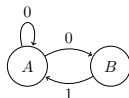


# Recurrence function of Sturmian sequences. A probabilistic study

**Pablo Rotondo**<sup>1</sup>, Brigitte Vallée<sup>2</sup>



**ANALCO**,  
Barcelona, 16 January, 2017.

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Objective: description of the **finite factors** of an **infinite** word  $u$

- **How many** factors of length  $n$ ?  $\longrightarrow$  **Complexity**
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Very easy when the word is eventually periodic !

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Here, in a natural **model**,

we perform a **probabilistic study**:

For a “random” sturmian word

- what is the **mean value** of the recurrence?
- what is the **limit distribution** of the recurrence?

# Plan of the talk

## Complexity, Recurrence, and Sturmian words

- Complexity and Recurrence

- Sturmian words

- Recurrence of Sturmian words

## Our probabilistic point of view. Statement of the results

- Classical results

- Our point of view

- Our results for  $R_\alpha(n)$

## Methods for the proof

- General description

# Complexity

## Definition

**Complexity function** of an infinite word  $\mathbf{u} \in \mathcal{A}^{\mathbb{N}}$

$$p_{\mathbf{u}}: \mathbb{N} \rightarrow \mathbb{N}, \quad p_{\mathbf{u}}(n) = \#\{\text{factors of length } n \text{ in } \mathbf{u}\}.$$

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$$\implies p_u(n) \geq n + 1$$

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$$R_{\mathbf{u}}(n) \geq \underbrace{n}_{\text{length of first factor}} + \underbrace{p_{\mathbf{u}}(n) - 1}_{\text{count } +1 \text{ for every other factor}}.$$

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Given  $\alpha, \beta \in [0, 1)$  we define

$$\underline{\mathfrak{S}}_{\alpha, \beta}(n) = \lfloor (n + 1)\alpha + \beta \rfloor - \lfloor n\alpha + \beta \rfloor ,$$

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►  $\mathbf{u}$  is Sturmian  $\iff$  there are  $\alpha, \beta \in [0, 1)$ ,  $\alpha$  irrational, such that

$u_i = \underline{\mathfrak{S}}_{\alpha, \beta}(i)$ , for all  $i \geq 0$ , or  $u_i = \overline{\mathfrak{S}}_{\alpha, \beta}(i)$ , for all  $i \geq 0$ .

## Digital lines

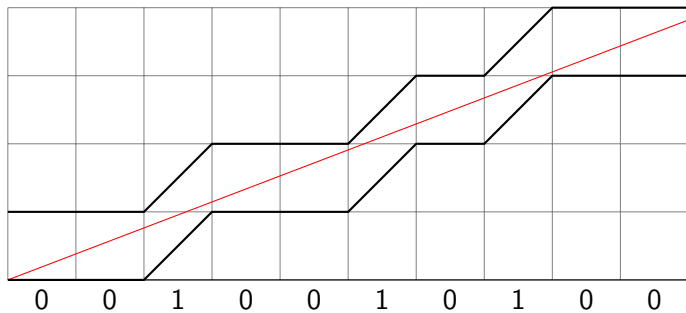


Figure : In digital geometry  $\underline{\mathcal{G}}$  and  $\overline{\mathcal{G}}$  code discrete lines. In the picture we see  $\underline{\mathcal{G}}(\alpha, 0)$  written below, where  $\alpha$  is the slope.

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**Reminder:** Consider the continued fraction expansion (CFE) of  $\alpha$

$$\alpha = \frac{1}{m_1 + \frac{1}{\ddots + \frac{1}{m_k + \frac{1}{\ddots}}}}$$

The continuant  $q_n(\alpha)$  is the denominator of the truncated CFE

$$\frac{1}{m_1 + \frac{1}{\ddots + \frac{1}{m_n}}}$$



## Recurrence of Sturmian words: Morse, Hedlund

Theorem (Morse, Hedlund, 1940)

*The recurrence function is piecewise affine and satisfies*

$$R_\alpha(n) = n - 1 + q_{k-1}(\alpha) + q_k(\alpha), \quad \text{for } n \in [q_{k-1}(\alpha), q_k(\alpha)[.$$

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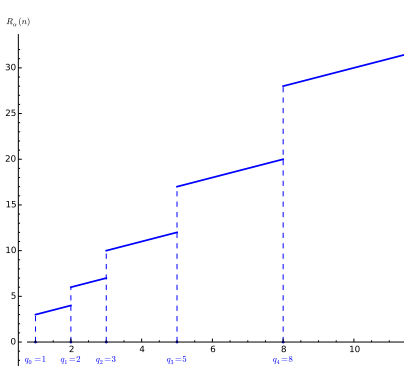
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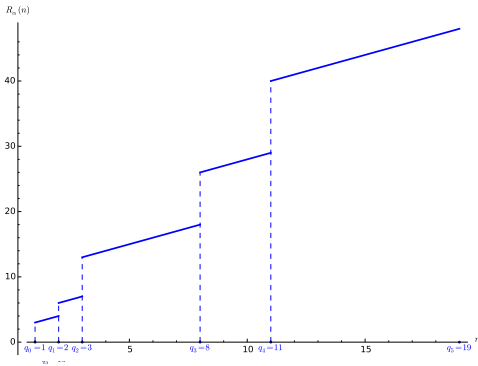
Let us see what they look like...

# Recurrence function for two Sturmian words

$$R_\alpha(n) = n - 1 + q_{k-1}(\alpha) + q_k(\alpha), \quad \text{for } n \in [q_{k-1}(\alpha), q_k(\alpha)[.$$



Recurrence function for  $\alpha = \varphi^2$ ,  
with  $\varphi = (\sqrt{5} - 1)/2$ .



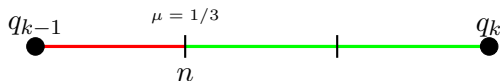
Recurrence function for  $\alpha = 1/e$ .

## Position parameters

Consider  $k$  such that  $n \in [q_{k-1}(\alpha), q_k(\alpha))$ , introduce

- ▶ the **relative position** of  $n$  within the interval

$$\mu(\alpha, n) = \frac{n - q_{k-1}(\alpha)}{q_k(\alpha) - q_{k-1}(\alpha)},$$



- ▶ the **quotient** between the ends of the interval

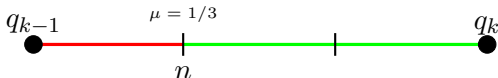
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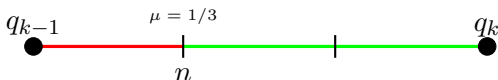
$$\frac{R_\alpha(n) + 1}{n} = 1 + \frac{1 + \rho}{\mu + \rho - \rho\mu}.$$

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**Observe.**  $\frac{R_\alpha(n)+1}{n}$  large  $\implies (\mu, \rho) \approx (0, 0)$ .

# Recurrence function of Sturmian words: classical results.

Theorem (Morse, Hedlund, 1940)

*For almost every irrational  $\alpha$ , one has*

$$\limsup_{n \rightarrow \infty} \frac{R_\alpha(n)}{n \log n} = \infty, \quad \lim_{n \rightarrow \infty} \frac{R_\alpha(n)}{n (\log n)^{1+\varepsilon}} = 0 \text{ for any } \varepsilon > 0.$$



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But from below

$$\liminf_{n \rightarrow \infty} \frac{R_\alpha(n)}{n} \leq 3,$$

consider  $n \approx \frac{1}{2} (q_{k-1}(\alpha) + q_k(\alpha))$ .

# Our point of view

Usual studies of  $R_\alpha(n)$

- ▶ give information about **extreme** cases.
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In our **probabilistic** setting we

- ▶ fix an integer  $n$  (we want  $n \rightarrow \infty \dots$ )
- ▶ pick an irrational  $\alpha$  **uniformly** from  $[0, 1]$ .

$\implies$  we perform the **probabilistic** study  
of the normalised **recurrence quotient**

$$S(\alpha, n) = \frac{R_\alpha(n) + 1}{n},$$

as  $n \rightarrow \infty$ .

We consider the recurrence quotient

$$S_n(\alpha) := S(\alpha, n) = \frac{R_\alpha(n) + 1}{n}.$$

We perform a probabilistic study

- ▶ for **expected values**:  $\mathbb{E}[S_n]$
- ▶ for **distributions** :  $\mathbb{P}(S_n \in J)$

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Worst case of  $S(\alpha, n)$  is roughly  $\log n$  (Morse-Hedlund).

$\implies$  We wish to obtain this **log n behaviour** in our study of  $S(\alpha, n)$ .

## Study of the recurrence quotient $S$

### Theorem

The random variable  $S_n(\alpha) := S(\alpha, n)$  admits a limiting distribution when  $n \rightarrow \infty$ , which is given by

$$\lim_{n \rightarrow \infty} \mathbb{P}(\alpha : S_n(\alpha) \leq \lambda) = \int_{[2, \lambda]} g(y) dy,$$

for  $t \geq 2$  (and 0 otherwise), where the density  $g$  equals

$$g(\lambda) = \begin{cases} \frac{12}{\pi^2} \frac{1}{\lambda-1} \log(\lambda-1) & \text{if } \lambda \in [2, 3] \\ \frac{12}{\pi^2} \frac{1}{\lambda-1} \log\left(1 + \frac{1}{\lambda-2}\right) & \text{if } \lambda \in [3, \infty) \end{cases}.$$

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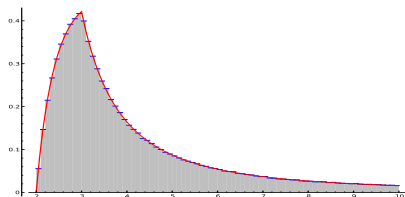
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**Figure :** The limit density  $g(x)$  in red and a scaled experimental histogram for  $S(\alpha, n)$  in blue, produced with  $N = 10^6$ .



# Conditional Expectation

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## Theorem

*The conditional expectation of  $S_n$  with respect to  $\mu_n \geq \frac{1}{n}$  satisfies*

$$\mathbb{E} \left[ S_n \mid \mu_n \geq \frac{1}{n} \right] = \frac{12}{\pi^2} \log n + O(1).$$

An analogous result holds when conditioning w.r.t.  $\rho_n$ .

## Principles of the proof

For  $n \in [q_{k-1}(\alpha), q_k(\alpha))$ , let  $x(\alpha, n) = \frac{q_{k-1}(\alpha)}{n}$ ,  $y(\alpha, n) = \frac{q_k(\alpha)}{n}$ .

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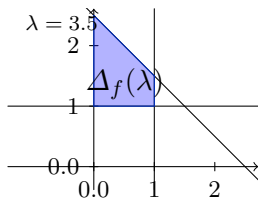
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**Distribution**  $\mathbb{P}(S_n \leq \lambda)$  is expressed as the *coprime Riemann sum* of step  $\frac{1}{n}$  of

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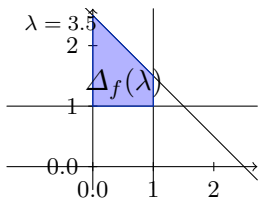
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These *converge* to the integral

$$\begin{aligned} \lim_{n \rightarrow \infty} \mathbb{P}(S_n \leq \lambda) \\ = \frac{6}{\pi^2} \iint_{\Delta_f(\lambda)} \omega(x, y) dx dy \end{aligned}$$

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⇒ Produced naturally by substitutions like  $\tau: 0 \mapsto 01 \ 1 \mapsto 0$ .

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- ⊗ **Finite** continued fraction expansion.
- ⊗ Possible to apply similar techniques. [Ustinov?]

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- ⊗ **Eventually periodic** continued fraction expansion.