# LMFI

# Second-order quantification and fixed-points in logic First lecture: Gödel's System T

Alexis Saurin

4th january 2022

# 1 On the weak expressiveness of STLC

### Definition 1.1

**Extended polynomials** are the functions generated by 0, 1, and the identity function the operations of addition, multiplication and conditional.

# Theorem 1.2 (Schwichtenberg and Statman)

The arithmetical functions definable in simply-typed  $\lambda$ -calculus over type Nat are exactly the extended polynomials.

Several solutions are available to improve this expressiveness issue. We shall now consider an option investigated by Gödel, extending the simply-typed  $\lambda$ -calculus with types for pairs of objects, atomic types for booleans and naturals and constructions for conditional branching and a recursor.

Another option that will be investigated in the following lecture will consist in allowing the  $\lambda$ -terms to be polymorphic, that is to be applied to arguments of variable types : this will be the core of System F and of the connection with second-order logic.

# 2 Gödel's system T. 2.1 Types and terms of system T

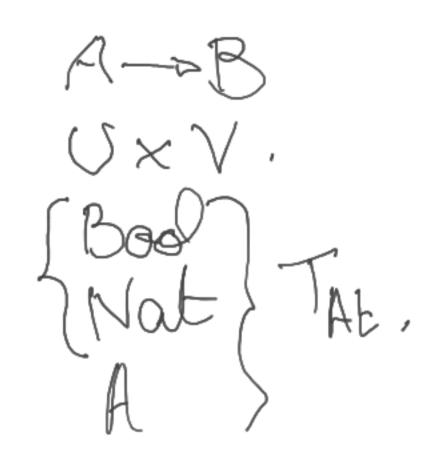
Several systems have been considered to increase the class of (total) functions that can be represented in the typed setting.  $G\ddot{o}del's$  System T is such a system, extending the simply-typed  $\lambda$ -calculus with product types  $(U \times V)$ , a type for booleans (Bool), with a type for natural numbers (Nat) and with the following term constructions:

- (i) pairs and projections :  $\langle t, u \rangle, \pi_1(t), \pi_2(t)$ ;
- (ii) boolean constants and a boolean test: true, false, if t then u else v;
- (iii) constants for representing natural numbers and a recursor for each type A : S(t), 0, Rec(t, u, v).

### Definition 2.1 (simple types for system T)

We consider a countable set  $\mathcal{T}_{At}$  of atomic types containing Nat and Bool. T-types are defined inductively as

$$T, U, V ::= A \mid U \times V \mid U \to V \qquad A \in \mathcal{T}_{\mathsf{At}}.$$



$$\frac{1}{x^U:U} (Var) \quad (x \in \mathcal{V}^U) \qquad \frac{t:T}{\lambda x^U.t:U \to T} \quad (Abs) \quad (x \in \mathcal{V}^U) \qquad \frac{t:U \to T \quad u:U}{(t)u:T} \quad (App)$$
 
$$\frac{u:U \quad v:V}{\langle u,v\rangle:U \times V} (Prod) \qquad \frac{t:U_1 \times U_2}{\pi_1(t):U_1} \quad (Proj_1) \qquad \frac{t:U_1 \times U_2}{\pi_2(t):U_2} \quad (Proj_2) \qquad \frac{1}{\sqrt{2}} \quad (Proj_2) \qquad \frac{1}{\sqrt{2$$

# Definition 2.3 (T-reduction relation)

We define the T-reduction, written  $\longrightarrow_T$ , as the least compatible relation on T-terms, containing typed  $\beta$ -reduction as well as:

$$\begin{array}{cccc} \pi_i(\langle t_1,t_2\rangle) & \longrightarrow_{\mathsf{T}} & t_i \\ \text{if true then } t \text{ else } u & \longrightarrow_{\mathsf{T}} & t \\ \text{if false then } t \text{ else } u & \longrightarrow_{\mathsf{T}} & u \\ \text{Rec}(0,v,w) & \longrightarrow_{\mathsf{T}} & w \not\subseteq \bigcup \\ \text{Rec}(\mathsf{S}(t),v,w) & \longrightarrow_{\mathsf{T}} & (v)t \text{Rec}(t,v,w) \end{array}$$

A T-normal form is a T-term that does not  $\longrightarrow_{\mathsf{T}}$ -reduce to any T-term.

### Proposition 2.4

Assume that t is a closed T-normal. Prove that :

- If  $t : \mathsf{Nat}$ , then there exists  $n \in \mathbb{N}$  such that  $t = \mathsf{S}^n(\mathsf{0})$ ;
- If t: Bool, then t = true or t = false;
- If  $\vdash t : A \times B$ , then  $t = \langle u, v \rangle$ ;
- If  $t: U \to V$ , then  $t = \lambda x. u$ .

1 H Z: Nat-closed

# 2.2 Strong normalization theorem

# Definition 2.5 (Neutral T-term)

A T-term is neutral if it is not of the form  $\lambda x^U:t,\,\langle t,u\rangle,$  true, false, 0 or S(t).

The sets  $\mathsf{Neut}^{\mathsf{SN}}(U)$ ,  $\mathsf{SNorm}(U)$  are adapted to T-terms without any change (but the dependency of  $\mathsf{Neut}^{\mathsf{SN}}(U)$  with  $\mathsf{RED}^{\mathsf{SN}}(U)...)$ :

# Definition 2.6 (Neut<sup>SN</sup>(T))

 $\mathsf{Neut}^{\mathsf{SN}}(T) = \{t \in \mathbf{X}^{\mathsf{S}}; \ t \ est \ neut ( \ de \ type \ T \ et \ \forall t', t \longrightarrow_{\mathsf{T}} t', t' \in \mathsf{RED}^{\mathsf{SN}}(T) \}$ 

# Definition 2.7 (SNorm(T))

 $\mathsf{SNorm}(\mathbf{W}) = \{t \in \mathbf{W}^*; \ t \ fortement \ normalisable \ de \ type \mathbf{W} \}.$ 

#### Definition 2.8

- -- RED<sup>SN</sup>(X) = SNorm(X)
- $--\operatorname{RED^{SN}}(U \to V) = \{t: U \to V; \forall u \in \operatorname{RED^{SN}}(U), (t) \, u \in \operatorname{RED^{SN}}(V)\}.$
- $= \mathsf{RED^{SN}}(U_1 \times U_2) = \{t : U_1 \times U_2 \mid \forall i \in \{1, 2\}, \pi_i(t) \in \mathsf{RED^{SN}}(U_i)\}.$

# Lemma 2.9 (Adapation)

For every type T, one has  $\mathsf{Neut}^{\mathsf{SN}}(T) \subseteq \mathsf{RED}^{\mathsf{SN}}(T) \subseteq \mathsf{SNorm}(T)$ .

# Lemma 2.14 (Adequation)

Let t: U with free variables among  $x_1^{T_1}, \ldots, x_n^{T_n}$ . For any  $(u_i \in \mathsf{RED^{SN}}(T_i))_{1 \le i \le n}$ , one has  $t\{u_i/x_i\} \in \mathsf{RED^{SN}}(U)$ .

Jen- L'ormalijation proof.

L. U proof & is SN

We need to proof & SNom (V

It is sufficient to proof that

A is reductive (by

adaptation lemma).

totailais = to New SN(Ti). V

CRed SN (Ti)

By adequation,

E Kad (V

#### Lemma 2.10

For any type T,  $RED^{SN}(T)$  is closed by  $\beta$ -reduction:

$$t \in \mathsf{RED^{SN}}(T), \qquad t \longrightarrow_{\mathbf{T}} t' \qquad \Rightarrow \qquad t' \in \mathsf{RED^{SN}}(T).$$

**Démonstration:** The lemma is proved by induction on the structure of type T.

- If  $T = U \rightarrow V$ , as in STLC — If  $T = U_1 \times U_2$ , then let t : T such that  $t \longrightarrow t'$ . Since t is reducible, its projection are:  $\pi_i(t) \in \mathsf{RED^{SN}}(U_i), i \in \{1, 2\}.$  By applying induction hypothesis on  $U_1$  and  $U_2$ , we know that  $\mathsf{RED^{SN}}(U_i)$  are closed by reduction and since  $\pi_i(t) \longrightarrow \pi_i(t')$  with  $i \in \{1, 2\}$ , we have that  $\pi_i(t') \in \mathsf{RED^{SN}}(U_i)$  for  $i \in \{1, 2\}$ . Therefore  $t' \in \mathsf{RED^{SN}}(T)$ .

**Démonstration of lemma 2.9:** The proof is by induction on the structure of type T:

- If T = X, as for STLC
- If  $T = U \rightarrow V$ , as for STLC
- If  $T = U_1 \times U_2$ , then:
  - $\mathsf{Neut}^{\mathsf{SN}}(T) \subseteq \mathsf{RED}^{\mathsf{SN}}(T)$  :

Let  $t \in Neut^{SN}(T)$ . Since t is neutral,  $\pi_i(t)$  cannot be a redex itself: its redexes are necessarily in t, so that its one-step reducts are all of the form  $\pi_i(t')$  with  $t \longrightarrow t'$ . Since  $t \in \mathsf{Neut}^{\mathsf{SN}}(T)$ ,  $t' \in \mathsf{RED}^{\mathsf{SN}}(T)$  and  $\pi_i(t') \in \mathsf{RED}^{\mathsf{SN}}(U)_i, i \in \{1, 2\}$ .

Therefore we have that  $\pi_i(t), i \in \{1, 2\}$  are neutral and all their one-step reducts are reducible :  $\pi_i(t) \in \mathsf{Neut}^{\mathsf{SN}}(U)_i, i \in \{1, 2\}.$ 

By induction hypothesis on  $U_1$  and  $U_2$ ,  $\pi_i(t) \in \mathsf{RED^{SN}}(U_i)$ ,  $i \in \{1, 2\}$ .

By definition of reducibility at product type, one concludes that  $t \in \mathsf{RED}^{\mathsf{SN}}(T)$  as expected.

-- RED<sup>SN</sup> $(T) \subseteq SNorm(T)$ :

Assume that  $t \in \mathsf{RED^{SN}}(T)$ . The  $\pi_1(t) \in \mathsf{RED^{SN}}(U)$  by definition and, by induction hypothesis on U,  $\pi_1(t) \in \mathsf{SNorm}(U)$ . The longest reduction from t is certainly at least as long as that from  $\pi_1(t)$  so there is only finite reduction sequence from t and  $t \in \mathsf{SNorm}(T)$ 

#### Lemma 2.12

 $(\forall u \in \mathsf{RED^{SN}}(U), v\{u/x\} \in \mathsf{RED^{SN}}(V)) \Rightarrow \forall u \in \mathsf{RED^{SN}}(U), (\lambda x.v)u \in \mathsf{RED^{SN}}(V).$ 

The following is a corresponding result for pairs :

#### Lemma 2.13

$$\forall u \in \mathsf{RED^{SN}}(U), v \in \mathsf{RED^{SN}}(V), \langle u, v \rangle \in \mathsf{RED^{SN}}(U \times V).$$

**<u>Démonstration</u>**: By adaptation lemma, one can reason using the strong normalisation of u, v and therefore reason by induction on the sum of the length of the longest reductions from u and v to show that  $\pi_i(\langle u, v \rangle)$  is reducible.

First notice that this term is neutral. Therefore, to show that is it reducible, it is sufficient to show that every one-step reduct is reducible from which one deduce that  $\pi_i(\langle u, v \rangle) \in \mathsf{Neut}^{\mathsf{SN}}(U)$  and, by adaptation, that it is reducible.

 $\pi_i(\langle u, v \rangle)$  reduces (i) either to u (resp. v) which is reducible, (ii) or to  $\pi_i(\langle u', v \rangle)$  with  $u \longrightarrow u'$ . u' is reducible since reducibility is closed by reduction and its longest reduction is shorter than that of u so by induction hypothesis,  $\pi_i(\langle u', v \rangle)$  is reducible, (iii) or to  $\pi_i(\langle u, v' \rangle)$  with  $v \longrightarrow v'$  which is reducible by exactly the same reasoning as in (ii).

Therefore both projections of  $\langle u,v \rangle$  are reducible showing that  $\langle u,v \rangle \in \mathsf{RED^{SN}}(U \times V)$ 

### Proposition 2.11

The following holds:

- 1.  $0 \in RED^{SN}(Nat)$ .
- 2. true, false  $\in RED^{SN}(Bool)$ .
- 3.  $\forall t \in \mathsf{RED^{SN}}(\mathsf{Nat}), \mathsf{S}(t) \in \mathsf{RED^{SN}}(\mathsf{Nat}).$
- 4.  $\forall t \in \mathsf{RED^{SN}}(\mathsf{Bool}), \forall u, v \in \mathsf{RED^{SN}}(U), \text{ if } t \text{ then } u \text{ else } v \in \mathsf{RED^{SN}}(\mathsf{Bool}).$
- $5. \ \forall t \in \mathsf{RED^{SN}}(\mathsf{Nat}), \forall u \in \mathsf{RED^{SN}}(\mathsf{Nat} \to (U \to U)), \forall v \in \mathsf{RED^{SN}}(U), \mathsf{Rec}(t, u, v) \in \mathsf{RED^{SN}}(U).$

+ I: U - DU for any U. 

# Lemma 2.14 (Adequation)

Let t: U with free variables among  $x_1^{T_1}, \ldots, x_n^{T_n}$ . For any  $(u_i \in \mathsf{RED^{SN}}(T_i))_{1 \leq i \leq n}$ , one has  $t\{u_i/x_i\} \in \mathsf{RED^{SN}}(U).$ 

**Démonstration du lemme 2.14:** One reason by induction on the structure of t:T.

- If  $t = x_i^{T_i}$ , as for STLC.
- If  $t = \lambda x^U . t'$ , as for STLC.
- If t = (u)v, as for STLC.
- If  $t = \langle u, v \rangle$ , then by induction hypothesis, both  $u\{u_i/x_i\}$  and  $v\{u_i/x_i\}$  are reducible and by the previous lemma  $t\{u_i/x_i\}$  is reducible.
- If  $t = \pi_1(u)$  (resp  $\pi_2(u)$ ), then by induction hypothesis  $u\{u_i/x_i\}$  is reducible which implies that  $\pi_1(u\{u_i/x_i\})$  is reducible by definition.
- If t is some T-constant, it is reducible (since  $0 \in RED^{SN}(Nat)$ , true, false  $\in RED^{SN}(Bool)$ ).
- If t = S(u), then by induction hypothesis,  $u\{u_i/x_i\}$  is reducible and so is  $S(u\{u_i/x_i\})$ .
- If t = if u then v else w, then by induction hypothesis,  $u\{u_i/x_i\}$ ,  $v\{u_i/x_i\}$ ,  $w\{u_i/x_i\}$  are reducible and so is if  $u\{u_i/x_i\}$  then  $v\{u_i/x_i\}$  else  $w\{u_i/x_i\}$ .
- If t = Rec(u, v, w), then by induction hypothesis,  $u\{u_i/x_i\}$ ,  $v\{u_i/x_i\}$ ,  $w\{u_i/x_i\}$  are reducible and so is  $\text{Rec}(u\{u_i/x_i\}, v\{u_i/x_i\}, w\{u_i/x_i\})$ .

### Theorem 2.15

System T is strongly normalizing.

**Démonstration:** Let t:T of free variables  $(x_i^{T_i})_{1\leq i\leq n}$ . Be adaptation lemma (2.9) for any  $1\leq i\leq n$ ,  $x_i^{T_i} \in \mathsf{RED^{SN}}(T_i)$  since variables of type T are neutral and normal and therefore in  $\mathsf{Neut^{SN}}(T)$ .

> Adequation lemma (2.14) ensures that  $t\{x_i^{T_i}/x_i, 1 \le i \le n\} = t$  is reducible of type T $(\in \mathsf{RED^{SN}}(T)).$

> By using adaptation lemma once more, one has  $t \in \mathsf{RED^{SN}}(T) \subseteq \mathsf{SNorm}(T)$  which allows to conclude that t is strongly normalizing.

<l - {w, ~ } [ ~ . ]

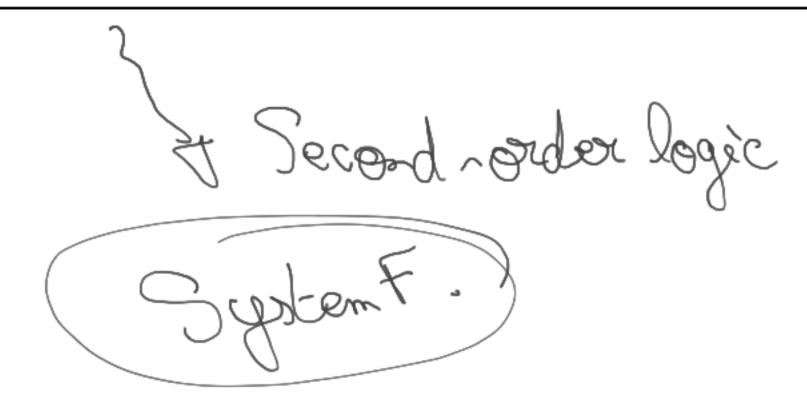
inité reduction réquences

# 2.3 Expressive power of system T

The extended expressiveness of  $\mathsf{T}$  that was mentioned in the start is expressed by the following theorem :

### Theorem 2.16

The functions that can be represented in system T are the recursive functions which can be proved to be total functions in first-order Peano arithmetics (PA).



# 2.3 Expressive power of system T

Simple arithmetical functions represented by T-terms.

The successor function can be written as:

$$\longrightarrow$$
 Succ  $\triangleq \lambda x^{\text{Nat}}.S(x)$  or

$$Succ' \triangleq \lambda x^{\text{Nat}}.\text{Rec}(x, \lambda y^{\text{Nat}}.\lambda z^{\text{Nat}}.z, S(0))$$

Addition can be defined as:

$$\mathsf{Add} \triangleq \lambda x^{\mathsf{Nat}}.\lambda y^{\mathsf{Nat}}.\mathsf{Rec}(x,\lambda z^{\mathsf{Nat}}.\mathsf{Succ},y).$$

Multiplication can be defined in the same way,

$$\mathsf{Mult} \triangleq \lambda x^{\mathsf{Nat}}.\lambda y^{\mathsf{Nat}}.\mathsf{Rec}(x,\lambda z^{\mathsf{Nat}}.(\mathsf{Add})y,\mathbf{0}).$$

Exponentiation can be defined in the same way,

$$\mathsf{Exp} \triangleq \lambda x^{\mathsf{Nat}}.\lambda y^{\mathsf{Nat}}.\mathsf{Rec}(y,\lambda z^{\mathsf{Nat}}.(\mathsf{Mult})x,\mathsf{S}(\mathbf{0})).$$

Succ= In Ifan. (p) (m) fr.

succ= In Ifan. (m) f (f) x

succ m ~ > > | Da. | plan fr.

$$\mathsf{Pred} \triangleq \lambda x^{\mathsf{Nat}}.\mathsf{Rec}(x,\lambda y^{\mathsf{Nat}}.\lambda z^{\mathsf{Nat}}.y,\mathbf{0}).$$

$$\mathsf{Subt} \triangleq \lambda x^{\mathsf{Nat}}.\lambda y^{\mathsf{Nat}}.\mathsf{Rec}(y,\lambda z^{\mathsf{Nat}}.\mathsf{Pred},x).$$

red S(t) \_\_\_\_ r(n) k Rec(t, ~,0)

Sk (t). = S(S(.-. (t)).

12n. 4) (1) (1) ... (9) n

# Ackermann-Peter function in T.

$$A(m,n) \triangleq \begin{cases} n+1 & \text{if } m=0\\ A(m-1,1) & \text{if } m>0 \text{ and } n=0\\ A(m-1,A(m,n-1)) & \text{if } m>0 \text{ and } n>0 \end{cases}$$

In order to represent A in T, we would need a T-term A such that

$$\begin{array}{cccc} (\mathsf{A})0n & \longrightarrow_\mathsf{T}^\star & \mathsf{S}(n) \\ (\mathsf{A})\mathsf{S}(m)0 & \longrightarrow_\mathsf{T}^\star & (\mathsf{A})m\mathsf{S}(0) \\ (\mathsf{A})\mathsf{S}(m)\mathsf{S}(n) & \longrightarrow_\mathsf{T}^\star & (\mathsf{A})m(\mathsf{A})\mathsf{S}(m)n \end{array}$$

we only have a recursor, not minimization scheme construct. How to find a solution?

Rec: Not - o(Not - oV-N)-0

(U - o U).

U= Not.

U= Not.

Let us consider A, by currying, not as a function of two arguments but as a family of unary functions  $(A_m)_{m\in\mathbb{N}}$  from  $\mathbb{N}$  to  $\mathbb{N}$ . We then notice that the definition becomes:

$$A_0(n) \triangleq n+1$$

$$A_{m+1}(n) \triangleq \begin{cases} A_m(1) & \text{if } n=0\\ A_m(A_{m+1}(n-1)) & n>0 \end{cases}$$

$$A_{m+1}(n) = (ter(A_m, n))$$
 where  $iter(f, 0) = f(1)$  and  $iter(f, n+1) = f(iter(f, n))$ .

- Now, we see clearly how to complete the definition of A:
- Consider Iter  $\triangleq \lambda f^{\mathsf{Nat} \to \mathsf{Nat}} . \lambda x^{\mathsf{Nat}} . \mathsf{Rec}(x, \lambda y^{\mathsf{Nat}}. f, (f) \mathsf{S}(0))$  to represent the iteration function described above.
- We can define the T-term representing Ackermann-Peter function as

$$A \triangleq \lambda x^{\mathsf{Nat}}.\mathsf{Rec}(x,\lambda z^{\mathsf{Nat}}.\mathsf{Iter},\mathsf{Succ}).$$

4 hoteves by Alemb.

LDT, So, System F. 5 lectures by Thomas. Alenes es back (3 lectures).
La completenes, (many-norted logies/ HO logie). Lo finid points pe-calculus Thomas again on MSO (finte/infinite madels). Ahon coolepp. MSO (n-calculus-