

Thomas Ehrhard

A *preorder* is a pair  $S = (|S|, \leq_S)$  where  $|S|$  is a set (which is usually at most countable) and  $\leq_S$  is a transitive and reflexive binary relation on  $|S|$  (that is, a preorder relation). A subset  $u$  of  $|S|$  is an *initial segment* of  $S$  if

$$\forall a \in u \forall a' \in |S| \quad a' \leq_S a \Rightarrow a' \in u.$$

We use  $\mathcal{I}(S)$  for the set of all initial segments of  $S$ ; observe that  $\mathcal{I}(S)$  is closed under arbitrary unions, in particular  $\emptyset \in \mathcal{I}(S)$ . Observe also that  $|S| \in \mathcal{I}(S)$ . We will consider  $\mathcal{I}(S)$  as a partially ordered set, ordered by  $\subseteq$ .

If  $u \subseteq |S|$ , we set  $\downarrow u = \{a' \in |S| \mid \exists a \in u \ a' \leq_S a\}$ ; this is the least element of  $\mathcal{I}(S)$  which contains  $u$ .

Remember also that we use  $S^{\text{op}}$  for the preorder such that  $|S^{\text{op}}| = |S|$  and  $a \leq_{S^{\text{op}}} a'$  if  $a' \leq_S a$  and that  $S_1 \times S_2$  is the preorder such that  $|S_1 \times S_2| = |S_1| \times |S_2|$  and  $(a_1, a_2) \leq_{S_1 \times S_2} (a'_1, a'_2)$  if  $a_i \leq_{S_i} a'_i$  for  $i = 1, 2$ .

We use letters  $S, T$  and  $U$  (possibly with subscript) to denote preorders.

1) Let  $f : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$  be a function. We set

$$\text{tr}f = \{(a, b) \in |S| \times |T| \mid b \in f(\downarrow \{a\})\}.$$

Given two functions  $f_1, f_2 : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$ , we write  $f_1 \leq f_2$  if  $\forall u \in \mathcal{I}(S) \ f_1(u) \subseteq f_2(u)$ .

1.1) Prove that if  $f$  is monotonic then  $\text{tr}f \in \mathcal{I}(S^{\text{op}} \times T)$ .

One says that  $f$  is *linear* if it commutes with arbitrary unions, that is, for any  $A \subseteq \mathcal{I}(S)$ , one has  $f(\cup A) = \cup_{u \in A} f(u)$ . This implies that  $f$  is monotonic (because  $u \subseteq u' \Leftrightarrow u \cup u' = u'$ ). Given  $t \in \mathcal{P}(|S| \times |T|)$ , one defines a function

$$\begin{aligned} \text{fun } t : \mathcal{I}(S) &\rightarrow \mathcal{P}(T) \\ u &\mapsto \{b \in |T| \mid \exists a \in u \ (a, b) \in t\}. \end{aligned}$$

1.2) Prove that, if  $t \in \mathcal{I}(S^{\text{op}} \times T)$ , then the function  $\text{fun } t$  takes its values in  $\mathcal{I}(T)$  and is linear. Prove also that, if  $t_1, t_2 \in \mathcal{I}(S^{\text{op}} \times T)$  satisfy  $t_1 \subseteq t_2$  then  $\text{fun } t_1 \subseteq \text{fun } t_2$ .

1.3) Prove that, if  $f : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$  is linear then  $\text{tr}f \in \mathcal{I}(S^{\text{op}} \times T)$ . Prove also that, if  $f_1, f_2 : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$  are linear and satisfy  $f_1 \leq f_2$  then  $\text{tr}f_1 \subseteq \text{tr}f_2$ .

Let **PoL** be the category whose objects are the preorders and where **PoL**( $S, T$ ) is the set of all linear functions  $\mathcal{I}(S) \rightarrow \mathcal{I}(T)$  (the identity maps and the composition operation are defined in the usual way). Remember that **PoLR** is the category which has the same objects, but where **PoLR**( $S, T$ ) =  $\mathcal{I}(S^{\text{op}} \times T)$  the identity at  $S$  is  $\text{ld}_S = \{(a, a') \in |S|^2 \mid a' \leq_S a\}$  and composition of  $s \in \mathbf{PoLR}(S, T)$  and  $t \in \mathbf{PoLR}(T, U)$  is defined by

$$ts = \{(a, c) \in |S| \times |U| \mid \exists b \in |T| \ (a, b) \in s \text{ and } (b, c) \in t\}.$$

1.4) Prove that the mappings  $\text{fun} : \mathbf{PoLR}(S, T) \rightarrow \mathbf{PoL}(S, T)$  and  $\text{tr} : \mathbf{PoL}(S, T) \rightarrow \mathbf{PoLR}(S, T)$  are inverse of each other, and define therefore an order isomorphism between  $(\mathbf{PoL}(S, T), \leq)$  and  $(\mathcal{I}(S^{\text{op}} \times T), \subseteq)$ .

1.5) Prove that  $\text{fun}$  defines a functor  $\mathbf{PoLR} \rightarrow \mathbf{PoL}$  and that  $\text{tr}$  defines a functor from  $\mathbf{PoL}$  to  $\mathbf{PoLR}$ . These functors map any preorder  $S$  to  $S$ .

2) A function  $f : \mathcal{I}(S_1) \times \mathcal{I}(S_2) \rightarrow \mathcal{I}(T)$  is *bilinear* if, for any  $u_2 \in \mathcal{I}(S_2)$ , the function  $\mathcal{I}(S_1) \rightarrow \mathcal{I}(T)$  which maps  $u_1 \in \mathcal{I}(S_1)$  to  $f(u_1, u_2)$  is linear and, for any  $u_1 \in \mathcal{I}(S_1)$ , the function  $\mathcal{I}(S_2) \rightarrow \mathcal{I}(T)$  which maps  $u_2 \in \mathcal{I}(S_2)$  to  $f(u_1, u_2)$  is linear.

Given  $f : \mathcal{I}(S_1) \times \mathcal{I}(S_2) \rightarrow \mathcal{I}(T)$ , we define

$$\text{tr}_2 f = \{((a_1, a_2), b) \in |S_1 \otimes S_2| \times |T| \mid b \in f(\downarrow\{a_1\}, \downarrow\{a_2\})\}.$$

Remember that  $S_1 \otimes S_2 = S_1 \times S_2$  (defined in the previous exercise).

2.1) Let  $\tau : \mathcal{I}(S_1) \times \mathcal{I}(S_2) \rightarrow \mathcal{I}(S_1 \otimes S_2)$  be defined by  $\tau(u_1, u_2) = u_1 \times u_2$ . Prove that  $\tau$  is bilinear and compute  $\text{tr}_2 \tau$ .

2.2) Let  $f : \mathcal{I}(S_1) \times \mathcal{I}(S_2) \rightarrow \mathcal{I}(T)$  be bilinear. Prove that  $\text{tr}_2 f \in \mathbf{PoLR}(S_1 \otimes S_2, T)$ . Let  $\tilde{f} = \text{fun}(\text{tr}_2 f) \in \mathbf{PoL}(S_1 \otimes S_2, T)$ . Prove that  $\tilde{f}$  satisfies  $\tilde{f}\tau = f$ , and that it is the unique element of  $\mathbf{PoL}(S_1 \otimes S_2, T)$  with that property.

2.3) Assume that  $S_1 = S_2$  and let us say that a bilinear function  $f : \mathcal{I}(S) \times \mathcal{I}(S) \rightarrow \mathcal{I}(T)$  is *symmetric* if  $f(u_1, u_2) = f(u_2, u_1)$  for all  $u_1, u_2 \in \mathcal{I}(S)$ . Define a preorder  $S^2$  and a bilinear and symmetric function  $\beta : \mathcal{I}(S) \times \mathcal{I}(S) \rightarrow \mathcal{I}(S^2)$  such that, for any preorder  $T$  and any bilinear and symmetric  $f : \mathcal{I}(S) \times \mathcal{I}(S) \rightarrow \mathcal{I}(T)$ , there is exactly one  $\tilde{f} \in \mathbf{PoL}(S^2, T)$  such that  $f = \tilde{f}\beta$ .

**3)** Let  $S$  and  $T$  be preorders. We say that a function  $f : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$  is Scott-continuous if  $f$  is monotonic and, for any *directed* subset  $D$  of  $\mathcal{I}(S)$ , one has  $f(\cup D) = \cup_{u \in D} f(u)$  (or equivalently  $f(\cup D) \subseteq \cup_{u \in D} f(u)$ ).

Given a function  $f : \mathcal{I}(S) \rightarrow \mathcal{I}(T)$ , one defines

$$\text{Tr} f = \{(u, b) \in \mathcal{P}_{\text{fin}}(|S|) \times |T| \mid b \in f(\downarrow u)\}.$$

and one defines the preorder  $!_s S = (\mathcal{P}_{\text{fin}}(|S|), \leq_{!_s S})$  as follows:  $u \leq_{!_s S} u'$  iff  $\downarrow u \subseteq \downarrow u'$  (that is: for all  $a \in u$  there exists  $a' \in u'$  such that  $a \leq_S a'$ ).

3.1) Prove that if  $f$  is monotonic then  $\text{Tr} f \in \mathbf{PoLR}(!_s S, T)$ .

Given  $t \in \mathbf{PoLR}(!_s S, T)$  we define

$$\begin{aligned} \text{Fun } t : \mathcal{I}(S) &\rightarrow \mathcal{I}(T) \\ u &\mapsto \{b \in |T| \mid \exists u_0 \subseteq u \ (u_0, b) \in t\}. \end{aligned}$$

3.2) Prove that  $\text{Fun } t$  is Scott-continuous.

Let  $\mathbf{PoC}$  be the category whose objects are the preorders and where  $\mathbf{PoC}(S, T)$  is the set of all Scott-continuous functions  $\mathcal{I}(S) \rightarrow \mathcal{I}(T)$  (identity functions and composition being defined in the obvious way).

3.3) Prove that the operations  $\text{Tr}$  and  $\text{Fun}$  define an order isomorphism between  $\mathbf{PoLR}(!_s S, T)$  (ordered by  $\subseteq$ ) and  $\mathbf{PoC}(S, T)$  (equipped with the order relation  $\leq$  defined by  $f \leq g$  if  $\forall u \in \mathcal{I}(S) \ f(u) \subseteq g(u)$ ).

Remember that an element  $x$  of a domain (cpo)  $X$  is *compact* (or *isolated*) if, for any directed subset  $D$  of  $X$ , if  $\bigvee D \geq x$  then there exists  $x' \in D$  such that  $x' \geq x$ .

3.4) Prove that an element  $u \in \mathcal{I}(S)$  is compact iff there exists a finite set  $u_0 \subseteq |S|$  such that  $u = \downarrow u_0$ .

3.5) Let  $\mathbb{N}$  be the preorder such that  $|\mathbb{N}| = \mathbb{N}$  and  $n \leq_{\mathbb{N}} n'$  iff  $n = n'$ . Show that, in  $(!_s \mathbb{N})^{\text{op}} \times \mathbb{N}$  (which will represent the type  $\iota \Rightarrow \iota$ ), there are compact elements which have infinitely many lower bounds, which are not necessarily compact.

3.6) Show that, in a coherence space, a compact clique has only finitely many lower bounds, which are all compact.