

# Characterizing the Exponential-Space Hierarchy via Partial Fixpoints

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## Descriptive Complexity

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- 1) queries **defined** by formulas in  $\mathcal{L}$  can be **computed** in  $\mathcal{C}$
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Some extensions/generalizations:

- $\text{SO} = \text{PH}$  ([Stockmeyer'76])
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\*: for  $k = 0$

## Partial Fixpoints

Knaster-Tarski/Kleene guarantee well-definedness of fixpoints of **monotone** functions:

- $f: X \mapsto f(X)$  (in powerset lattice) yields sequence  $\emptyset \subseteq f(\emptyset) \subseteq f^2(\emptyset) \subseteq \dots$
- least fixpoint **LFP**  $f$  defined as first stable element of sequence

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binary incrementation **not monotone**  $\rightarrow$  need **partial** fixpoint:

- $f: X \mapsto f(X)$  yields sequence  $\emptyset, f(\emptyset), f^2(\emptyset), \dots$
- **PPF**  $f = \begin{cases} f^i(\emptyset), & \text{if } f^i(\emptyset) = f^{i+1}(\emptyset) \\ \emptyset, & \text{otherwise} \end{cases}$

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- PFP  $f = \begin{cases} f^i(\emptyset), & \text{if } f^i(\emptyset) = f^{i+1}(\emptyset) \\ \emptyset, & \text{otherwise} \end{cases}$

**Obs.:** sequence either stabilizes after at most **exponentially** many steps, or it **cycles**

## Higher-Order Logic (+ PFP)

Types:

$\tau ::= \bullet$  (individuals) |  $\tau_1, \dots, \tau_n$  (cross product) |  $(\tau)$  sets

- $\text{ord}(\bullet) = 1$ ,
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Formulas of HO :

$\varphi ::= p(X) \mid E(X, Y) \mid X(\vec{Y}) \mid \varphi \vee \varphi \mid \neg\varphi \mid \exists X^\tau. \varphi$

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**Ex.:**  $<$  (written inline) is **strict total order** in  $\psi$

$$\begin{aligned} \exists <^{(\bullet, \bullet)}. \psi(<) \wedge \forall x^\bullet, y^\bullet, z^\bullet. (x < y \wedge y < z \rightarrow x < z) \\ \wedge x \not< x \wedge (x \neq y \rightarrow x < y \vee y < x) \end{aligned}$$

**Obs.:** w.l.o.g. structures ordered from now on

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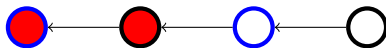
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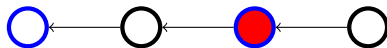
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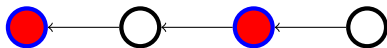
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can continue this:

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**Lemma:** All types can be considered ordered from now on

also have formulas to find e.g., first, last element of order



## Characterizing $k$ -EXPSPACE

$\text{HO}^{k+1}$  = formulas with types of **order** at most  $k+1$  (PFP of order at most  $k+2$ )

for  $\text{HO}^{k+1} + \text{PFP} = k\text{-EXPSPACE}$  we need:

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→ **iterate** until stable, but stop if ( $k+1$ -fold exp.) counter runs out (**log.** coding)

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→ encoding needs to **fit into one variable**, incl. state, head position
- yields variable of type  $Q \times \tau \times (\tau \times \Gamma) \rightarrow$  order  $k + 2$

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- $I \in (\llbracket \tau \rrbracket \times \mathcal{T})$  encodes tape
- ex. exactly one  $s'$  with  $(i, s') \in I$  f.a.  $i \in \llbracket \tau \rrbracket$

NB: A bit more challenging if cross product type not available standalone

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- requires some basic arithmetic (but no uniform notion of addition)

### Theorem 1

$\text{HO}^{k+1} + \text{PFP}$  captures  $k$ -EXPSPACE for  $k \geq 0$ .

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- HFL = higher-order modal fixpoint logic ([Viswanathan/Viswanathan'04] obtained by adding simply-typed  **$\lambda$ -calculus** to  $\mu$ -calculus, + (function) fixpoints)
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**Questions?**