

# A LOCAL View of the Polynomial Hierarchy

Fabian Reiter

LIGM, Université Gustave Eiffel

PODC 2024

Video on  [YouTube](https://youtu.be/lyxWOoVeqBU)  
[youtu.be/lyxWOoVeqBU](https://youtu.be/lyxWOoVeqBU)



# Reverse Engineering a Theory

(A **LOCAL** view of the polynomial hierarchy)

Fabian Reiter

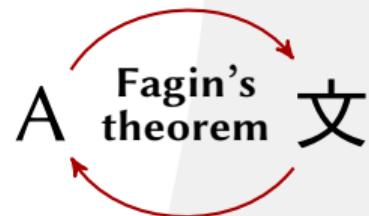
LIGM, Université Gustave Eiffel

PODC 2024

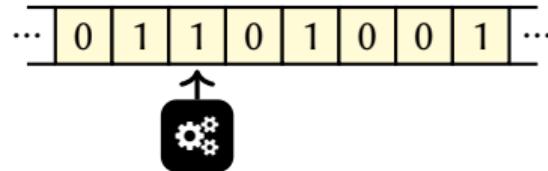
Video on  [YouTube](https://youtu.be/lyxWOoVeqBU)  
[youtu.be/lyxWOoVeqBU](https://youtu.be/lyxWOoVeqBU)



## Two characterizations of NP



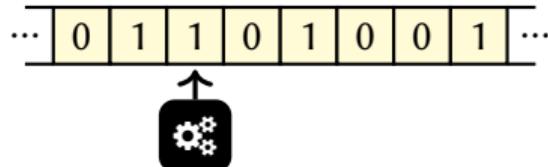
## Two characterizations of NP



Nondet. polynomial-time  
Turing machines



# Two characterizations of NP



Nondet. polynomial-time  
Turing machines

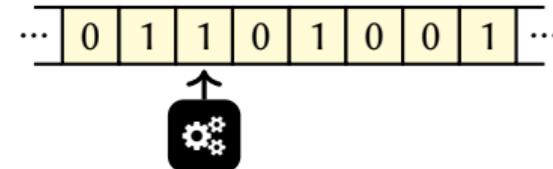


$\exists R: \text{Bijective}(R) \wedge \forall x, y: R(x, y) \rightarrow (\odot x \leftrightarrow \neg \odot y)$

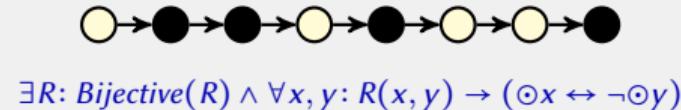
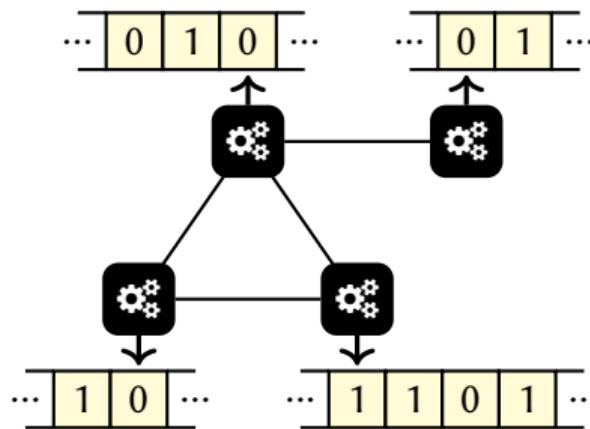


Existential fragment of  
second-order logic

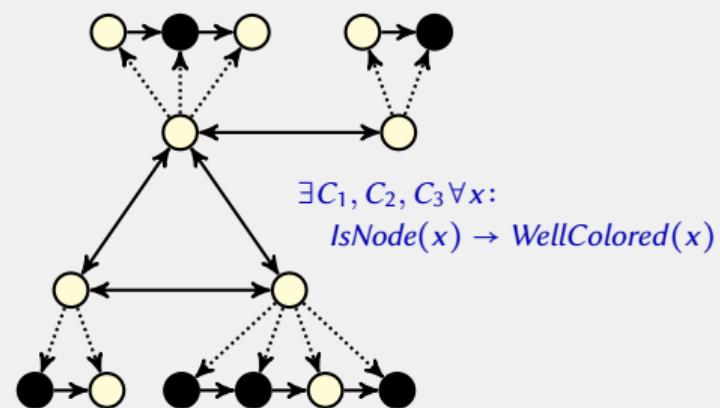
# Two characterizations of NP



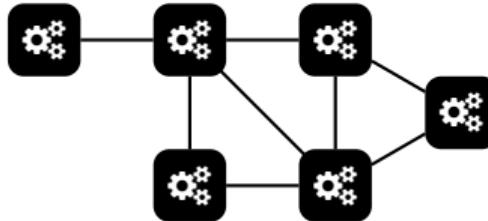
Nondet. polynomial-time  
Turing machines



Existential fragment of  
second-order logic



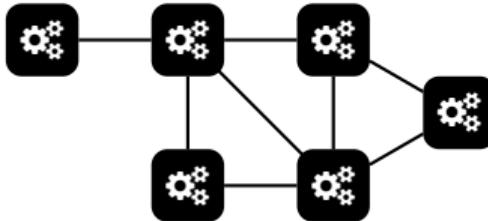
# Model of computation



## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

# Model of computation



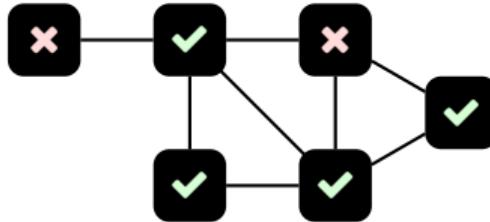
## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

## Local distributed decision

- ▶ Constant number of rounds
- ▶ Graph  $\begin{cases} \text{accepted} & \text{unanimously} \\ \text{or rejected} & \text{by veto} \end{cases}$

# Model of computation



**not Eulerian**  
(some nodes of odd degree)

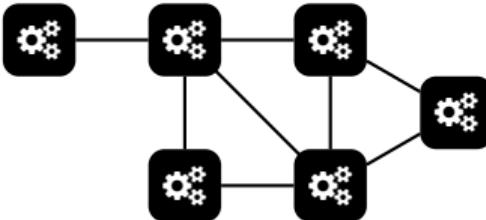
## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

## Local distributed decision

- ▶ Constant number of rounds
- ▶ Graph { accepted unanimously  
or rejected by veto }

# Model of computation



**not Eulerian**  
(some nodes of odd degree)

## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

## Local distributed decision

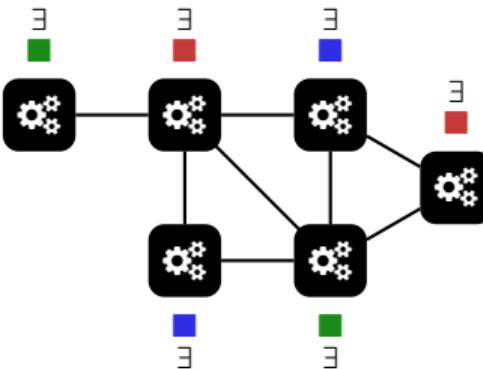
- ▶ Constant number of rounds
- ▶ Graph  $\begin{cases} \text{accepted} & \text{unanimously} \\ \text{or rejected} & \text{by veto} \end{cases}$

## Nondeterministic extension

- ▶ Certificates chosen by Eve

Eve ( $\exists$ )

# Model of computation



**not Eulerian**  
(some nodes of odd degree)

**3-colorable**  
(Eve can find a 3-coloring)

## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

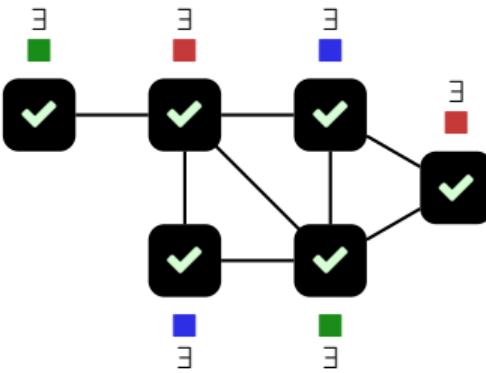
## Local distributed decision

- ▶ Constant number of rounds
- ▶ Graph { accepted unanimously  
or rejected by veto }

## Nondeterministic extension

- ▶ Certificates chosen by Eve

# Model of computation



**not Eulerian**  
(some nodes of odd degree)

**3-colorable**  
(Eve can find a 3-coloring)

## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds

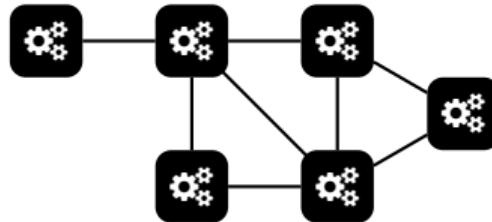
## Local distributed decision

- ▶ Constant number of rounds
- ▶ Graph { accepted unanimously  
or rejected by veto }

## Nondeterministic extension

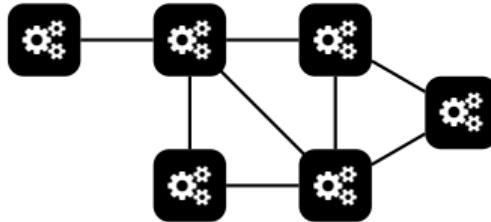
- ▶ Certificates chosen by Eve

# Alternation



Eve ( $\exists$ )

# Alternation

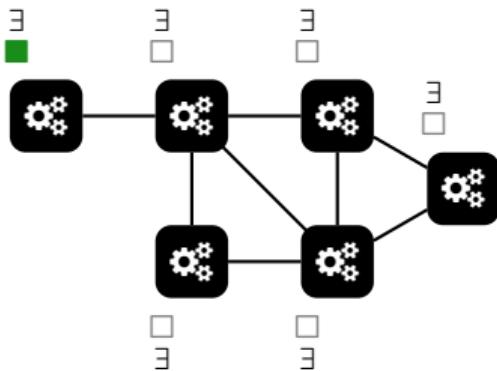
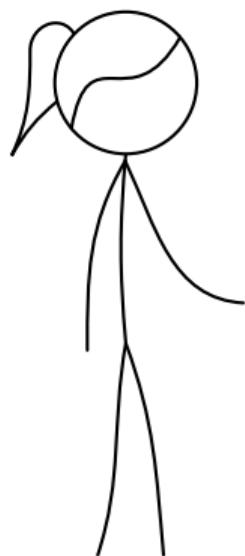


Eve ( $\exists$ )



Adam ( $\forall$ )

# Alternation



Eve ( $\exists$ )

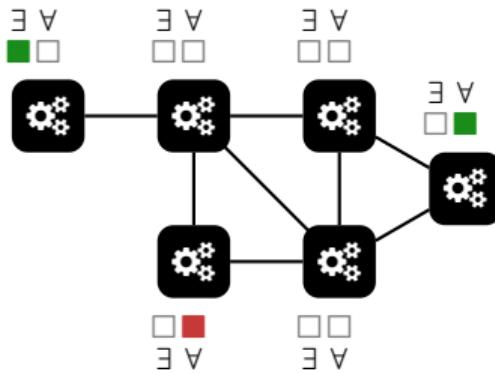


Adam ( $\forall$ )

# Alternation



Eve ( $\exists$ )

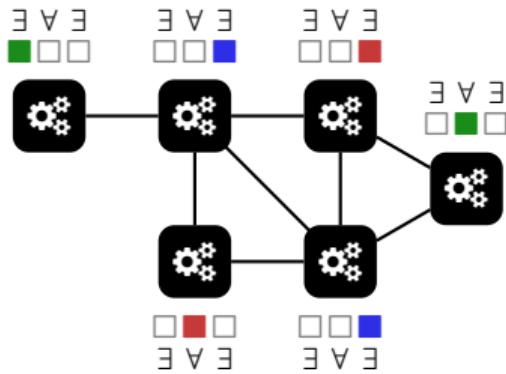


Adam ( $\forall$ )

# Alternation



Eve ( $\exists$ )

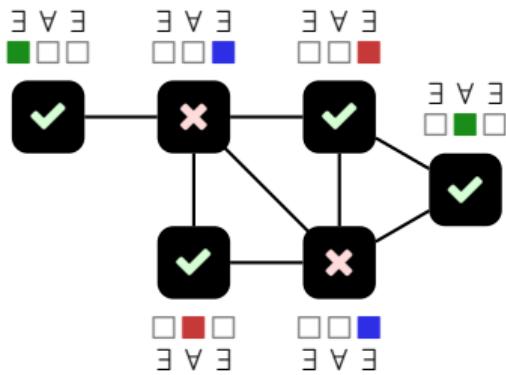


Adam ( $\forall$ )

# Alternation

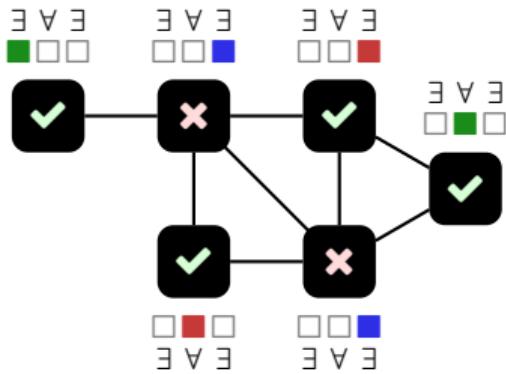


Eve ( $\exists$ )



Adam ( $\forall$ )

# Alternation



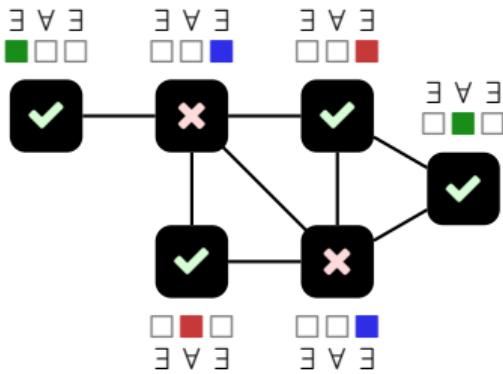
**not 3-round 3-colorable**  
(Adam has a winning strategy)

Eve ( $\exists$ )



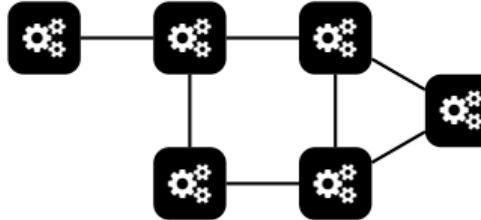
Adam ( $\forall$ )

# Alternation



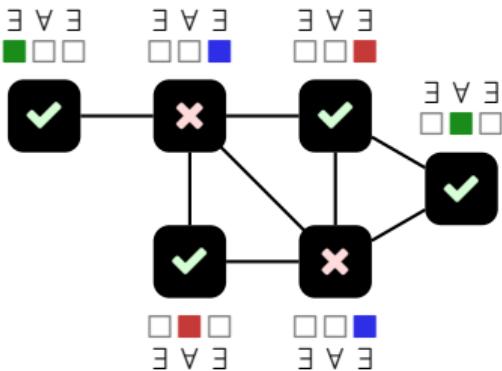
**not 3-round 3-colorable**  
(Adam has a winning strategy)

Eve ( $\exists$ )



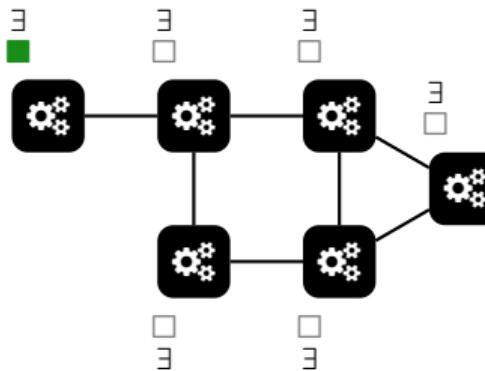
Adam ( $\forall$ )

# Alternation



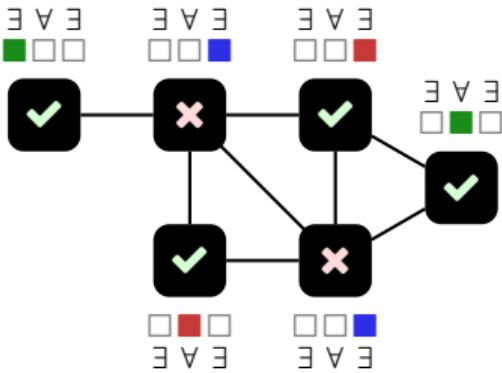
**not 3-round 3-colorable**  
(Adam has a winning strategy)

Eve ( $\exists$ )



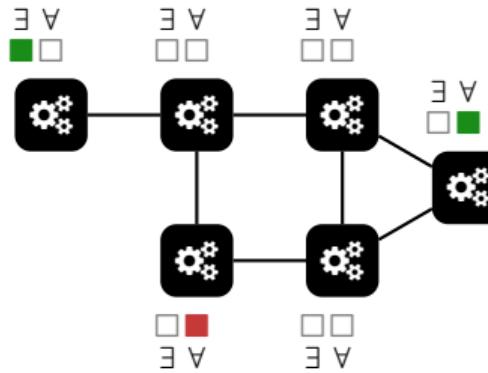
Adam ( $\forall$ )

# Alternation



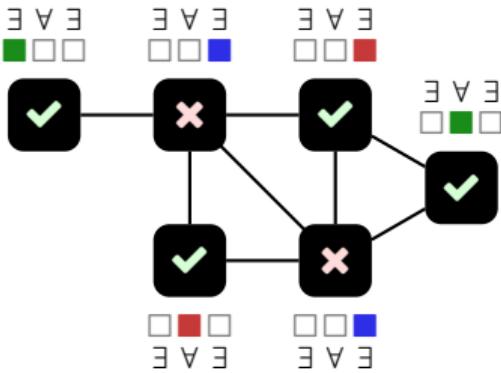
**not 3-round 3-colorable**  
(Adam has a winning strategy)

Eve ( $\exists$ )

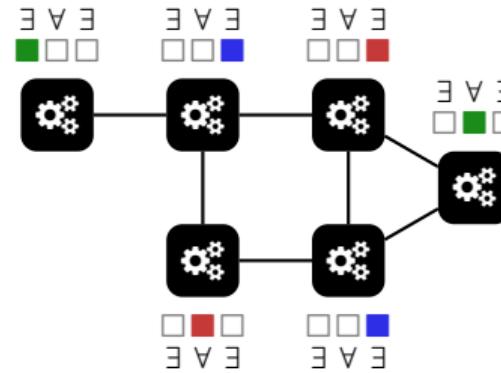


Adam ( $\forall$ )

# Alternation



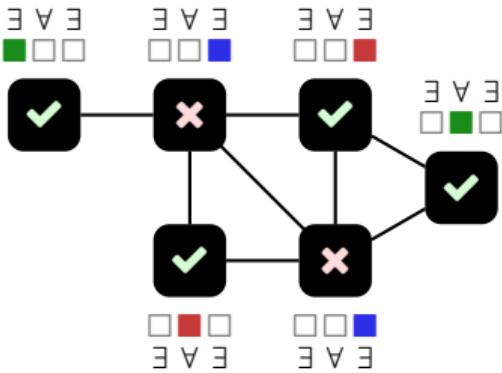
**not 3-round 3-colorable**  
(Adam has a winning strategy)



Eve ( $\exists$ )

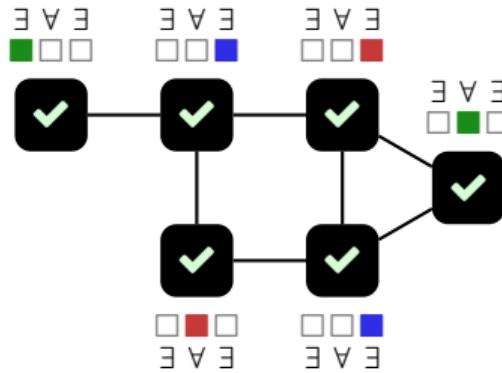
Adam ( $\forall$ )

# Alternation



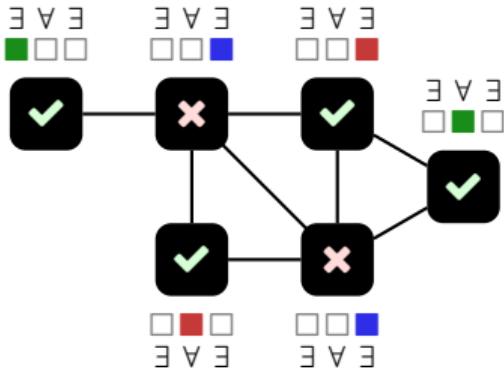
**not 3-round 3-colorable**  
(Adam has a winning strategy)

Eve ( $\exists$ )

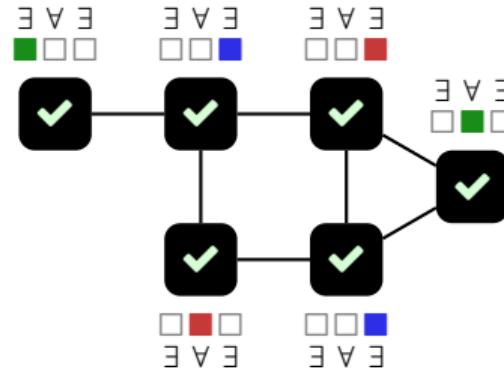


Adam ( $\forall$ )

# Alternation



**not 3-round 3-colorable**  
(Adam has a winning strategy)



**3-round 3-colorable**  
(Eve has a winning strategy)



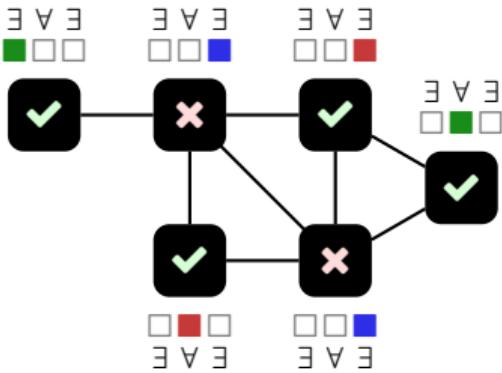
Eve ( $\exists$ )

Adam ( $\forall$ )

# Alternation



Eve ( $\exists$ )

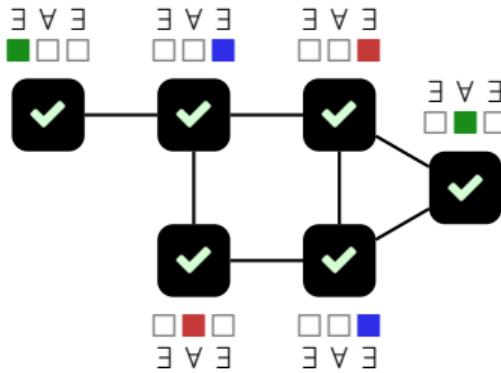


**not 3-round 3-colorable**  
(Adam has a winning strategy)

$$\Sigma_3 \quad \exists \forall \exists$$

$$\Sigma_2 \quad \exists \forall$$

$$\Sigma_1 \quad \exists$$

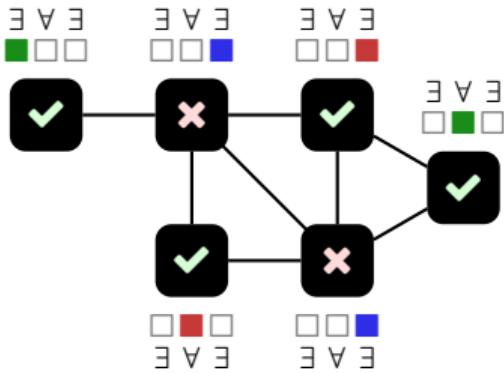


**3-round 3-colorable**  
(Eve has a winning strategy)



Adam ( $\forall$ )

# Alternation



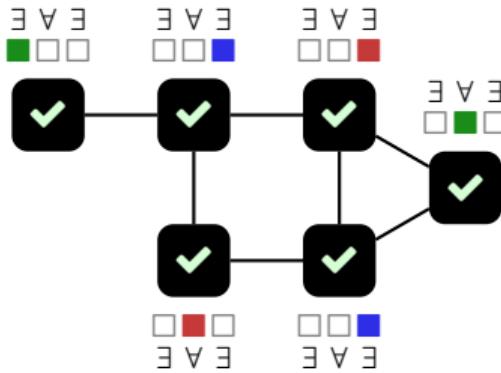
**not 3-round 3-colorable**  
(Adam has a winning strategy)

$$\rightarrow \Sigma_3 \exists \forall \exists$$

Eve ( $\exists$ )

$$\Sigma_2 \exists \forall$$

$$\Sigma_1 \exists$$

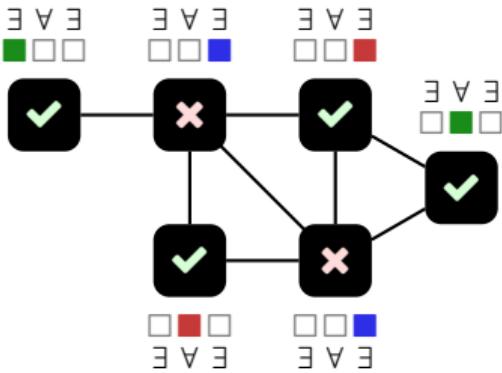


**3-round 3-colorable**  
(Eve has a winning strategy)

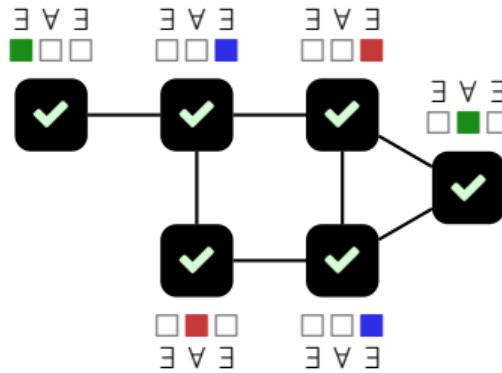


Adam ( $\forall$ )

# Alternation



**not 3-round 3-colorable**  
(Adam has a winning strategy)



**3-round 3-colorable**  
(Eve has a winning strategy)

Eve ( $\exists$ )

$$\rightarrow \Sigma_3 \exists \forall \exists$$

$$\Sigma_2 \exists \forall$$

$$\rightarrow \Sigma_1 \exists$$

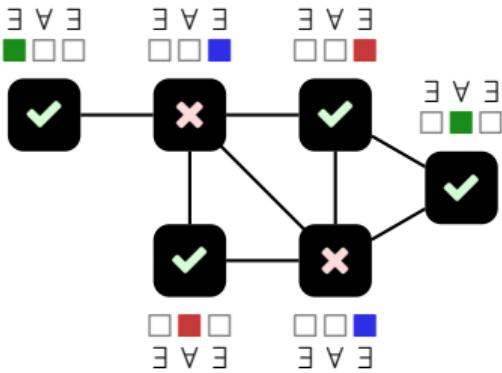


Adam ( $\forall$ )

# Alternation



Eve ( $\exists$ )

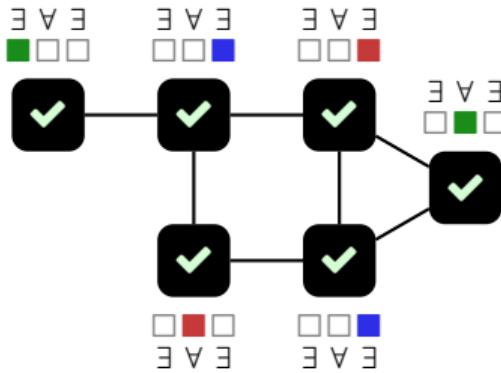


**not 3-round 3-colorable**  
(Adam has a winning strategy)

$$\rightarrow \Sigma_3 \exists \forall \exists$$

$$\Sigma_2 \exists \forall$$

$$\rightarrow \Sigma_1 \exists$$



**3-round 3-colorable**  
(Eve has a winning strategy)

$$\Pi_3 \forall \exists \forall$$

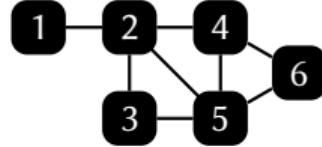
$$\Pi_2 \forall \exists$$

$$\Pi_1 \forall$$



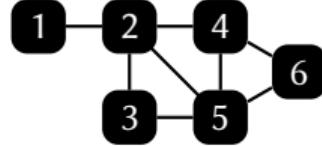
Adam ( $\forall$ )

## Related work



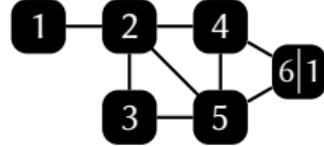
|              |              |             |               |
|--------------|--------------|-------------|---------------|
|              |              | Balliu      |               |
| Feuilloley   | D'Angelo     | Fraigniaud  | Aldema Tshuva |
| Fraigniaud   | Fraigniaud   | Olivetti    | Oshman        |
| Hirvonen     | (STACS 2017) | (PODC 2022) | This work     |
| (ICALP 2016) |              |             |               |

## Related work



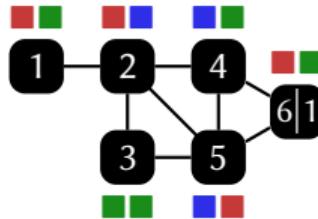
|               |        |  |                         |           |
|---------------|--------|--|-------------------------|-----------|
|               |        | Balliu<br>D'Angelo<br>Fraigniaud<br>Olivetti | Aldema Tshuva<br>Oshman | This work |
| ID uniqueness | global | global                                       | global                  |           |

## Related work



|               |        |  |                         |           |
|---------------|--------|--|-------------------------|-----------|
|               |        | Balliu<br>D'Angelo<br>Fraigniaud<br>Olivetti | Aldema Tshuva<br>Oshman | This work |
| ID uniqueness | global | global                                       | global                  | local     |

## Related work



|  |  |  |  |           |
|--|--|--|--|-----------|
|  | Feuilloley<br>Fraigniaud<br>Hirvonen<br>(ICALP 2016) | Balliu<br>D'Angelo<br>Fraigniaud<br>Olivetti<br>(STACS 2017) | Aldema Tshuva<br>Oshman<br>(PODC 2022) | This work |
|--|--|--|--|-----------|

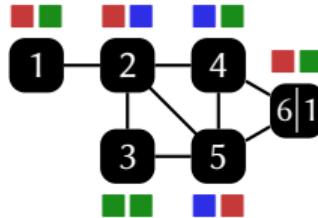
---

|                  |             |           |                  |       |
|------------------|-------------|-----------|------------------|-------|
| ID uniqueness    | global      | global    | global           | local |
| Certificate size | $O(\log n)$ | unbounded | $\text{poly } n$ |       |

---

$n$ : number of nodes

## Related work

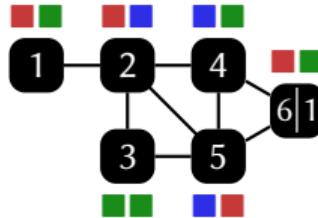


|                  |             |  |  |                         |
|------------------|-------------|--|--|-------------------------|
|                  |             | Balliu<br>D'Angelo<br>Fraigniaud<br>Olivetti<br>(STACS 2017) | Aldema Tshuva<br>Oshman<br>(PODC 2022) | This work               |
| ID uniqueness    | global      | global   | global                                 | local                   |
| Certificate size | $O(\log n)$ | unbounded  | $\text{poly } n$                       | $\text{poly }  N_r(v) $ |

$n$ : number of nodes

$|N_r(v)|$ : size of node  $v$ 's  $r$ -neighborhood

## Related work

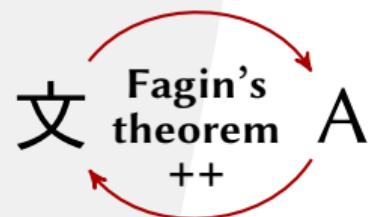


|                  | Feuilloley<br>Fraigniaud<br>Hirvonen<br>(ICALP 2016) | Balliu<br>D'Angelo<br>Fraigniaud<br>Olivetti<br>(STACS 2017) | Aldema Tshuva<br>Oshman<br>(PODC 2022) | This work               |
|------------------|--|--|--|-------------------------|
| ID uniqueness    | global   | global   | global                                 | local                   |
| Certificate size | $O(\log n)$  | unbounded  | $\text{poly } n$                       | $\text{poly }  N_r(v) $ |
| Computation time | unbounded  | unbounded  | $\text{poly } n$                       | $\text{poly }  N_r(v) $ |

$n$ : number of nodes

$|N_r(v)|$ : size of node  $v$ 's  $r$ -neighborhood

# Using logic and automata theory



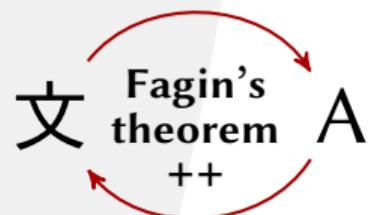
The **LOCAL** model

- + locally unique IDs
- + local-polynomial bounds

# Using logic and automata theory

## Monadic second-order logic (MSO)

- ▶ *Yields an infinite hierarchy on grids [1].*
- ▶ *Satisfies a locality property [2].*



## The LOCAL model

- + locally unique IDs
- + local-polynomial bounds

[1] Matz, Schweikardt, Thomas (2002)

[2] Giammarresi, Restivo, Seibert, Thomas (1996)

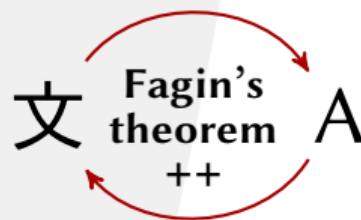
# Using logic and automata theory

## Monadic second-order logic (MSO)

- ▶ *Yields an infinite hierarchy on grids [1].*
- ▶ *Satisfies a locality property [2].*

## Finite-state automata

- ▶ *Satisfy a pumping lemma [3].*
- ▶ *Are equivalent to MSO on words [4].*



## The LOCAL model

- + locally unique IDs
- + local-polynomial bounds

[1] Matz, Schweikardt, Thomas (2002)

[2] Giammarresi, Restivo, Seibert, Thomas (1996)

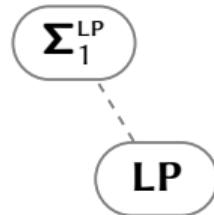
[3] Rabin, Scott (1959) & Bar-Hillel, Perles, Shamir (1961)

[4] Büchi (1960) & Elgot (1961) & Trakhtenbrot (1962)

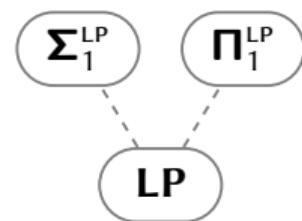
# The local-polynomial hierarchy

LP

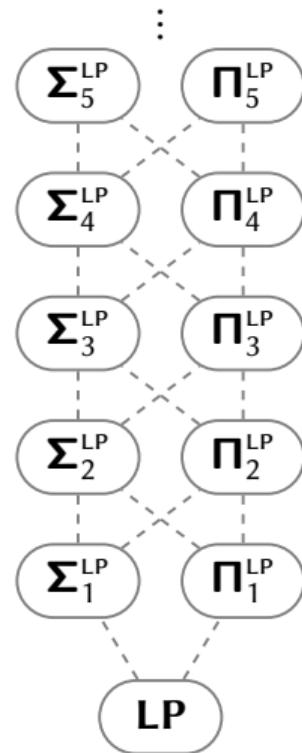
# The local-polynomial hierarchy



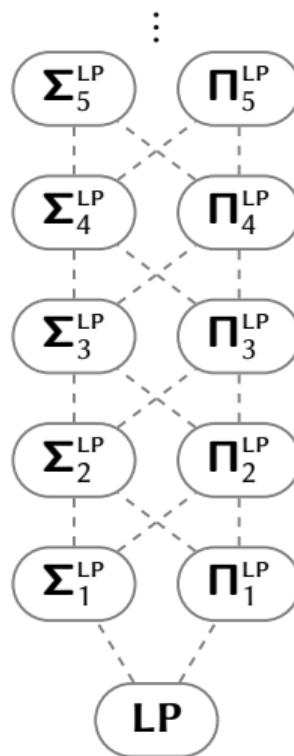
# The local-polynomial hierarchy



# The local-polynomial hierarchy



# The local-polynomial hierarchy

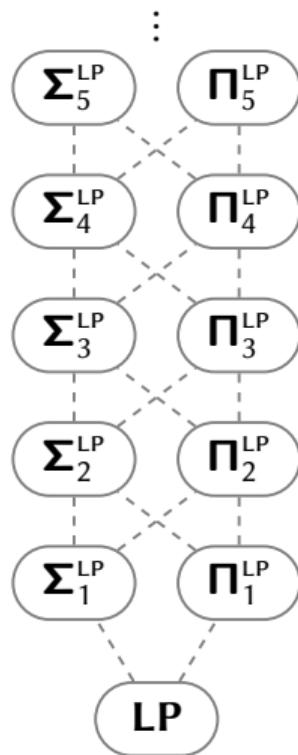


Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{\text{LP}}|_{\text{NODE}}$$

$$\Pi_\ell^P = \Pi_\ell^{\text{LP}}|_{\text{NODE}}$$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{LP}|_{\text{NODE}}$$

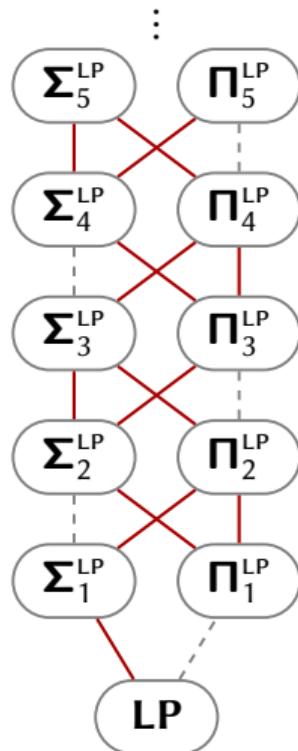
$$\Pi_\ell^P = \Pi_\ell^{LP}|_{\text{NODE}}$$

In particular:

$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{LP}|_{\text{NODE}}$$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{LP}|_{\text{NODE}}$$

$$\Pi_\ell^P = \Pi_\ell^{LP}|_{\text{NODE}}$$

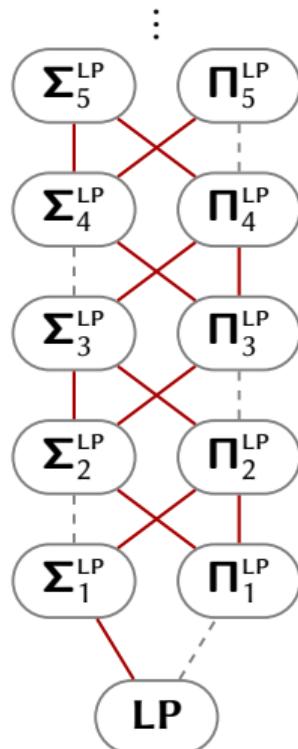
In particular:

$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{LP}|_{\text{NODE}}$$

THEOREM: — Strict inclusions

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_{\ell}^P = \Sigma_{\ell}^{LP}|_{NODE}$$

$$\Pi_{\ell}^P = \Pi_{\ell}^{LP}|_{NODE}$$

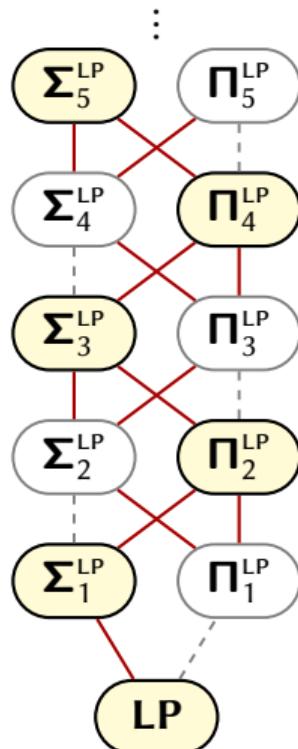
In particular:

$$P = LP|_{NODE}$$

$$NP = \Sigma_1^{LP}|_{NODE}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $P = NP$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{LP}|_{\text{NODE}}$$

$$\Pi_\ell^P = \Pi_\ell^{LP}|_{\text{NODE}}$$

In particular:

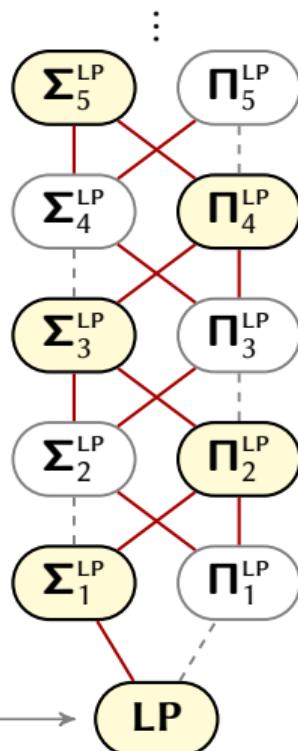
$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{LP}|_{\text{NODE}}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $P = NP$

# The local-polynomial hierarchy

EULERIAN →  
**LP**-complete



Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{LP}|_{\text{NODE}}$$

$$\Pi_\ell^P = \Pi_\ell^{LP}|_{\text{NODE}}$$

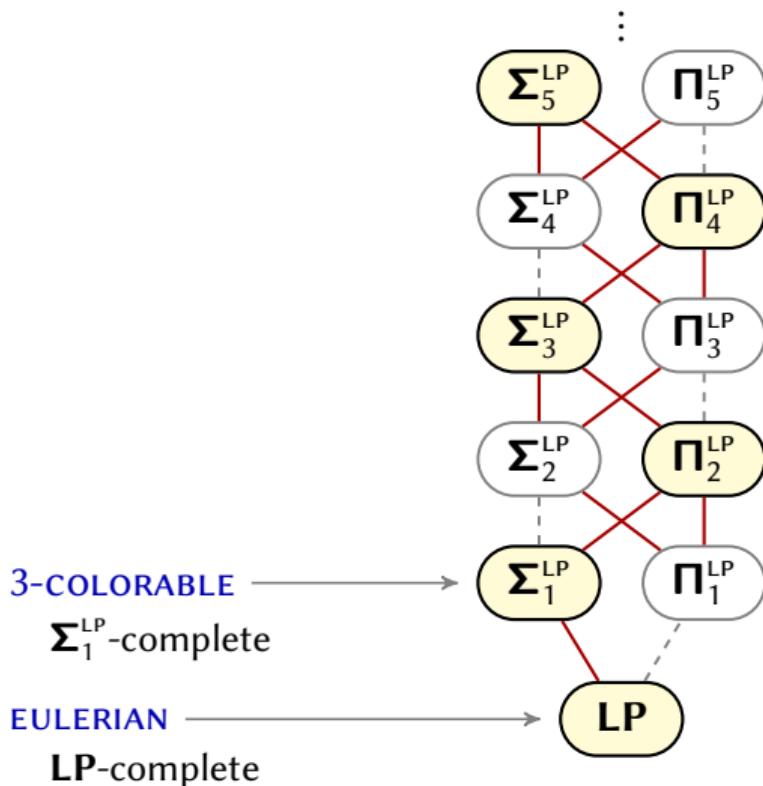
In particular:

$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{LP}|_{\text{NODE}}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $P = NP$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_{\ell}^P = \Sigma_{\ell}^{\text{LP}}|_{\text{NODE}}$$

$$\Pi_{\ell}^P = \Pi_{\ell}^{\text{LP}}|_{\text{NODE}}$$

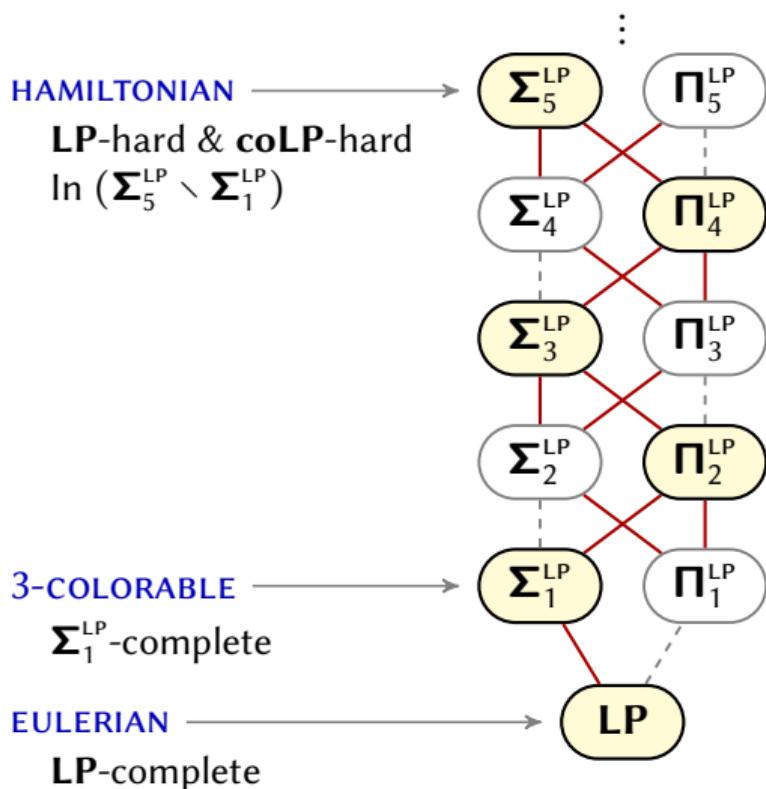
In particular:

$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{\text{LP}}|_{\text{NODE}}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $P = NP$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_{\ell}^{\text{P}} = \Sigma_{\ell}^{\text{LP}}|_{\text{NODE}}$$

$$\Pi_{\ell}^{\text{P}} = \Pi_{\ell}^{\text{LP}}|_{\text{NODE}}$$

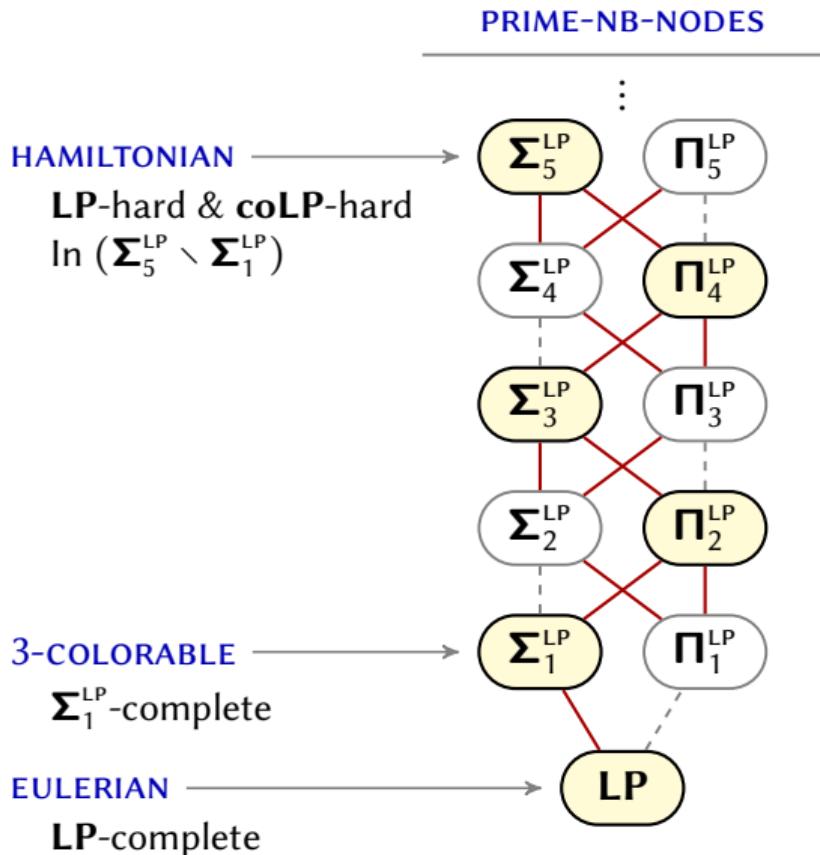
In particular:

$$\text{P} = \text{LP}|_{\text{NODE}}$$

$$\text{NP} = \Sigma_1^{\text{LP}}|_{\text{NODE}}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $\text{P} = \text{NP}$

# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_\ell^P = \Sigma_\ell^{LP}|_{\text{NODE}}$$

$$\Pi_\ell^P = \Pi_\ell^{LP}|_{\text{NODE}}$$

In particular:

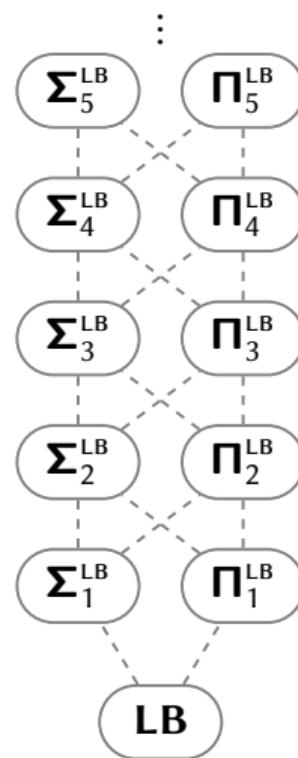
$$P = LP|_{\text{NODE}}$$

$$NP = \Sigma_1^{LP}|_{\text{NODE}}$$

THEOREM: — Strict inclusions  
--- Equalities iff  $P = NP$

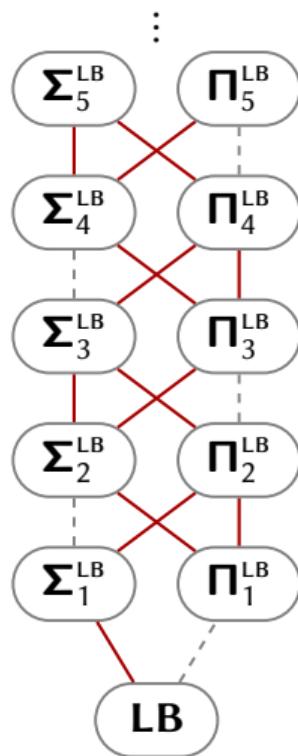
# The local-*bounded* hierarchy

LP-hierarchy → LB-hierarchy  
polynomial bounds      arbitrary bounds



# The local-*bounded* hierarchy

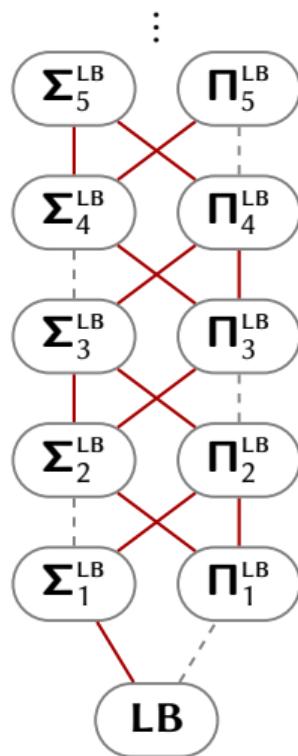
LP-hierarchy → LB-hierarchy  
polynomial bounds      arbitrary bounds



THEOREM: — Strict inclusions

# The local-*bounded* hierarchy

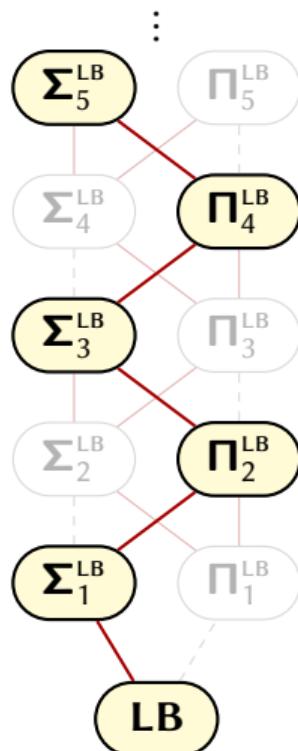
LP-hierarchy → LB-hierarchy  
polynomial bounds      arbitrary bounds



THEOREM:

- Strict inclusions
- - - Equalities

# The local-*bounded* hierarchy

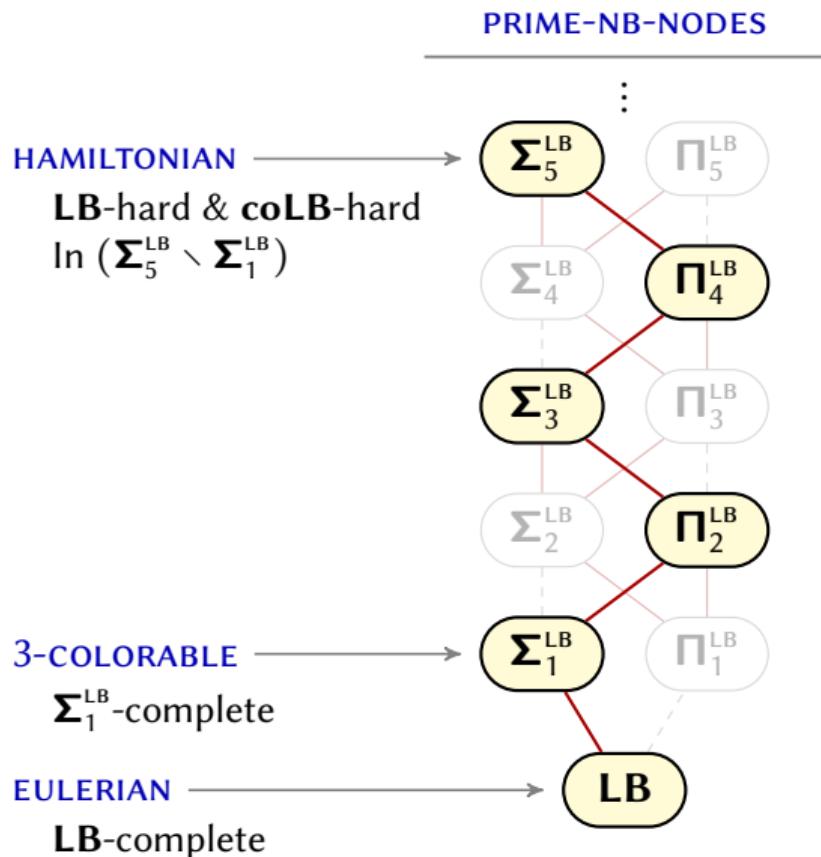


LP-hierarchy → LB-hierarchy  
polynomial bounds arbitrary bounds

THEOREM:

- Strict inclusions
- - - Equalities

# The local-*bounded* hierarchy

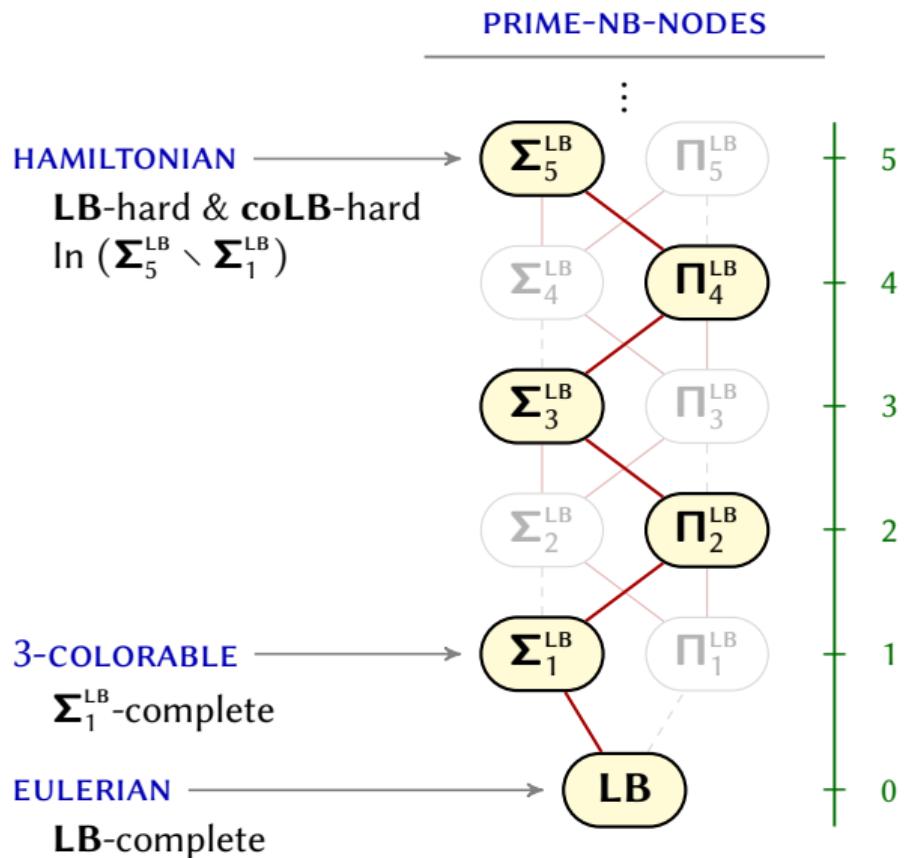


**LP-hierarchy** → **LB-hierarchy**  
polynomial bounds      arbitrary bounds

THEOREM:

- Strict inclusions
- Equality

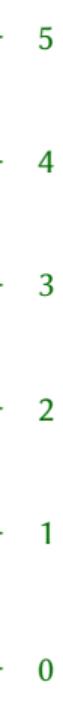
# The local-*bounded* hierarchy



LP-hierarchy → LB-hierarchy  
polynomial bounds      arbitrary bounds

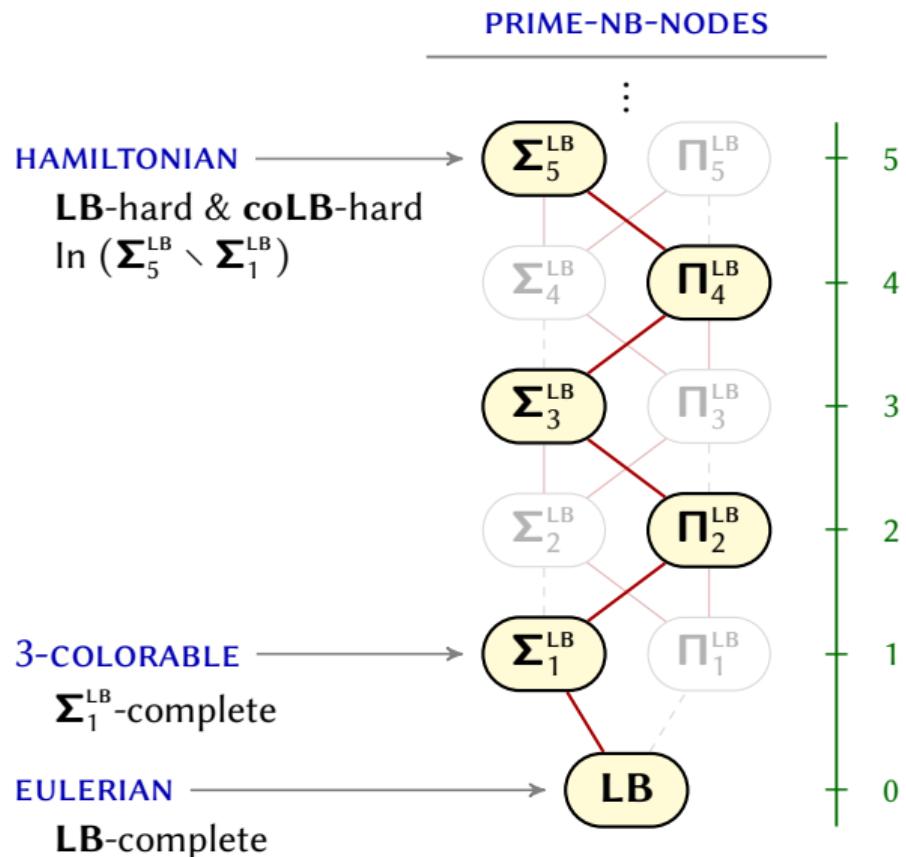
THEOREM:

- Strict inclusions
- Equality



*A measure of locality?*

# The local-*bounded* hierarchy



LP-hierarchy → LB-hierarchy  
polynomial bounds      arbitrary bounds

THEOREM:

- Strict inclusions
- Equality

We lose one thing:  
**Fagin's theorem**

A measure of locality?

# Building a theory



Image source: [www.rhb.ch](http://www.rhb.ch)

# Building a theory



Image source: [www.rhb.ch](http://www.rhb.ch)