

Automata
and
 $\text{FO}[\mathbb{R}; +, <, 1]$ -definable set of reals

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6 september 2016

Journée Montoise d'Informatique Théorique

The main results

Theorem

It is decidable in linear time whether $R \subseteq \mathbb{R}^{\geq 0}$, accepted by a minimal automaton in base $b \geq 2$ is $\text{FO}[\mathbb{R}; +, <, 1]$ -definable.

Theorem

Let \mathcal{A} be a real automaton which accepts a $\text{FO}[\mathbb{R}; +, <, 1]$ -definable. There exists an existential $\text{FO}[\mathbb{R}; <, +\mathbb{Q}]$ -formula of length $O(n^3 \log(n)b)$ which defines $\overline{\mathcal{A}}^{\mathbb{R}}$.

Outline:

Introduction

Methods for similar problems

Description of automata

Characterization of $\text{FO}[\mathbb{R}; +, <, 1]$ -definable sets

Definition (Simple set)

A simple set $R \subseteq \mathbb{R}^{\geq 0}$ is a finite union of intervals with rational bounds.

Example:

$$\left[3, \frac{20}{3}\right) \cup \left(\frac{22}{3}, +\infty\right).$$

Theorem (Weispfenning 99)

A set is $\text{FO}[\mathbb{R}; +, <, 1]$ -definable iff it is simple.

Theorem (Ferrante, Rackoff, 75)

$\text{FO}[\mathbb{R}; +, <, 1]$ admits quantifier elimination.

Characterization of $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$ -definable sets

Definition (Periodically simple set)

A periodically simple set $R \subseteq \mathbb{R}^{\geq 0}$ is a set of the form $A \cup (m\mathbb{N} + B)$ with A and B simple and m rational.

Example:

$$\{3\} \cup \left(4\mathbb{N} + \left(2, \frac{5}{2}\right)\right)$$

Theorem (Weispfenning 99)

A set is $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$ -definable iff it is periodically simple.

Theorem (Weispfenning 99)

$\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1, \lfloor \cdot \rfloor, \text{mod}]$ admits quantifier elimination.

Representation of reals.

	Base 2-expansion	Base 3-expansion
$\frac{2}{3}$	$\bullet(10)^\omega$	$\bullet 2(0)^\omega$
$\frac{5}{4}$	$1 \bullet 01(0)^\omega$ $1 \bullet 00(1)^\omega$	$\bullet 1(2)^\omega$ $1 \bullet (02)^\omega$

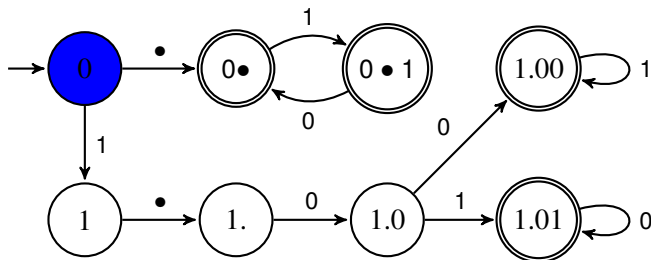


Figure: $\left\{ \frac{2}{3}, \frac{5}{4} \right\}$ in base 2

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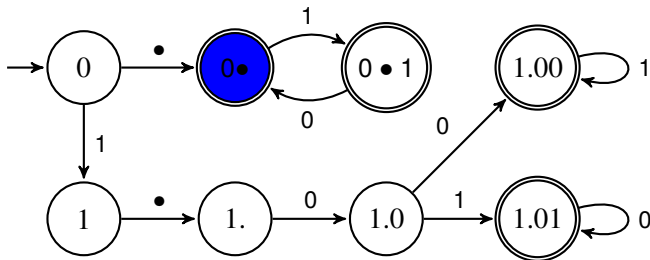


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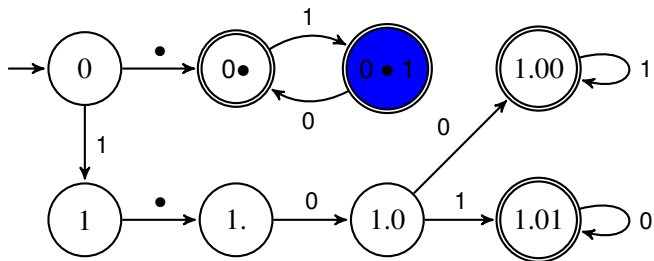


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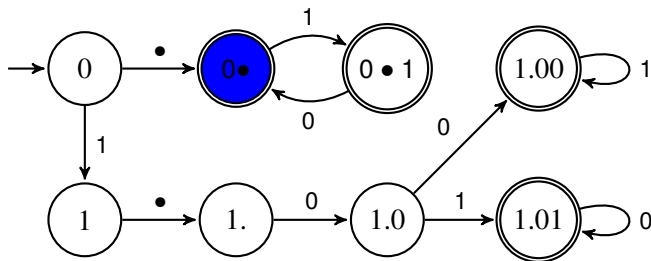


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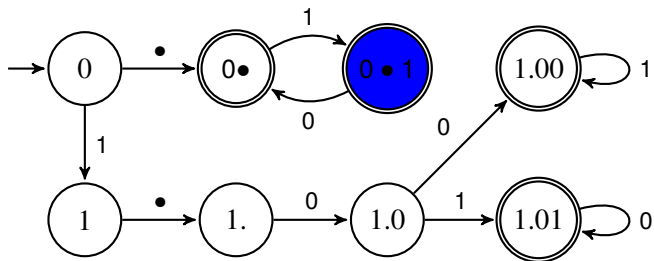


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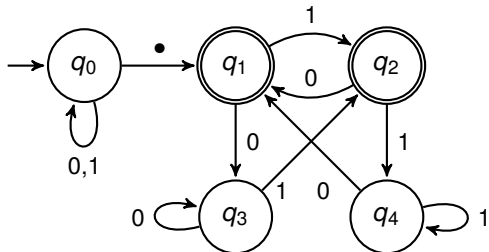
Expressivity of base b -automata

Theorem (Boigelot, Rassart, Wolper, 98)

A set $R \subseteq (\mathbb{R}^{\geq 0})^d$ is accepted by an automaton in base b iff it is $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, X_b, 1]$ -definable.

$X_b(x, u, k)$ holds iff $u = 2^z$ for $z \in \mathbb{Z}$ and the z -th bit of x is k .

Example: Digit with infinitely many 0 and 1



$$\forall r > 0. \exists u < n. X_2(x, u, 1) \wedge \exists u < n. X_2(x, u, 0)$$

Sets accepted in multiple basis.

Theorem (Boigelot, Brusten, Leroux, 09)

A set $R \subseteq (\mathbb{R}^{\geq 0})^d$ is accepted by automata in all basis iff it is $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$ -definable.

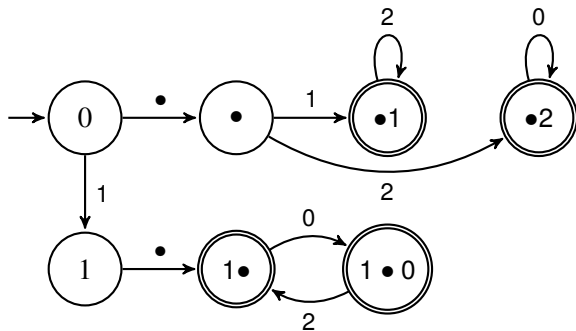


Figure: $\left\{ \frac{2}{3}, \frac{5}{4} \right\}$ in base 3

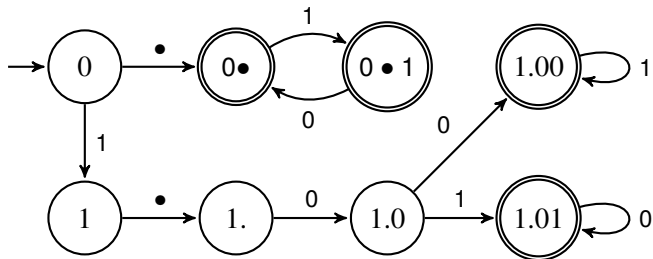
Weak Büchi Automata

Definition

An automaton is weak if all or none of the states of a strongly connected components are accepting.

Theorem (Löding - 2001)

Weak Büchi automata admits minimization in time $O(n \log(n))$.



Properties of $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$

Theorem (Boigelot, Brusten, Bruyère, 08)

$\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$ -definable sets are accepted by weak-Büchi automata.

Example

A weak Büchi automaton accepting a non- $\text{FO}[\mathbb{R}, \mathbb{Z}; +, <, 1]$ -definable set.

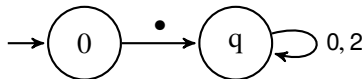


Figure: Reals without 1 in its base-3 representation

Similar problem for FO[+] and integers

Theorem (Recall: main result)

It is decidable in linear time whether $R \subseteq \mathbb{R}^{\geq 0}$, accepted by a minimal automaton in base $b \geq 2$ is FO[$\mathbb{R}; +, <, 1$]-definable.

Theorem

Whether $R \subseteq \mathbb{N}^d$ accepted by a minimal automaton is FO[$\mathbb{N}; +$]-definable is decidable.

<i>dimension d</i>	<i>time complexity</i>	
<i>1</i>		<i>(Honkala 86)</i>
<i>any</i>	<i>3EXP</i>	<i>(Muchnik 91)</i>
<i>any</i>	<i>polynomial</i>	<i>(Leroux 05)</i>
<i>1</i>	<i>quasi-linear</i>	<i>(Marsault-Sakarovitch 13)</i>

Honkala's algorithm

Theorem (Honkala 86)

Whether $R \subseteq \mathbb{N}$ accepted by an automaton is $\text{FO}[\mathbb{N}; +]$ -definable is decidable.

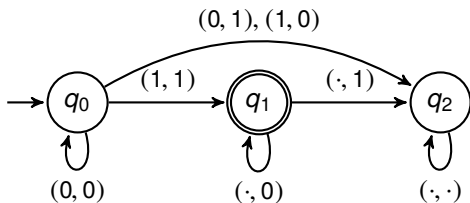
Lemma

The threshold t and the period p is at most b^n where n is the number of states of the automaton \mathcal{A} .

Algorithm

- (1) Runs over all sets $R \subseteq \mathbb{N}$ with threshold and period less than b^n .
- (2) Generates the minimal automaton \mathcal{A}_R in base b accepting R .
- (3) Accepts if $\mathcal{A}_R = \mathcal{A}$.

Example of application of Honkala's method



If this automaton accepts an ultimately periodic set, the threshold and period are at most $2^3 = 8$.

Proposition

This method allows to recognize any class \mathcal{C} of languages such that:

- there exists a function s from language to integers,*
- for all language $L \in \mathcal{C}$, the minimal automaton accepting L has at least $s(L)$ states,*
- For each $c \in \mathbb{N}$, the set $\{L \mid s(L) = c\}$ is finite and computable.*

Application

For \mathcal{C} the periodically simple sets, take $s(R)$ as the length of the greatest rational.

Muchnik's algorithm

Theorem (Muchnik 91)

There exists $\phi_d \in \text{FO}[\mathbb{N}; +, R]$ which states " $R \subseteq \mathbb{N}^d$ is $\text{FO}[\mathbb{N}; +]$ – definable".

Corollary (Muchnik 91)

Whether $R \subseteq \mathbb{N}^d$ accepted by an automaton \mathcal{A} is $\text{FO}[\mathbb{N}; +]$ -definable is decidable in 4EXP-time.

Algorithm

- (1) Transform ϕ_d into an automaton \mathcal{A}' where R is encoded by \mathcal{A} .
- (2) Accepts if \mathcal{A}' accepts a non-empty language.

Example of application of Muchnik's example.

This method works for any class C of set of tuple of numbers such that there exists a $\phi \in \text{FO}[\mathbb{R}, \mathbb{Z}; +, X_b, R]$ which holds if and only if $R \in C$.

Example

Whether an automaton accepts a subsemigroup of $(\mathbb{R}^d, +)$ is decidable.

$$\forall x_1, \dots, x_d \cdot \left\{ \begin{array}{l} (x_1, \dots, x_d) \in R \wedge \\ (y_1, \dots, y_d) \in R \end{array} \right\} \implies (x_1 + y_1, \dots, x_d + y_d) \in R$$

asserts that R is a subsemigroup of $(\mathbb{R}^d, +)$.

Marsault-Sakarovitch's algorithm

Theorem (Marsault-Sakarovitch 13)

Whether $R \subseteq \mathbb{N}$ accepted by a minimal automaton \mathcal{A} is FO[$\mathbb{N}; +$]-definable is decidable in linear time.

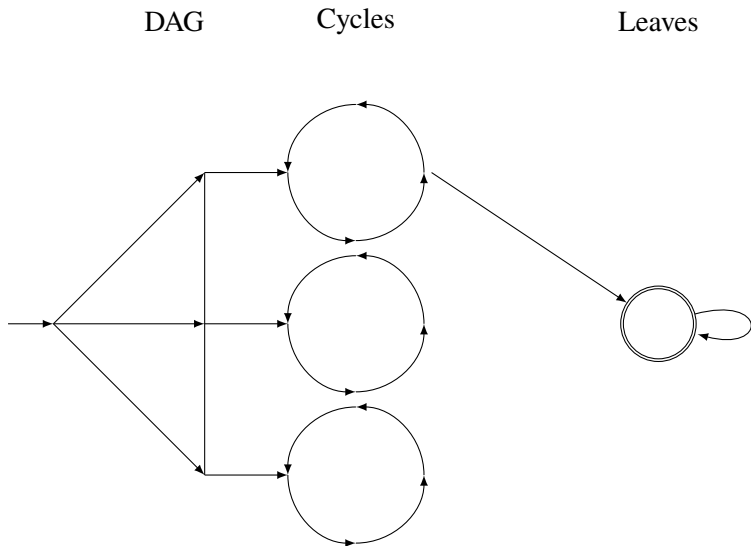
Proposition

Let \mathbb{L} be a class of language and \mathbb{A} a class of automata such that:

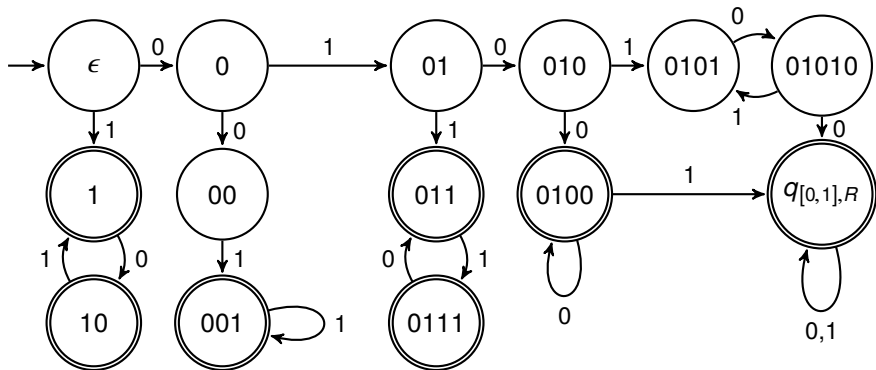
- (1) each language of \mathbb{L} is accepted by an automaton of \mathbb{A} ,*
- (2) all automata of \mathbb{A} accepts a language belonging to \mathbb{L} ,*
- (3) \mathbb{A} is closed under quotient and*
- (4) it is decidable in time $t(n)$ whether an automaton belongs to \mathbb{A} .*

It is decidable in time $t(n)$ whether a minimal automaton accepts a language of \mathbb{L} .

Form of an automaton accepting a $\text{FO}[\mathbb{R}; +, <, 1]$ -definable subset of $[0, 1]$



Example $\left[\frac{1}{4}, \frac{1}{3}\right) \cup \left\{\frac{11}{24}, \frac{2}{3}\right\}$



Lemma

Cyclic states accepts a set which is a finite union of elements of $\{[0, r), \{r\}, (r, 1]\}$ for some rational r .

Describing \mathbb{A} .

Using Marsault-Sakarovitch's method, \mathbb{A} is the set of automata satisfying:

- (1) At most two leaves, accepting \emptyset and $[0, 1]$,
- (2) Let C be a strongly connected component which is not a leaf. Let $q \in C$, $a \in [b - 1]$. If $\delta(q, a)$ does not belong to C then it belongs to a leaf.
- (3) C is a cycle.
- (4) ...

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- (2) Let C be a strongly connected component which is not a leaf. Let $q \in C$, $a \in [b - 1]$. If $\delta(q, a)$ does not belong to C then it belongs to a leaf.
- (3) For each q in C , there exists a single digit $d_q \in [b - 1]$ such that $\delta(q, d_q) \in C$.
- (4) for each $q, q' \in C$, for each $a < d_q, a' < d_{q'}$, $\delta(q, a) = \delta(q', a')$.

Future research

Solving the similar problem for:

$$R \subseteq [0, 1]^d,$$

$R \subseteq \mathbb{N}^d$ and the logic $\text{FO}[\prec, \text{mod}]$.