

ON  $r$ -STACKED  
TRIANGULATED MANIFOLDS

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## Stacked polytopes

$\Delta = \partial P$ ,  $P$  simplicial  $d$ -polytope.

- Recursive definition.  $\Leftrightarrow$
- $\Delta = \partial\Delta'$ ,  $||\Delta'|| = P$ ,  $\Delta_{\leq d-2} = \Delta'_{\leq d-2}$ .  $\Rightarrow$
- f-vector  $f(\Delta) = \text{explicit func}(d, f_0)$ .

**Denote:**  $\mathcal{P}_{st}(d, n) \subseteq \mathcal{P}(d, n)$  families of boundary complexes of stacked / all  $d$ -polytope on  $n$  vertices.

**LBT (Barnette '71)**

- (1)  $\Delta \in \mathcal{P}(d, n) \Rightarrow f(\Delta) \geq \text{func}(d, f_0)$ .
- (2) Equality implies  $\Delta \in \mathcal{P}_{st}(d, n)$ .

**Rem.** Extended by Kalai to  $\Delta$  any homology sphere.

## $(r - 1)$ -stacked polytopes

- $\Delta = \partial P$  is  $(r - 1)$ -stacked if exists

$$\Delta = \partial\Delta', \|\Delta'\| = P, \Delta_{\leq d-r} = \Delta'_{\leq d-r}, \Rightarrow$$

- $f(\Delta) = \text{explicit func}(d, f_0, \dots, f_{r-1})$ ,

nicely expressed in terms of  $h$ -vectors:

$f$ -vector:

$f_i(\Delta) =$  number of  $i$ -dimensional faces of  $\Delta$

$$f(\Delta) = (f_{-1}(\Delta), f_0(\Delta), \dots, f_{d-1}(\Delta))$$

$h$ -vector:

$$\sum_{i=0}^d h_i x^{d-i} = \sum_{i=0}^d f_{i-1} (x - 1)^{d-i}$$

$$h(\Delta) = (h_0(\Delta), \dots, h_d(\Delta))$$

Example:

$$\Delta = \partial(\text{triangle}) \Rightarrow f(\Delta) = (1, 3, 3), \quad h(\Delta) = (1, 1, 1)$$

## Dehn-Sommerville relations:

$\Delta = \partial P$ , or  $\Delta$  any homology  $(d - 1)$ -sphere  $\Rightarrow$

$$h_i(\Delta) = h_{d-i}(\Delta) \text{ for any } 0 \leq i \leq d.$$

## GLBC: (McMullen-Walkup,71)

$\Delta = \partial P$ ,  $P$  simplicial  $d$ -polytope, then  $h(\Delta)$  satisfies

(1)  $1 = h_0 \leq h_1 \leq \dots \leq h_{\lfloor \frac{d}{2} \rfloor}$

(2) TFAE:

(i)  $h_{r-1} = h_r$  for some  $1 \leq r \leq \lfloor \frac{d}{2} \rfloor$

(ii)  $\Delta$  is  $(r - 1)$ -stacked.

**Proofs.** (1) Stanley '79 using weak Lefschetz property (WLP),

(ii $\Rightarrow$ i) McMullen-Walkup '71 combinatorially,

(i $\Rightarrow$ ii) Murai-N. '12 geom.,topo., and mostly algeb.

## $\mathbb{Q}$ -homology manifolds

•  $M$  is  $d$ -dim  $\mathbb{Q}$ -homology manifold WITH boundary if  $M$  is pure and  $\forall \emptyset \neq F \in M$ ,  $\tilde{H}_\bullet(lk_M(F); \mathbb{Q}) \cong$  either  $\tilde{H}_\bullet(S^{d-|F|}; \mathbb{Q})$  or  $\tilde{H}_\bullet(B^{d-|F|}; \mathbb{Q}) = 0$ ; and

$\partial M := \{F \in M : \tilde{H}_\bullet(lk_M(F); \mathbb{Q}) = 0\}$  is a  $(d - 1)$ -dim

$\mathbb{Q}$ -homology manifold W/O boundary, i.e.  $\partial M$  is pure and

$\forall \emptyset \neq F' \in \partial M$ ,  $\tilde{H}_\bullet(lk_M(F'); \mathbb{Q}) \cong \tilde{H}_\bullet(S^{d-1-|F'|}; \mathbb{Q})$ .

**Rem.** Triangulated manifolds are  $\mathbb{Q}$ -homology manifolds.

•  $d$ -dim manifold  $M$  WITH boundary is  $r$ -stacked if it has NO interior faces of  $\dim < d - r$ .

•  $\Delta$  manifold W/O boundary is  $r$ -stacked if

$\Delta = \partial M$  for some  $r$ -stacked manifold  $M$ .

$r$ -stackedness depends only on  $(f, \beta)$ -vectors

$h'$ -vector:

Let  $\Delta$  be  $(d - 1)$ -dim simplicial complex,

$$h'_i(\Delta) = h_i(\Delta) - \binom{d}{i} \sum_{k=1}^{i-1} (-1)^{i-k} \beta_{k-1}(\Delta) \text{ for } 0 \leq i \leq d$$

$h''$ -vector:

$$h''_i(\Delta) = h'_i(\Delta) - \binom{d}{i} \beta_{i-1}(\Delta) =$$

$$h_i(\Delta) - \binom{d}{i} \sum_{k=1}^i (-1)^{i-k} \beta_{k-1}(\Delta) \text{ for } 0 \leq i \leq d - 1, \text{ and}$$

$$h''_d(\Delta) = h'_d(\Delta) = \beta_{d-1}(\Delta).$$

Algebraic meaning - via Stanley-Reisner ring:

• *Face ring* of  $\Delta$  on  $n$  vertices (over  $\mathbb{Q}$ ) is

$$\mathbb{Q}[\Delta] = \mathbb{Q}[x_1, \dots, x_n] / (x^F : F \in 2^{[n]} - \Delta).$$

**Example:**  $\mathbb{Q}[\partial(\text{triangle})] = \mathbb{Q}[x_1, x_2, x_3] / (x_1 x_2 x_3).$

• If  $\Delta$  is  $(d - 1)$ -manifold then  $\mathbb{Q}[\Delta]$  is *Buchsbaum ring*.

**Theorem:** For  $\Theta = (\theta_1, \dots, \theta_d)$  an l.s.o.p. of  $\mathbb{Q}[\Delta]$ :

(1) **Schenzel '81:**  $\text{hilb}(\mathbb{Q}[\Delta]/(\Theta)) = h'(\Delta)$ ,

(2) **Novik-Swartz '09:** exists ideal  $N \subseteq \text{Soc}(\mathbb{Q}[\Delta]/(\Theta))$  with  $\text{hilb}((\mathbb{Q}[\Delta]/(\Theta))/N) = h''(\Delta)$ .

**Rem.** In particular  $h''(\Delta) \geq 0$ .

**$\tilde{g}$ -vector:**

$$\begin{aligned} \tilde{g}_i(\Delta) &= h''_i(\Delta) - h''_{i-1}(\Delta) - \binom{d}{i-1} \beta_{i-1}(\Delta) = \\ &h_i(\Delta) - h_{i-1}(\Delta) - \binom{d+1}{i} \sum_{k=1}^i (-1)^{i-k} \beta_{k-1}(\Delta) \end{aligned}$$

for  $0 \leq i \leq d$ , and

$$\tilde{g}(\Delta) = (\tilde{g}_0, \tilde{g}_1, \dots, \tilde{g}_{\lfloor \frac{d}{2} \rfloor}).$$

The  **$\tilde{g}$ -vector** gives enumerative criterion for  $r$ -stackedness:

Main Theorem (enumerative criteria): Murai-N. '12

(1) For any  $(d - 1)$ -dim homology manifold WITH boundary  $\Delta$  and  $1 \leq r \leq d$ :

$\Delta$  is  $(r - 1)$ -stacked  $\Leftrightarrow h_r''(\Delta) = 0$ .

(2) If  $\Delta = \partial M$  for  $d$ -dim  $(r - 1)$ -stacked homology manifold WITH boundary  $M$  and  $1 \leq r \leq \frac{d}{2} \Rightarrow \tilde{g}_r(\Delta) = 0$ .

(3) Let  $\Delta$  be a connected  $\mathbb{Q}$ -orientable  $(d - 1)$ -homology manifold W/O boundary and  $1 \leq r < \frac{d}{2}$ . Assume all vertex links have WLP (e.g. when all vertex links are polytopal). Then:

$\tilde{g}_r(\Delta) = 0 \Rightarrow \Delta$  is  $(r - 1)$ -stacked.

Open problem:

Does (3) hold for  $r = \frac{d}{2}$ ? Even the case  $d = 4$  is open!



## Conjecture (GLBC for manifolds):

Let  $\Delta$  be a connected triangulated  $(d - 1)$ -manifold W/O boundary. Then:

(1) Kalai '88:  $\tilde{g}(\Delta) \geq 0$ .

(2) Bagchi-Datta '12: If  $\tilde{g}_r(\Delta) = 0$  for some  $r < \frac{d}{2}$  then  $\Delta$  is *locally  $(r - 1)$ -stacked*.

**Def.**  $\Delta$  is *locally  $r$ -stacked* if any vertex link is  $r$ -stacked.

**Theorem (local–global):** Bagchi-Datta '12, Murai-N. '12

Let  $\Delta$  be a  $(d - 1)$ -dim homology manifold W/O boundary and  $1 \leq r < \frac{d}{2}$ . Then:

$\Delta$  is  $(r - 1)$ -stacked  $\Leftrightarrow \Delta$  is locally  $(r - 1)$ -stacked.

**Remarks:** •  $\Rightarrow$  is clear, for any  $r$ .

•  $\Leftarrow$  is false for  $r = \frac{d}{2}$ , e.g.  $\Delta = \partial\sigma^r * \partial\sigma^r \cong S^{2r-1}$ .

Theorem (stackedness restricts topology): Murai-N. '12, also follows from Bagchi-Datta '12

(1)  $\Delta$   $(r - 1)$ -stacked manifold WITH boundary  $\Rightarrow \beta_k(\Delta) = 0$  for all  $k \geq r$ .

(2)  $\Delta$   $(r - 1)$ -stacked  $(d - 1)$ -manifold W/O boundary,  
 $1 \leq r < \lfloor \frac{d}{2} \rfloor \Rightarrow \beta_k(\Delta) = 0$  for all  $r \leq k \leq d - r - 1$ .

Question:

Are there more topological restrictions?

E.g. handle decompositions?

Theorem (uniqueness): Bagchi-Datta '12, Murai-N. '12

$\Delta$   $(r - 1)$ -stacked  $(d - 1)$ -manifold W/O boundary,  $1 \leq r \leq \lfloor \frac{d}{2} \rfloor$

$\Rightarrow \exists!$   $(r - 1)$ -stacked  $d$ -manifold  $\Delta'$  with  $\partial\Delta' = \Delta$ .

In fact,  $\Delta' = \Delta(r) := \{F \subseteq \Delta_0 : \binom{F}{r+1} \subseteq \Delta\}$ .

Rem.

- False for  $r = \frac{d+1}{2}$ .
- False for  $\Delta(r - 1)$ , though true if  $\Delta = \partial P$ .

**Theorem (upper bounds on  $\tilde{g}$ ):** (Murai-N.)

Let  $\Delta$  be a connected orientable homology  $(d - 1)$ -manifold W/O boundary. Assume all vertex links have WLP.

**Then,**  $\exists$  l.s.o.p.  $\Theta$ , a linear form  $\omega$ , and an ideal  $J$  in

$R = \mathbb{Q}[\Delta]/(\Theta + (\omega))$  s.t.  $\dim_{\mathbb{Q}}(R/J)_i = \tilde{g}_i(\Delta)$ , for all  $i \leq \frac{d}{2}$ .

In particular,  $\tilde{g}(\Delta)$  is an  $M$ -sequence.

**Rem.** Conj. conclusion holds  $\forall$  connected manifolds W/O  $\partial$ .

**Conj.**  $\dim_{\mathbb{Q}}(Soc(R))_r \geq \binom{d+1}{r} \beta_{r-1}(\Delta)$  for all  $r \leq \frac{d}{2}$ .

**Rem.** • true for  $\Delta$   $(r - 1)$ -stacked.

• **Conj.  $\Rightarrow$  Thm.:** let  $R' = \mathbb{Q}[\Delta]/(\Theta)$ , then

$$\dim_{\mathbb{Q}}(Soc(R))_r = \dim_{\mathbb{Q}} R'_r - \dim_{\mathbb{Q}}(R'/Soc(R'))_{r-1} =$$

$$h'_r - h''_{r-1} = \tilde{g}_r + \binom{d+1}{r} \beta_{r-1}(\Delta),$$

so can choose  $J \subseteq Soc(R)$ .

THANK YOU !