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# Parallel-agreement is harder than set-agreement

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Joint work with Zohir Bouzid

DISPLEXITY workshop 2014

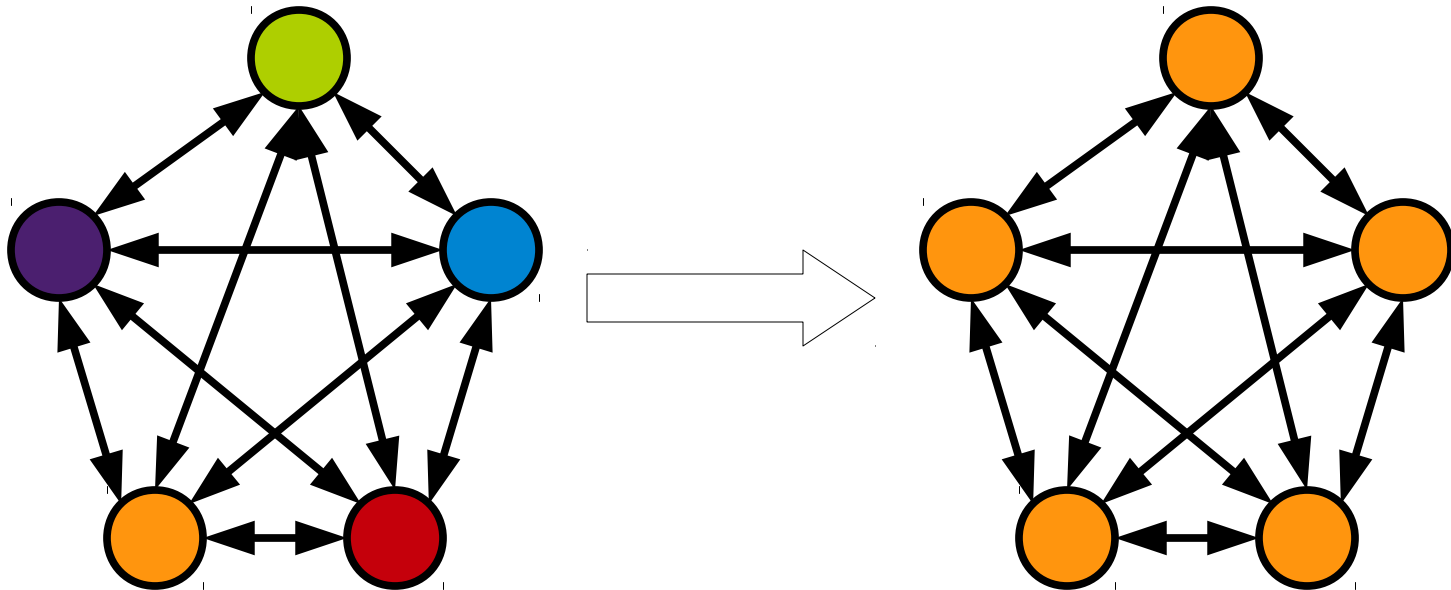
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# Overview

- Set agreement and Parallel agreement  
Generalize the consensus problem
  
- Main question: Relative hardness of Set/Parallel agreement  
in *message passing, asynchronous, crash prone* system

# Consensus

Processes must *agree* on one of the initial values

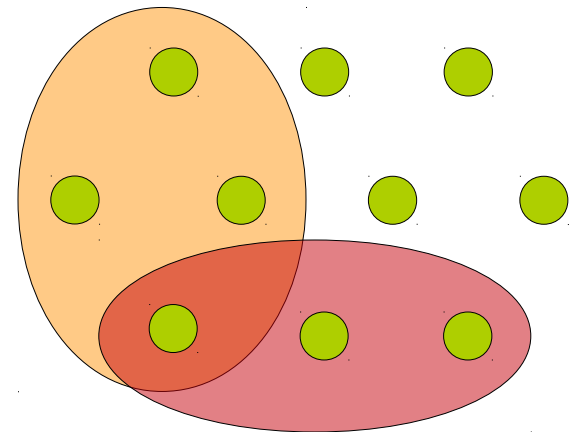


# On the Consensus Problem

- Asynchronous fault-tolerant consensus is impossible  
[FLP]

- Work-around

- Safety:
  - Quorums
  - Majority of non-faulty processes
- Liveness:
  - Partial synchrony
  - Leader
  - Failure detection



# Consensus Generalisations

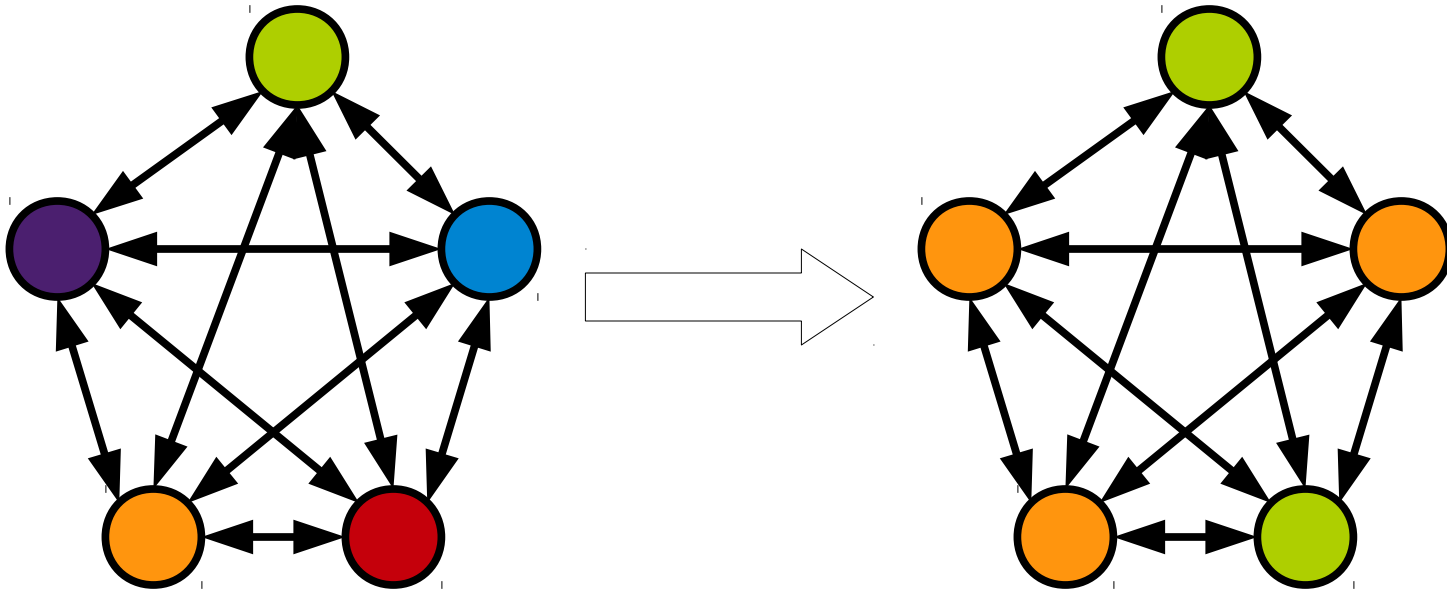
- k-set agreement [Chaudhuri 93]  
*weak safety*:  
up to k distinct values can be decided
  
- k-parallel agreement [Afek et al. 10]  
*weak termination*:  
k parallel instances of consensus,  
each proc is required to decided in *one of them*

# k-set agreement

Agree on at most  $k$  values

- $n$  processes  $\{p_1, \dots, p_n\}$
- Initial values  $\{v_1, \dots, v_n\}$

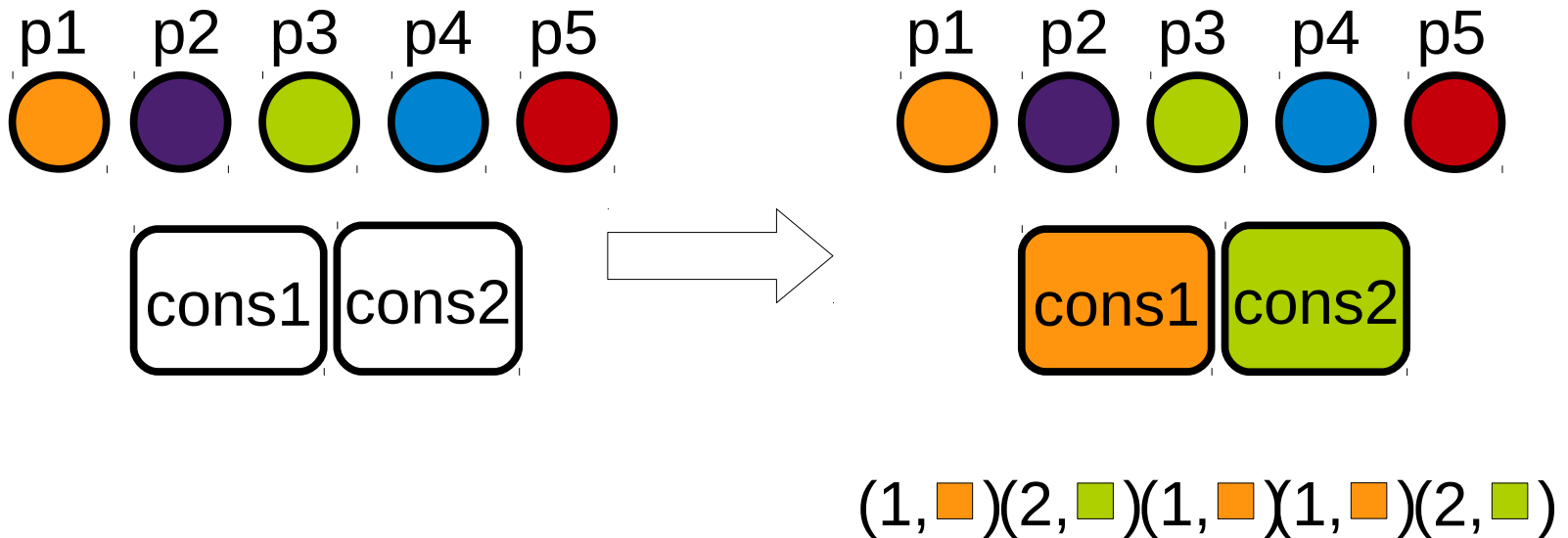
- Three properties
  - Validity
  - Agreement : #decision  $\leq k$
  - Termination



# k-parallel agreement

## K instances of consensus

Each proc. has to decide in at least one instance



# k-parallel agreement

- Each proc  $p_i$  proposes a value  $v_i$
- Decides a pair  $(c_i, u_i)$  such that

Validity  $1 \leq c_i \leq K$

$u_i$  is a proposed value

Agreement For all  $i, j$  : If  $c_i = c_j$  then  $u_i = u_j$

Termination Every non faulty process decides



# On parallel/set agreement

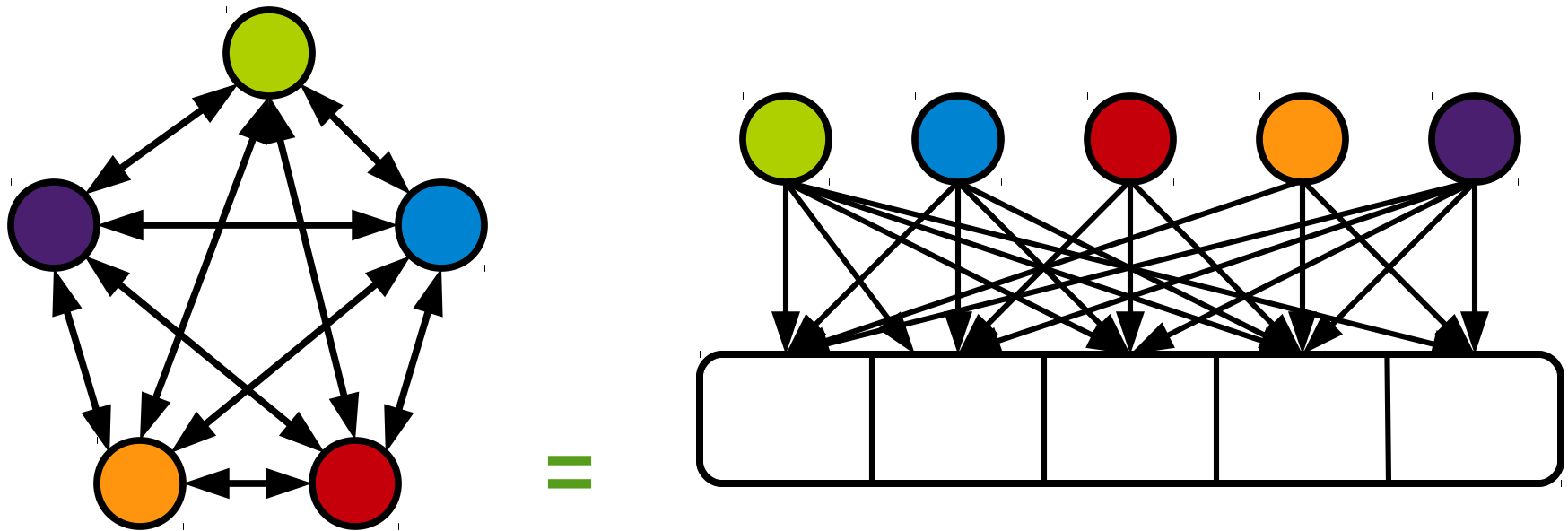
- k-parallel/set agreement **solvable** in asynch. system  
**iff #failures < K**
- k-set agreement : computability benchmark
  - Classification of failures adversary [**Gafni Kuznetsov 2011**]
  - Smallest K for which K-set agreement is solvable => insights on what can be computed in a given model
- k-parallel agreement  
=> K-parallel state machine replication  
[**Gafni Guerraoui Generalized universality 2011**]

# set-agreement vs. parallel-agreement

- k-parallel agreement implements k-set agreement  
(at most one decision in each of the k instances)
- k-// agreement and k-set agreement *are equivalent*  
in shared memory [**Afek et al. 2010**]

Message passing model ?

# Message passing vs. Shared memory

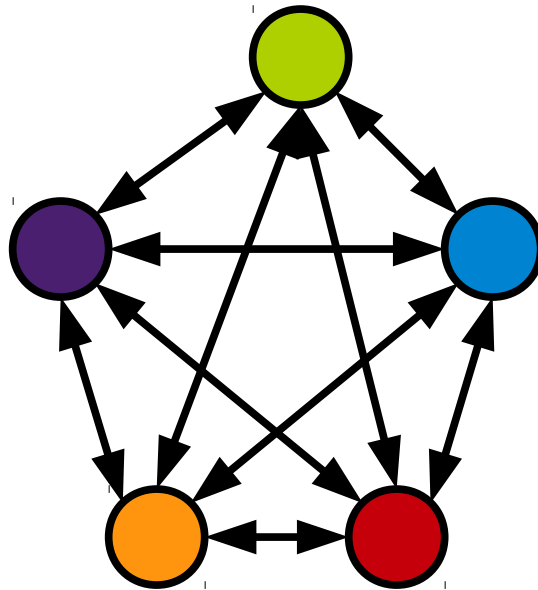


when  $\#failures < \#procs/2$  [abd 95]

# Computational model

*Asynchronous message passing model*

- $n$  processes asynchronous, may **crash**
- $t$  : upper bound on #crash ( $t \geq n/2$ )
- Asynchronous, but reliable communication



# k-set vs. k-// agreement in message passing

- $t < n/2$  : shared memory can be emulated in the message passing models  
k-set agreement and k-// agreement are *equivalent*
- $t \geq n/2$  : ???

# Results

## Existence of leader-based protocols

$t$	0	$\frac{n+k-2}{2}$	$\frac{kn}{k+1}$	$n$	
k-set agreement	✓	✓	✗		[Bouzid T. 10]
k-parallel agreement	✓	✗	✗		[this talk]

## When k-set agreement implements k-parallel agreement

$t$	0	$\frac{n}{2}$	$\frac{n+k-2}{2}$	$\frac{kn}{k+1}$	$n$
		<b>k-// A = k-SA</b> [Gafni et al]	<b>k-SA ≤ k-//A</b> [this talk]	<b>k-SA &lt; k-//A</b> [this talk]	

# Leader

- At each process  $p$  : **leader<sub>p</sub>**
- Eventual leadership:  
Eventually,
  - there is a proc  $q$  such that **leader<sub>p</sub> = q** for all processes
  - $q$  is a **non-faulty process**

# Leader-based parallel agreement

- Let  $f$  be a  $C$  coloring of the sets of procs of size  $n-t$   
s.t.  $f(Q) = f(Q')$  *implies*  $Q \cap Q' \neq \emptyset$

Example:  $n = 5, t = 3$

$\{p1,p2\}$   $\{p1,p3\}$   $\{p1,p4\}$   $\{p1,p5\}$   
 $\{p2,p3\}$   $\{p2,p4\}$   $\{p2,p5\}$   
 $\{p3,p4\}$   $\{p3,p5\}$   $\{p4,p5\}$

$C = 3$

Sets with the same color = A quorum system



# C-parallel agreement

- Let  $A_1, \dots, A_C$  be  $C$  instances of a *quorum-based, leader-based asynchronous* consensus algorithm (i.e. [mostefaoui raynal] )
- Instance  $A_i$  is associated with quorum system *colored  $i$*

$n = 5, t = 3$	$A_1$	$\{p_1, p_2\}$	$\{p_1, p_3\}$	$\{p_1, p_4\}$	$\{p_1, p_5\}$
	$A_2$	$\{p_2, p_3\}$	$\{p_2, p_4\}$	$\{p_2, p_5\}$	
	$A_3$	$\{p_3, p_4\}$	$\{p_3, p_5\}$	$\{p_4, p_5\}$	

- Each proc  $p$  participates simultaneously in  $A_1, \dots, A_C$ 
  - $p$  decides  $v$  in  $A_i \Rightarrow$  decide  $(i, v)$  in parallel agreement

# C-parallel agreement (cont'd)

- **$A_1, \dots, A_C$**  : **C** instances of a *quorum-based, leader-based asynchronous* consensus algorithm
- Instance  **$A_i$**  is associated with quorum system ***colored  $i$***

$n = 5, t = 3$	<b><math>A_1</math></b>	<b><math>\{p_1, p_2\}</math></b>	<b><math>\{p_1, p_3\}</math></b>	<b><math>\{p_1, p_4\}</math></b>	<b><math>\{p_1, p_5\}</math></b>
	<b><math>A_2</math></b>	<b><math>\{p_2, p_3\}</math></b>	<b><math>\{p_2, p_4\}</math></b>	<b><math>\{p_2, p_5\}</math></b>	
	<b><math>A_3</math></b>	<b><math>\{p_3, p_4\}</math></b>	<b><math>\{p_3, p_5\}</math></b>	<b><math>\{p_4, p_5\}</math></b>	

## Correctness

- *Agreement*: At most one value decided in each instance
- *Termination*: One set of  $(n-t)$  non-faulty procs colored  $i$ ,  
for some  $1 \leq i \leq C$ , the corresponding  **$A_i$**  terminates
- ***Value of C ?***

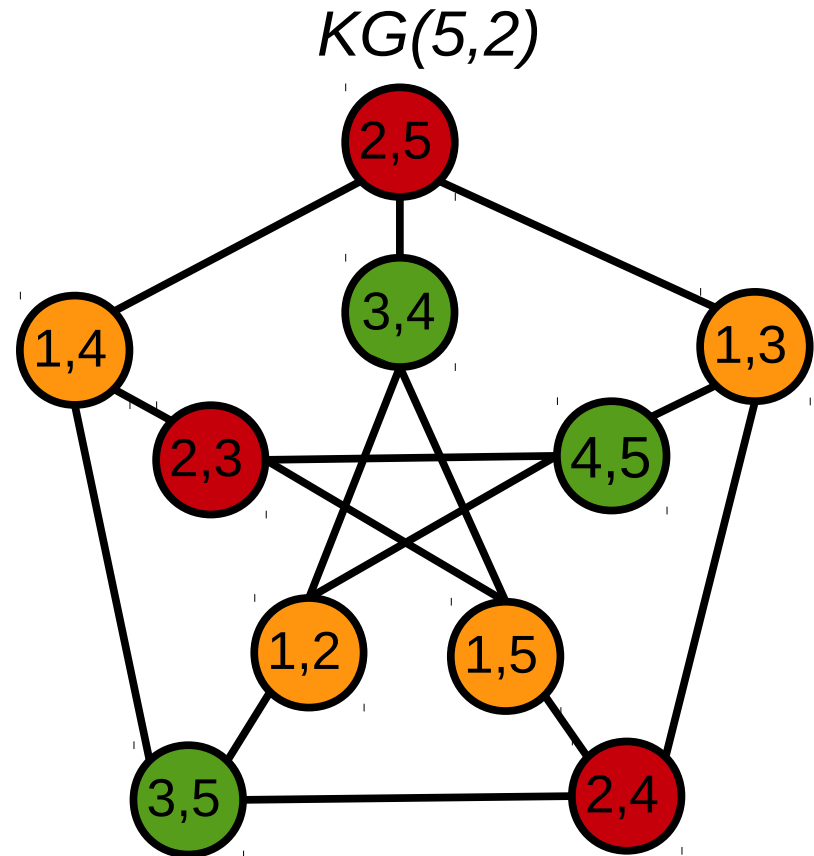
# Kneser Graphs $KG(n,x)$

- **Vertex** : subset  $Q$  of  $\{1, \dots, n\}$  of size  $x$
- **Edge** :  
( $Q, Q'$ ) is an edge iff  
 $Q \cap Q' = \emptyset$

## Chromatic number

$$X(KG(n,x)) = n - 2x + 2$$

[Lovasz 78]



$$X(KG(5,2)) = 3$$

# C-parallel agreement

$$\begin{aligned} C &= \min \# \text{colors to color } KG(n, n-t) \\ &= X(KG(n, n-t)) = 2t - n + 2 \end{aligned}$$

**Lemma:** There is a leader-based  $k$ -parallel agreement protocol if  $k \geq 2t - n + 2$ , i.e.,  $t \leq (n + k - 2)/2$

# Lower bound

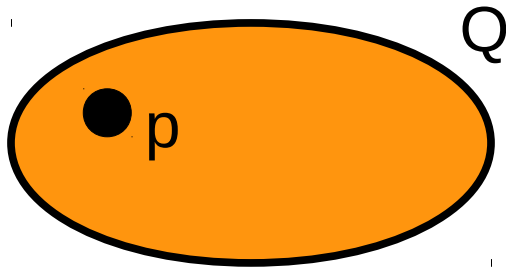
Lemma: There is **no** leader-based  
k-parallel agreement protocol for  $k < 2t - n + 2$ ,  
i.e. if  $t > (n + k - 2) / 2$

# Lower bound

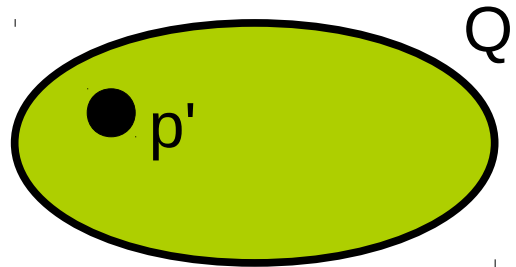
**no** leader-based  $k$ -parallel agreement if  $k < 2t-n+2$

Proof protocol implies coloring of  $KG(n,n-t)$

- $\mathbf{A}$  :  $t$ -resilient  $k$ -parallel agreement protocol
- $Q, Q'$  : subset of procs. of size  $n-t$
- $e_Q$  ( $e_{Q'}$ ) : execution of  $\mathbf{A}$  in which only processes in  $Q$  ( resp.  $Q'$ ) participate



$p$  decides  $(c, v)$   
 $\text{color}(Q) = c$



$p'$  decides  $(c', v')$   
 $\text{color}(Q') = c'$

If  $Q \cap Q' = \emptyset$ ,  
 $c \neq c'$

$1 \leq c, c' \leq k$

coloring of  $KG(n,n-t)$  hence  $k \geq X(KG_{n,n-t}) = 2t-n+2$

# Set-agreement vs. Parallel-agreement

- $k$ -// agreement implements  $k$ -set agreement  
(at most one decision in each of the  $k$  instances)
- $k$ -// agreement and  $k$ -set agreement *are equivalent*  
in shared memory [Afek et al. 2010]

Conditions on  $t, k, n$  for which  $k$ -// agr. can be implemented  
from  $k$ -set agr. in message passing,  $t \geq n/2$  ?

# k-parallel agreement is harder than k-set agreement

**Thm**: If  $t > (n+k-2)/2$ , there is **no protocol** that implements **k-// agreement** from **k-set agreement**

**Proof**: Reduction from (impossibility of) failures detectors emulation



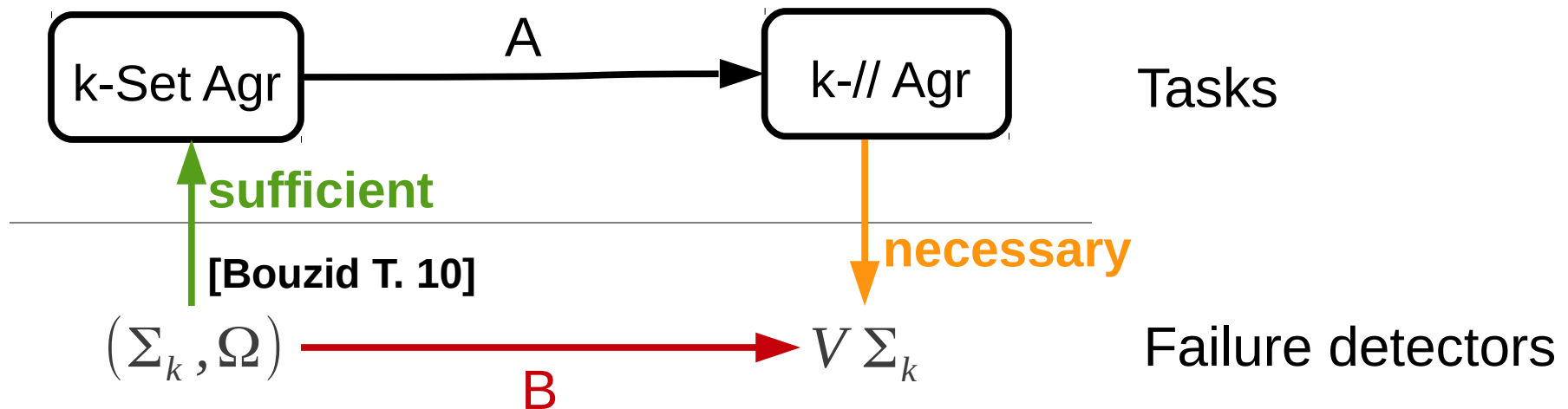
# Failure detectors [Chandra Toueg 96]

- Distributed oracles that give information on failures
  - Example leader f.d: output at each process a proc. Id s.t. eventually same Id of a correct process is output
- Failure detector  $D$  is *sufficient* to solve task  $T$  if there is a protocol that uses  $D$  for  $T$ 
  - Leader f.d. is sufficient to solve consensus if  $t < n/2$
- Failure detector  $D$  is *necessary* to solve task  $T$  if for from f.d.  $D'$  sufficient for  $T$ , one can emulate  $D$ 
  - Leader f.d. necessary for consensus [Chandra Hadzilacos Toueg 96]

# k-parallel agreement is harder than k-set agreement

**Thm:** If  $t > (n+k-2)/2$ , there is no protocol that implements  $k$ -// agreement from  $k$ -set agreement.

Proof : Reduction to failure detectors emulation



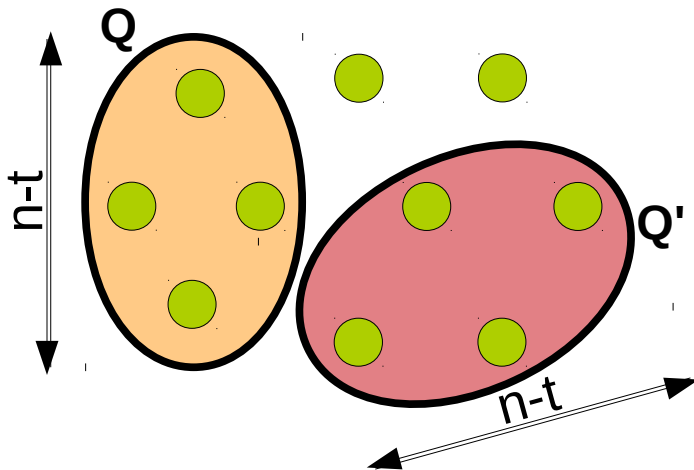
# Failure detector definitions

- $\Sigma_k$  at each proc output  $Q$  : subsets of  $\{p_1, \dots, p_n\}$ 
  - Liveness: eventually  $Q$  contains only correct proc.
  - Intersection:  $\forall Q_1, \dots, Q_{k+1}, \exists i \neq j, Q_i \cap Q_j \neq \emptyset$
- $V \Sigma_k$  at each proc output  $V$ : vector  $[Q_1, \dots, Q_k]$  of  $k$  subsets of  $\{p_1, \dots, p_n\}$ 
  - Liveness:  $\exists c, 1 \leq c \leq k$  eventually  $V[c]$  contains only correct proc.
  - Intersection:  $\forall c, 1 \leq c \leq k, \forall i, j V_i[c] \cap V_j[c] \neq \emptyset$

# $\Sigma_k$ cannot emulate $V \Sigma_k$

$$(\Sigma_k, \Omega) \xrightarrow{\mathbf{B}} V \Sigma_k \quad t > \frac{n+k-2}{2}$$

Reduction to a k-coloring of the kneser graph  $KG(n, n-t)$



$e_Q$  : execution of B, Correct = Q

□ eventually  $\exists c: V[c] \subseteq Q$

(liveness property)

□ set  $\text{color}(Q) = c$

**Valid coloring:**

If  $Q \cap Q' = \emptyset$  “merge”  $e_Q$  and  $e_{Q'}$   
 $\Rightarrow c \neq c'$  (intersection property)

# Open question

$$0 \leq t < \frac{n}{2}$$

k-set agr. and k-// agr.  
are equivalent

$$\frac{n+k-2}{2} < t \leq n$$

k-// Agr. stricly harder  
than k-set agr.

$$\frac{n}{2} \leq t \leq \frac{n+k-2}{2}$$

????

upper bound : k-set agr. implements (2k-1)-// agr.

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# Future work

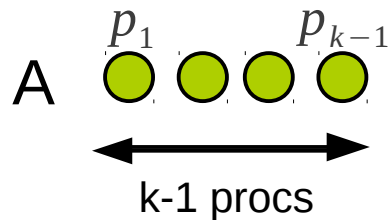
- Computability : what can be computed when a majority of the processes may fail ?
  
- Partition-tolerant algorithms

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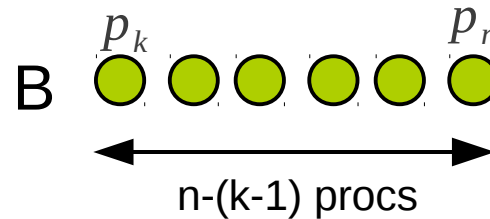
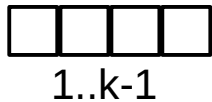
# Thanks !

# From $k$ -SC to $(2k-1)$ -PC

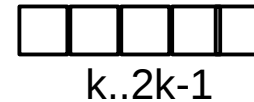
**Assumption:**  $t \leq \frac{n+k-2}{2}$



$p_i \in A$  decides  $(i, v_i)$



$p_i \in B$  implements  $k$ -PC from  $k$ -SC in SM



**Liveness:** if  $A \cap \text{Correct} = \emptyset$ , at most  $t - (k-1) < \frac{n - (k-1)}{2}$  failures in B  
 if  $A \cap \text{Correct} \neq \emptyset$ , dec. of  $p \in A$  can be adopted by any proc