
  - ▶ A proof method for CbV contextual equivalence
  - ▶ CbV contextual equivalence is blind wrto efficiency
- ▶ Quantitative study of types: Call-by-Silly really is inefficient

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# Contextual Equivalences

**CbN** Contextual Equivalence

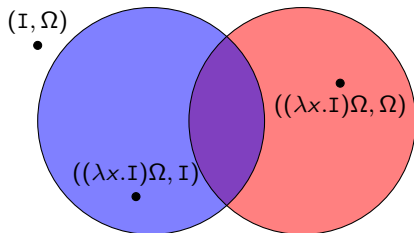
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CbNeed Contextual Equivalence

**CbV** Contextual Equivalence

=

CbSilly Contextual Equivalence



$$I =_{CbN} (\lambda x.I)\Omega =_{CbV} \Omega$$

$$I \neq_{CbN} \Omega \neq_{CbV} I$$



# Contextual Equivalences

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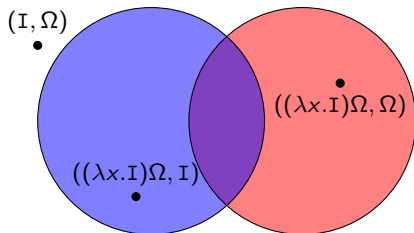
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**Silly Substitution Calculus**

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## Micro-steps

TERMS	$t, u, s$	$::=$	$x \mid \lambda x.t \mid tu \mid t[x \leftarrow u]$
VALUES	$v, v'$	$::=$	$\lambda x.t$
SUB. CTXS	$S, S'$	$::=$	$\langle \cdot \rangle \mid S[x \leftarrow u]$
WEAK CONTEXTS	$W$	$::=$	$\langle \cdot \rangle \mid Wt \mid tW \mid t[x \leftarrow W] \mid W[x \leftarrow u]$

$$\begin{aligned}(\lambda x.t)u &\mapsto_m t[x \leftarrow u] \\ \dots x \dots [x \leftarrow u] &\mapsto_e \dots u \dots [x \leftarrow u] \\ t[x \leftarrow v] &\mapsto_{\text{gc}v} t \quad \text{if } x \notin \text{fv}(t)\end{aligned}$$

$\rightarrow_w$  is the weak contextual closure of  $\mapsto_m$ ,  $\mapsto_{eW}$  and  $\mapsto_{\text{gc}v}$ .

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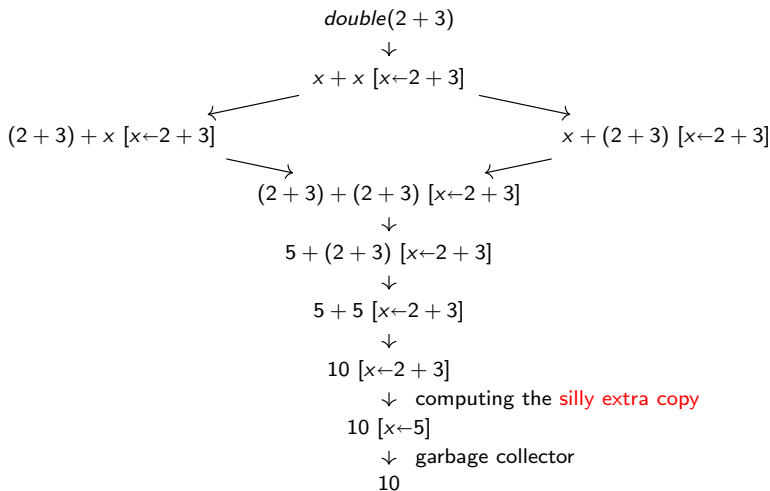
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$$\begin{array}{l} S\langle \lambda x.t \rangle u \quad \mapsto_m \quad S\langle t[x \leftarrow u] \rangle \\ W\langle x \rangle[x \leftarrow u] \quad \mapsto_{eW} \quad W\langle u \rangle[x \leftarrow u] \\ t[x \leftarrow S\langle v \rangle] \quad \mapsto_{gcv} \quad S\langle t \rangle \quad \text{if } x \notin \text{fv}(t) \end{array}$$

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## The Silly Extra Copy

Let  $double := x \mapsto x + x$ . How to silly compute  $double(2 + 3)$ ?



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# Silly Multi Types

LINEAR TYPES  $L, L' ::= \text{norm} \mid M \rightarrow L$   
 MULTI TYPES  $M, N ::= [L_i]_{i \in I}$  where  $I$  is a finite set  
 GENERIC TYPES  $T, T' ::= L \mid M$

$$\frac{}{x : [L] \vdash x : L} \text{ax}$$

$$\frac{(\Gamma_i \vdash t : L_i)_{i \in I} \text{ many}}{\uplus_{i \in I} \Gamma_i \vdash t : [L_i]_{i \in I}}$$

$$\frac{}{\vdash \lambda x. t : \text{norm}} \text{ax}\lambda$$

$$\frac{\Gamma \vdash t : M \rightarrow L \quad \Delta \vdash u : M \uplus [\text{norm}]}{\Gamma \uplus \Delta \vdash tu : L} \textcircled{C}$$

$$\frac{\Gamma \vdash t : L}{\Gamma \parallel x \vdash \lambda x. t : \Gamma(x) \rightarrow L} \lambda$$

$$\frac{\Gamma \vdash t : L \quad \Delta \vdash u : \Gamma(x) \uplus [\text{norm}]}{(\Gamma \parallel x) \uplus \Delta \vdash t[x \leftarrow u] : L} \text{ES}$$



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$$\frac{}{x : [L] \vdash^{(0,1)} x : L} \text{ax}$$

$$\frac{(\Gamma_i \vdash^{(m_i, e_i)} t : L_i)_{i \in I}}{\uplus_{i \in I} \Gamma_i \vdash^{(\sum_{i \in I} m_i, \sum_{i \in I} e_i)} t : [L_i]_{i \in I}} \text{many}$$

$$\frac{}{\vdash^{(0,0)} \lambda x. t : \mathbf{norm}} \text{ax}_\lambda$$

$$\frac{\Gamma \vdash^{(m, e)} t : M \rightarrow L \quad \Delta \vdash^{(m', e')} u : M \uplus [\mathbf{norm}]}{\Gamma \uplus \Delta \vdash^{(m+m'+1, e+e')} tu : L} \textcircled{C}$$

$$\frac{\Gamma \vdash^{(m, e)} t : L}{\Gamma \parallel x \vdash^{(m, e)} \lambda x. t : \Gamma(x) \rightarrow L} \lambda$$

$$\frac{\Gamma \vdash^{(m, e)} t : L \quad \Delta \vdash^{(m', e')} u : \Gamma(x) \uplus [\mathbf{norm}]}{(\Gamma \parallel x) \uplus \Delta \vdash^{(m+m', e+e')} t[x \leftarrow u] : L} \text{ES}$$

## Example of type derivation

$n := \text{norm}$

$$\frac{\frac{\vdash \lambda y. yy : [[L] \rightarrow L, L, n] \rightarrow L}{\vdash (\lambda y. yy)(II) : L} \quad \frac{\vdash_{II} : [L] \rightarrow L \quad \vdash_{II} : L \quad \vdash_{II} : n \quad \vdash_{II} : n}{\vdash_{II} : [[L] \rightarrow L, L, n] \uplus [n]}}{\vdash (\lambda y. yy)(II) : L}$$

# Silly Multi Types and the SSC

## Proposition (Quantitative subject reduction for Weak SSC)

Let  $\pi \triangleright \Gamma \vdash^{(m,e)} t : L$  be a derivation.

1. *Multiplicative*: if  $t \rightarrow_{\text{wm}} u$  then  $m \geq 1$  and there exists  $\rho \triangleright \Gamma \vdash^{(m',e)} u : L$  with  $m > m'$ .
2. *Exponential*: if  $t \rightarrow_{\text{we}} u$  then  $e \geq 1$  and there exists  $\rho \triangleright \Gamma \vdash^{(m,e')} u : L$  with  $e > e'$ .
3. *GC by value*: if  $t \rightarrow_{\text{wgcv}} u$  then there exists  $\rho \triangleright \Gamma \vdash^{(m,e)} u : L$  with  $|\pi| > |\rho|$ .

# Silly Multi Types and the SSC

## Theorem

Let  $t$  be a term.

1. *Correctness:* if  $\pi \triangleright \Gamma \vdash^{(m,e)} t : L$  then  $t \in SN_w$ .
2. *Quantitative info:* if  $\pi \triangleright \Gamma \vdash^{(m,e)} t : L$  and  $d : t \rightarrow_w^* n$  is a normalizing sequence then  $|d|_{wm} \leq m$  and  $|d|_{we} \leq e$ .
3. *Completeness:* if  $t \rightarrow_w^* n$  and  $n$  is a weak normal form then there exists  $\pi \triangleright \Gamma \vdash t : \mathbf{norm}$ .

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# Closed Call-by-Value

TERMS  $t, u, s ::= x \mid \lambda x.t \mid tu$   
VALUES  $v, v' ::= \lambda x.t$

$$\frac{}{(\lambda x.t)v \rightarrow_{\beta_v} t\{x \leftarrow v\}} \quad \frac{t \rightarrow_{\beta_v} t'}{tu \rightarrow_{\beta_v} t'u} \quad \frac{t \rightarrow_{\beta_v} t'}{ut \rightarrow_{\beta_v} ut'}$$

# Silly Multi Types and Closed CbV

## Theorem

Let  $t$  be a closed  $\lambda$ -term.

1. *Correctness*: if  $\pi \triangleright \vdash^m t : L$  then there are an abstraction  $v$  and a reduction sequence  $d : t \rightarrow_{\beta_v}^* v$  with  $|d| \leq m$ .
2. *Completeness*: if there exists a value  $v$  and a reduction sequence  $d : t \rightarrow_{\beta_v}^* v$  then  $\pi \triangleright \vdash t : \mathbf{norm}$ .

TFAE, for a closed term  $t$ :

- ▶ *Typability in Silly Multi Types*:  $\pi \triangleright \vdash t : L$
- ▶ *CbV normalization*:  $d : t \rightarrow_{\beta_v}^* v$
- ▶ *CbSilly normalization*:  $d : t \rightarrow_w^* n$

# Contextual Equivalences

## Definition (Contextual Equivalence)

We define contextual equivalence  $\simeq_C^s$  for a rewriting relation  $\rightarrow_s$ :

$t \simeq_C t'$  if for all  $C$  contexts such that  $C\langle t \rangle$  and  $C\langle t' \rangle$  are closed terms,  $C\langle t \rangle$  is  $\rightarrow_s$ -normalizing iff  $C\langle t' \rangle$  is  $\rightarrow_s$ -normalizing.

Let us consider  $\simeq_C^{\beta_v}$ , Plotkin's CbV contextual equivalence induced by  $\rightarrow_{\beta_v}$  and  $\simeq_C^{\text{silly}}$ , the contextual equivalence induced by  $\rightarrow_w$ .



# CbV and Silly induce the same contextual equivalence

## Theorem

$$\simeq_C^{\beta_v} = \simeq_C^{\text{silly}}$$

## Proof.

$t \simeq_C^{\beta_v} t' \iff$  For all  $C$  contexts such that  $C\langle t \rangle$  and  $C\langle t' \rangle$  are closed terms,  $C\langle t \rangle$  is  $\rightarrow_{\beta_v}$ -normalizing iff  $C\langle t' \rangle$  is  $\rightarrow_{\beta_v}$ -normalizing.

[On closed terms,  $\rightarrow_{\beta_v}$ -normalization is equivalent to Silly typability]

$\iff$  For all  $C$  contexts such that  $C\langle t \rangle$  and  $C\langle t' \rangle$  are closed terms,  $\pi \triangleright \vdash C\langle t \rangle : L$  iff  $\pi' \triangleright \vdash C\langle t' \rangle : L'$ .

[(On all terms,) Silly typability is equivalent to silly  $\rightarrow_w$ -normalization]

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$\iff t \simeq_C^{\text{silly}} t'$

## CbSilly helps to prove CbV contextual equivalence

Let  $i$  any normal norm that does not look like an abstraction, for example  $i = yI$ .

Consider the four following terms:

$$(\lambda x.xx) i \quad (\lambda x.xi) i \quad (\lambda x.ii) i \quad ii$$

How to prove these terms are CbV contextually equivalent?

- ▶  $(\lambda x.xx) i$ ,  $(\lambda x.xi) i$  and  $(\lambda x.ii) i$  all reduce to the same silly normal form  $ii[x \leftarrow i]$
- ▶  $(\lambda x.xx) i =_w (\lambda x.xi) i =_w (\lambda x.ii) i =_w ii[x \leftarrow i]$
- ▶ **Proposition:** if  $t =_w u$  then  $t \simeq_C^{silly} u$
- ▶  $(\lambda x.xx) i \simeq_C^{silly} (\lambda x.xi) i \simeq_C^{silly} (\lambda x.ii) i \simeq_C^{silly} ii[x \leftarrow i]$

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In the paper:

- ▶ Introduce a degenerated reduction, call-by-silly
- ▶ Mirroring the main theorem about CbN and CbNeed: call-by-silly and call-by-value generate the same contextual equivalence
- ▶ **New Proof Method** fo CbV contextual equivalence: convertibility in call-by-silly
- ▶ Tight types and maximality: the type system exactly measures the reduction length of a call-by-silly strategy. We can also show thanks to the type system that this strategy is maximal in some sense.

Future work:

- ▶ Refining CbV contextual equivalence to forbid silly duplications and be aware of efficiency
- ▶ Categorical or Game Semantics for CbSilly and CbNeed?

Thank you for your attention!

	Duplication by Name <i>Silly Duplication</i>	Duplication by Value <i>Wise Duplication</i>
Erasure by Name <i>Wise Erasure</i>	Call-by-Name	Call-by-Need
Erasure by Value <i>Silly Erasure</i>	<b>Call-by-Silly</b>	Call-by-Value

## The problem with variable as values

$$x[z \leftarrow ww] \text{ wgc}v \leftarrow x[y \leftarrow z][z \leftarrow ww] \rightarrow_{e_w} x[y \leftarrow ww][z \leftarrow ww]$$

Similar issues arise in CbNeed:

$$\begin{array}{ccc} x(\lambda z. wx)[x \leftarrow y][y \leftarrow I] & \longrightarrow & x(\lambda z. wx)[x \leftarrow I][y \leftarrow I] \\ \downarrow & & \vdots \\ y(\lambda z. wx)[x \leftarrow y][y \leftarrow I] & \cdots \rightarrow & I(\lambda z. wx)[x \leftarrow y][y \leftarrow I] \quad I(\lambda z. wx)[x \leftarrow I][y \leftarrow I] \end{array}$$



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## Why Silly Types do not work for Open CbV

For  $n \geq 1$ , we have the following derivation for the source term  $z((\lambda x.y)u)$  in the silly type system:

$$\frac{\frac{\frac{\overline{y : [A] \vdash y : A} \text{ ax}}{y : [A] \vdash \lambda x.y : 0 \rightarrow A} \lambda}{(y : [A], \Gamma \vdash (\lambda x.y)u : A)_{i=1, \dots, n}} \text{ @} \quad \frac{\frac{\overline{\pi_u \triangleright \Gamma \vdash u : \text{norm}}} \text{ many} \quad \frac{\overline{\Gamma \vdash u : [\text{norm}]} \text{ @}}{y : [\text{norm}] \vdash \lambda x.y : 0 \rightarrow \text{norm}} \lambda}{y : [\text{norm}], \Gamma \vdash (\lambda x.y)u : \text{norm}} \text{ @}}{\frac{\overline{\pi_z \triangleright \dots} \quad \frac{\overline{y : [A^n, \text{norm}], \Gamma^{n+1} \vdash (\lambda x.y)u : [A^n, \text{norm}]} \text{ @}}{z : [[A^n, \text{norm}] \rightarrow B], y : [A^n, \text{norm}], \Gamma^{n+1} \vdash z((\lambda x.y)u) : B} \text{ @}} \text{ @}$$

where  $\pi_z \triangleright \dots$  stands for:

$$\overline{\pi_z \triangleright z : [[A^n] \rightarrow B] \vdash z : [A^n] \rightarrow B} \text{ ax}$$

The term  $(\lambda x.zy)u$ , instead, can only be typed as follows, the key point being that  $\Gamma^{n+1}$  is replaced by  $\Gamma$ :

$$\frac{\frac{\frac{\overline{z : [[A^n] \rightarrow B] \vdash z : [A^n] \rightarrow B} \text{ ax}}{z : [[A^n] \rightarrow B], y : [A^n, \text{norm}] \vdash zy : B} \text{ @} \quad \frac{\frac{\overline{(y : [A] \vdash y : A)_{i=1, \dots, n}} \text{ ax} \quad \frac{\overline{y : [\text{norm}] \vdash y : \text{norm}} \text{ ax}}{y : [A^n, \text{norm}] \vdash y : [A^n, \text{norm}]} \text{ many}}{z : [[A^n] \rightarrow B], y : [A^n, \text{norm}] \vdash \lambda x.zy : 0 \rightarrow B} \lambda}{z : [[A^n] \rightarrow B], y : [A^n, \text{norm}], \Gamma \vdash (\lambda x.zy)u : B} \text{ @}}{\frac{\overline{\pi_u \triangleright \Gamma \vdash u : \text{norm}}} \text{ many} \quad \frac{\overline{\Gamma \vdash u : [\text{norm}]} \text{ @}}{z : [[A^n] \rightarrow B], y : [A^n, \text{norm}], \Gamma \vdash (\lambda x.zy)u : B} \text{ @}$$

# The Call-by-Silly Strategy

CALL-BY-NAME STRATEGY $\rightarrow_n$	
NAME CTXS $N, N' ::= \langle \cdot \rangle \mid Nt \mid N[x \leftarrow t]$	$\begin{aligned} \rightarrow_{nm} &:= N \langle \mapsto_m \rangle \\ \rightarrow_{ne} &:= N \langle \mapsto_{e_N} \rangle \\ \rightarrow_{ngc} &:= N \langle \mapsto_{gc} \rangle \\ \rightarrow_n &:= \rightarrow_{nm} \cup \rightarrow_{ne} \cup \rightarrow_{ngc} \end{aligned}$
ROOT GC $t[x \leftarrow s] \mapsto_{gc} t$ if $x \notin \mathbf{fv}(t)$	
CALL-BY-SILLY STRATEGY $\rightarrow_y$	
ANSWERS $a, a' ::= v \mid a[x \leftarrow a']$	$\begin{aligned} \rightarrow_{ym} &:= Y \langle \mapsto_m \rangle \\ \rightarrow_{ygc} &:= Y \langle \mapsto_{gc} \rangle \\ \rightarrow_{ye_A} &:= A \langle \mapsto_{e_Y} \rangle \\ \rightarrow_{ye_{YN}} &:= Y \langle \mapsto_{e_N} \rangle \\ \rightarrow_y &:= \rightarrow_{ym} \cup \rightarrow_{ye_A} \cup \rightarrow_{ye_{YN}} \cup \rightarrow_{ygc} \end{aligned}$
AUX. CTXS $A, A' ::= \langle \cdot \rangle \mid a[x \leftarrow A] \mid A[x \leftarrow t]$	
SILLY CTXS $Y, Y' ::= A \langle N \rangle$	

■ **Figure 4** The call-by-name and call-by-silly strategies.

# The Call-by-Silly Strategy: example

CBN EVALUATION:

$(\lambda y. yy)(II)$

$\rightarrow_{ym} yy[y \leftarrow II]$

$\rightarrow_{ym} (x[x \leftarrow I])y[y \leftarrow II]$

$\rightarrow_{ym} z[z \leftarrow y][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{ym} z'[z' \leftarrow I][z \leftarrow y][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{yeV_N} (II)y[y \leftarrow II]$

$\rightarrow_{yeV_N} (I[x \leftarrow I])y[y \leftarrow II]$

$\rightarrow_{yeV_N} y[z \leftarrow y][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{yeV_N} II[z \leftarrow y][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{yeV_N} I[z' \leftarrow I][z \leftarrow y][x \leftarrow I][y \leftarrow II]$

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CBS EXTENSION:

$\rightarrow_{ym} I[z' \leftarrow I][z \leftarrow z'[z' \leftarrow I]][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{ym} I[z' \leftarrow I][z \leftarrow I[z' \leftarrow I]][x \leftarrow I][y \leftarrow z'[z' \leftarrow I]]$

$\rightarrow_{ye_{AV}} I[z' \leftarrow I][z \leftarrow II][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{yeV_N} I[z' \leftarrow I][z \leftarrow I[z' \leftarrow I]][x \leftarrow I][y \leftarrow II]$

$\rightarrow_{yeV_N} I[z' \leftarrow I][z \leftarrow I[z' \leftarrow I]][x \leftarrow I][y \leftarrow I[z' \leftarrow I]]$