# MULTIPLE GCDs: Probabilistic analysis of the plain algorithm The number case.

Valérie Berthé, Loïck Lhote, Brigitte Vallée Work in progress...

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#### Computing GCDs of d inputs

For d = 2: the "classical" Euclid algorithm,

For  $d \geq 3$ , there are various strategies.

The plain algorithm performs a sequence of computations on two entries; On the input  $(x_1, x_2, \ldots, x_d)$ , it computes

- first:  $y_2 := \gcd(x_1, x_2)$ 

- then, for  $k \in [3..d]$ :  $y_k := \gcd(x_k, y_{k-1}) = \gcd(x_1, x_2, \dots, x_k)$ .

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A very natural scheme, proposed in Knuth's book, but not yet analyzed. In this talk, – we focus on the analysis of the number case,

- we explain the similarities/differences between the two cases.

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The same phenomena occur for the size of the partial gcd.

On the input  $(x_1, x_2, \ldots, x_d)$ ,

- the algorithm computes the total gcd  $y_d := \gcd(x_1, x_2, \dots, x_d)$
- with d-1 phases.
- The k-th phase computes the k-th gcd,

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The set of inputs is  $\Omega = \{\underline{x} := (x_1, \dots, x_d); x_i \in \mathbb{N}\}$ The size of an input:  $d(\underline{x}) := d(x_1x_2 \dots x_d)$  with  $d(x) := \lceil \log x \rceil$ "almost additive"  $d(\underline{x}) \approx d(x_1) + \dots + d(x_d)$ 

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Main parameters of interest

- the number  $L_k$  of divisions during the k-th phase

i.e. on the input  $(x_k, y_{k-1})$ 

- the size  $D_k$  of the k-th gcd

(at the beginning of the k-th phase).

$$\begin{split} & \text{Euclid}(a_1, a_2), \qquad [\text{case } a_1 \geq a_2]. \\ & a_1 &= m_1 a_2 + a_3 & 0 < a_3 < a_2 \\ & a_2 &= m_2 a_3 + a_4 & 0 < a_4 < a_3 \\ & \dots &= \dots & + \\ & a_{r-1} &= m_{r-1} a_r + a_{r+1} & 0 < a_{r+1} < a_r \\ & a_r &= m_r a_{r+1} + 0 \end{split}$$
 The last non zero remainder is the gcd y. Here  $y = a_{r+1}$ .

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$$\sum_{(a_1,a_2)\in\mathbb{N}^2} \frac{1}{a_1^{s_1}} \frac{1}{a_2^{s_2}} = \zeta(s_1) \cdot \zeta(s_2), \qquad \zeta(s) = \sum_{p\in\mathbb{N}} \frac{1}{p^s}.$$

where the Riemann zeta function  $\zeta(s)$  is the gen. function of the set  $\mathbb{N}$ . With the  $\gcd y$ 

$$\zeta(s_1)\zeta(s_2) = \left(\sum_{y \ge 1} \frac{1}{y^{s_1 + s_2}}\right) \sum_{\substack{(u_1, u_2) \in \mathbb{N}^2 \\ \gcd(u_1, u_2) = 1}} \frac{1}{u_1^{s_1}} \frac{1}{u_2^{s_2}}$$

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With the previous decomposition with the LFT's

$$2\sum_{(u_1,u_2)}\frac{1}{u_1^{s_1}}\frac{1}{u_2^{s_2}} = (I - \mathbf{G}_{s_1+s_2})^{-1} \circ (\mathbf{G}_{s_1} + \mathbf{G}_{s_2})[1](0)$$

where  $G_s$  is the generating operator for the quotients,

$$\mathbf{G}_{s}[f](x) = \sum_{m \ge 1} |h'_{m}(x)|^{s/2} f \circ h_{m}(x) = \sum_{m \ge 1} \left(\frac{1}{m+t}\right)^{s} f\left(\frac{1}{m+x}\right)$$

The Euclid algorithm (d=2) on integers translates as a product of Dirichlet generating functions

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This is a functional operator which depends on a complex parameter s,

$$\mathbf{G}_{s}[f](t) = \sum_{m \ge 1} \left(\frac{1}{m+t}\right)^{s} f\left(\frac{1}{m+t}\right)$$

This is the transfer operator of the Euclidean underlying dynamical system, The analysis is more involved than the previous one, but provides the same type of results.

## Similarities and differences between the two analyses

	Polynomials	Integers
GF	Power GF	Dirichlet GF and operators
Basic tool	$G(z) = \sum_{m} z^{\mathrm{d}(m)}$	$\mathbf{G}_{s}[f](t) = \sum_{m \ge 1} \left(\frac{1}{m+t}\right)^{s} f\left(\frac{1}{m+t}\right)$
Phase GF	$\frac{U(z^k) + U(z) - 1}{1 - G(z^{k+1})}$	$(I - \mathbf{G}_{(k+1)s})^{-1} \circ [\mathbf{G}_{ks} + \mathbf{G}_s]$
Singularities	$z \text{ s.t. } G(z^{k+1}) = 1$	$s$ s.t. $\lambda((k+1)s) = 1$
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 $\lambda(s)$  is the dominant eigenvalue of  ${f G}_s$  $\lambda(2)=1$ ;  $\lambda'(2)$  closely related to the entropy

#### Generating function relative to the *d*-Euclid Algorithm

We have shown that the Euclid algorithm (d = 2) translates as a product

 $\zeta(s_1)\,\zeta(s_2) = T(s_1, s_2)\,\,\zeta(s_1 + s_2),$ 

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$$\zeta(s_1) \cdot \ldots \cdot \zeta(s_d) = \zeta(t_d) \prod_{k=1}^{d-1} T(s_{k+1}, t_k) \qquad [t_k := s_1 + s_2 + \ldots + s_k,]$$

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Now, with  $s = s_1 = \ldots = s_d$ , the (plain) generating function S(s) of  $\mathbb{N}^d$  has an alternative expression

$$S(s) = \zeta(s)^d = \zeta(ds) \prod_{k=1}^{d-1} T(s, ks)$$

which is an exact translation of the  $d\mbox{-}{\rm Euclid}$  algorithm. T is the "phase generating function".
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For studying the distribution of the two parameters :

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 $-D_k$  (size of the gcd at the beginning of the k-th phase)

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$$L_k(s,u) = \zeta(s)^d \cdot \frac{T(s,ks,u)}{T(s,ks)}, \qquad D_k(s,u) = \zeta(s)^d \cdot \frac{Z(ks,u)}{\zeta(ks)},$$
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For the expectations, the cumulative generating functions are useful:

$$\widehat{L}_k(s) := \frac{\partial}{\partial u} L_k(s, u)|_{u=1} = \frac{\zeta(s)^d}{T(s, ks)} \frac{\partial}{\partial u} T(s, ks, u)|_{u=1}$$
$$\widehat{D}_k(s) := \frac{\partial}{\partial u} D_k(s, u)|_{u=1} = \frac{\zeta(s)^d}{\zeta(ks)} \quad \frac{\partial}{\partial u} Z(s, u)|_{u=1}$$

1

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both of type  $B(s) \cdot A_{k,m}(s)$ , with  $A_{k,m}(s) \approx A_k^m(s)$  $A_k(s) = \lambda((k+1)s) \quad [L - case] \qquad A_k(s) = \exp[1 - ks] \quad [D - case]$ 

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The asymptotics depends on the value  $a := A_k(1)$  at the pole s = 1For k = 1, one has a = 1 – For  $k \ge 2$ , one has a < 1.

We need extractors. For a Dirichlet generating function,

$$S(s) = \sum_{p \ge 1} \frac{a_p}{p^s}$$

we need to isolate the terms with indices p with d(p) = n, and we define

$$\Psi_n[S] := \sum_{p:d(p)=n} a_p = \sum_{p=e^{n-1}+1}^{e^n} a_p \qquad d(p) := \lceil \log p \rceil$$

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We need theorems which relate

– the asymptotic properties of  $\Psi_n[S]$  (for  $n o \infty$ )

- and analytic properties of S(s) near its dominant singularity,

Dominant singularity = singularity with the largest real part = here s = 1.

We are interested in the case when S contains a large m-th power.

Consider the sequence of Dirichlet series

$$F^{[m]}(s) = \frac{B(s)}{a} A(s)^m = \sum_{p \ge 1} \frac{a_p^{[m]}}{p^s}, \qquad a_p^{[m]} \ge 0$$

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 $(i) \quad B(s) \text{ has an only pole at } s=1 \text{ of order } d \geq 2;$ 

assume  $\lim_{s \to 1} B(s)/(s-1)^d = 1$ 

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- $(iii) \text{ [on vertical lines], } |A(s)| \leq |A(\Re s)|.$
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Then, when  $m/n \in [0, c_0]$  with  $c_0 < a/|b|$ , one has:

$$\Psi_n\left(F^{[m]}\right) := \sum_{p; d(p)=n} a_p^{[m]} = e^n (e-1) \frac{n^{d-1}}{(d-1)!} a^m \left(1 - \frac{|b|}{a} \frac{m}{n}\right)^{d-1} \left[1 + O\left(\frac{1}{n}\right)\right]$$

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- Remainder term: related to the asymptotic growth for  $|\tau| := |\Im s| \to \infty$ . Here, the dependence with respect to m be made more precise, We have to adapt the previous result, as  $A_m(s)$  is not a true m-th power.

 $\text{When } |\tau| \text{ is small:} \quad A_m(s) = a^m(s)f(s)[1+\theta_m(s)], \quad |\theta_m(s)| \leq \theta^m, \ (\theta < 1)$ 

$$\begin{split} \left[e^{ns}e^{\log A_m(s)}\right]_{s=1}^{(d-1)} &\approx \left[n+m\frac{a'(1)}{a(1)}\right]^{d-1}\left[e^nA_m(1)\right] \end{split}$$
When  $|\tau| \to \infty$ :  $|A_m(s)| \le |\tau|^{\xi}|A_m(\sigma)| \ll |\tau|^{\xi}|a^m(\sigma)|$ 

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For the L case, with the constants  $a=\lambda(k+1), b=(k+1)\lambda'(k+1).$ 

$$\mathbb{P}_{n}[L_{k} > m] = \mathbf{G}_{k+1}^{m}[1](0) \left(1 - \frac{|b|}{a} \frac{m}{n}\right)^{d-1} \left[1 + O\left(\frac{1}{n}\right)\right]$$
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For the D-case, with the constants  $a = e^{1-k}, b = -ke^{1-k}$ .

$$\mathbb{P}_n[D_k > m] = \frac{\zeta_{e^m}(k)}{\zeta(k)} \left(1 - \frac{|b|}{a} \frac{m}{n}\right)^{d-1} \left[1 + O\left(\frac{1}{n}\right)\right]$$
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 $\begin{array}{lll} \mbox{For the first phase:} & a=1 & \Longrightarrow & \mbox{A quasi-beta behavior} & (1,d-1) \\ \mbox{For the subsequent phases:} & a<1 & \Longrightarrow & \mbox{A quasi-geometric behavior} \\ \end{array}$ 

The number of divisions  $L_1$  performed by the *d*-Euclid algorithm during the first phase has a mean value of linear order

$$\mathbb{E}_n[L_1] = \frac{6\log 2}{\pi^2} \frac{n}{d} \left[ 1 + O\left(\frac{1}{n}\right) \right] \qquad \frac{\pi^2}{6\log 2} = \text{entropy}$$

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