

LDP Seminar:

Interpolation as Cut-introduction

Alexis Saurin

IRIF – CNRS, Université Paris Cité & INRIA

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Outline

- ① Introduction: Background on Craig-Lyndon Interpolation
 - Statement
 - An easy proof in the proposition case
 - Proof-theoretic interpolation: Maheara 1960 and Prawitz 1965
- ② Revisiting proof-theoretic interpolation: proof-relevant interpolation in linear logic
 - Proof-relevant Maheara's method for interpolation
 - Disclaimer: Not all of this is new: Čubrić for the λ -calculus
- ③ Interpolation as cut-introduction
- ④ What about proof-relevant interpolation for circular proofs?
- ⑤ Conclusion

Outline

- 1 Introduction: Background on Craig-Lyndon Interpolation
- 2 Revisiting proof-theoretic interpolation: proof-relevant interpolation in linear logic
- 3 Interpolation as cut-introduction
- 4 What about proof-relevant interpolation for circular proofs?
- 5 Conclusion

Statement of Craig's Interpolation Theorem

The interpolation property was first stated and proved by Craig and soon refined by Lyndon.

Definition (Interpolation property)

A logic L has the interpolation property if, for any formulas A, B such that $A \vdash_L B$, there is a formula C satisfying $\mathcal{L}(C) \subseteq \mathcal{L}(A) \cap \mathcal{L}(B)$ and such that $A \vdash_L C$ and $B \vdash_L C$.

$\mathcal{L}(C) \subseteq \mathcal{L}(A) \cap \mathcal{L}(B)$ means:

- in Craig's interpolation, that all predicate symbols occurring in C occur both in A and B .
- in Lyndon's interpolation, that all predicate symbols occurring positively (resp. negatively) in C occur both positively (resp. negatively) in A and B .

Remark that this amounts to a cut-rule:
$$\frac{A \vdash_L C \quad C \vdash_L B}{A \vdash_L B} \text{ (Cut)}$$

An easy proof for classical propositional logic

By induction on the cardinality of $\mathcal{L}(A) \setminus \mathcal{L}(B)$.

- if $\mathcal{L}(A) \subseteq \mathcal{L}(B)$, then A is an interpolant.
- Otherwise, take some propositional variable p occurring in A and not in B and consider $A' = A[\top/p] \vee A[\perp/p]$. Clearly:
 - (i) $A \vdash A'$ and $A' \vdash B$ (since p does not occur in B) and
 - (ii) an interpolant of A' and B exists by induction hypothesis: it is an interpolant for A and B by transitivity of entailment.

Remark

- *Does not rely on a specific proof system (provability only);*
- *This is constructive;*
- *Crucial use of the logical constants \perp, \top ;*
- *In fact, the interpolant does not really depend on B , only on the language of B : this is a uniform interpolant for all formulas with the same language as B .*

Proof(-theoretic) methods for interpolation

Maehara 1960, for Sequent calculus – Prawitz 1965, for Natural Deduction

Maehara:

- Induction on the structure of a cut-free derivation of $A \vdash B$,
- Strengthen the induction hypothesis, by showing that if $\Gamma \vdash \Delta$, then for any partitioning Γ', Γ'' of Γ and Δ', Δ'' of Δ there exists an interpolant C with $\mathcal{L}(C) \subseteq \mathcal{L}(\Gamma', \Delta') \cap \mathcal{L}(\Gamma'', \Delta'')$ such that $\Gamma' \vdash C, \Delta'$ and $\Gamma'', C \vdash \Delta''$.

Prawitz:

LEMMA. *Let Π be a normal deduction in \mathcal{C}' of A depending on Γ , and let Γ_1 and Γ_2 be two disjoint sets such that $\Gamma_1 \cup \Gamma_2 = \Gamma$. Then there is a formula F , called an interpolation formula to $\langle \Gamma_1, \langle \Gamma_2, A \rangle \rangle$, such that $\Gamma_1 \vdash F$ and $\{F\} \cup \Gamma_2 \vdash A$ and such that every parameter that occurs positively [negatively] in F occurs positively [negatively] in some formula of Γ_1 and negatively [positively] in some formula of $\Gamma_2 \cup \{\sim A\}$.*

(for NK and NJ)

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- Strengthen the induction hypothesis, by showing that if $\Gamma \vdash \Delta$, then for any partitioning Γ', Γ'' of Γ and Δ', Δ'' of Δ there exists an interpolant C with $\mathcal{L}(C) \subseteq \mathcal{L}(\Gamma', \Delta') \cap \mathcal{L}(\Gamma'', \Delta'')$ such that $\Gamma' \vdash C, \Delta'$ and $\Gamma'', C \vdash \Delta''$.

Method of wide applicability for sequent calculi with cut-admissibility:

- Maehara (1960) proved it for LK, soon extended by Schütte to LJ (1962)
- Roorda gives a proof for LL analyzing in which fragments interpolation actually holds (1994) (*actually the proof covers only the fragments of MALL...*);
- Application to various modal logics.

Proof(-theoretic) methods for interpolation

Maehara 1960, for Sequent calculus – Prawitz 1965, for Natural Deduction

Example of (\wedge_r) , that is if $\Delta = A \wedge B, \Delta_1, \Delta_2$ and if π ends with

$$\frac{\Gamma_1 \vdash A, \Delta_1 \quad \Gamma_2 \vdash B, \Delta_2}{\Gamma_1, \Gamma_2 \vdash A \wedge B, \Delta_1, \Delta_2} (\wedge_r)$$

Consider a partitioning of Γ and Δ as Γ', Γ'' and Δ', Δ'' assuming that $A \wedge B$ is in Δ' . The partitionings of Γ and Δ induce partitionings of $\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$ as (Γ'_1, Γ''_1) , (Γ'_2, Γ''_2) , (Δ'_1, Δ''_1) and (Δ'_2, Δ''_2) and the induction hypothesis ensures the existence of interpolants C_1, C_2 such that (i) $\Gamma'_1 \vdash A, \Delta'_1, C_1$, (ii) $\Gamma''_1, C_1 \vdash \Delta''_1$, (iii) $\Gamma'_2 \vdash B, \Delta'_2, C_2$ and (iv) $\Gamma''_2, C_2 \vdash \Delta''_2$ are provable, from which one can derive:

$$\frac{\frac{\text{by (i)}}{\Gamma'_1 \vdash A, \Delta'_1, C_1} \quad \frac{\text{by (iii)}}{\Gamma'_2 \vdash B, \Delta'_2, C_2}}{\Gamma'_1, \Gamma'_2 \vdash A \wedge B, \Delta'_1, \Delta'_2, C_1, C_2} (\wedge_r) \\ \frac{\Gamma'_1, \Gamma'_2 \vdash A \wedge B, \Delta'_1, \Delta'_2, C_1, C_2}{\Gamma'_1, \Gamma'_2 \vdash A \wedge B, \Delta'_1, \Delta'_2, C_1 \vee C_2} (\vee_r)$$

$$\frac{\frac{\text{by (i)}}{\Gamma''_1, C_1 \vdash \Delta''_1} \quad \frac{\text{by (iii)}}{\Gamma''_2, C_2 \vdash \Delta''_2}}{\Gamma''_1, \Gamma''_2, C_1 \vee C_2 \vdash \Delta''_1, \Delta''_2} (\vee_l)$$

First-order muLL sequent calculus

(a) $\frac{}{\vdash F, F^\perp} \text{ (Ax)}$	$\frac{\vdash \Gamma, F \quad \vdash F^\perp, \Delta}{\vdash \Gamma, \Delta} \text{ (Cut)}$	$\frac{\vdash \Gamma, G, F, \Delta}{\vdash \Gamma, F, G, \Delta} \text{ (X)}$
$\frac{\vdash F, G, \Gamma}{\vdash F \otimes G, \Gamma} \text{ (}\otimes\text{)}$	$\frac{\vdash F, \Gamma \quad \vdash G, \Delta}{\vdash F \otimes G, \Gamma, \Delta} \text{ (}\otimes\text{)}$	$\frac{\vdash \Gamma}{\vdash \perp, \Gamma} \text{ (}\perp\text{)} \quad \frac{}{\vdash 1} \text{ (1)}$
$\frac{\vdash F, \Gamma \quad \vdash G, \Gamma}{\vdash F \& G, \Gamma} \text{ (}\&\text{)}$	$\frac{\vdash A_i, \Gamma}{\vdash A_1 \oplus A_2, \Gamma} \text{ (}\oplus^i\text{)}$	$\frac{}{\vdash \top, \Gamma} \text{ (}\top\text{)} \quad \text{(no rule for 0)}$
(b) $\frac{\vdash F, \Gamma}{\vdash ?F, \Gamma} \text{ (?d)}$	$\frac{\vdash F, ?\Gamma}{\vdash !F, ?\Gamma} \text{ (!p)}$	$\frac{\vdash \Gamma}{\vdash ?F, \Gamma} \text{ (?w)} \quad \frac{\vdash ?F, ?F, \Gamma}{\vdash ?F, \Gamma} \text{ (?c)}$
(c)	$\frac{\vdash G\{t/x\}, \Gamma}{\vdash \exists x G, \Gamma} \text{ (v)}$	$\frac{\vdash F, \Gamma}{\vdash \forall x F, \Gamma} \text{ (v)} \quad \text{(in (v), } x \notin \text{FV}(\Gamma)\text{)}$
(d)	$\frac{\vdash G[vX.G/X], \Gamma}{\vdash vX.G, \Gamma} \text{ (v)}$	$\frac{\vdash F[\mu X.F/X], \Gamma}{\vdash \mu X.F, \Gamma} \text{ (}\mu\text{)}$

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Proof-Relevant Interpolation

Theorem

Let Γ, Δ be lists of LL formulas and $\pi \vdash \Gamma, \Delta$.

There exists a LL formula C such that $\mathcal{L}(C) \subseteq \mathcal{L}(\Gamma) \cap \mathcal{L}(\Delta)$ and two cut-free proofs π_1, π_2 of $\vdash \Gamma, C$ and $\vdash C^\perp, \Delta$ respectively such that

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} \text{ (Cut)} =_{\text{cut}} \pi.$$

Remark

- We will see (some cases of) the proof next: the proof goes by induction on the structure of a cut-free derivation, no surprise...
- Similar result established by Čubrić in 1993 for simply typed λ -calculus with products and sums, adapting a proof of interpolation by Prawitz for natural deduction. We will discuss this later.

Proof-Relevant Interpolation

Theorem

Let Γ, Δ be lists of LL formulas and $\pi \vdash \Gamma, \Delta$ be cut-free.

There exists a LL formula C such that $\mathcal{L}(C) \subseteq \mathcal{L}(\Gamma) \cap \mathcal{L}(\Delta)$ and two cut-free proofs π_1, π_2 of $\vdash \Gamma, C$ and $\vdash C^\perp, \Delta$ respectively such that

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi.$$

Remark

- We will see (some cases of) the proof next: the proof goes by induction on the structure of a cut-free derivation, no surprise...
- Similar result established by Čubrić in 1993 for simply typed λ -calculus with products and sums, adapting a proof of interpolation by Prawitz for natural deduction. We will discuss this later.

Proof

$$\pi = \overline{\vdash F, F^\perp} \quad (\text{Ax}) :$$

- If $\Gamma = F$, one simply takes $C = F^\perp$ $\pi_1 = \pi_2 = \overline{\vdash F, F^\perp} \quad (\text{Ax})$.
(the case when $\Gamma = F^\perp$ is symmetrical, taking $C = F$.)
- If $\Gamma = F, F^\perp$, one simply takes $C = \perp$, $\pi_1 = \frac{\pi}{\vdash \Gamma, \perp} \quad (\perp)$ and $\pi_2 = \overline{\vdash 1} \quad (1)$.

$$\frac{\frac{\pi}{\vdash \Gamma, \perp} \quad (\perp) \quad \overline{\vdash 1} \quad (1)}{\vdash \Gamma} \quad (\text{Cut}) \longrightarrow_{\text{cut}} \pi$$

(the case when Γ is empty is symmetrical, taking $C = 1$.)

Proof

Last rule is (\otimes)

$$\pi = \frac{\frac{\pi'}{\vdash F, \Gamma', \Delta'} \quad \frac{\pi''}{\vdash G, \Gamma'', \Delta''}}{\vdash F \otimes G, \Gamma', \Gamma'', \Delta', \Delta''} (\otimes), \text{ assuming } \Gamma = F \otimes G, \Gamma', \Gamma''.$$

By IH, there are interpolants C', C'' and interpolating proofs (i) $\pi'_1 \vdash F, \Gamma', C'$, (ii) $\pi'_2 \vdash C'^\perp, \Delta'$, (iii) $\pi''_1 \vdash G, \Gamma'', C''$ and (iv) $\pi''_2 \vdash C''^\perp, \Delta''$ st.

$$\frac{\pi'_1 \quad \pi'_2}{\vdash F, \Gamma', \Delta'} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi' \quad \frac{\pi''_1 \quad \pi''_2}{\vdash G, \Gamma'', \Delta''} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi''$$

$$\text{Let } C = C' \wp C'', \pi_1 = \frac{\frac{\pi'_1 \quad \pi'_2}{\vdash F \otimes G, \Gamma', \Gamma'', C', C''} (\otimes)}{\vdash F \otimes G, \Gamma', \Gamma'', C' \wp C''} (\wp) \quad \pi_2 = \frac{\pi'_2 \quad \pi''_2}{\vdash C'^\perp \otimes C''^\perp, \Delta', \Delta''} (\otimes)$$

One observes that:

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} (\text{Cut}) \longrightarrow_{\text{cut}}^* \frac{\frac{\frac{\pi'_1 \quad \pi'_2}{\vdash \Gamma, C', C''} (\otimes) \quad \pi''_2}{\vdash \Gamma, C', \Delta''} (\text{Cut})}{\vdash \Gamma, \Delta} \pi'_2 (\text{Cut})$$

Proof

Last rule is (\otimes)

$$\pi = \frac{\frac{\pi'}{\vdash F, \Gamma', \Delta'} \quad \frac{\pi''}{\vdash G, \Gamma'', \Delta''}}{\vdash F \otimes G, \Gamma', \Gamma'', \Delta', \Delta''} (\otimes), \text{ assuming } \Gamma = F \otimes G, \Gamma', \Gamma''.$$

By IH, there are interpolants C', C'' and interpolating proofs (i) $\pi'_1 \vdash F, \Gamma', C'$, (ii) $\pi'_2 \vdash C'^\perp, \Delta'$, (iii) $\pi''_1 \vdash G, \Gamma'', C''$ and (iv) $\pi''_2 \vdash C''^\perp, \Delta''$ st.

$$\frac{\pi'_1 \quad \pi'_2}{\vdash F, \Gamma', \Delta'} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi' \quad \frac{\pi''_1 \quad \pi''_2}{\vdash G, \Gamma'', \Delta''} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi''$$

$$\text{Let } C = C' \wp C'', \pi_1 = \frac{\frac{\pi'_1 \quad \pi''_1}{\vdash F \otimes G, \Gamma', \Gamma'', C', C''} (\otimes)}{\vdash F \otimes G, \Gamma', \Gamma'', C' \wp C''} (\wp) \quad \pi_2 = \frac{\pi'_2 \quad \pi''_2}{\vdash C'^\perp \otimes C''^\perp, \Delta', \Delta''} (\otimes)$$

One observes that:

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} (\text{Cut}) \longrightarrow_{\text{cut}}^* \frac{\frac{\pi'_1 \quad \pi'_2}{\vdash F, \Gamma', \Delta'} (\text{Cut}) \quad \frac{\pi''_1 \quad \pi''_2}{\vdash G, \Gamma'', \Delta''} (\text{Cut})}{\vdash F \otimes G, \Gamma', \Gamma'', \Delta', \Delta''} (\otimes)$$

Proof

Last rule is (\otimes)

$$\pi = \frac{\frac{\pi'}{\vdash F, \Gamma', \Delta'} \quad \frac{\pi''}{\vdash G, \Gamma'', \Delta''}}{\vdash F \otimes G, \Gamma', \Gamma'', \Delta', \Delta''} (\otimes), \text{ assuming } \Gamma = F \otimes G, \Gamma', \Gamma''.$$

By IH, there are interpolants C', C'' and interpolating proofs (i) $\pi'_1 \vdash F, \Gamma', C'$, (ii) $\pi'_2 \vdash C'^\perp, \Delta'$, (iii) $\pi''_1 \vdash G, \Gamma'', C''$ and (iv) $\pi''_2 \vdash C''^\perp, \Delta''$ st.

$$\frac{\pi'_1}{\vdash F, \Gamma', \Delta'} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi' \quad \frac{\pi''_1}{\vdash G, \Gamma'', \Delta''} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi''$$

$$\text{Let } C = C' \wp C'', \pi_1 = \frac{\frac{\pi'_1}{\vdash F \otimes G, \Gamma', \Gamma'', C', C''} (\otimes)}{\vdash F \otimes G, \Gamma', \Gamma'', C' \wp C''} (\wp) \quad \pi_2 = \frac{\pi'_2}{\vdash C'^\perp \otimes C''^\perp, \Delta', \Delta''} (\otimes)$$

One observes that:

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi \quad \text{by IH.}$$

Proof

Last rule is (\wp)

If $\pi = \frac{\pi'}{\vdash F, G, \Gamma', \Delta}$ (\wp) , assuming $\Gamma = F \wp G, \Gamma'$. By IH, there is an interpolant C' such that $\mathcal{L}(C') \subseteq \mathcal{L}(F, G, \Gamma') \cap \mathcal{L}(\Delta)$ as well as proofs $\pi'_1 \vdash F, G, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ such that

$$\frac{\frac{\pi'_1}{\vdash F, G, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta'}}{\vdash F, G, \Gamma', \Delta'} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi'.$$

Setting $C = C'$, $\pi_1 = \frac{\pi'_1}{\vdash F \wp G, \Gamma', C}$ (\wp) and $\pi_2 = \pi'_2$ we get:

$$\frac{\frac{\pi_1}{\vdash \Gamma, C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash \Gamma, \Delta} (\text{Cut}) \longrightarrow_{\text{cut}} \frac{\frac{\pi'_1}{\vdash F, G, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta'}}{\vdash F, G, \Gamma', \Delta'} (\text{Cut}) \longrightarrow_{\text{cut}}^* \pi.$$

(\wp)

Proof

Last rule is (?d)

If $\pi = \frac{\pi'}{\vdash F, \Gamma', \Delta}$ assuming $\Gamma = ?F, \Gamma'$.
 (??d) $\frac{\vdash F, \Gamma', \Delta}{\vdash ?F, \Gamma', \Delta}$

By IH, there is an interpolant C' (with $\mathcal{L}(C') \subseteq \mathcal{L}(F, \Gamma') \cap \mathcal{L}(\Delta)$) and proofs $\pi'_1 \vdash F, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ such that

$$\frac{\frac{\pi'_1}{\vdash F, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F, \Gamma', \Delta} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi'.$$

By setting $C = C'$, $\pi_1 = \frac{\pi'_1}{\vdash ?F, \Gamma', C'}$ (??d), one gets:

$$\frac{\frac{\pi_1}{\vdash ?F, \Gamma', C} \quad \frac{\pi_2}{\vdash C^\perp, \Delta}}{\vdash ?F, \Gamma', \Delta} \text{ (Cut)} \longrightarrow_{\text{cut}} \frac{\frac{\pi'_1}{\vdash F, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F, \Gamma', \Delta} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi.$$

(??d)

Proof

Last rule is (!p)

If $\pi = \frac{\pi'}{\vdash F, ?\Gamma', ?\Delta'}$ assuming $\Gamma = !F, ?\Gamma'$ and $\Delta = ?\Delta'$.
 (!p)

By IH, there is an interpolant C' (with $\mathcal{L}(C') \subseteq \mathcal{L}(F, ?\Gamma') \cap \mathcal{L}(\Delta)$) and proofs $\pi'_1 \vdash F, ?\Gamma', C'$ and $\pi'_2 \vdash C'^\perp, ?\Delta'$ such that

$$\frac{\frac{\pi'_1}{\vdash F, ?\Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, ?\Delta'}}{\vdash F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi'.$$

By setting $C = ?C'$, $\pi_1 = \frac{\pi'_1}{\vdash F, ?\Gamma', ?C'}$ (?d) and $\pi_2 = \frac{\pi'_2}{\vdash !C'^\perp, ?\Delta'}$ (!p), one gets:

$$\frac{\pi_1 \quad \pi_2}{\vdash ?F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}} \frac{\frac{\pi'_1}{\vdash F, ?\Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, ?\Delta'}}{\vdash F, ?\Gamma', C} \text{ (?d)} \quad \frac{\vdash C'^\perp, ?\Delta'}{\vdash C^\perp, ?\Delta'} \text{ (!p)} \text{ (Cut)}$$

$$\frac{\vdash F, ?\Gamma', ?\Delta'}{\vdash ?F, ?\Gamma', ?\Delta'} \text{ (?d)}$$

Proof

Last rule is (!p)

If $\pi = \frac{\pi'}{\vdash F, ?\Gamma', ?\Delta'}$ assuming $\Gamma = !F, ?\Gamma'$ and $\Delta = ?\Delta'$.
 (Ip) $\frac{\vdash F, ?\Gamma', ?\Delta'}{\vdash !F, ?\Gamma', ?\Delta'}$

By IH, there is an interpolant C' (with $\mathcal{L}(C') \subseteq \mathcal{L}(F, ?\Gamma') \cap \mathcal{L}(\Delta)$) and proofs $\pi'_1 \vdash F, ?\Gamma', C'$ and $\pi'_2 \vdash C'^\perp, ?\Delta'$ such that

$$\frac{\frac{\pi'_1}{\vdash F, ?\Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, ?\Delta'}}{\vdash F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi'.$$

By setting $C = ?C'$, $\pi_1 = \frac{\pi'_1}{\vdash F, ?\Gamma', ?C'}$ (Id) and $\pi_2 = \frac{\pi'_2}{\vdash !C'^\perp, ?\Delta'}$ (!p), one gets:

$$\frac{\pi_1 \quad \pi_2}{\vdash ?F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}} \frac{\frac{\pi'_1}{\vdash F, ?\Gamma', ?\Delta'} \quad \pi'_2}{\vdash ?F, ?\Gamma', ?\Delta'} \text{ (Cut)} \text{ (Id)}$$

Proof

Last rule is (!p)

If $\pi = \frac{\pi'}{\vdash F, ?\Gamma', ?\Delta'}$ (assuming $\Gamma = !F, ?\Gamma'$ and $\Delta = ?\Delta'$).
 (Ip)

By IH, there is an interpolant C' (with $\mathcal{L}(C') \subseteq \mathcal{L}(F, ?\Gamma') \cap \mathcal{L}(\Delta)$) and proofs $\pi'_1 \vdash F, ?\Gamma', C'$ and $\pi'_2 \vdash C'^\perp, ?\Delta'$ such that

$$\frac{\frac{\pi'_1}{\vdash F, ?\Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, ?\Delta'}}{\vdash F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}}^* \pi'.$$

By setting $C = ?C'$, $\pi_1 = \frac{\pi'_1}{\vdash F, ?\Gamma', ?C'}$ (Id) and $\pi_2 = \frac{\pi'_2}{\vdash !C'^\perp, ?\Delta'}$ (Ip), one gets:

$$\frac{\pi_1 \quad \pi_2}{\vdash ?F, ?\Gamma', ?\Delta'} \text{ (Cut)} \longrightarrow_{\text{cut}} \pi \text{ by IH.}$$

Proof

Last rule is (\forall)

$$\text{If } \pi = \frac{\pi'}{\vdash F, \Gamma', \Delta} \quad (\forall) \quad x \notin \text{FV}(\Gamma', \Delta) \quad \text{assuming } \Gamma = \forall x F, \Gamma'.$$

$$\vdash \forall x F, \Gamma', \Delta$$

By IH, there is an interpolant C' such that $\mathcal{L}(C') \subseteq \mathcal{L}(F, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ such that

$$\frac{\frac{\pi'_1}{\vdash F, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

$$\text{By setting } C = \exists x. C', \pi_1 = \frac{\pi'_1}{\vdash F, \Gamma', \exists x. C'} \quad (\exists) \quad \text{and } \pi_2 = \frac{\pi'_2}{\vdash \forall x C'^\perp, \Delta} \quad (\forall) \quad \text{one gets:}$$

$$\frac{\pi'_1}{\vdash \forall x F, \Gamma', \exists x. C'} \quad (\forall)$$

$$\frac{\pi_1 \quad \pi_2}{\vdash \forall x F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \frac{\frac{\pi'_1 \quad \pi'_2}{\vdash F, \Gamma', \Delta} \quad (\text{Cut})}{\vdash \forall x F, \Gamma', \Delta} \quad (\forall)$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(ii) If $y \in FV(\Gamma')$, $y \notin FV(\Delta)$, set $C = \exists y C'$,

$$\pi_1 = \frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad (\exists)}{\vdash F\{y/x\}, \Gamma', \exists y C'} \quad (\exists), \quad \pi_2 = \frac{\pi'_2}{\vdash \forall y C'^\perp, \Delta} \quad (\forall) \quad \text{one gets:}$$

$$\frac{\frac{\pi_1 \quad \pi_2}{\vdash \exists x. F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}} \quad \frac{\frac{\pi'_1}{\vdash \exists x F, \Gamma', C'} \quad (\exists) \quad \pi'_2}{\vdash \exists x F, \Gamma', \Delta} \quad (\text{Cut})}$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

$$\vdash \exists x F, \Gamma', \Delta$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(ii) If $y \in FV(\Gamma')$, $y \notin FV(\Delta)$, set $C = \exists y C'$,

$$\pi_1 = \frac{\pi'_1}{\exists x F, \Gamma', C'} \quad (\exists), \quad \pi_2 = \frac{\pi'_2}{\forall y C'^\perp, \Delta} \quad (\forall) \quad \text{one gets:}$$

$$\frac{\pi_1}{\vdash \exists x. F, \Gamma', \Delta} \quad (\exists)$$

$$\frac{\pi_1 \quad \pi_2}{\vdash \exists x. F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', \Delta} \quad \pi'_2}{\vdash \exists x F, \Gamma', C'} \quad (\text{Cut}) \quad (\exists)$$

Proof

Last rule is (\exists)

$$\text{If } \frac{\pi'}{\vdash \exists x F, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

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$$\frac{\pi_1 \quad \pi_2}{\vdash \exists x. F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi.$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta}}{\vdash \exists x F, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(iii) If $y \in FV(\Delta)$, $y \notin FV(\Gamma')$, set $C = \forall y C'$,

$$\pi_1 = \frac{\frac{\pi'_1}{\vdash \exists x F, \Gamma', C'} \quad (\exists)}{\vdash \exists x F, \Gamma', \forall y. C'} \quad (\forall), \quad \pi_2 = \frac{\pi'_2}{\vdash \exists y C'^\perp, \Delta} \quad (\exists). \quad \text{One gets:}$$

$$\frac{\frac{\pi_1 \quad \pi_2}{\vdash \exists x F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}} \quad \frac{\frac{\pi'_1}{\vdash \exists x F, \Gamma', C'} \quad (\exists) \quad \pi'_2}{\vdash \exists x F, \Gamma', \Delta} \quad (\text{Cut})$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

$$\vdash \exists x F, \Gamma', \Delta$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(iii) If $y \in FV(\Delta)$, $y \notin FV(\Gamma')$, set $C = \forall y C'$,

$$\pi_1 = \frac{\frac{\pi'_1}{\vdash \exists x F, \Gamma', C'} \quad (\exists)}{\vdash \exists x F, \Gamma', \forall y. C'} \quad (\forall), \quad \pi_2 = \frac{\pi'_2}{\vdash \exists y C'^\perp, \Delta} \quad (\exists). \quad \text{One gets:}$$

$$\frac{\frac{\pi_1 \quad \pi_2}{\vdash \exists x F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^*}{\frac{\frac{\pi'_1 \quad \pi'_2}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut})}{\vdash \exists x. F, \Gamma', \Delta} \quad (\exists)}$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(iii) If $y \in FV(\Delta)$, $y \notin FV(\Gamma')$, set $C = \forall y C'$,

$$\pi_1 = \frac{\frac{\pi'_1}{\vdash \exists x F, \Gamma', C'} \quad (\exists)}{\vdash \exists x F, \Gamma', \forall y. C'} \quad (\forall), \quad \pi_2 = \frac{\pi'_2}{\vdash \exists y C'^\perp, \Delta} \quad (\exists). \quad \text{One gets:}$$

$$\frac{\pi_1 \quad \pi_2}{\vdash \exists x F, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi \text{ by IH.}$$

Proof

Last rule is (\exists)

$$\text{If } \pi = \frac{\frac{\pi'}{\vdash F\{y/x\}, \Gamma', \Delta}}{\vdash \exists x F, \Gamma', \Delta} \quad (\exists) \quad \text{assuming } \Gamma = \exists x F, \Gamma'.$$

We assume that we have no function symbol. By IH, there is an interpolant C' with $\mathcal{L}(C') \subseteq \mathcal{L}(F\{y/x\}, \Gamma') \cap \mathcal{L}(\Delta)$ and proofs $\pi'_1 \vdash F\{y/x\}, \Gamma', C'$ and $\pi'_2 \vdash C'^\perp, \Delta$ st.

$$\frac{\frac{\pi'_1}{\vdash F\{y/x\}, \Gamma', C'} \quad \frac{\pi'_2}{\vdash C'^\perp, \Delta}}{\vdash F\{y/x\}, \Gamma', \Delta} \quad (\text{Cut}) \quad \longrightarrow_{\text{cut}}^* \pi'.$$

In this case, we reason by case on whether y occurs in Γ', Δ :

(i) If y occurs in both, take $C = C'$ as interpolant, $\pi_1 = \frac{\pi'_1}{\vdash \exists x F, \Gamma'} \quad (\exists)$ and $\pi_2 = \pi'_2$

and we have $\mathcal{L}(C) = \mathcal{L}(C') \subseteq \mathcal{L}(F, \Gamma') \cap \mathcal{L}(\Delta) = \mathcal{L}(\exists x F, \Gamma') \cap \mathcal{L}(\Delta)$. The result follows by (\exists) -commutation and IH.

Proof-relevant interpolation for LK and LJ

- Either redo the proof, which goes the same way or
- using the usual linear embeddings, the result is lifted to LK and LJ, deduce the result.

Čubrić's proof-relevant interpolation

Prawitz gave a natural deduction-based proof of interpolation (for NK and NJ):

LEMMA. *Let Π be a normal deduction in \mathcal{C}' of A depending on Γ , and let Γ_1 and Γ_2 be two disjoint sets such that $\Gamma_1 \cup \Gamma_2 = \Gamma$. Then there is a formula F , called an interpolation formula to $\langle \Gamma_1, \langle \Gamma_2, A \rangle \rangle$, such that $\Gamma_1 \vdash F$ and $\{F\} \cup \Gamma_2 \vdash A$ and such that every parameter that occurs positively [negatively] in F occurs positively [negatively] in some formula of Γ_1 and negatively [positively] in some formula of $\Gamma_2 \cup \{\sim A\}$.*

Based on Prawitz' proof of interpolation for natural deduction, Čubrić proved the following result in his PhD:

Proposition 3.3. *Let L_1 and L_2 be two languages, and let T_1 and T_2 be two $\lambda\delta$ -theories on the respective languages. Let T_0 be a theory on the language $L_1 \cap L_2$ such that $T_0 \subset T_1 \cap T_2$ (we may as well assume that the theories are deductively closed). Let $(x^B \triangleright t^C)$ be a term in the language $L_1 \cup L_2$ such that the type B is in L_1 and the type C is in L_2 . Then, there is a type A in $L_1 \cap L_2$ and terms $(x^B \triangleright r^A)$ in L_1 , and $(y^A \triangleright s^C)$ in L_2 such that:*

$$T_1 \cup T_2 \vdash t =_{x^B s} (r/y).$$

Outline

- 1 Introduction: Background on Craig-Lyndon Interpolation
- 2 Revisiting proof-theoretic interpolation: proof-relevant interpolation in linear logic
- 3 Interpolation as cut-introduction**
- 4 What about proof-relevant interpolation for circular proofs?
- 5 Conclusion

Interpolation as Cut-Introduction

The previous synthesis of the interpolating formula / proofs can be split in two phases, an bottom-up phase and a top-down phase:

- **Ascending phase:** This first phase consists in traversing the initial proof π bottom-up, from root to axioms, and building, for each visited sequent Γ , a partition (Γ', Γ'') . At the end of the phase, there are 4 cases for axiom rules $\vdash A^\perp, A$:
 - $(\{A^\perp, A\}, \emptyset)$;
 - $(\{A^\perp\}, \{A\})$;
 - $(\{A\}, \{A^\perp\})$;
 - $(\emptyset, \{A^\perp, A\})$.

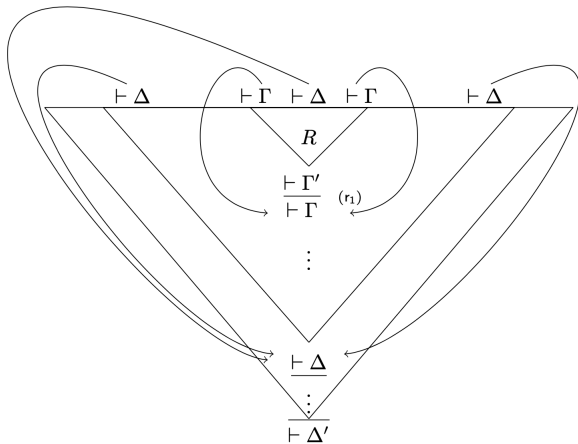
and similarly for each axiom corresponding to some unit (\top or 1)

- **Descending phase:** Equipped with the sequents partitioning information, from root to leaves, one shall now apply cut-introduction rules to axioms, progressively moving the cuts down and merging them in such a way, ultimately, to reach the root sequent of the original proof.

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Circular proofs and circular representations



Need for a correctness condition

$$\frac{\frac{\vdash F, \nu X.X}{\vdash F, \nu X.X} \quad (\nu) \quad \frac{\vdash \mu X.X}{\vdash \mu X.X} \quad (\mu)}{\vdash F} \quad (\text{Cut})$$

Issues with interpolating non-wellfounded proofs (I)

Three ingredients are important to carry the proof of the previous section to circular proofs:

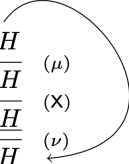
- ① *the wellfoundedness of proof objects.* Indeed this ensures that one reaches axioms which are the base case of the induction; wellfoundedness is implicitly used to initiate the descending phase (that is, after having ended the ascending phase!)
- ② *the existence of cut-free proofs.* Indeed, cut-freeness is important to reason by induction on inferences of the cut-free proofs and benefit from analyticity, which is the key for controlling the language of the interpolant, and
- ③ *the preservation of logical correctness during the descending phase* (that is, cut-introduction). Indeed, correctness of the interpolated proof-objects is of course necessary for the result of interpolation to simply make sense...

Issues with interpolating non-wellfounded proofs (II)

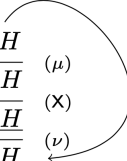
In the case of circular proofs, the first two properties are somehow lost and the third one shall be treated with great care:

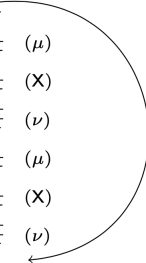
- 1 *wellfoundedness is lost*, even in presence of circular proofs. In particular, even given a finite representation of a given circular proofs, we have leaves which are not axioms but back-edges: how can we interpolated them?
- 2 while it is crucial to rely on cut-freeness in the reasoning, *circular proofs are not closed by cut-elimination* and actually we know of sequents which are circularly provable but not cut-free circularly provable.
- 3 In the course of interpolation, one needs to preserve validity, that is ensure (i) that one has enough threads and (ii) they support the appropriate branches.

Splitting invariance

$$R = \frac{\frac{\frac{\vdash G, H}{\vdash \underline{H}, H} \quad (\mu)}{\vdash \underline{H}, \underline{H}} \quad (\times)}{\vdash \underline{G}, H} \quad (\nu)$$


Splitting invariance

$$R = \frac{\frac{\frac{\frac{\vdash G, H}{\vdash \underline{H}, H} (\mu)}{\vdash \underline{H}, \underline{H}} (\times)}{\vdash \underline{G}, H} (\nu)}$$


$$R' = \frac{\frac{\frac{\frac{\vdash G, H}{\vdash \underline{H}, H} (\mu)}{\vdash \underline{H}, \underline{H}} (\times)}{\vdash \underline{G}, H} (\nu)}$$


Splitting invariance

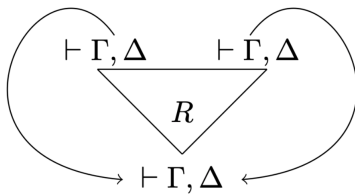
Definition (splitting invariance)

Given a finite representation R of a μLL^∞ pre-proof $\pi \vdash \Gamma$ and a splitting s of Γ in two components Γ_l, Γ_r , R is called *s-invariant* if the result of applying the splitting-decoration phase to R , initiated with s , results into a decorated derivation R' such that for each back-edge b of R' , the splitting of the source of b coincides with the splitting of the target of b .

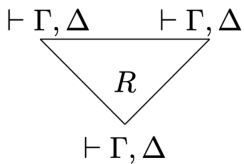
Proposition

Let π be a circular proof of a sequent $\vdash \Gamma$ and let s be a splitting of Γ in two components (Γ_L, Γ_R) . To any finite representation R of π , one can associate another finite representation R' of π which is s -invariant.

Interpolating circular pre-proofs



Interpolating circular pre-proofs



Interpolating circular pre-proofs

$$\begin{array}{ccc} \vdash \Gamma, X & \vdash \Gamma, X & \\ \hline & R_l & \\ \vdash \Gamma, I[X, \dots, X] & & \end{array} \quad \begin{array}{ccc} \vdash X, \Delta & \vdash X, \Delta & \\ \hline & R_r & \\ \vdash I^\perp[X, \dots, X], \Delta & & \end{array}$$

Interpolating circular pre-proofs

$$\frac{
 \begin{array}{c}
 \vdash \Gamma, X \quad \vdash \Gamma, X \\
 \hline
 \text{\textit{R}_l} \\
 \hline
 \vdash \Gamma, I[X, \dots, X]
 \end{array}
 }{
 \vdash \Gamma, \mu X. I[X, \dots, X]
 } \quad (\mu)$$

$$\frac{
 \begin{array}{c}
 \vdash X, \Delta \quad \vdash X, \Delta \\
 \hline
 \text{\textit{R}_r} \\
 \hline
 \vdash I^\perp[X, \dots, X], \Delta
 \end{array}
 }{
 \vdash \nu X. I^\perp[X, \dots, X], \Delta
 } \quad (\nu)$$

Interpolating circular pre-proofs

$$\begin{array}{c}
 \vdash \Gamma, J \quad \vdash \Gamma, J \\
 \hline
 R_l[J/X] \\
 \hline
 \vdash \Gamma, I[J, \dots, J] \\
 \hline
 \vdash \Gamma, \mu X. I[X, \dots, X] \quad (\mu)
 \end{array}$$

$$\begin{array}{c}
 \vdash J^\perp, \Delta \quad \vdash J^\perp, \Delta \\
 \hline
 R_r[J^\perp/X] \\
 \hline
 \vdash I^\perp[J^\perp, \dots, J^\perp], \Delta \\
 \hline
 \vdash \nu X. I^\perp[X, \dots, X], \Delta \quad (\nu)
 \end{array}$$

with $J = \mu X. I[X, \dots, X]$.

How to ensure preservation of validity? (still WIP)

- Provide a distinct fixed-point variable to each source of a back-edge
- Consider a stronger notion of validity: strong validity, which ensure that the proof can be validated in such a way that each time the thread visit of sequent of the finite representation, it visits the same formula
- Reason on the strongly connected component of the finite representation to find which back-edge should be sequenced first and whether a μ or ν should be used there.

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Conclusion

- Full treatment of the validity condition;
- In which other logical system can we apply the method?
- Proof nets interpolation as parsing criterion.
- Semantical counter-part of this interpolation result.
- Connection with uniform interpolation?

Questions?