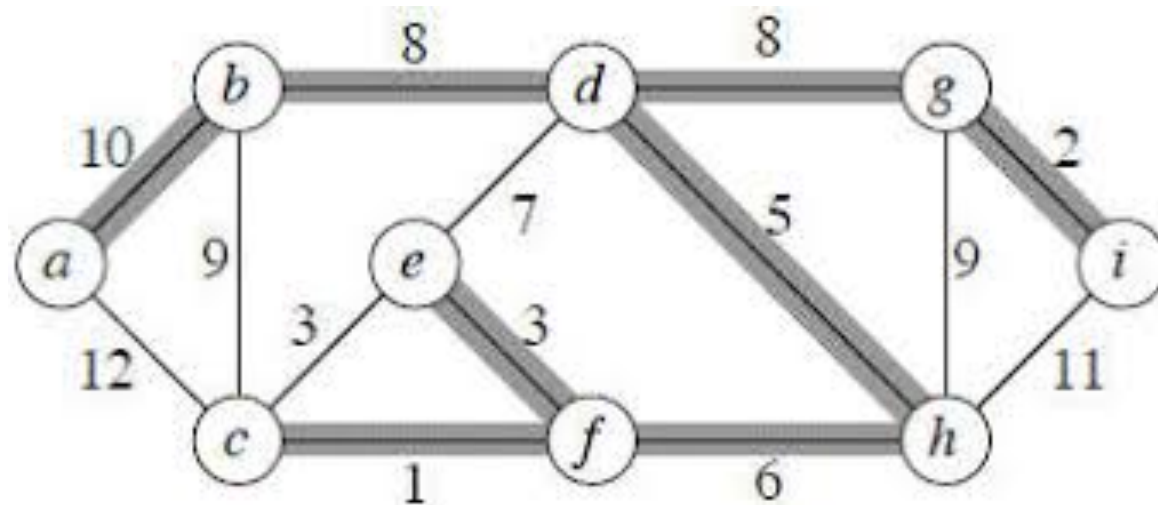


CONGEST Model (cont.)

- Global problems
- Minimum-weight Spanning Tree (MST)
 - ▶ Borůvka's algorithm
 - ▶ Matroïd algorithm
 - ▶ Sublinear algorithm
- Lower bound for MST

Minimum Spanning Tree (MST)



Input of node u : $ID(u)$, $w(e)$ for every $e \in E(u)$

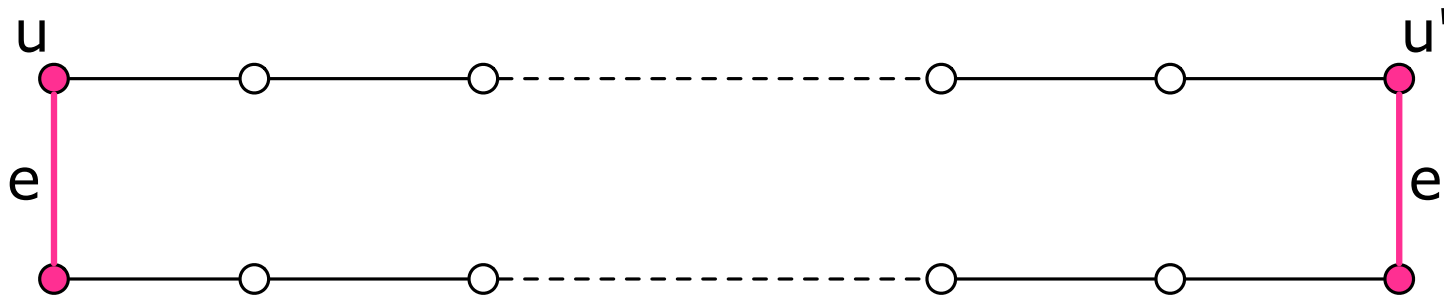
Output of node u : list of edges $e \in E(u)$ belonging to MST

Facts about MST

Let $G = (V, E)$ be a connected weighted graph

- Without loss of generality, all weights can be assumed distinct \implies for every $e = \{u, v\}$ with $ID(u) > ID(v)$, replace $w(e)$ by $(w(e), ID(u), ID(v))$.
- For every **cut** $(S, V \setminus S)$ in G , the edge of **minimum** weight in the cut belongs to the MST.
- For every **cycle** C in G , the edge of **maximum** weight in C does not belong to the MST

MST is a non-local problem



$$I_1 = (1, 3) \quad I_2 = (3, 2) \quad I_3 = (1, 2)$$

Remark MST requires at least D rounds in the cycle.

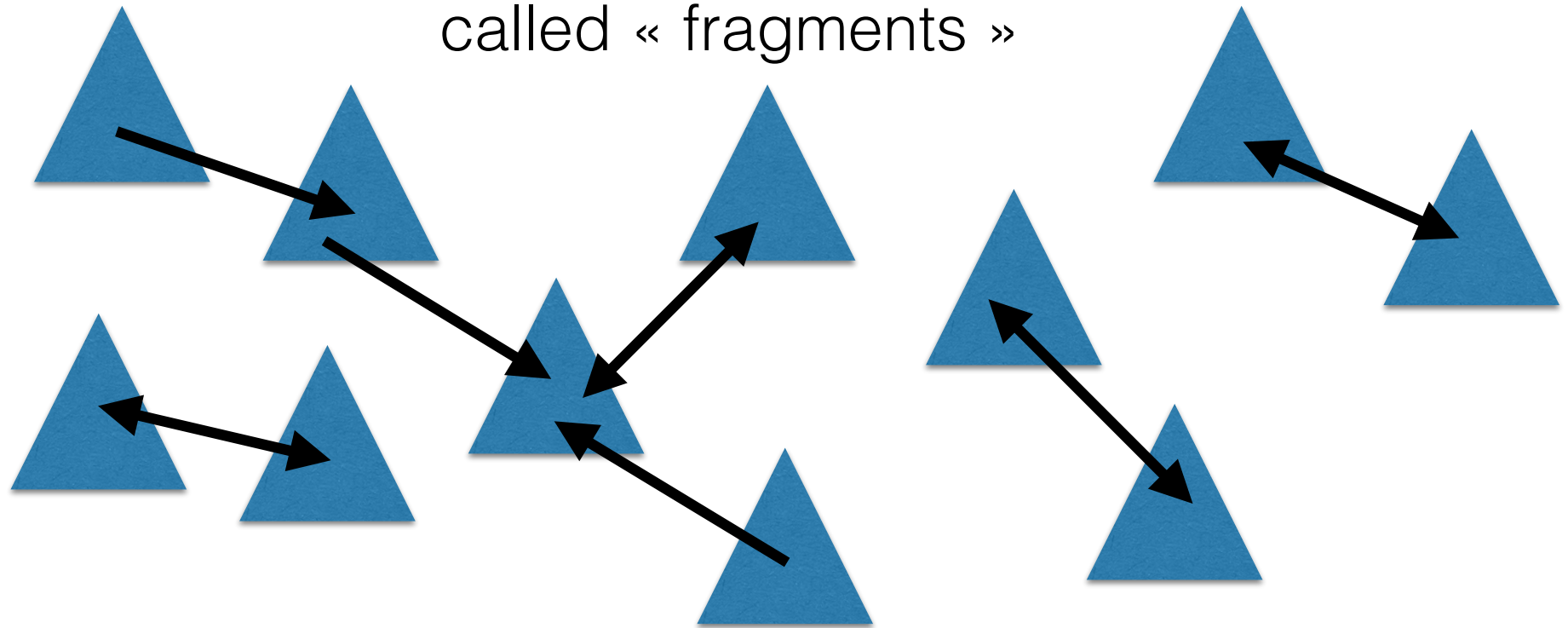
Algorithms with round-complexity $O(f(n)+D)$
in n -node graphs of diameter D .

Objective: minimizing $f(n)$

Borůvka's algorithm (1926)

distributed version

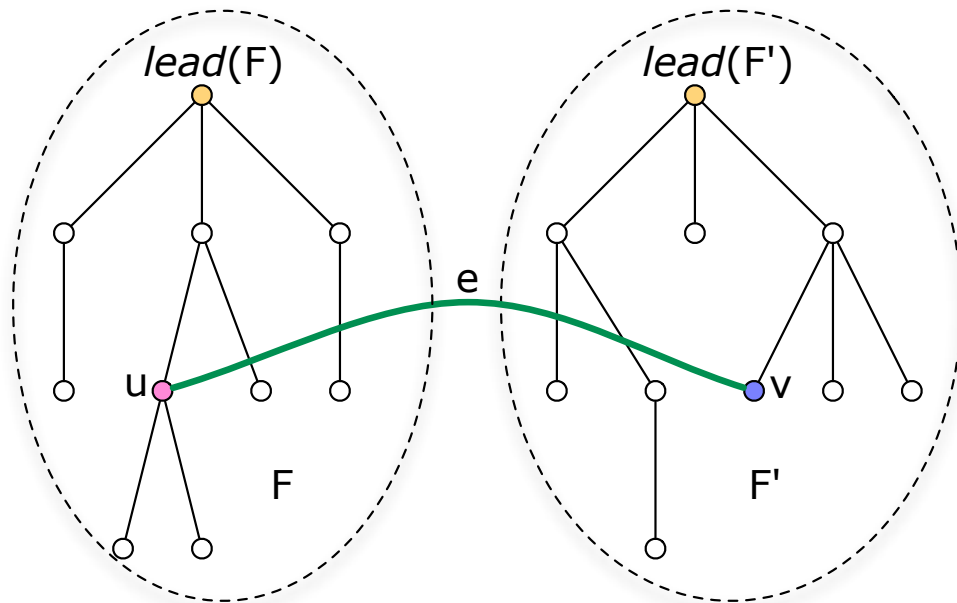
Collection of subtrees
called « fragments »



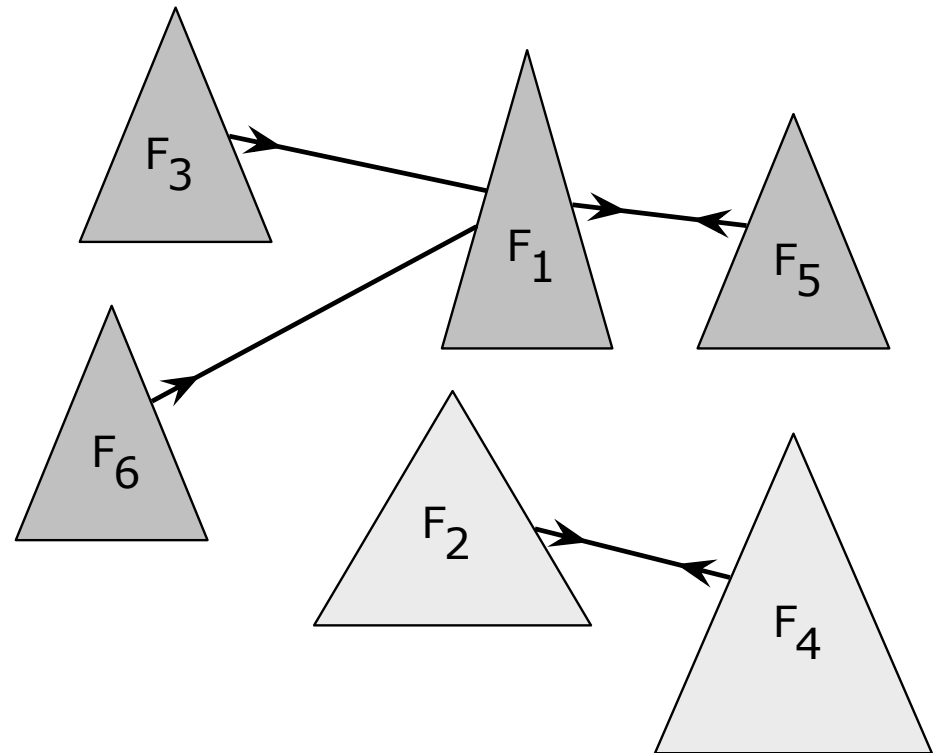
A phase = fragments
are merged

Merges use the edge of minimum
weight going out of each fragment

Fragments & Merging



$$e = (ID(u), ID(v), w(e))$$



$N(t)$ = #fragments after t phases

$$N(0) = n$$

$$N(t+1) \leq N(t)/2$$

\Rightarrow at most $\lceil \log_2 n \rceil$ phases

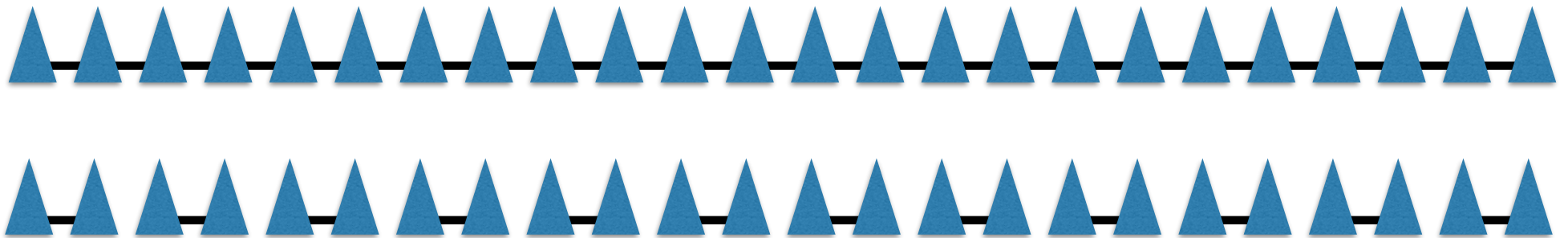
Round complexity

complexity of a phase = $O(\max_F \text{diam}(F))$

$\text{diam}(F) \leq n-1$

Theorem The distributed version of Borůvka's algorithm can be implemented in $O(n \log n)$ rounds in the CONGEST model.

The bound is tight:

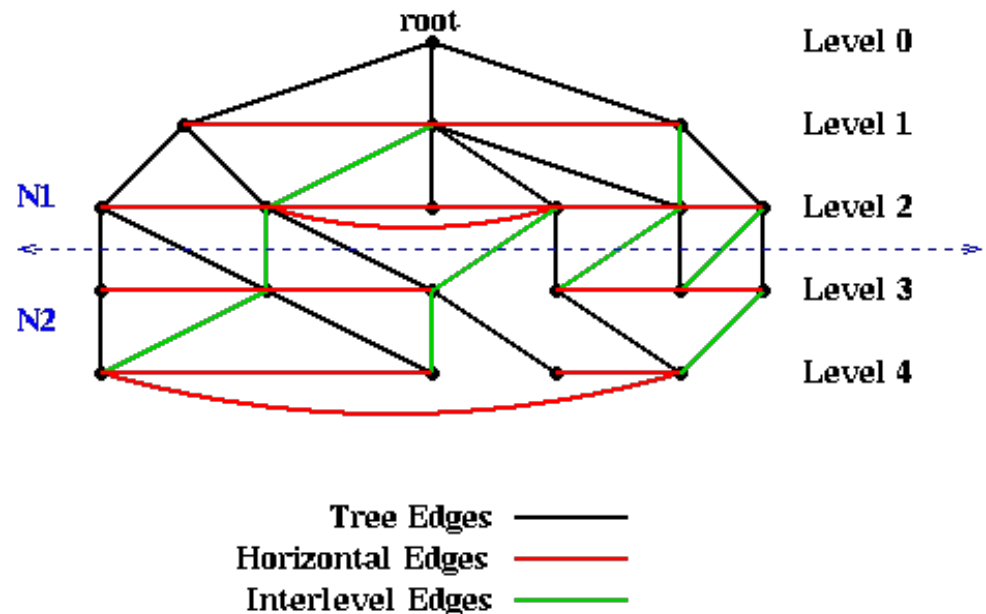


Another MST algorithm

Breadth First Search

Based on a Breadth-First Search (BFS) tree

Lemma BFS construction requires $O(D)$ rounds in the CONGEST model



Algorithm of node u

```
idmin ← ID( $u$ )
```

```
repeat
```

```
  send idmin to neighbors, and receive IDs from neighbors
```

```
  if  $\exists id \in \{\text{IDs sent by neighbors}\} : id < id_{\text{min}}$  then
```

```
    idmin ← id
```

```
    parent( $u$ ) ← ID( $v$ ) where  $v$  is the neighbor which sent id
```


Matroid Algorithm (1)

Algorithm for a node u

$K \leftarrow E(u)$ edges incident to node u

wait until having received an edge from each child

repeat

know $K \leftarrow K \cup \{\text{received edges}\}$

up $U \leftarrow \{\text{edges previously sent to parent}(u)\}$

remove $R \leftarrow \{e \in K \setminus U : U \cup \{e\} \text{ contains a cycle}\}$

candidate $C \leftarrow K \setminus (U \cup R)$

if $C \neq \emptyset$ **then**

 send $e \in C$ with minimum weight to parent

 receive edges from children

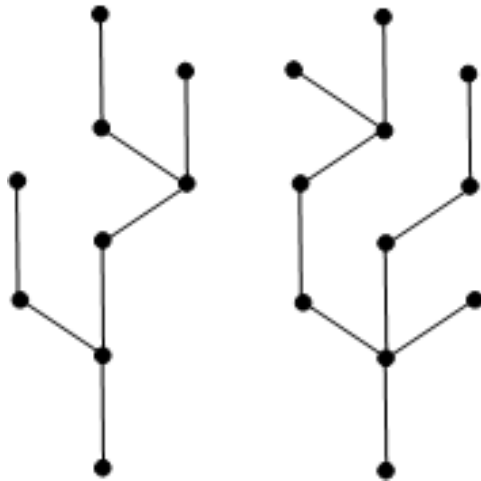
else terminate

Proof of correctness

Theorem The Matroid algorithm performs in $O(n + D)$ rounds in the CONGEST model, and enables the root of the tree to construct a MST.

Lemma 0 Let A and B be acyclic subsets of edges. If $|A| > |B|$ then there exists $e \in A \setminus B$ such that $B \cup \{e\}$ is acyclic. ← This is a matroid axiom

Proof B is a forest $\{T_1, \dots, T_k\}$. Let $n_i = |V(T_i)|$. We have $|E(T_i)| = n_i - 1$.



For every i , there are at most $n_i - 1$ edges of A connecting nodes in T_i .

↪ There is an edge in A whose extremities do not belong to a same tree T_i . □

A node u is said **active** at phase t if it has not terminated at phase $t - 1$.

Let $h(u)$ = height of u = length of longest path to a leaf of the subtree T_u rooted at u .

Lemma 1 For every active child v of a node u , the set C of candidates for u at time t contains at least one edge sent by v to u before time t . \Leftrightarrow **no premature termination**

Proof Induction on $h(u)$. Lemma holds for $h(u)=0$.

Assume lemma hold for all nodes at height $\leq k$.

Let u with $h(u)=k+1$, and v active child of u . Note $h(v) \leq k$.

Let E_u and E_v be edges sent by u to $p(u)$, and by v to $u=p(v)$ before phase t .

Since $h(v) < h(u)$ we have $|E_v| > |E_u|$.

By Lemma 0, $\exists e \in E_v \setminus E_u$ such that $E_u \cup \{e\}$ is acyclic $\Rightarrow e \in C$. \square

Lemma 2

- (a) If u sends e to $p(u)$ at phase t then
1. all edges received by u at phase $t-1$ from its active children were of weight $\geq w(e)$, and
 2. all edges to be received by u at phases $\geq t$ will be of weight $\geq w(e)$.
- (b) The weights of the edges sent by u to its parent are \nearrow

Proof True for height 0. Assume holds for height k .

(a.1) Let u with $h(u) = k+1$.

Let e' be edge sent by child v at phase $t-1$.

Let $e'' \in C$ whose existence follows from Lemma 1.

By induction, property (b) implies $w(e'') \leq w(e')$.

By the choice of the edge in C , we have $w(e) \leq w(e'')$.

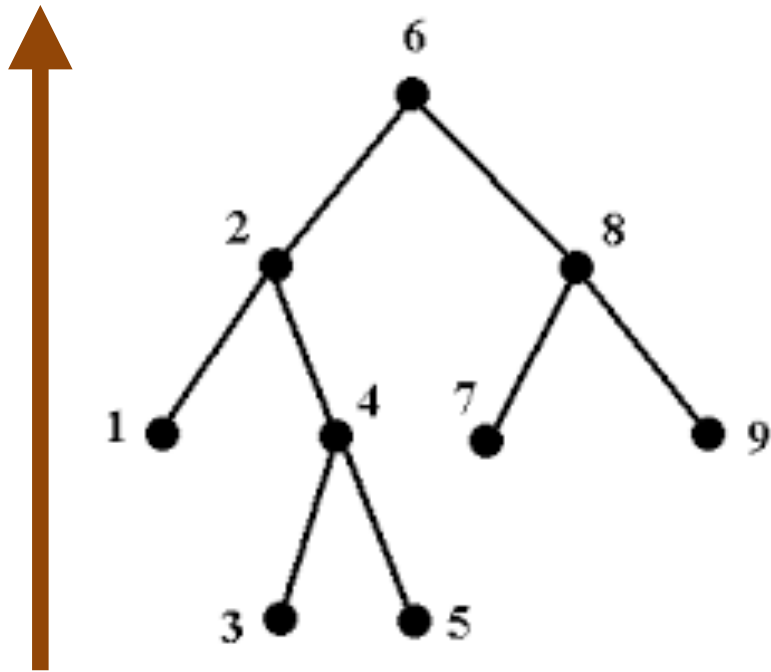
$\hookrightarrow w(e') \geq w(e)$.

(a.2) follows from (a.1) and by induction from (b).

(b) follows from (a.2) by the choice of the edge in C . □

→ it is legitimate to remove edges creating cycles with previously sent edges.

Complexity

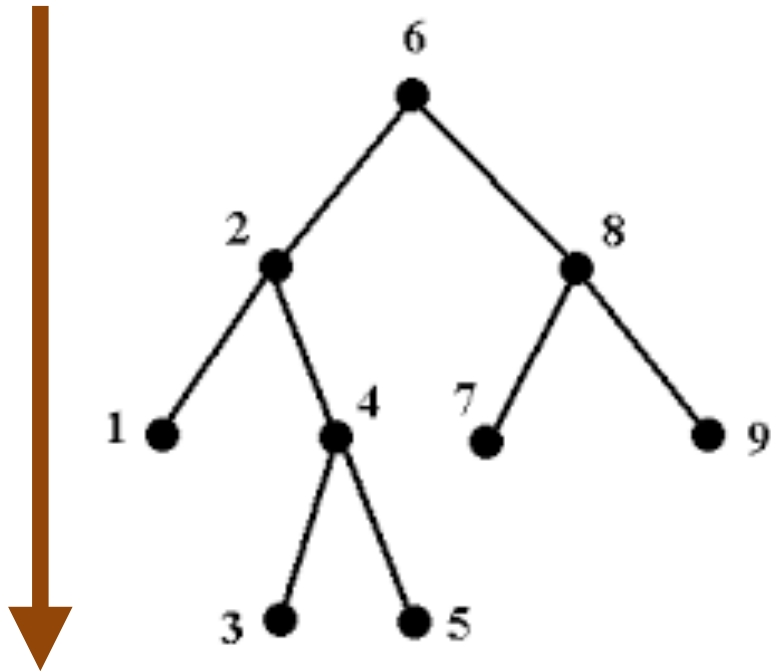


In n -node graphs, any set of n edges includes a cycle

↳ every node sends $\leq n-1$ edges

↳ #rounds $\leq D + n - 1$

Broadcasting the MST from the root to all nodes



Pipelining the edges of $T = \{e_1, e_2, \dots, e_{n-1}\}$ down the BFS tree

↳ #rounds $\leq D + n - 1$

Wrap Up

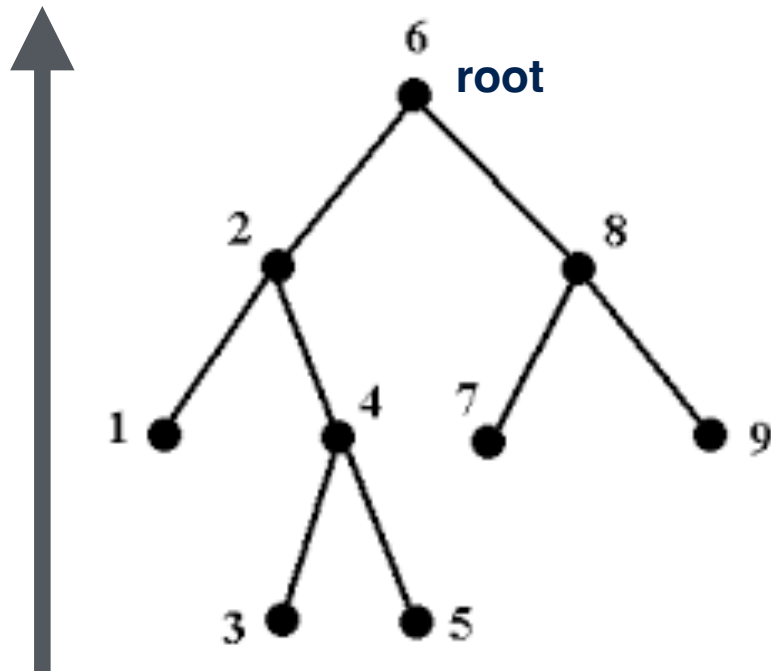
- **Borůvka:** $O(n \log n)$ rounds — this is because fragments can have arbitrarily large diameter
- **Matroid:** $O(D+n)$ rounds — this is because too many edges are gathered at a single node.
- **Combining Borůvka and Matroid:**
 - control the diameter of the fragment, and stops when fragments have too large diameter
 - carry on with matroid for computing the (few) edges connecting the fragments already computed by Borůvka

Tool

- $D \subseteq V$ is a dominating set if every $u \notin D$ has a neighbor in D .
- Remarks:
 - Every maximal independent set is a dominating set.
 - Every tree has a dominating set of size $\leq n/2$
- **Objective:** Distributed computing of a dominating set of size $\leq n/2$ in consistently oriented trees.

MIS in Rooted Trees

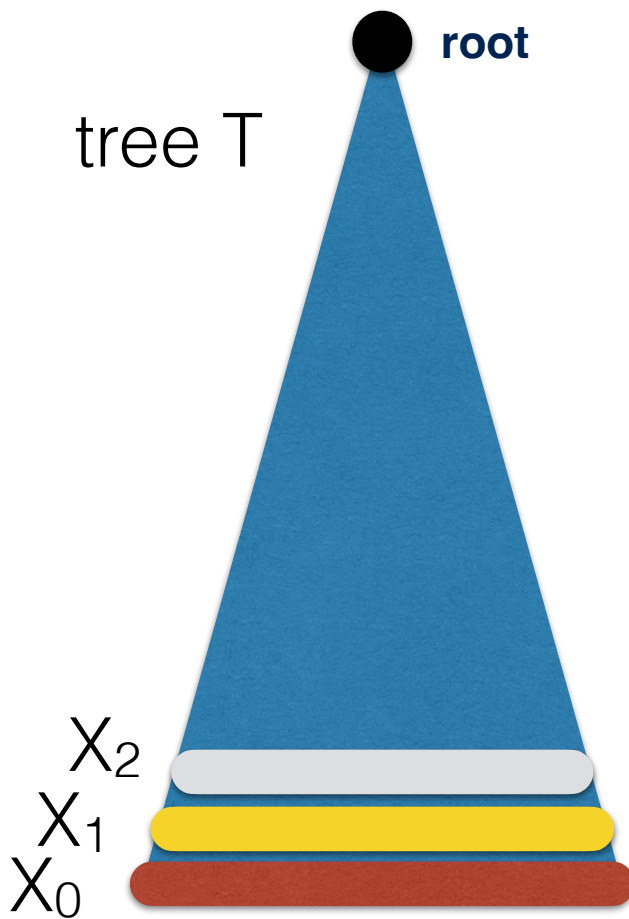
Every node has
pointer to its parent



- Perform Cole and Vishkin algorithm with parent
- When colors are on 3 bits, every node pushes down its color
- Performs 5 rounds to get all colors in $\{1,2,3\}$.

Complexity : $O(\log^*n)$ rounds

Computing small dominating sets in rooted trees



- $X_d = \{\text{nodes at distance } d \text{ from a leaf}\}$
- $Y = V(T) \setminus (X_0 \cup X_1 \cup X_2)$
- Let J be MIS in Y (comput. in $O(\log^*n)$ rounds)
- Let $D = J \cup X_1$

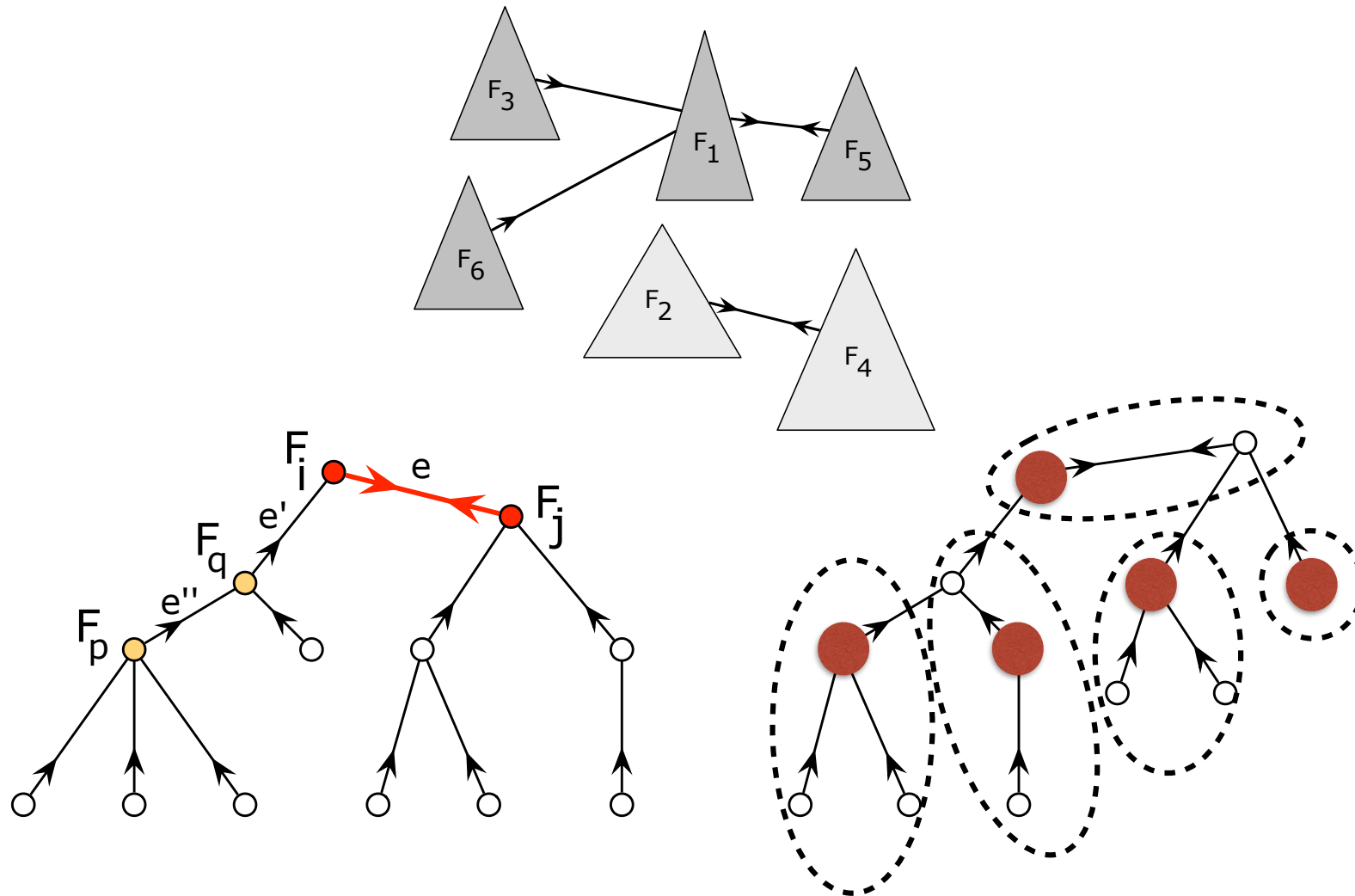
- D is a dominating set

- $|X_1| \leq |X_0| \Rightarrow |X_1| \leq \frac{1}{2} |X_0 \cup X_1|$

- $|J| \leq |(Y \cup X_2) \setminus J| \Rightarrow |J| \leq \frac{1}{2} |Y \cup X_2|$

$\Rightarrow |D| \leq n/2$

Bounding the diameter of fragments



Fast MST algorithm

Two stages:

1. Few phases of Borůvka
2. Completed by Matroid

$$N(t) \leq N(t-1)/2$$

$$\Rightarrow N(t) \leq n/2^t$$

$$\text{diam}(t) \leq 3 \text{diam}(t-1) + 2$$

$$\Rightarrow \text{diam}(t) \leq 3^t - 1$$

$N(t)$ = #frags after t phases
 $\text{diam}(t)$ = max diameter frags

Phase t costs

$O(\text{diam}(t) \log^* n)$ rounds

τ phases Borůvka costs

$\tilde{O}(3^\tau)$ rounds

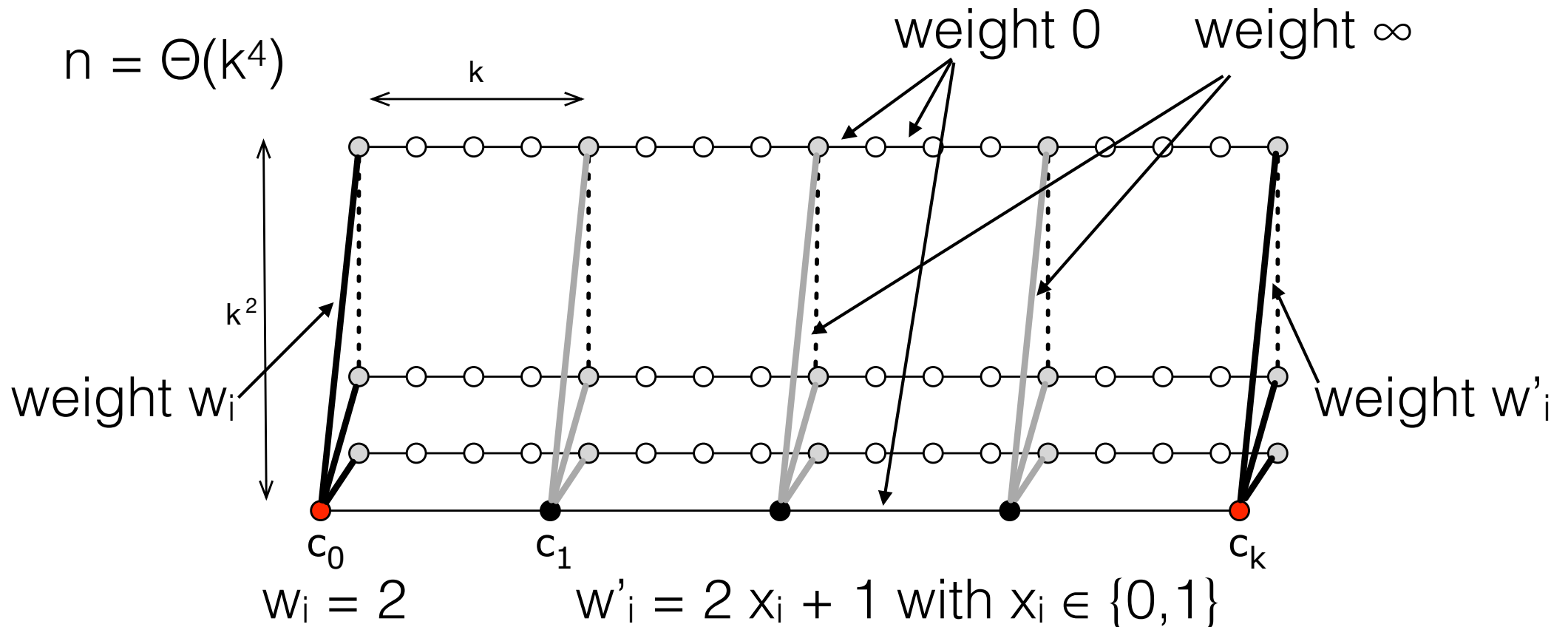
Matroid completes in

$O(D + N(\tau))$ rounds

$$3^\tau = n/2^\tau \Rightarrow \#rounds = \tilde{O}(D + n^{0.6131})$$

Theorem MST construction can be achieved in $\tilde{O}(D + \sqrt{n})$ rounds in the CONGEST model.

$\Omega(\sqrt{n})$ lower bound for MST



Lemma Transmitting k^2 bits from c_k to c_1 takes $\Omega(k^2)$ rounds

Proof (simplified: no recombination)

- $\exists i, x_i$ uses $\leq k/2$ of highway $\Rightarrow \Omega(k \cdot k/2)$ rounds
- $\forall i, x_i$ uses $> k/2$ of highway $\Rightarrow \Omega((k^2 \cdot k/2)/(k \log n))$ rounds □

End Lecture 6