# Characters of infinite-dimensional unitary group and particle systems

# Leonid Petrov

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# Extreme characters of the infinite-dimensional unitary group

### Irreducible characters of unitary groups U(N) [Clebsch 1872, Schur, Weyl]

Irreducible representations of U(N) are indexed by highest weights (signatures)



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$$U(N) \ni U = D \begin{pmatrix} e^{i\theta_1} & 0 & \dots & 0 \\ 0 & e^{i\theta_2} & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & e^{i\theta_N} \end{pmatrix} D^{-1}.$$

Let  $x_j = e^{i\theta_j}$ . The values of the irreducible character corresponding to  $\lambda$  are given by the Schur polynomial:

$$\chi_{\lambda}(x_1,\ldots,x_N) = s_{\lambda}(x_1,\ldots,x_N) = \frac{\prod_{i,j=1}^{N} \left[ x_i^{\lambda_j+N-j} \right]}{\prod_{\substack{i,j=1 \\ i,j=1}}^{N} \left[ x_i^{N-j} \right]}.$$

### Branching and lozenge tilings

Restriction to  $U(N-1) \subset U(N)$ :

$$s_\lambda(x_1,\ldots,x_{N-1},1) = \sum_{\lambda_N \leq \mu_{N-1} \leq \lambda_{N-1} \leq \ldots \leq \lambda_2 \leq \mu_1 \leq \lambda_1} s_\mu(x_1,\ldots,x_{N-1})$$

which implies that there is a distinguished Gelfand–Tsetlin basis in every irreducible  $V_{\lambda}$  indexed by lozenge tilings of a sawtooth domain with *fixed top row*  $\lambda + \rho = (\lambda_1 + N - 1, \lambda_2 + N - 2, \dots, \lambda_N)$ 



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# Remark: uniformly random lozenge tilings with fixed top row



(asymptotics: a somewhat different story; e.g. [Bufetov's talk next])

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Let

$$U(\infty) = \bigcup_{N=1}^{\infty} U(N), \qquad \begin{pmatrix} u_{11} & u_{12} & \dots & u_{1N} & 0 & 0 & \dots \\ u_{21} & u_{22} & \dots & u_{2N} & 0 & 0 & \dots \\ \dots & \dots & \dots & \dots & \dots \\ u_{N1} & u_{N2} & \dots & u_{NN} & 0 & 0 & \dots \\ 0 & 0 & \dots & 0 & 1 & 0 & \dots \\ 0 & 0 & \dots & 0 & 0 & 1 & \dots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix} \in U(\infty)$$

A (normalized) character of  $U(\infty)$  is a function  $\chi$  on  $U(\infty)$  which is

- Continuous
- $\chi(AB) = \chi(BA)$  (constant on conjugacy classes)
- $\chi(1) = 1$  (normalized)
- $\chi$  is positive definite

Normalized characters of  $U(\infty)$  form a convex set, and its extreme points are analogues of irreducible characters.

Each extreme character of  $U(\infty)$  is a limit of normalized irreducible characters  $\frac{s_{\lambda(N)}(\cdots)}{s_{\lambda(N)}(1,\ldots,1)}, N \to +\infty \text{ [Vershik '74], [Vershik-Kerov '80s]}$ Combinatorially, the problem of asymptotic character theory of  $U(\infty)$  is equivalent to the following:

Find all sequences  $\lambda(N)$  such that the uniformly random tilings of domains of height N with fixed top rows  $\lambda(N)$  have weak limits when restricted to any fixed height K.



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Theorem [Edrei '53], [Voiculescu '76], also [Vershik-Kerov '80s], [Okounkov-Olshanski '90s], yet other proofs [Borodin-Olshanski '11], [P. '12], [Gorin-Panova '13] Extreme characters of  $U(\infty)$  are parametrized\* by tuples in

$$\begin{split} \widehat{U(\infty)} &:= \left\{ \omega = (\alpha^{\pm}, \beta^{\pm}, \gamma^{\pm}) \in \mathbb{R}^{4\infty+2} \colon \alpha^{\pm} = (1 > \alpha_1^{\pm} \ge \alpha_2^{\pm} \ge \ldots \ge 0), \\ \beta^{\pm} = (\beta_1^{\pm} \ge \beta_2^{\pm} \ge \ldots \ge 0), \ \gamma^{\pm} \ge 0 \right\} \end{split}$$

and are given by

$$\chi(x_1, x_2, \ldots) = \prod_{i=1}^{\infty} \frac{\Phi(x_i)}{\Phi(1)}, \qquad |x_i| = 1,$$

where

$$\Phi(x) := e^{\gamma^+ x + \gamma^- x^{-1}} \prod_{j=1}^{\infty} \frac{1 + \beta_j^+ x}{1 - \alpha_j^+ x} \prod_{j=1}^{\infty} \frac{1 + \beta_j^- x^{-1}}{1 - \alpha_j^- x^{-1}}$$

# Particle systems from flows on $\widehat{U(\infty)}$

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# Random lozenge tilings / interlacing arrays

For any  $\omega \in U(\infty)$  the restriction to the first K levels is a random tiling with random top row.

Gibbs property

Conditioned on fixed configuration  $\lambda^{K}$  at any level K, the distribution of the tiling at levels  $1, 2, \ldots, K - 1$  is uniformly random (provided the interlacing).



If  $\omega = 0$ , then  $\lambda^{K} = (0, 0, \dots, 0)$ , and there is only one densely packed tiling.

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If  $\omega = 0$ , then  $\lambda^{\kappa} = (0, 0, \dots, 0)$ , and there is only one densely packed tiling.

The distribution of each  $\lambda^{K}$  is a *Schur measure* (or its analogue with additional  $\alpha^{-}$ ,  $\beta^{-}$ ,  $\gamma^{-}$  parameters), and the whole tiling is distributed as a *Schur process* [Okounkov '01], [Okounkov-Reshetikhin '03].

### How to see the structure of Schur measures

Restrict the extreme character  $\chi$  of  $U(\infty)$  to any  $U(K) \subset U(\infty)$ . This is still a normalized character (but not necessarily irreducible), and we can decompose:

$$\chi(x_1,\ldots,x_K,1,1,\ldots)=\prod_{i=1}^K\frac{\Phi(x_i)}{\Phi(1)}=\sum_{\lambda_1\geq\ldots\geq\lambda_K}\operatorname{Prob}_K(\lambda)\frac{s_\lambda(x_1,\ldots,x_K)}{s_\lambda(1,\ldots,1)},$$

where  $\operatorname{Prob}_{\mathcal{K}}(\lambda)$  is a probability distribution on  $\mathcal{K}$ -signatures  $\lambda$ . The expansion into Schur polynomials can be computed explicitly. Let  $\frac{\Phi(x)}{\Phi(1)} = \sum_{n \in \mathbb{Z}} \varphi_n$ ,

then

$$\operatorname{Prob}_{\mathcal{K}}(\lambda) = s_{\lambda}(1,\ldots,1) \det_{i,j=1}^{\mathcal{K}} \left[ \varphi_{\lambda_j+i-j} \right].$$

Markov kernel  $\Lambda_{\kappa}^{\infty}$ 

 $\operatorname{Prob}_k(\lambda)$  defines a Markov kernel  $\Lambda_K^{\infty}$ :  $\widehat{U(\infty)} \longrightarrow \widehat{U(K)}$ ,  $\Lambda_K^{\infty}(\omega, \lambda) = \operatorname{Prob}_K(\lambda)$ .

If  $\alpha^- = \beta^- = \gamma^- = 0$  then  $\det_{i,j=1}^{K} [\varphi_{\lambda_j+i-j}] = s_{\lambda}(\alpha; \beta; \gamma)$  is a specialization of the Schur function, and that is how we get Schur measures.

# Deterministic flows on $U(\infty)$

Let  $\mathbf{T}_{\alpha^+}, \mathbf{T}_{\beta^+}, \mathbf{T}_{\Delta\gamma^+} : \widehat{U(\infty)} \to \widehat{U(\infty)}$  be operations of adding a new parameter  $\alpha^+$ ,  $\beta^+$ , or changing  $\gamma^+ \to \gamma^+ + \Delta\gamma^+$ , respectively. Similarly for  $\mathbf{T}_{\alpha^-}, \mathbf{T}_{\beta^-}, \mathbf{T}_{\Delta\gamma^-}$ .

Remark

$$\begin{split} &\lim_{M\to+\infty} (\mathbf{T}_{\alpha_{M}^{+}})^{M} = \mathbf{T}_{\gamma^{+}} \text{ with } \alpha_{M}^{+} = \gamma^{+}/M; \\ &\lim_{M\to+\infty} (\mathbf{T}_{\beta_{M}^{+}})^{M} = \mathbf{T}_{\gamma^{+}} \text{ with } \beta_{M}^{+} = \gamma^{+}/M; \text{ and similarly for } \alpha^{-}, \beta^{-}, \gamma^{-}. \end{split}$$

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#### Stochastic particle dynamics [Borodin-Ferrari '08], [Borodin '10]

Each of these flows defines a *unique* discrete time or a continuous time Markov evolution of each level  $\lambda^{K}$ , K = 1, 2, ...; denote by  $\mathbf{T}_{\alpha^{+}}^{K}$ , etc.



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# Particle dynamics $\mathbf{T}_{\alpha^+}^{K}$ etc. on $\widehat{U(K)}$

#### K = 1 — single-particle dynamics

- (α<sup>+</sup>) At each discrete time step, the particle jumps to the right by j ∈ Z<sub>≥0</sub> with probability (α<sup>+</sup>)<sup>j</sup>(1 − α<sup>+</sup>);
- (β<sup>+</sup>) At each discrete time step, the particle jumps to the right by 1 with probability <sup>β<sup>+</sup></sup>/<sub>1+β<sup>+</sup></sub>, and otherwise stays put;
- $(\gamma^+)$  Continuous time Poisson process: in continuous time, the particle jumps to the right by 1 after exponential random time intervals

For  $\alpha^-, \beta^-, \gamma^-$ , reverse the direction and jump to the left.

General K: discrete-space analogues of Dyson Brownian motion

Dynamics of *K* independent particles  $\lambda_j^K + K - j$  evolving as  $\mathbf{T}_{\alpha^+}^1$  etc., but conditioned to never collide.

From the point of view of characters:  $\chi_{\lambda}\left(\chi_{\alpha^{+}}\big|_{U(K)}\right)$  as character of U(K) decomposes into irreducible characters with weights corresponding to dynamics  $\mathbf{T}_{\alpha^{+}}^{K}$ .

# More particle systems, now in 2 dimensions

# 2-dimensional particle systems





If  $\mathbf{T}_{\alpha^+}^{K}$ ,  $\mathbf{T}_{\lambda^+}^{K-1}$ , ...,  $\mathbf{T}_{\alpha^+}^1$  evolve independently, this *does not preserve interlacing* (i.e., there is no consistent tiling picture)

#### 2-dimensional particle systems [Borodin-Ferrari '08], [Borodin-P. '13], [Matveev-P. '15]



- $T_{\alpha^+}^{[1,K]}$  preserves Gibbs measures;
- on Gibbs measures, restriction of  $\mathbf{T}_{\alpha^+}^{[1,K]}$  to any level *m* is  $\mathbf{T}_{\alpha^+}^m$ ;
- The construction of T<sup>[1,K]</sup><sub>α+</sub> is highly non-unique (as opposed to single-level dynamics T<sup>K</sup><sub>α+</sub>);
- Some possible constructions of T<sup>[1,K]</sup><sub>α+</sub> involve Robinson–Schensted–Knuth correspondences [O'Connell '03];
- Let us give an example of T<sup>[1,K]</sup><sub>Δγ<sup>+</sup></sub> not directly related to Robinson–Schensted–Knuth
   [Borodin–Ferrari '08]

















Each particle waits for a random exponential time and then jumps to the right by 1, unless it is blocked from below. If needed, it pushes the particles above.



Long-time  $(\gamma^+ \to \infty)$  asymptotics [Borodin–Ferrari '08]: Anisotropic KPZ

- Frozen boundary is a parabola;
- Local fluctuations along the boundary are of order (γ<sup>+</sup>)<sup>1/3</sup> in normal direction and have the GUE Tracy–Widom F<sub>2</sub> distribution (and multipoint ones are governed by the Airy line ensemble);
- Global fluctuations inside the parabola are governed by the Gaussian Free Field

# **TASEPs**

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The evolution of the leftmost particles is *marginally Markovian*, i.e., it does not depend on the rest of the tiling

Let  $x_j := \lambda_j^j - j$ , so  $x_1 > x_2 > x_3 > \dots$  is a particle configuration in  $\mathbb{Z}$ .

Continuous time TASEP [MacDonald-Gibbs-Pipkin '68], [Spitzer '70],

In continuous time, each particle in the configuration jumps to the right by 1 after an exponential waiting time with mean 1, unless the destination is occuppied

GUE Tracy–Widom asymptotics of fluctuations for  $x_j(0) = -j$ : [Johansson '00]



# Discrete time TASEPs for $\mathbf{T}_{\alpha^+}^{[1,K]}$ and $\mathbf{T}_{\beta^+}^{[1,K]}$

Geometric case  $\alpha^+$ . Notation  $\mathbf{G}_{\alpha^+}$ 

At each dicrete time step  $t \to t + 1$ , each particle  $x_j$ , independently of others, jumps to the right by a geometric random distance with parameter  $\alpha^+$ , and the jump is stopped if  $x_j$  would overjump  $x_{j-1}(t)$  (since  $\alpha < 1$  this is well-defined):

 $\operatorname{Prob}(x_j(t+1) = x_j(t) + m) = \begin{cases} (\alpha^+)^m (1 - \alpha^+), & 0 \le m < x_{j-1}(t) - x_j(t) - 1; \\ (\alpha^+)^m, & m = x_{j-1}(t) - x_j(t) - 1. \end{cases}$ 



Bernoulli case  $\beta^+$ . Notation **B**<sub> $\beta^+$ </sub>

At each dicrete time step  $t \to t + 1$ , each particle  $x_j$  jumps to the right by 1 with probability  $\frac{\beta^+}{1+\beta^+}$ , unless blocked. We update from right to left (from  $x_1$  to  $x_K$ ), so blockage is defined as  $x_j(t) = x_{j-1}(t+1) - 1$ .

# Joint distributions

The constructions explained so far allow to obtain determinantal structure of joint distributions in any of the TASEPs along **space-like paths**, for example:

$$x_5(t), x_5(t+1), x_4(t+3), x_4(t+4), x_2(t+5)$$

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What about time-like paths such as

$$x_5(t), x_{10}(t+8), x_{10}(t+9), x_{12}(t+10)$$
?

[Johansson '15]: asymptotics of time-like two-point distribution in last passage percolation (in bijection with TASEP started from  $x_j(0) = -j$ ). Different asymptotic regime, fluctuation exponent 1 (scale O(1)). Slow decorrelation [Ferrari '08], [Corwin–Ferrari-Péché '10]

#### Next

An attempt to understand some of the algebraic structure of time-like joint distributions

# Commuting flows on $\widehat{U(\infty)}$ and particle systems of a new sort

# Commuting flows and Markov kernels



- Flows T<sub>α+</sub> and T<sub>β+</sub> on U(∞) commute for trivial reasons
- Markov dynamics T<sup>K</sup><sub>α<sup>+</sup></sub> and T<sup>K</sup><sub>β<sup>+</sup></sub> also commute<sup>\*</sup>, for simple Schur reasons
- What about 2-dimensional dynamics  $\mathbf{T}_{\alpha^+}^{[1;\mathcal{K}]}$  and  $\mathbf{T}_{\beta^+}^{[1;\mathcal{K}]}$ ?
- What about TASEPs  $\mathbf{G}_{\alpha^+}$  and  $\mathbf{B}_{\beta^+}$  ?

In the following we focus on TASEPs, which **indeed commute**<sup>\*</sup>. Their commutation can be traced to the **Yang-Baxter equation** for (a degenerate case of) the higher spin six-vertex model

# Commutation of TASEPs $G_{\alpha^+}$ and $B_{\beta^+}$



If all four geometric and Bernoulli steps are independent (given  $\vec{x}$ ), then commutation means that for fixed  $\vec{x}$  the distributions of  $\vec{y}^{-1}$  and  $\vec{y}^{\dagger}$  are the same.

However, unknown how to couple so that the steps  $\mathbf{G}_{\alpha^+}$  and  $\mathbf{B}_{\beta^+}$  are still independent but  $\vec{y}^{-1} = \vec{y}^{\dagger^*}$ .

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#### Idea

Having some partial information about  $\vec{x}, \vec{x}, \vec{y}$ , we are able to say something about the top right configuration.

# **Coupling lemmas**



Lemmas [Orr-P. '16]

There is a rule for sampling  $y_m^{\dagger}$  given  $x_{m-1}, y_{m-1}, x'_m$ , such that given  $\vec{x}$ :





# Q: What is in the black box? A: stochastic vertex weights



At a vertex: fixing incoming arrows (from left and bottom), we have probability distribution on outgoing arrow configuration (to the right and upwards).

Parameters:  $\alpha^+ \in (0, 1)$ ,  $\beta^+ \in (0, +\infty)$ . Inputs:  $x_{m-1} - x'_m - 1$ ,  $y_{m-1} - x_{m-1}$ .

#### Remark

This is q = 0 case of a more general stochastic higher spin six vertex model [Reshetikhin et al. '80s], [Borodin '14], [Corwin-P. '15]. There is also *space-time inhomogeneous* version  $(\beta^+ \rightarrow a_x \beta_y^+, \alpha^+ \rightarrow \alpha_x^+)$  for which we can compute observables for q > 0[Borodin-P. '16] and q = 0 [Knizel-P. '17, in prep.]. We mostly stick to the homogeneous case.

## Coupling of TASEPs to a stochastic vertex model

- Step Bernoulli boundary conditions in vertex model: paths are started independently on the right with probabilities <sup>β<sup>+</sup></sup>/<sub>1+β<sup>+</sup></sub>; nothing enters from below.
- Having stochastic vertex weights, define probability distribution on path ensembles by induction on x + y.

(At most one horizontal arrow per edge.)



• Define the **height function** h(N, T) to be the number of vertical arrows crossing the *T*-th horizontal (weakly) to the right of *N*. In short, paths are level lines for the height function.

### Coupling of TASEPs to a stochastic vertex model



Let h(N, T) be the height function of the vertex model with the step Bernoulli boundary condition, and x(N, T) be a TASEP started from the usual initial configuration  $x_j(0,0) = 0$ . To get to configuration x(N, T), TASEP performs N - 1 geometric steps  $\mathbf{G}_{\alpha^+}$ and T Bernoulli steps  $\mathbf{B}_{\beta^+}$  in some order.

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Theorem [Orr-P. '16]



Joint distribution of  $\{h(N_t + 1, T_t)\}$ along any up-right path is the same as for  $\{x_{N_t}(N_t, T_t) + N_t\}$  in a mixed geometric/Bernoulli TASEP as above, with

- Horizontal step  $N \to N + 1$  $\leftrightarrow$  geometric  $\mathbf{G}_{\alpha^+}$
- Vertical step  $T \rightarrow T + 1$  $\leftrightarrow$  Bernoulli  $\mathbf{B}_{\beta^+}$

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## Remarks

#### Schur structure

Joint distributions of  $(x_{m-1}, y_{m-1}, y_{m-1}^{p})$  and  $(x_{m-1}, x'_{m-1}, y_{m-1}^{d})$  are marginals of Schur processes. The passage  $m-1 \rightarrow m$  in the last coordinates seems to bring us outside the Schur processes formalism.



Marginal distributions are Schur-type; access to single-point asymptotics We have  $h(N + 1, T) = x_N(N, T) + N$ , which does not depend on the path. At the same time,  $x_N(N, T) + N$  is equal in distribution to  $\lambda_N$ under the Schur measure  $\propto s_\lambda(\underbrace{\alpha^+, \ldots, \alpha^+}_{N-1}; \underbrace{\beta^+, \ldots, \beta^+}_{T}) s_\lambda(\underbrace{1, \ldots, 1}_{N})$ .

#### Shifting

For fixed k,  $\{x_{N_t+k}(N_t, T_t) + N_t + k\} \stackrel{d}{=} \{h^{(k)}(N_t + 1, T_t)\}$ , where  $h^{(k)}$  is the height function of the vertex model with the so-called **generalized step Bernoulli boundary condition** (depending on k).

# Time-like joint distributions

Vertex model structure of joint distribution of, e.g.,  $x_4(4,3), x_4(4,4), x_5(5,4), x_6(6,4)$ 



#### Accessible time-like joint distributions

In the higher spin model we can compute (at least for q > 0 [Borodin–P. '16]) joint observables of

$$x_N(N, T), x_{N+1}(N+1, T), x_{N+2}(N+2, T), \ldots$$

which are time-like (though very specific)

# Bonus: asymptotics of TASEP in continuous inhomogeneous space

# Continuous space TASEP

A (mostly straightforward) limit of the inhomogeneous stochastic vertex model (powered by TASEPs with particle-dependent jumps) brings the following continuous time particle system on ordered particles  $y_1 \ge y_2 \ge \ldots$  in  $\mathbb{R}_{\ge 0}$ :

- initially there are infinitely many particles at 0;
- one particle can leave a stack at location y at rate ξ(y), where ξ is an arbitrary (positive, nice) function;
- the jumping particle wants to jump an exponential distance with mean 1/L;
- particles preserve order (so a flying particle joins the existing stack)

Define the height function  $H(t, y) := \# \{ \text{number of particles} \ge y \text{ at time } t \}$ .



### Asymptotics via Schur measures [Knizel-P. '17, in prep.]

Let  $L \to \infty$  (jumps are small),  $t = \tau L$  (many particles). There exists a limit shape  $H(\tau, y)$  such that

$$\lim_{L\to\infty} \mathbb{P}\left(\frac{H(\tau L, y) - L\mathbf{H}(\tau, y)}{\sigma(y)L^{\frac{1}{3}}} \geq -r\right) = F_2(r).$$

- If ξ(0) ≥ lim<sub>ε→0</sub> ξ(ε) then the Tracy–Widom fluctuations are for all y > 0;
- If ξ(0) < lim<sub>ε→0</sub> ξ(ε) then there are Tracy–Widom fluctuations for y > σ > 0, Gaussian fluctuations on the scale L<sup>1/2</sup> on (0, σ), and a Baik–Ben Arous–Péché phase transition at σ.
- The limit shape H can be explicitly described. If ξ is piecewise constant then H is piecewise algebraic.
- $\bullet\,$  There is another type of phase transitions in this model traffic jams caused by slowdown in  $\xi\,$

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#### **Overview and perspectives:**

- Commuting Markov operators from Schur processes / asymptotic character theory of  $U(\infty)$  bring new particle systems and tools to study them
- Particle systems in continuous inhomogeneous space display traffic jam phase transitions with Tracy–Widom fluctuations on both sides
- How to better describe the structure of time-like joint distributions not falling under Schur processes formalism?
- Anything new if we include the other group of parameters  $\alpha^-, \beta^-, \gamma^-$ ?
- How to extend this to commuting Markov operators on 2-dimensional particle configurations?

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#### Things left out:

- Along the way of studying the continuous space TASEP we discovered new continuous-parameter limits of Schur measures and processes. They live on half-infinite or infinite configurations
- Everything discussed in the talk except connections to irreducible characters has a q-deformation related to q-Whittaker polynomials (= Macdonald polynomials with t = 0). Asymptotic behavior of the continuous space inhomogeneous model for fixed q is the same (with q-modified H) [Borodin-P., arXiv:1702.???]