

Lyapunov spectrum properties

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ANR CODYS

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1) R. Mohammadpour, Zero temperature limits of equilibrium states for subadditive potentials and approximation of the maximal Lyapunov exponent, *Topological Methods in Nonlinear Analysis*, **55(2)**, 97—710 (2020).

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2)R. Mohammadpour, Lyapunov spectrum properties and continuity of lower joint spectral radius ;
<https://arxiv.org/abs/2001.03958>.

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- $\mathcal{E}(X, T) \subset \mathcal{M}(X, T) = \text{ext}(\mathcal{M}(X, T))$.

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$\alpha(f)$ and $\beta(f)$ are called the *minimal and maximal ergodic averages of f* , respectively.

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$$\int f d\mu = \lim_{t \rightarrow \infty} \int f d\mu_t, \quad (1.1)$$

and

$$h_\mu(T) = \lim_{t \rightarrow \infty} h_{\mu_t}(T). \quad (1.2)$$

Multifractal formalism of Birkhoff averages

One may ask about the size of the set of points

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which we call *Birkhoff spectrum*. That size is usually calculated in terms of topological entropy. Let $Z \subset X$, we denote by $h_{top}(Z)$ topological entropy of T restricted to Z or, simply, the topological entropy of Z .

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$$(x, v) \mapsto (T^n(x), \mathcal{A}^n(x)v).$$

If T is invertible then so is F . Moreover, $F^{-n}(x) = (T^{-n}(x), \mathcal{A}^{-n}(x)v)$ for each $n \geq 1$, where

$$\mathcal{A}^{-n}(x) := \mathcal{A}(T^{-n}(x))^{-1} \mathcal{A}(T^{-n+1}(x))^{-1} \dots \mathcal{A}(T^{-1}(x))^{-1}.$$

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In this case, we say that (T, \mathcal{A}) is a *one step cocycle*.

Lyapunov exponents

By Kingman's subadditive ergodic theorem, for any $\mu \in \mathcal{M}(X, T)$ and μ almost every $x \in X$ such that $\log^+ \|\mathcal{A}\| \in L^1(\mu)$, the following limit, called the *top Lyapunov exponent* at x , exists:

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Let us denote $\chi(\mu, \mathcal{A}) = \int \chi(\cdot, \mathcal{A}) d\mu$. If the measure μ is ergodic then $\chi(x, \mathcal{A}) = \chi(\mu, \mathcal{A})$ for μ -almost every $x \in X$.

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$\beta(\mathcal{A})$ is always attained by at least one measure (which will be called a *Lyapunov maximizing measure*, we denote by $\mathcal{M}_{\max}(\mathcal{A})$ the set of such measures), but that is not necessarily the case for $\alpha(\mathcal{A})$.

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- Bochi and Morris proved $\alpha(\mathcal{A})$ is continuous under 1-domination assumption.
- Breuillard and Sert extended their result to the joint spectrum under domination assumption. Moreover, they gave a counterexample that shows that we have discontinuity of the minimal Lyapunov exponent for generic cocycles.

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- $T : \Sigma \rightarrow \Sigma$ is a topologically mixing subshift of finite type
- and $\mathcal{A} : X \rightarrow GL(d, \mathbb{R})$ is a Hölder continuous.

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Fix $\theta \in (0, 1)$ and endow Σ with the metric d defined as follows: for $x = (x_i)_{i \in \mathbb{Z}}, y = (y_i)_{i \in \mathbb{Z}} \in \Sigma$, we have

$$d(x, y) = \theta^k$$

where k is the largest integer such that $x_i = y_i$ for all $|i| < k$. Equipped with such metric, the shift operator T becomes a hyperbolic homeomorphism of a compact metric space Σ .

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In general, we know much more about one step cocycles than about the more general derivative cocycles, but here are some of the results known in the derivative cocycles situation.

Fiber bunched cocycles

A r -Hölder continuous function \mathcal{A} is called *fiber bunched* if for any $x \in \Sigma$,

$$\|\mathcal{A}(x)\| \|\mathcal{A}(x)^{-1}\| \theta^r < 1,$$

where θ is the hyperbolicity constant defining the metric on the base Σ .

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where θ is the hyperbolicity constant defining the metric on the base Σ . We say that the linear cocycle (T, \mathcal{A}) is fiber-bunched if its generator \mathcal{A} is fiber-bunched. We denote by $H_b^r(\Sigma, GL(d, \mathbb{R}))$ the family of r -Hölder-continuous and fiber bunched functions.

Fiber bunched cocycles

Bonatti, Gómez-Mont, and Viana showed that the Hölder continuity and the fiber bunched assumption $\mathcal{A} \in H_b^r(\Sigma, GL(d, \mathbb{R}))$ imply the convergence of the *canonical holonomy* $H^{s/u}$.

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$$H_{y \leftarrow x}^s := \lim_{n \rightarrow \infty} \mathcal{A}^n(y)^{-1} \mathcal{A}^n(x) \text{ and } H_{y \leftarrow x}^u := \lim_{n \rightarrow -\infty} \mathcal{A}^n(y)^{-1} \mathcal{A}^n(x).$$

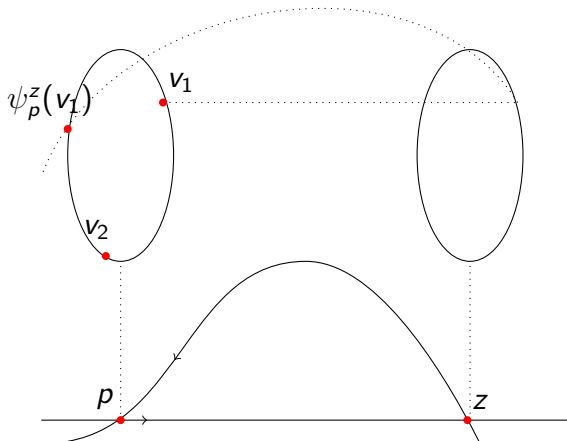
Definition (Bonatti and Viana)

The linear cocycle F satisfies the *pinching* and *twisting* condition if $\exists X \ni p = T^q(p)$ periodic point such that

- P** all eigenvalues of $\mathcal{A}^q(p)$ have distinct values.
- T** there exists a homoclinic point z of p such that ψ_z^p twists the eigendirections of $\mathcal{A}^q(p)$, where

$$\psi_z^p := H_{p \leftarrow z}^s \circ H_{z \leftarrow p}^u.$$

Generic cocycles



Results

$\mathcal{W} := \{\mathcal{A} \in H_b^r(\Sigma, GL(d, \mathbb{R})) : \mathcal{A} \text{ is pinching and twisting}\}.$

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- for fiber bunched cocycles $H'_b(\Sigma, GL(2, \mathbb{R}))$ (Mohammadpour).

Theorem (Mohammadpour)

Let $\mathcal{A} \in \mathcal{W}$. Then,

$$L = \overline{\{\alpha, \ h_{top}(E(\alpha)) > 0\}}.$$

Furthermore, $\alpha \mapsto h_{top}(E(\alpha))$ is concave for $\alpha \in \mathring{L}$.

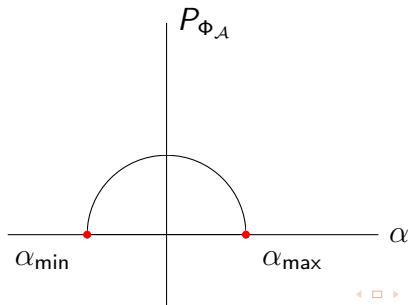
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If (X, T) is an expanding map, then a generic Lipschitz function $f : X \rightarrow \mathbb{R}$ has a unique maximizing measure, which is supported on a periodic orbit. Moreover, the zero temperature limit exists.

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Now, we have the following open question:

Is the conjecture true for the Lyapunov exponent? (That means, we replace Birkhoff sums by matrix products.)

Restricted variational principle

Denote $\Phi_{\mathcal{A}} = \{\log \|\mathcal{A}^n\|\}$.

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Theorem (Mohammadpour)

Assume that $T : X \rightarrow X$ is a topologically mixing subshift of finite type on the compact metric space X . Suppose that $\mathcal{A} : X \rightarrow GL(d, \mathbb{R})$ belongs to generic cocycles \mathcal{W} . Then,

$$\begin{aligned} h_{top}(E(\alpha)) &= \sup\{h_{\mu}(T) : \mu \in \mathcal{M}(X, T), \chi(\mu, \mathcal{A}) = \alpha\} \\ &= \inf\{P_{\Phi_{\mathcal{A}}}(q) - \alpha \cdot q : q \in \mathbb{R}\} \quad \forall \alpha \in \omega. \end{aligned}$$

Restricted variational principle

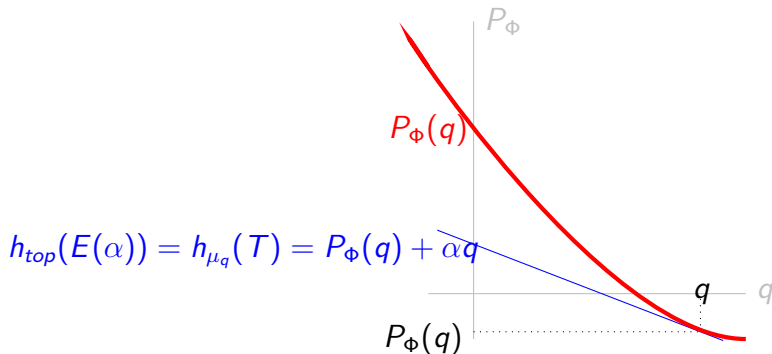


Figure: $P_\Phi(\cdot)$ is a convex function for $q \in \mathbb{R}$. The blue line is tangent to $P_\Phi(\cdot)$ at q with slope $-\alpha = P'_\Phi(q)$.

Zero temperature limit

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Theorem (Mohammadpour)

Let (X, T) be a TDS such that the entropy map $\mu \mapsto h_{\mu}(T)$ is upper semi-continuous and topological entropy $h_{\text{top}}(T) < \infty$. Suppose that $\Phi = \{\log \phi_n\}_{n=1}^{\infty}$ is a subadditive potential on the compact metric X . Then any weak accumulation μ of a family of equilibrium measures (μ_t) for potentials $t\Phi$, where $t > 0$, has a Lyapunov maximizing measure for Φ .*

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- (i) $\chi(\mu, \Phi) = \lim_{t_i \rightarrow \infty} \chi(\mu_{t_i}, \Phi),$
- (ii) $h_{\mu}(T) = \lim_{t_i \rightarrow \infty} h_{\mu_{t_i}}(T) = \max\{h_{\nu}(T), \nu \in \mathcal{M}_{\max}(\Phi)\}.$

Zero temperature limits for Gibbs measures

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We say that μ_t has the following Gibbs property for $t\Phi_{\mathcal{A}}$, where $t > 0$: There exists $C \geq 1$ such that for any $n \in \mathbb{N}$ and $[J] \in \mathcal{L}_n$, we have

$$C^{-1} \leq \frac{\mu_t([J])}{e^{-nP_{\mathcal{A}}(t)\|\mathcal{A}^n(x)\|}} \leq C,$$

for any $x \in [J]$.

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Theorem (Mohammadpour)

Let $\mathcal{A} \in \mathcal{W}$. Then the previous theorem holds for Gibbs measures.

Continuity of entropy spectrum

Theorem (Mohammadpour)

Suppose $\mathcal{A}_l, \mathcal{A} \in \mathcal{W}$ with $\mathcal{A}_l \rightarrow \mathcal{A}$, and $t_l, t \in \mathbb{R}_+$ such that $t_l \rightarrow t$. Let $\alpha_{t_l} = P'_{\Phi_{\mathcal{A}_l}}(t_l)$ and $\alpha_t = P'_{\Phi_{\mathcal{A}}}(t)$.

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Moreover,

$$h_{top}(E(\alpha_t)) \rightarrow h_{top}(E(\beta(\mathcal{A}))) \text{ when } t \rightarrow \infty.$$

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Let (X, T) be a topologically mixing subshift of finite type. Suppose that $\mathcal{A}_n, \mathcal{A} : X \rightarrow GL(d, \mathbb{R})$ are matrix cocycles over (X, T) , and $\Phi_{\mathcal{A}}$ has bounded distortion.

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Definition (Bochi and Gourmelon)

We say that \mathcal{A} is *i-dominated* if there exist constants $C > 1$, $0 < \tau < 1$ such that

$$\frac{\sigma_{i+1}(\mathcal{A}^n(x))}{\sigma_i(\mathcal{A}^n(x))} \leq C\tau^n, \quad \forall n \in \mathbb{N}, x \in X.$$

Continuity of the minimal Lyapunov exponent

Theorem (Crovisier and Potrie)

Assume that $f \in \text{Diff}^r(M)$. Let K be an invariant compact set and fix $d_+ \geq 1$. Then K is endowed with a Df -contracted cone-field C with dimension d_+ if and only if there exists a dominated splitting $T_K M = E \oplus_{<} F$ with $d_+ = \dim(F)$.

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Theorem (Mohammadpour)

Let $\mathcal{A}_k, \mathcal{A} \in H_b^r(\Sigma, GL(d, \mathbb{R}))$. Assume that \mathcal{A}_k and \mathcal{A} satisfy 1-domination. Then $\alpha(\mathcal{A}_n) \rightarrow \alpha(\mathcal{A})$, when $\mathcal{A}_n \rightarrow \mathcal{A}$.

Thank You!