Some dynamical systems associated with continued fractions and S-adic systems

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Relying on joint work with :

Asaki Saito, Valérie Berthé, Edmund Harriss, Sébastien Labbé, Milton Minervino, Tom Schmidt, Wolfgang Steiner, Jörg Thuswaldner...

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Generalized continued fractions :

Natural extensions, Suspensions, Symbolic dynamics, tilings, toral translations and the Weyl chamber flow

Gauss maps and their natural extensions Suspensions

Generalized unimodular continued fraction

- Generalized unimodular continued fraction :
- A piecewise projective map T on a cone $\Lambda \subset \mathbb{R}^d$
- It is associated with a map $M : \Lambda \to GL(d, \mathbb{Z})$.

$$T(x) = M(x)^{-1}x .$$

- This is the Gauss map
- Being a projective map, it admits many presentations,
- depending on the presentation

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One example : the Farey map

The map T is defined on the positive quarter plane

•
$$M(x,y) = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$
 if $x < y$, $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ if $x > y$

- If we normalize by x + y = 1, we obtain $T_1 : [0, 1) \rightarrow [0, 1)$,
- $\blacktriangleright x \mapsto \frac{x}{1-x} \text{ if } x < \frac{1}{2}, x \mapsto 2 \frac{1}{x} \text{ if } x > \frac{1}{2}.$

•
$$T(x) = M(x)^{-1}x$$
.

- If we normalize by y = 1, we obtain $T_2 : [0, +\infty) \rightarrow [0, +\infty)$,
- $x \mapsto \frac{x}{1-x}$ if x < 1, $x \mapsto x 1$ if x > 1.
- It is the same map.

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The Farey map T_1



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Natural extensions and Gauss measures

There is a natural formula for a natural extension

•
$$\tilde{T}(x,y) = (M(x)^{-1}x, {}^{t}M(x)y).$$

- \tilde{T} preserves Lebesgue measure
- it preserves the symplectic form $\sum x_i y_i$
- ► If T is strictly expanding, T̃ has a unique compact invariant set K
- ► if K has nonempty interior, it is a model for the natural extension of T.

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The natural extension of the Farey map

• The map \tilde{T}_1 is defined on $\{(x, y) | 0 < x < 1, \frac{1}{x-1} < y < \frac{1}{x}\}$

•
$$(x,y)\mapsto \left(\frac{x}{1-x},(1-x)^2y+1-x\right)$$
 if $x<\frac{1}{2}$.

- $(x,y)\mapsto \left(2-\frac{1}{x},x^2y-x\right)$ if $x<\frac{1}{2}$.
- This map preserves Lebesgue measure
- Hence T_1 has invariant (infinite) density $\frac{1}{x(1-x)}$.

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The natural extension of the Farey map



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A Boole type map

- If we conjugate by a primitive of the invariant density,
- we obtain a Boole type map $T_3 : \mathbb{R} \to \mathbb{R}$

•
$$x \mapsto \log(e^x - 1)$$
 if $x > 0$

•
$$x \mapsto -\log(e^{-x}-1)$$
 if $x < 0$

- ► This is an ergodic map on \mathbb{R} which preserves Lebesgue measure.
- Can we classify all such maps? The first was the original Boole map

$$\blacktriangleright x \mapsto x - \frac{1}{x}.$$

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A simple example : Brun Continued Fractions

- Given 3 positive real numbers x,y,z
- Subtract the second biggest from the biggest, and iterate
- It is associated with the 6 elementary matrices on the 6 sorted subcones of the positive cone.
- It satisfies a Markov condition.

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Natural extension of Brun Continued Fractions



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Natural extension of Brun Continued Fractions



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A 2-adic example : Saito's algorithm

- This GCD algorithm is defined on pairs of integers (p, q) with q odd.
- If p is even, we replace the pair by $(\frac{p}{2}, q)$
- If p is odd, we replace the pair by $\left(\frac{p+q}{2}, p\right)$
- This algorithm converges to (d, d), where d is the GCD of p and q

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A 2-adic example : Saito's algorithm

- ▶ It is associated to a Gauss map on \mathbb{Z}_2 defined by
- T(x) = x/2 if x is not invertible
- $T(x) = \frac{\frac{1}{x}+1}{2}$ if x is invertible.
- T preserves the Haar measure on \mathbb{Z}_2
- This map should allow to perform a probabilistic analysis of this algorithm.

Suspensions

- It is natural to look for a suspension flow of the natural extension
- and there is an obvious candidate
- the flow $\phi_t(x, y) = (e^t x, e^{-t} y)$ commutes
- and preserves the symplectic form x.y
- It gives a suspension of the natural extension, by a suitable quotient space.

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Suspensions

- > The question is to give a meaning to the underlying space
- This is sometimes possible :
- Modular spaces

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A dynamic model for continued fractions

- We want to find a family of systems R_{α} .
- where α is in the domain of the Gauss map T.
- and a family of subsets A_{α} .
- such that the induced map $R_{\alpha|A_{\alpha}}$ is conjugate to $R_{T\alpha}$.
- Example 1 : continued fraction, rotations and the geodesic flow on the modular surface.
- Example 2 : interval exchange maps, Rauzy induction and the Teichmüller flow.
- this has been hugely successful (but highly technical)

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A dynamic model for continued fractions

- Extend this to a higher dimensional continued fraction map?
- Problem : find the family of dynamical systems (translations on compact groups).
- Difficult in dimension > 1.
- the set A_{α} has special properties :
- It should be a bounded remainder set (not easy to find)
- It should give symbolic dynamics with linear complexity
- In the periodic case :
- ► It needs to have fractal boundaries (Markov partition).

Symbolic dynamics

- Geometry is difficult : we are going to use symbolic dynamics.
- The basic idea :
- With a given point, we associate a sequence M_n of matrices.
- With each matrix M_n , we associate a substitution σ_n having this matrix.
- ► This infinite sequence of substitution defines an infinite limit sequence of letters (*S*-adic sequence).

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Symbolic dynamics

- ► The S-adic sequence defines a symbolic dynamical system which is renormalizable by construction.
- Think of a generalized Sturmian sequence, with its infinite sequence of renormalizations.
- This associates to the initial point α a symbolic system (Ω_α, S).
- We could take this as an answer, but we want a geometric model.
- We consider the stepped line obtained by abelianization of $u \in \Omega_{\alpha}$: we want it to be a model set.

Some properties of symbolic systems

- We want (Ω_{α}, S) to be minimal.
- We want (Ω_α, S) to be uniquely ergodic : asymptotic direction for the stepped line.
- We want this asymptotic direction to be totally irrational.
- ► We want the stepped line to be *balanced*, that is, remain within bounded distance of the asymptotic direction.

Some properties of symbolic systems

- ▶ If the stepped line is *balanced*, it is (almost) a model set.
- ► We can project it on the diagonal plane to obtain a compact set with nonempty interior which gives a locally finite covering by action of the diagonal subgroup of Z³.
- If this covering is a tiling, the stepped line is a true model set.
- All these properties can be ensured by a generalized Pisot property on the sequence of matrices, plus some combinatorics.
- For some classical algorithms, the Pisot property was proved by Avila-Delecroix.

A plan of attack

- 1. Study some properties of sequence of positive matrices.
- 2. Define the corresponding S-adic system.
- 3. Prove that the asymptotic properties of sequence of matrices imply properties of the *S*-adic system.
- 4. Define the dynamical geometric model for this sequence of matrices : Rauzy fractals and Rauzy boxes.
- Prove that these properties are almost everywhere defined for some algorithms, using Oseledets and computations by Avila-Delecroix.
- 6. This plan has been realised in several cases (Brun's algorithm, for example)

The classical example

- The basic algorithm is the geodesic flow on the modular surface
- We will show a few movies (due to Edmund Harriss) showing this flow
- and various arithmetic properties
- A first movie : the shape of lattiices can be found at
- https://www.youtube.com/watch?v=vLrliPt4Uc0

Continued fraction as renormalisation A curious dynamical system

A last curious example

- The positive rationals can be generated by the Calkin Wilf tree
- where $\frac{p}{q}$ has descendants $\frac{p}{p+q}$ and $\frac{p+q}{q}$.
- Moshe Newman (see A. Malter, D. Schleicher, and D. Zagier) proved that the map
- x → 1/(2[x]+1-x), starting from 0, gives a breadth-first enumeration of the tree.
- This is a simple and interesting dynamical system

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A last curious example

- This map preserves the tail of the continued fraction expansion
- Here is the orbit of e-1
- given by the continued fraction

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[[1, 1, 2, 1, 1, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10], [0, 1, 3, 1, 1, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [4, 1, 1, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 4, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [1, 3, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 1, 1, 2, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [2, 2, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 2, 1, 1, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [1, 1, 1, 1, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [0, 1, 2, 1, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [3, 1, 2, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 3, 3, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [1, 2, 3, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 1, 1, 1, 3, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10] [2, 1, 3, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 2, 4, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [1, 1, 4, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 1, 5, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [6, 4, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1, 12, 1], [0, 6, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1], [1, 5, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 1, 1, 4, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10] [2, 4, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 2, 1, 3, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10] [1, 1, 1, 3, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10] [0, 1, 2, 3, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10][3, 3, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10, 1, 1] [0, 3, 1, 2, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10] [1, 2, 1, 2, 1, 3, 1, 1, 6, 1, 1, 8, 1, 1, 10] [0, 1, 1, 1, 1, 2, 1, 3, 1, 1, 6, 1, 1, 8, 1]]

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Continued fraction as renormalisation A curious dynamical system

A last curious example

- The sum of the coefficients is preserved !
- This map exchanges [0,1] and $[1,+\infty]$
- Its square is a map from [0, 1] to itself.

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A last curious example

- If we conjugate by the Question Mark function of Minkowski,
- we obtain an interesting result

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A last curious example

- This map is conjugate to the 2-adic odometer
- Hence it is uniquely ergodic
- Its unique ergodic invariant measure
- is a singular measure
- Image of Lebesgue measure by the Minkowski function
- This map could be related to the horocycle flow.

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