

# The Data Broadcast Problem with Preemption

Nicolas Schabanel

LIP, ENS Lyon, 46, allée d'Italie, F-69364 Lyon Cedex 07, France

**Abstract.** The data-broadcast problem consists in finding an infinite schedule to broadcast a given set of messages so as to minimize the average response time to clients requesting messages, and the cost of the broadcast. This is an efficient means of disseminating data to clients, designed for environments, such as satellites, cable TV, mobile phones, where there is a much larger capacity from the information source to the clients than in the reverse direction.

Previous work concentrated on scheduling indivisible messages. Here, we studied a generalization of the model where the messages can be preempted. We show that this problem is *NP*-hard, even in the simple setting where the broadcast costs are zero, and give some practical 2-approximation algorithms for broadcasting messages. We also show that preemption can improve the quality of the broadcast by an arbitrary factor.

## 1 Introduction

### 1.1 Motivation

Data-broadcast is an efficient means of disseminating data to clients in wireless communication environment, where there is a much larger capacity from the information source to the recipients than in the reverse direction, such as happens when mobile clients (*e.g.* car navigation systems) retrieve information (*e.g.* traffic information) from base-station (*e.g.* the emitter) through a wireless medium. In a broadcasting protocol, items are broadcast according to an infinite horizon schedule and clients do not explicitly send a request for an item to the server, but connect to the broadcast channels (shared by all the clients) and wait until the requested item is broadcast. These systems are therefore known as *pseudo-interactive* or *push-based*: the server “pushes” the items, or messages, to the clients (even if disconnected) according to a schedule which is oblivious to the effective requests; as opposed to the “traditional” *pull-based* model, where the clients send a request to “pull” the required item from the server when they need it. The quality of the broadcast schedule is measured by the expected service time of the addressed requests. Furthermore, as each message has a cost for broadcasting (*e.g.* a weather broadcast and a news broadcast may have different costs for the emitter), the server also tries to minimize the resulting cost of service. The server has then to minimize the expected service response time of the requests (quality of service) and the broadcast cost of the resulting schedule (cost of service). The server designs the broadcast schedule from the *profile* of

the users: given the messages  $M_1, \dots, M_m$ , the profile consists of the *popularities* of the different messages, that is to say the probabilities  $(p_i)_{1 \leq i \leq m}$ , that Message  $M_i$  is requested by a random user. [17] proposes some techniques to gauge user profiles in push-based environment.

With the impressive growth of the wireless, satellite and cable network, the data dissemination protocols have a number applications in research and commercial frameworks. One of the earliest applications was the Boston Community Information System (BCIS, 1982) developed at the MIT to deliver news and information to clients equipped personally with radio receivers in metropolitan Boston. It was also introduced in early 1980's in the context of Teletext and Videotex [8,3]. It is now used by applications that require dissemination among a huge number of clients. The Advanced Traffic Information System (ATIS) [14], which provides traffic and route planning information to cars specially equipped with computers, may have to serve over 100,000 clients in a large metropolitan city during the rush hours. The news delivery systems on the Internet, such as Pointcast inc. (1997), or Airmedia inc. (1997), require efficient information dissemination system. A comparison of the push-based system to the traditional pull-based approach for those problems can be found in [1].

Note that the data-broadcast problem also models the maintenance scheduling problem and the multi-item replenishment problem [5, 6, 10].

While previous work made the assumption that messages transmission cannot be preempted, we focus in this paper on the case where the messages do not have uniform transmission times and can be split.

## 1.2 Background

Since the early 1980's, many authors [8, 3–6, 11] have studied the data-broadcast problem in the restrictive setting where all messages have the same length, the broadcast is done on a single channel, and time is discrete (this restricted problem is also known as Broadcast disks problem or Dissemination-based systems). In particular, Ammar and Wong [3, 4] give an algebraic expression of the expected service time of periodic schedules, provide a lower bound, and prove the existence of an optimal schedule which is periodic. Our Lemmas 2, 3 and Proposition 1 are generalizations of these results to our setting. Bar-Noy, Bhatia, Naor and Schieber [6] prove that the problem with broadcast costs is *NP*-hard, and after a sequence of papers giving constant factor approximations [5, 6], Kenyon, Schabanel and Young [11] design a PTAS for the problem. The papers [2, 1, 9, 15, 12, 13] study related questions pertaining to prefetching, to caching and to indexing.

As can be seen from the example of broadcasting weather and news reports, in many applications, it does not make sense to assume that all messages have the same transmission time; thus a couple of recent papers have explored the case of non-uniform transmission times. In [16] Vaidya and Hameed report some experimental results for heuristics on one or two channels. In [10] Kenyon and Schabanel show that the case where the messages do not have the same transmission time, the data-broadcast problem is *NP*-hard, even if message have zero

broadcast cost, and does not always admit an periodic optimal schedule. They show that the natural extension of the lower bound given in [3, 6] is arbitrarily far from the optimal when the messages have very different length. The main difficulty is due to the fact that, while a long message is being broadcast, all requests for shorter and more popular messages have to be put on hold. But in that case, it seems reasonable to allow a occasional interruption of a long “boring” message transmission so as to broadcast a short popular message. In other word, one should allow preemption. This is the main motivation to the preemptive model introduced and studied in this paper.

### 1.3 Our contribution

This paper introduces and studies the model where the messages to be broadcast have non uniform transmission time and where their transmission can be preempted. One of the most interesting contribution from the practical point of view is that our algorithms (Section 4) generate preemptive schedules whose costs can be arbitrarily smaller than the optimal cost of any non-preemptive schedule on some inputs (See Note 1 in Section 4). Thus there is an *infinite* gap between the preemptive and non-preemptive problem.

*We adopt the following model.* The input consists of  $m$  messages  $M_1, \dots, M_m$  and an user profile determined by the *probabilities*  $(p_i)_{1 \leq i \leq m}$  that a user requests Message  $M_i$  ( $p_1 + \dots + p_m = 1$ ). Each message  $M_i$ ,  $i = 1..m$ , is composed of  $\ell_i$  *packets* with transmission time 1 and each broadcast of a packet costs  $c_i \geq 0$ . The packets of the messages are broadcast over  $W$  identical and synchronized broadcast channels split into *time slots* of length 1 (time slot  $t$  is the period of time  $[t - 1, t]$ ). Given a schedule  $S$  of the packets into the slots, over the  $W$  channels, a client requesting Message  $M_i$ , starts monitoring all the channels at some (continuous) point, downloads the different packets of  $M_i$  *one at a time* when they are broadcast on some channel, and is served as soon as it has downloaded all the  $\ell_i$  packets of Message  $M_i$ . The order in which the client has received the packet of  $M_i$  is irrelevant, as in TCP/IP.

The problem is to design a sequence  $S$  to schedule the packets over time, so as to minimize the sum of the expected service time of Poisson requests and of the average broadcast cost, i.e. so as to minimize  $\limsup_{T \rightarrow \infty} (\text{EST}(S, [0, T]) + \text{BC}(S, [0, T]))$ ; here,  $\text{EST}(S, [0, T])$  denotes the expected service time of a request which is generated at a random uniform (continuous) instant between 0 and  $T$ , requests Message  $M_i$  with probability  $p_i$ , and must wait until the  $\ell_i$  packets of  $M_i$  have been broadcast and downloaded; and  $\text{BC}(S, [0, T])$  is the average broadcast cost of the packets whose broadcast starts between 0 and  $T$ . Note that this definition agrees with the one in the literature (*e.g.* [6]), in the uniform-length case where the messages are composed of a single packet.

The results presented in this paper are obtained thanks to the simple but crucial observation made in Lemma 1: for all  $i$ , *an optimal schedule broadcasts the packets of Message  $M_i$  in Round Robin order*. We can thus restrict our search to Round Robin schedules. From this observation, we get an tractable algebraic

expression for the cost of such a schedule in Lemma 2, from which we derive the lower bound in Lemma 3. This lower bound is the key to the two main results of the papers: 1) the problem is strongly *NP*-hard, even if no broadcast cost are assumed, in Theorem 1 (note that the *NP*-hardness proof given in [6] for the uniform length case requires non-zero broadcast cost); 2) there exists polynomial algorithm which constructs a periodic schedule with cost at most twice the optimal, in Section 4.

The lower bound also reveals some important structural differences between our model and the previous models. First, surprisingly, as opposed to *all* the previous studies, the lower bound *cannot* be realized by *scheduling the packets regularly* but by gluing them together (see Lemma 3): from the individual point of view of a request for a given message, the message should not be preempted. This allows to derive some results from the non-preemptive case studied in [10]. But, whereas non-preemptive strategies cannot approach this lower bound, we obtain, all the same, efficient approximation scheme within a factor of 2 by broadcasting the packets of each message regularly. Second, although the lower bound specializes to the one designed in [6] when all messages are composed of a single packet, deriving the lower bound is no longer a straight forward relaxation on the constraints on the schedule and requires a finer study of the “ideal” schedules. Moreover, its objective function is no longer convex and its resolution (in particular the unicity of its solution) needs a careful adaptation, presented Section 4.5, of the methods introduced in [6, 10].

Note that our preemptive setting models also the case where users do request single messages but batches of messages. We can indeed consider the packets of a message as messages of a batch. The preemptive case studied here is the case where the batches are all disjoint. In that sense the paper is an extension of some results in [7].

#### 1.4 The cost function

We are interested in minimizing the *cost* of the schedule  $S$ , which is a combination of two quantities on  $S$ . The first one, denoted by  $\text{EST}(S)$ , is the *expected service time* of a random request (where the average is taken over the moments when requests occur, and the type  $M_i$  of message requested). If we define by  $\text{EST}(S, I)$ , the expected service time of a random request arrived in time interval  $I$ ,  $\text{EST}(S)$  is:  $\text{EST}(S) = \limsup_{T \rightarrow \infty} \text{EST}(S, [T_0, T])$ , for any  $T_0$ . If we denote by  $\text{ST}(S, M_i, t)$ , the service time of a request for  $M_i$  arrived at time  $t$ , and by  $\text{EST}(S, M_i, I)$  the expected service time of a request for  $M_i$  arriving in time interval  $I$ , we get:  $\text{EST}(S, M_i, I) = \frac{1}{|I|} \int_I \text{ST}(S, M_i, t) dt$ , and  $\text{EST}(S, I) = \sum_{i=1}^m p_i \text{EST}(S, M_i, I)$ .

The second quantity is the *broadcast cost*  $\text{BC}(S)$  of the messages, defined as the asymptotic value of the broadcast cost  $\text{BC}(S, I)$  over a time interval  $I$ :  $\text{BC}(S) = \limsup_{T \rightarrow \infty} \text{BC}(S, [T_0, T])$ , for any  $T_0$ . By definition, each broadcast of a packet of  $M_i$  costs  $c_i$ . For a time interval  $I$ ,  $\text{BC}(S, I)$  is the sum of the cost of all the packets whose broadcast begins in  $I$ , divided by the length of  $I$ . The

quantity which we want to minimize is then:  $\text{COST}(S) = \text{EST}(S) + \text{BC}(S)$ . Note that up to scaling the costs  $c_i$ , any linear combination of EST and BC can be considered.

## 2 Preliminary Results

### 2.1 Structural properties

The following lemma is a crucial observation that will allow to deal with the dependencies in a tractable way. From this observation, we derive an algebraic expression for the cost of periodic schedule. In the next section, we show that this expression yields to a lower bound on the cost of any schedule. The lower bound will be used in Section 4 to design efficient approximation algorithm.

**Definition 1.** *A schedule  $S$  is said Round Robin if at most one packet of each message  $M_i$  is broadcast in any time slot according to  $S$ , and if  $S$  schedules the packets of each message in Round Robin order (i.e. according to a cyclic order).*

**Lemma 1 (Round Robin).** *For any schedule  $S$ , consider the Round Robin schedule  $S'$  constructed from  $S$  by rescheduling in Round Robin order the packets of each message  $M_i$  within the slots reserved in  $S$  to broadcasting a packet of  $M_i$ . Then:  $\text{COST}(S') \leq \text{COST}(S)$ .*

*Moreover, if  $S$  is periodic and is not Round Robin, then  $S'$  is periodic and:  $\text{COST}(S') < \text{COST}(S)$ .*

*Proof.* First,  $S$  and  $S'$  have the same broadcast cost. Second, consider a request for  $M_i$  arriving at time  $t$  in  $S$ , and the  $\ell_i$  first time slots where a packet of  $M_i$  is broadcast in  $S$  after time  $t$ . The service time of the request is minimized iff the  $\ell_i$  packets of  $M_i$  are broadcast in those slots. Thus the expected service time in  $S'$  is at most as large as in  $S$ . Moreover, if  $S$  is periodic with period  $T$  and is not Round Robin, then  $S'$  is periodic with period  $\leq T \prod_{i=1}^m \ell_i$  and its expected service time is smaller than  $S$ 's.  $\square$

W.l.o.g. we will now only consider Round Robin schedules.

**Lemma 2 (Cost).** *Consider a periodic schedule  $S$  with period  $T$ . For each  $i$ ,  $n_i$  is the number of broadcasts of message  $M_i$  in a period, and  $(t_j^i)_{1 \leq j \leq n_i \ell_i}$  the time elapsed between the beginnings of the  $j^{\text{th}}$  and the  $(j+1)^{\text{th}}$  broadcasts of a packet of Message  $M_i$ . Then:*

$$\text{EST}(S) = 1 + \sum_{i=1}^m p_i \sum_{j=1}^{n_i \ell_i} \frac{t_j^i}{T} \left\{ \frac{t_j^i}{2} + (t_{j+1}^i + \dots + t_{j+\ell_i-1}^i) \right\}$$

and  $\text{BC}(S) = \frac{1}{T} \sum_{i=1}^m c_i n_i \ell_i$ , where the indices are considered modulo  $n_i \ell_i$ .

*Proof.* Consider  $i$  in  $\{1, \dots, m\}$ . Message  $M_i$  is broadcast  $n_i$  times per period, its contribution to the broadcast cost is then  $n_i \ell_i c_i / T$ . A request is for Message  $M_i$  with probability  $p_i$  and arrives between the  $j^{\text{th}}$  and the  $(j+1)^{\text{th}}$  broadcasts of a packet of  $M_i$  with probability  $t_j^i / T$ . It starts then downloading the first packet after  $t_j^i / 2$  time on expectation and ends downloading the last packet after  $t_{j+1}^i + \dots + t_{j+\ell_i-1}^i + 1$  other time slots.

*Remark 1 (Trapezoids representation).* Note that we can represent the cumulated response time to request for a given message over a period of time by the sum of the areas of trapezoids as shown Figure 1; the black arrows are two example of requests, their waits are highlight in black, and the extra cost for downloading the last packet is in grey.

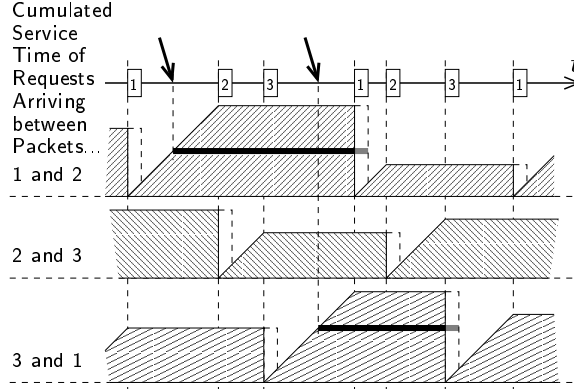


Fig. 1: The expected service time.

## 2.2 Optimality results

**Theorem 1 (NP-Hardness).** *Finding the optimal schedule is strongly NP-hard on a single channel and with zero cost messages.*

*Proof sketch.* (Omitted) The proof is derived from the NP-hardness proof of the non-preemptive case given in [10]: we show that deciding whether the lower bound in Lemma 3 is realized is at least as hard as  $N$ -partition.  $\square$

*Remark 2.* Note that [6] yields an other NP-hardness proof by stating that the uniform length case with non zero cost is already NP-hard; however the present proof does not use costs.

**Proposition 1 (Optimal periodic).** *There exists an optimal schedule which is periodic. It can be computed in exponential time.*

*Proof sketch.* (Omitted) The proof is based on the search of a minimum cost cycle in a finite graph, and the lemmas are broadly inspired from [4, 5, 10] but their proofs need to be widely adapted in order to take into account the segmentation of the messages into packets.  $\square$

## 3 A lower bound

Finding a good lower bound is a key point to designing and proving efficient approximation algorithms for this problem. An algorithm to compute the value of the following lower bound, will be given Section 4.5.

**Lemma 3 (Lower bound).** *The following minimization problem is a lower bound to the cost of any schedule of the packets of  $M_1, \dots, M_m$  on  $W$  channels:*

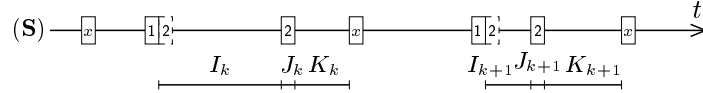
$$\text{LB}(M) \begin{cases} \min_{\tau > 0} \sum_{i=1}^m p_i \left( \frac{\tau_i \ell_i}{2} + \ell_i - \frac{\ell_i - 1}{2\tau_i} \right) + \frac{c_i}{\tau_i} \\ \text{Subject to: (i) } \forall i, \tau_i \geq 1 \text{ and (ii) } \sum_{i=1}^m \frac{1}{\tau_i} \leq W \end{cases}$$

*This minimization problem admits a unique solution  $\tau^*$ .  $\text{LB}(M)$  is realized if and only if one can broadcast all the packets of each  $M_i$  consecutively periodically exactly every  $(\tau_i^* \cdot \ell_i)$ .*

*Proof sketch.* According to Lemma 1, let  $S$  be a periodic Round Robin schedule of the packets of messages  $M_1, \dots, M_m$  on  $W$  channels with period  $T$ . We use the same notations  $(n_i)$  and  $(t_j^i)$  as in Lemma 2. Given that Message  $M_i$  is broadcast  $n_i$  times per period, we seek for the optimal value of the  $(t_j^i)$  for each message independently. We relax the constraints on the schedule by authorizing messages to overlap and to be scheduled outside the slots. The proof works in three steps:

1. If the expected service time for  $M_i$  with  $\ell_i \geq 2$  is minimized, then for any pair of consecutive broadcasts of the same packet of  $M_i$  at time  $t_1$  and  $t_2$  ( $t_1 < t_2$ ), a packet of  $M_i$  is broadcast at time  $(t_1 + 1)$  or  $(t_2 - 1)$ .
2. If the expected service time of  $M_i$  is minimized, the packets of  $M_i$  are broadcast within blocks of  $\ell_i$  consecutive time slots.
3. The blocks are optimally scheduled periodically every  $T/n_i$ .

*Step 1.* Consider  $M_i$  with  $\ell_i \geq 2$  and two consecutive packets of  $M_i$  (w.l.o.g. packets 1 and 2). For  $1 \leq k \leq n_i$ , let  $I_k$ ,  $J_k$ , and  $K_k$  be the intervals delimited by the end of the  $k^{\text{th}}$  broadcast of packet 1, the beginning and the end of the  $k^{\text{th}}$  broadcast of packet 2, and the beginning of the next broadcast of a packet of  $M_i$  as illustrated below (Note that  $|J_k| = 1$ ).



Let  $S'$  be the schedule that schedules the packets of  $M_i$  as in  $S$  except that packet 2 is always scheduled next to packet 1. A request for  $M_i$  that raises outside intervals  $I_k$ ,  $J_k$  and  $K_k$  has the same service time in  $S$  and in  $S'$ . A request that raises in  $I_k$  is served one time unit later in  $S'$  than in  $S$ . But a request that raises in  $J_k \cup K_k$  is served  $|I_{k+1}|$  earlier in  $S'$  than in  $S$ . The expected service time varies then from  $S$  to  $S'$  by:

$$\sum_{i=1}^{n_i} (|I_k| \times 1 - (1 + |K_k|) \times |I_{k+1}|) = - \sum_{i=1}^{n_i} |K_k| \cdot |I_{k+1}| \leq 0$$

Thus, the expected service time in  $S'$  is at most as big as in  $S$  and smaller if there exists in  $S$  a pair of consecutive broadcasts of packet 2 occurring at time  $t_1$  and  $t_2$  ( $t_1 < t_2$ ) so that no packet of  $M_i$  is broadcast at time  $(t_1 + 1)$  ( $|K_k| \neq 0$ ) and  $(t_2 - 1)$  ( $|I_{k+1}| \neq 0$ ).

*Step 2* is obtained by contradiction using the transformation in Step 1.

*Step 3.* We are thus left with  $n_i$  blocks of  $\ell_i$  packets of  $M_i$ . Let  $t_k$  be the time elapsed between the beginning of the  $k^{\text{th}}$  and the  $(k+1)^{\text{th}}$  block. Lemma 2 yields that the expected service time for  $M_i$  is:

$$\sum_{k=1}^{n_i} \left( \frac{t_k^2}{2T} \right) + \ell_i - \frac{n_i \ell_i (\ell_i - 1)}{2T}$$

which is minimized under the constraint  $\sum_{k=1}^{n_i} t_k = T$ , when for all  $k$ ,  $t_k = T/n_i$ . Define  $\tau_i = T/(n_i \ell_i)$ . The cost of  $S$  is thus bounded from below by:

$$\text{COST}(S) \geq \sum_{k=1}^{n_i} \left\{ p_i \left( \frac{\tau_i \ell_i}{2} + \ell_i - \frac{\ell_i - 1}{2\tau_i} \right) + \frac{c_i}{\tau_i} \right\}$$

Finally  $n_i \ell_i \leq T$  and  $\sum_{i=1}^m n_i \ell_i \leq WT$  imply: (i)  $\tau_i \geq 1$  and (ii)  $\sum_{i=1}^m 1/\tau_i \leq W$ . Minimizing over those constraints yields the lower bound on the cost of any schedule. The unicity of the solution  $\tau^*$  to the minimization problem will be proved Section 4.5.

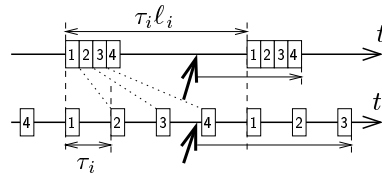
Moreover by construction, the lower bound is realized *iff* there exists a periodic Round Robin schedule that broadcast the  $\ell_i$  packets of each  $M_i$  in consecutive slots, periodically every  $\tau_i^* \ell_i$ .  $\square$

*Remark 3.* One can derive a trivial lower bound close up to an additive term  $\sum_{i=1}^m p_i \ell_i$  to ours by simply optimizing the time needed to download a given packet for each message. If this later lower bound is sufficient to analyze our heuristics, it is never realized and cannot be used to yield our *NP*-hardness result.

## 4 Constant factor approximation algorithms

*Note 1.* The optimal fictitious schedule suggested by the lower bound  $\text{LB}(M)$  is not realizable in general. Actually, as shown in [10], if no preemption are used, the optimal cost of a schedule can be arbitrary far from the lower bound  $\text{LB}(M)$ . Consider the problem of scheduling  $W+1$  messages  $M_1, \dots, M_{W+1}$  on  $W$  channels, where  $M_i$  counts  $\ell_i = L^{i-1}$  packets, cost  $c_i = 0$ , and request probability  $p_i = \alpha/L^{i-1}$ , where  $\alpha$  is such that  $p_1 + \dots + p_m = 1$ . In that case, one can show by induction on  $W$  that when  $L$  goes to infinity, the optimal schedule without preemption has a cost  $\text{OPT}_{\text{without preemption}} = \Theta(L^{1/2^W})$ , but  $\text{LB}(M) = \Theta(1)$ .

In order to minimize the cost of the schedule, we won't follow exactly the fictitious schedule suggested by the lower bound in Lemma 3. In fact, remark that if we spread regularly the packets of each message  $M_i$ , every  $\tau_i$ , in this fictitious schedule, the expected service time to a random request increases by less than a factor of 2. This will be



**Fig. 2:** Spreading the packets regularly.

helpful in order to design an efficient approximation algorithm for the preemptive



**Algorithm 1** Randomized algorithm**Input:** Some positive numbers  $\tau_1, \dots, \tau_m$ , verifying  $\sum_{i=1}^m 1/\tau_i \leq 1$ .Let  $\tau_0 > 0$  so that:

$$1/\tau_0 = 1 - \sum_{i=1}^m 1/\tau_i$$

**Output:****for**  $t = 1.. \infty$  **do**Draw  $i \in \{0, 1, \dots, m\}$  with probability  $1/\tau_i$ . Schedule during slot  $t$ , the next packet of Message  $M_i$  in the Round Robin order, if  $i \geq 1$ ; and Idle during slot  $t$ , otherwise.**Algorithm 2** Greedy algorithm**Input:** Some positive numbers  $\tau_1, \dots, \tau_m$ , verifying  $\sum_{i=1}^m 1/\tau_i \leq 1$ .Let  $c_0 = p_0 = 0$  and  $\tau_0 > 0$  so that:

$$1/\tau_0 = 1 - \sum_{i=1}^m 1/\tau_i$$

**Output:****for**  $t = 1.. \infty$  **do**Select  $i \in \{0, 1, \dots, m\}$  which minimizes  $(c_i - p_i \tau_i \sum_{j=1}^{\ell_i} s_{i,j}^{t-1})$ .Schedule during slot  $t$ , the next packet of  $M_i$  in the Round Robin order, if  $i \geq 1$ ; and Idle during slot  $t$ , otherwise.

case.

We will first present algorithms that construct efficient schedules on a single channel in Sections 4.1, 4.2 and 4.3; then Section 4.4 shows how to extend these algorithms to the multichannel case, using a result of [6].

#### 4.1 A randomized algorithm

**Theorem 2.** Given  $m$  messages  $M_1, \dots, M_m$ , the expected cost of the one-channel schedule  $S$  generated by the randomized algorithm 1, is:

$$\mathbb{E}[\text{COST}(S)] = \frac{1}{2} + \sum_{i=1}^m \left( p_i \tau_i \ell_i + \frac{c_i}{\tau_i} \right)$$

Thus if  $\tau = \tau^*$  realizes  $\text{LB}(M)$ :  $\mathbb{E}[\text{COST}(S)] \leq 2 \cdot \text{LB}(M) - 3/2$ .

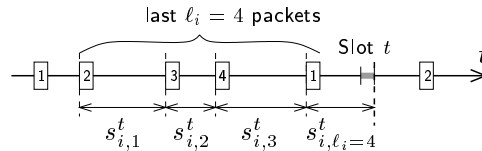
*Proof.* A packet of  $M_i$  is broadcast with probability  $1/\tau_i$  in  $S$ . The expected frequency of  $M_i$  is then  $1/\tau_i$  and  $\mathbb{E}[\text{BC}(S)] = \sum_{i=1}^m c_i/\tau_i$ . A request for  $M_i$  is served after  $\ell_i$  downloads of a packet of  $M_i$ : it waits on expectation  $1/2$  until the end of the current time-slot and  $\tau_i \ell_i$  upto the end of the download of the last packet of  $M_i$ . Then,  $\mathbb{E}[\text{EST}(S)] = 1/2 + \sum_{i=1}^m p_i \ell_i \tau_i$ .

Finally  $\tau_i^* \geq 1$  and  $\ell_i \geq 1$  imply:  $2 \text{LB}(M) \geq \sum_{i=1}^m (p_i \tau_i^* \ell_i + c_i/\tau_i^*) + 2$ , which yields the last statement.

#### 4.2 A greedy approximation

We present in this section a derandomized version of the randomized algorithm above.

As shown Figure 3, we define the *state* of the schedule at time slot  $t$  as a vector  $s^t$ , such that: for any  $i$  and  $1 \leq j \leq \ell_i$ , the  $j^{\text{th}}$  of the  $\ell_i$  last broadcasts of a packet of  $M_i$  before time  $t$  starts at



**Fig. 3:** The state  $(s_{i,j}^t)$  at time  $t$ .

time  $(t - (s_{i,j}^t + \dots + s_{i,\ell_i}))$ . Since no request arrive before  $t = 0$ , we equivalently assume that all the packets of all messages are fictively broadcast at time  $t = 0$ , and initially, at time  $t = 0$ : for all  $i$  and  $j$ ,  $s_{i,j}^0 = 0$ ;

**Theorem 3.** *Given  $m$  messages  $M_1, \dots, M_m$ , the cost of the one-channel schedule  $S$  generated by the greedy algorithm 2, is:*

$$\text{COST}(S) \leq \frac{1}{2} + \sum_{i=1}^m \left( p_i \tau_i \ell_i + \frac{c_i}{\tau_i} \right)$$

Thus if  $\tau = \tau^*$  realizes  $\text{LB}(M)$ :  $\text{COST}(S) \leq 2 \cdot \text{LB}(M) - 3/2$ .

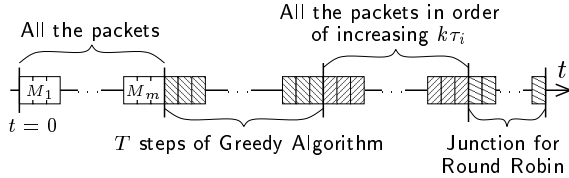
*Proof sketch.* (Omitted) The greedy algorithm is a derandomized version of the algorithm above. The greedy choice ensures that at any time  $t$ , the choice made in time slot  $t$  minimizes the expected cost of the already allocated slots  $1, \dots, t-1$ , if the schedule would continue with the randomized scheme. Its cost is then, at any time, bounded from above by the expected cost of the randomized schedule.  $\square$

### 4.3 A deterministic periodic approximation

It is sometimes required to have a fixed schedule instead of generating it on the fly. For instance, it helps to design caching strategies [1]. The next result shows that one can construct an efficient periodic schedule with polynomial period. Note that this allow also to *guarantee* a bound (the period) on the service time of any request.

**Theorem 4.** *One can construct in polynomial time, a periodic schedule with cost  $\leq 2 \cdot \text{LB}(M)$  and period polynomial in the total length and cost of the messages  $(\frac{14}{3}(\sum_{i=1}^m \ell_i)^2 + 2 \sum_{i=1}^m c_i \ell_i)$ .*

*Proof sketch.* (Omitted) The schedule is constructed as shown Figure 4: 1) First, schedule all the packets of each message during the first  $\mathcal{L} =_{\text{def}} \sum_i \ell_i$  time slots; 2) Second, executes  $T$  steps



**Fig. 4:** A periodic approximation.

of the greedy algorithm above; 3) Third, sort the set  $X = \{k\tau_i^* : 1 \leq k \leq \ell_i\}$  in increasing order and schedule during the next  $\mathcal{L}$  time slots, the  $k^{\text{th}}$  packets of the messages  $M_i$  in order of increasing  $k\tau_i^*$ ; 4) Finally, complete with some packets of the messages in order to ensure that for all  $i$ , the number of broadcasts of a packet of  $M_i$  in a period is a multiple of  $\ell_i$ , and thus guaranty the Round Robin property. One can show that the cost of the resulting schedule is at most  $2 \text{LB}(M)$  as soon as the period is bigger than  $\frac{14}{3}(\sum_i \ell_i)^2 + 2 \sum_i c_i \ell_i$ .  $\square$

### 4.4 Multi-channel 2-approximations

The performance ratio proof for the randomized algorithm given above only rely on the fact that we know how to broadcast the packets of each  $M_i$  every  $\tau_i$  on

expectation. In order to extend the result to the multi-channel case, we only need to manage to broadcast the packets of each  $M_i$  with probability  $1/\tau_i$ , while ensuring that two packets of the same messages are not broadcast during the same time slot. A straight forward application of the method designed in [6], to extend the single channel randomized algorithm to the multi-channel, yields then the result.

The multi-channel greedy algorithm is again obtained by derandomizing the schedule, and by extending the greedy choice as in [6]. Finally the extension of the periodic approximation is then constructed exactly as in Section 4.3, except that one uses the multi-channel greedy algorithm instead of the single channel one.

#### 4.5 Solving the lower bound

The aim of this last section is to solve the following generic non-linear program (A), defined by:

$$(A) \quad \min_{\tau > 0} \sum_{i=1}^m a_i \tau_i + \frac{b_i}{\tau_i} \quad \text{Subject to: (i) } \forall i, \tau_i \geq 1 \text{ and (ii) } \sum_{i=1}^m \frac{1}{\tau_i} \leq W$$

where  $W$  is a positive integer,  $a_1, \dots, a_m$  are positive numbers, and  $b_1, \dots, b_m$  are arbitrary numbers.

We present essentially an extension of the method designed in [6] for the special case where for all  $i$ ,  $b_i \geq 0$ . The results presented are basically the same but the proofs need to be adapted. As in [6], we introduce a relaxed minimization problem (A'), which do not require the constraint (i), and which can be solved algebraically. The solution to the relaxed problem will allow to construct and prove the unicity of the solution to (A).

**Lemma 4 (Relaxation).** *Given some positive numbers  $a_1, \dots, a_m$ , a positive integer  $W$  and some numbers  $b_1, \dots, b_m$ , the following minimization problem:*

$$(A') \quad \min_{\tau > 0} \sum_{i=1}^m a_i \tau_i + \frac{b_i}{\tau_i} \quad \text{Subject to: } \sum_{i=1}^m \frac{1}{\tau_i} \leq W$$

*admits a unique solution  $\tau'$  verifying:  $\tau'_i = \sqrt{(b_i + \lambda')/a_i}$ , for a certain  $\lambda' \geq 0$ . If, for all  $i$ ,  $b_i \geq 0$  and  $\sum_i \sqrt{a_i/b_i} \leq W$ , then  $\lambda' = 0$ ; else  $\lambda'$  is the unique solution to:  $\sum_i \sqrt{a_i/(b_i + \lambda')} = W$ .*

*Proof sketch.* (Omitted) Solved by careful use of Lagrangian relaxation.  $\square$

**Lemma 5.** *Consider the two non-linear minimization problems (A) and (A'), a solution  $\tau^*$  to (A) and the solution  $\tau'$  to (A'). Then, for all  $i$ , if  $\tau'_i < 1$ , then  $\tau_i^* = 1$ .*

*Proof.* The proof given in [6] is only based on the unimodularity (and not on the convexity) of the terms  $a_i \tau_i + b_i/\tau_i$ . Their proof then naturally extends to the case where some  $b_i$  may be negative.  $\square$

**Corollary 1 (Unicity).** *The minimization problem (A) admits a unique solution  $\tau^*$  which can be computed in polynomial time.*

*Proof.* Consider a solution  $\tau^*$  to (A). We compute the solution  $\tau'$  to (A'). If for some  $i_0$ ,  $\tau'_{i_0} < 1$ , then  $\tau^*_{i_0} = 1$ . Thus, we remove this variable from Problem (A) by fixing its value to 1, and iterate. If for all  $i$ ,  $\tau'_i \geq 1$ ,  $\tau'$  is also solution of (A), which is thus unique:  $\tau^* = \tau'$ .  $\square$

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The full version of the paper is available at [//www.ens-lyon.fr/~nschaban](http://www.ens-lyon.fr/~nschaban).

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