The Design Principles of the Elixir Type System

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Abstract Elixir is a dynamically-typed functional language running on the Erlang Virtual Machine, designed for building scalable and maintainable applications. Its characteristics have earned it a surging adoption by hundreds of industrial actors and tens of thousands of developers. Static typing seems nowadays to be the most important request coming from the Elixir community. We present a gradual type system we plan to include in the Elixir compiler, outline its characteristics and design principles, and show by some short examples how to use it in practice.

Developing a static type system suitable for Erlang’s family of languages has been an open research problem for almost two decades. Our system transposes to this family of languages a polymorphic type system with set-theoretic types and semantic subtyping. To do that, we had to improve and extend both semantic subtyping and the typing techniques thereof, to account for several characteristics of these languages—and of Elixir in particular—such as the arity of functions, the use of guards, a uniform treatment of records and dictionaries, the need for a new sound gradual typing discipline that does not rely on the insertion at compile time of specific run-time type-tests but, rather, takes into account both the type tests performed by the virtual machine and those explicitly added by the programmer.

The system presented here is “gradually” being implemented and integrated in Elixir, but a prototype implementation is already available.

The aim of this work is to serve as a longstanding reference that will be used to introduce types to Elixir programmers, as well as to hint at some future directions and possible evolutions of the Elixir language.

1 Introduction

Elixir is a functional programming language that runs on the Erlang Virtual Machine [17]. The language has been gaining adoption over the last years in areas such as web applications, embedded systems, data processing, and distributed systems, and used by companies like Discord and PepsiCo.

The success of Elixir is primarily attributed to the underlying Erlang VM, developed by Ericsson in the eighties, and considered to be a great feat of engineering for concurrency, distribution, and fault tolerance.

A limitation of both Erlang and Elixir is that they are dynamically typed, meaning they do not enjoy the safety features of a static type system that ensure at compile-time the absence of a given class of run-time errors.

Developing a static type system suitable for Erlang has been an open research problem for almost two decades. The earliest effort was attempted by Marlow and Wadler [29], which typed a subset of Erlang using subtyping unification constraints. However, their system was not adopted as type inference was slow, and the inferred types were large and complex. Ever since then, several attempts—either practical, theoretical, or both—have followed [32, 27, 42, 35, 18, 25, 39].

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We present a gradual type system for Elixir, based on the framework of semantic subtyping [10, 19]. This framework, developed for and implemented by the CDuce programming language [3, 15], provides a type system centered on the use of set-theoretic types (unions, intersections, negations) that satisfy the commutativity and distributivity properties of the corresponding set-theoretic operations [19]. The system is a polymorphic type system with local type inference, that is, functions are explicitly annotated with types that may contain type variables, but their applications do not require explicit instantiations: the system deduces the right instantiations of every type variable. It also features precise typing of pattern matching combined with type narrowing: the types of the capture variables of the pattern and of some variables of the matched expression are refined in the branches to take into account the results of pattern matching. With respect to the system implemented for the CDuce language, the system we define for Elixir brings several novelties and new features. Its main contributions can be summarized as follows:

- **Semantic subtyping.** An extension of the semantic subtyping framework to fit Elixir/Erlang, in particular, the definition of new function domains to account for the tight connection between Elixir/Erlang functions and their arity.
- **Guards.** A precise type system for analyzing guards in pattern matching.
- **Records and dictionaries.** A new typing discipline unifying records and dictionaries.
- **Dynamic type.** The integration of the dynamic type in the type system, which is used to describe untyped parts of the code, and how they interact with statically typed parts. This uses techniques of the gradual typing literature.
- **Strong arrows.** A new gradual typing technique for typing functions that takes into account runtime type tests performed by the virtual machine or inserted by the programmer. This makes it possible to guarantee the soundness of the gradual typing system with more precise static types without modifying the compilation of the source code.

**Outline** In Section 2, we provide an overview of the specific typing issues that arise in Elixir and the reasons why set-theoretic types are a good fit to type it. In Section 3, we demonstrate the various typing techniques we developed specifically for Elixir. We outline the formal approach to typing programs in Elixir in Section 4. Section 5 covers our design principles for integrating the type system into the Elixir compiler and the impact on programmers. Section 6 discusses related work, while Section 7 concludes our presentation and outlines some features planned as future work.

**Outreach** This paper serves as a reference for our designing of a complete type system for Elixir. The technical details are being developed in separate publications and will form the basis of the second author’s PhD dissertation. We hope that it will be useful to the Elixir community and that it will help us reach out to other communities, such as the one of static type systems for dynamic languages. We recognize that bringing types to a popular language like Elixir, with an established community of users and contributors, requires not only the careful design of sensible typing rules but also significant outreach and communication with the community to ensure that programming practices translate well to the new type system.
2 Typing Elixir: an overview

2.1 Why Types

Static typing seems nowadays to be the biggest need for the Elixir community. Today, Elixir supports "Typespecs", a mechanism for annotating functions with types. However, Typespecs are not verified by the compiler. Instead a tool called Dialyzer, which ships with the Erlang standard library, can be used to find discrepancies in your source code and type annotations. Dialyzer is based on success typing [27], which guarantees no false positives, but may leave several bugs uncaught.

As the Elixir community grows, the general feedback is that, while Dialyzer is helpful and provides developers with some guarantees, its ergonomics and functionality do not fully match the community expectations. Based on our experience with the language and its ecosystem, we speculate developers would accept more false positives from the compiler in exchange of catching more bugs. Hence the interest of the authors in fully baking static typing into the Elixir compiler.

The benefits we expect are essentially twofold. The first benefit of types is to aid documentation (emphasis on the word “aid” since we don’t believe types can replace textual documentation). Elixir already reaps similar benefits from Typespecs and we expect an integrated type system to be even more valuable in this area.

The second benefit of static types revolves around contracts. If function caller(arg) calls a function named callee(arg), we want to guarantee that, as these functions change over time, the caller passes valid arguments into the callee and correctly handles the return types from the callee.

This may seem like a simple guarantee, but we can run into tricky scenarios even on small code samples. For example, imagine that we define a negate function, that negates numbers. One may implement it like this:

```elixir
def negate(x) when is_integer(x), do: -x
```

The negate function receives an integer() and returns an integer(). Type specifications are prefixed by $ and each specification applies to the definition it precedes. With our custom negation in hand, we can implement a custom subtraction:

```elixir
$ (integer(), integer()) -> integer()
def subtract(a, b) when is_integer(a) and is_integer(b) do
  a + negate(b)
end
```

This would all work and typecheck as expected, as we are only working with integers.

Now, imagine in the future someone decides to make negate polymorphic (here, ad hoc polymorphic), by including an additional clause so it also negates booleans:

```
$ (integer(), integer()) -> integer()
def negate(x) when is_integer(x), do: -x
```

We follow Erlang convention that basic types are suffixed by "()", for instance, string().
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7 $(\text{integer()} \text{ or } \text{boolean}()) \rightarrow (\text{integer()} \text{ or } \text{boolean}())$
8 def negate(x) when is_integer(x), do: -x
9 def negate(x) when is_boolean(x), do: not x

The specification at issue uses $\text{integer()} \text{ or } \text{boolean}()$ stating that both the argument and the result are either an integer or a boolean. This is a union type which has become common place in many programming languages.

The type specified for negate is not precise enough for the type system to deduce that when negate is applied to an integer the result is also an integer.

10 Type warning:
11 | def subtract(a, b) when is_integer(a) and is_integer(b) do
12 | a + negate(b)
13 | ^ the operator + expects integer(), integer() as arguments,
14 | but the second argument can be integer() or boolean()

Such a type system would not be enough to capture many of Elixir idioms and it would probably lead to too many false positives. Therefore, in order to evolve contracts over time, we need more expressive types. In particular, to solve this issue we need an intersection type, which specifies that negate has both type integer()$\rightarrow$integer() (i.e., it is a function that maps integers to integers) and type boolean()$\rightarrow$boolean() (i.e., it is a function that maps booleans to booleans). This type is more precise than the previous one and is written as:

15 $(\text{integer()} \rightarrow \text{integer}()) \text{ and } (\text{boolean()} \rightarrow \text{boolean}())$

With this type, the type checker can infer that applying negate to an integer will return an integer. Therefore, in the definition of subtract, the application negate(b) has type integer(), and the function subtract is well-typed.

2.2 Set-Theoretic Types and Subtyping Relation

Unions, intersections, and—see later on—negations are called set-theoretic types, insofar as they can be thought of in terms of sets: if we think of a type as the set of all values of that type (e.g., integers() as the set of all integer constants, boolean() as the set containing just true and false, ...), then the union of two types is the set that contains the union of their values (e.g., a value of type integer() or boolean() is either an integer value or a boolean value), the intersection of two types is the set that contains the values that are in both types (e.g., a value in the intersection integer()$\rightarrow$integer() and boolean()$\rightarrow$boolean() is a function that both maps integers to integers and maps booleans to integers), and, finally, the negation of a type is its complement, that is, it contains all the (well-typed) values that are not in the type (e.g., a value in not integer() is any value that is not an integer).

Notice that an intersection of arrows does not necessarily correspond to multiple definitions of a function. For instance, the following definition is well-typed:
$ \text{(integer() -> integer()) and (boolean() -> boolean())} $

\begin{verbatim}
def negate_alt(x), do: (if is_integer(x), do: -x, else: not x)
\end{verbatim}

We have seen that we can specify two different types for \texttt{negate}, that is:

1. \( \text{(integer() or boolean()) -> (integer() or boolean())} \)
2. \( \text{(integer() -> integer()) and (boolean() -> boolean())} \)

and we said that the latter type is “more precise” than the former. Formally, we state that the latter is a \textit{subtype} of the former, meaning that every value of the latter is also a value of the former. In the case of the two types above, the subtyping relation is also \textit{strict}: every function that maps integers to integers and booleans to booleans, is also a function that maps an integer or a boolean to an integer or a boolean, but not vice versa (e.g. the constant function \( \text{fn} \ x \rightarrow 42 \text{ end} \) maps both integers and booleans to integers and thus to \texttt{integer()} or \texttt{boolean()}; thus it does not map booleans to booleans and, therefore, it is not in the intersection type). When two types are one subtype of each other they are said to be \textit{equivalent}, since they denote the same set of values (e.g., \texttt{(integer() -> integer()) and (boolean() -> integer())} is equivalent to \texttt{(integer or boolean()) -> integer()}).

The type of \texttt{negate} or \texttt{negate_alt} can also be expressed without intersections, by using parametric bounded quantification,\(^1\) but this is seldom the case. For instance, Elixir provides a negation operator named \texttt{!}, which is defined for all values. The values \texttt{nil} and \texttt{false} return \texttt{true}, while all other values return \texttt{false}. With set-theoretic types, we can give to this operator the following intersection type:

$$\text{(false or nil -> true) and (not (false or nil) -> false)}$$

This type introduces two further ingredients of our type syntax: singleton types and negation types. Namely, the atoms \texttt{true}, \texttt{false}, and \texttt{nil},\(^2\) are also types, called singleton types, because they contain only the constant/atom of the same name. The connective \texttt{not} denotes the negation of a type, that is, the type that contains all the well-typed values that are not in the negated type, whence the interpretation of the functional type above.\(^3\)

The advantage of interpreting types as the set of their values is that, thus, types satisfy the distributivity and commutativity laws of their set-theoretic counterparts. For instance, a well-known property of products is that unions of products with a same projection factorize, that is, \texttt{{s1, t} or {s2, t}} is equivalent to \texttt{{s1 or s2, t}} (Elixir uses curly brackets for products). This is reflected by the behavior of our type-checker that accepts the following definitions:

$$\text{type t() = \{integer() or string(), boolean()\}}$$
$$\text{type s() = \{integer(), boolean()\ or \{string(), boolean()\}}$$

\(^1\)Precisely as $a \rightarrow a$ when $a$: \texttt{integer()} or \texttt{boolean()}: see Section 2.3.
\(^2\)In Elixir, atoms are user-defined constants obtained by prefixing an identifier by colon, as in \texttt{:ok, :error}, and so on. The atoms \texttt{true, false, and nil} are supported without colon for convenience.
\(^3\)The precedence of \texttt{and} and \texttt{or} is higher than type constructors (arrows, tuples, records, lists), and the negation \texttt{not} has the highest precedence of them all.
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The first two lines define the types \( t() \) and \( s() \) while lines 21-22 define a function whose typing demonstrates that the type-checker considers \( t() \) and \( s() \) to be equivalent. This is because it allows an expression of type \( t() \) to be used where an expression of type \( s() \) is expected (i.e., \( f \) which expects an argument of type \( t() \) is given an argument \( x \), which is of type \( s() \)) and an expression of type of type \( s() \) where an expression of type \( t() \) is expected (i.e., the type specification declares that \( \text{apply} \) returns a result of type \( s() \), but the body returns \( f(x) \) which is of type \( t() \)). In contrast, languages that use a syntactic definition of subtyping, such as Typed Racket, Flow, or TypeScript, accept the application \( f(x) \) but reject the typing of \( \text{apply} \): they cannot deduce that \( t() \) is a subtype of \( s() \).

Finally, we use \( \text{term}() \) to represent the top type (i.e., the type of all values) and \( \text{none}() \) to denote the empty type, that is, the type that has no value and which is equivalent to \text{not} \( \text{term}() \) (likewise, \( \text{term}() \) is equivalent to \text{not} \( \text{none}() \)).

2.3 Applying set-theoretic types to Elixir

The existing set-theoretic types literature enables our type system to represent several Elixir idioms. We outline some examples in this section.

**Nullability** Elixir supports null values via the \( \text{nil} \) atom. Thanks to union and singleton types, an \( \text{integer}() \) argument of a function may become nullable by specifying it as \( \text{integer}() \) or \( \text{nil} \).

**Parametric polymorphism with local type inference** Set-theoretic type-systems feature parametric polymorphism with local type inference: expressions (in particular functions) can be given types containing type variables, but to use them it is not necessary to specify how to instantiate these variables, since the system deduces it [14, 13].

In our implementation, type variables are identifiers that are quantified by using a postfix \text{when} in which variables come with their upper bound.\(^5\) Type variables are distinguishable from basic types, since they are \text{not} suffixed by “()”. We feature only first order polymorphism, so \text{when} can only occur outside a type (never inside it).

The \text{map} and \text{reduce} operations over lists are good examples of need for polymorphic types, since most of the functions working with collections (known as “enumerables” in Elixir) cannot be sensibly typed without them. For instance, we have

```elixir
$ ([a], (a -> b)) -> [b] when a: \text{term}(), b: \text{term}()
def map([h | t], fun), do: [fun.(h) | map(t, fun)]
def map([], _fun), do: []
```

\(^5\) We did not specify lower bounds since they are not frequently used and they can be encoded by union types, e.g., \( \forall(s \leq a).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).\forall(s \leq a).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).\forall(s \leq a \leq t).a \to a \equiv \forall(a).``
meaning that for all types a and b (i.e., for all a and b subtypes of term())

- map is a binary function that takes a list of elements of type a (notation [a]), a
  function from a to b and returns a list of elements of type b;
- reduce is a ternary function that takes a list of a elements, an initial value of type
  b, a binary function that maps a’s and b’s into b’s, and returns a b result.

Local type inference infers that for map([[1, 4], fn x -> negate(x) end) both type
variables must be instantiated by integer(), deducing the type [integer()] for it.

Intersection can also be used to define the type specification of reduce for the case
of empty lists (in which case the third argument can be of any type):

Polymorphic types make inference more precise for other functions. For instance, if
we add a default case to the negate example (lines 62-63) we obtain the code

for which we can deduce—or at least check—the type (notice the use of bounded
quantification in line 36)

and thus deduce for some function such as

the type atom() -> atom(), since an atom is neither an integer nor a Boolean.

It is possible to define polymorphic types with type parameters. For instance, we
can define the type tree(a), the type of nested lists whose elements are of type a, as

and then use it to type the polymorphic function flatten that flattens a tree(a)
returning a list of a elements:

If the argument is not a list, then a is instantiated to the type of the argument. If it
is a list, then a is instantiated to the union of the types of the non-list elements of this
nested list. For instance, the type statically deduced for the application
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```elixir
flatten [3, "r", [4, [true, 5]], ["quo", [[false], "stop"]]]
```
is `integer() or boolean() or binary()` (where `binary()` is the type for strings).

**Protocols.** Elixir supports a kind of polymorphism akin to Haskell’s typeclasses, via protocols. A protocol defines a set of operations that can be implemented for any type. For example, the `String.Chars` protocol requires the implementation of the `to_string` function. This function can convert any data type to a human representation as long as an implementation of the `String.Chars` protocol (viz., of `to_string`) has been defined for that data type. The union of all types that implement `String.Chars` is automatically filled in by the Elixir compiler and denoted by `String.Chars.t()`. In the absence of set-theoretic types this union would be approximated by `term()`.

Protocols can combine with parametric polymorphism to define more expressive types, such as collections. In Elixir, lists, sets, and ranges are all said to implement the `Enumerable` protocol which is represented by the type `Enumerable.t(a)`, that is, the enumerables whose elements are of type `a` (i.e., `a-lists`, `a-sets`, and `a-ranges`). Similarly, the `Collectable` protocol specifies data types that can collect elements, one by one. The latter is implemented for lists and sets, but not ranges, as ranges cannot represent—and therefore cannot collect—elements in arbitrary order. Thanks to set-theoretic types, we can precisely type protocol functions parametric in the type of the elements. For instance, the `Enum.into/2` function takes two parameters, an `Enumerable` and a `Collectable` collection and puts the elements of the former into the latter. Its type is

```elixir
$ Enumerable.t(a), Collectable.t(b) -> Collectable.t(a or b)
when a: term(), b: term()
```

As a matter of fact, the union in the result type is not necessary, since it can be handled by unification. In practice, giving into the following type instead of the previous one

```elixir
$ Enumerable.t(a), Collectable.t(a) -> Collectable.t(a) when a: term()
```
is equivalent since when `into` is applied to, say, an `Enumerable.t(integer())` and an `Collectable.t(boolean())`, then we can deduce for the result of this application the type `Collectable.t(integer() or boolean())` by unifying the type variable `a` with the type `integer()` or `boolean()`. We can also give to `into` a type more polymorphic than the one in line 46:

```elixir
$ Enumerable.t(a), b -> b when a: term(), b: Collectable.t(a)
```

This type states that the collection in the result is of the same type as the collection in the argument (e.g., if the second argument is a list, then the result will be also a list).

There is also a ternary version of `into` which takes as third parameter a function that transforms the elements of the enumerable before inserting them in the collectable:
By using intersection types we can compose protocols without syntactical extensions to the type system. For instance, we may say that a data type is traversable if it is both enumerable and collectable. We can define this type as the intersection between all enumerable and collectable types:

```elixir
$ type traversable(a) = Enumerable.t(a) and Collectable.t(a)
```

This type can then be used to type the following `echo` function that uses the above mentioned `Enum.into/3` function:

```elixir
$ a, (b -> b) -> a when b: term(), a: traversable(b)
def echo(x,f), do: Enum.into(x,x,f)
```

which we can then apply to the `IO.stream()` and a string transformation function so that we have an echo of the standard input that is transformed by the function:

```elixir
iex(1)> echo(IO.stream(), &String.upcase/1)
ahah
AHAH
```

Above we used Elixir interactive toplevel to transform the input in upper cases by the function `String.upcase/1`. The type statically deduced for this application is the one of the first argument, that is, `IO.stream().t()`. 

## 3 Extending Semantic Subtyping for Elixir

All features presented so far adapt to Elixir what is already possible in the type system of CDuce, defined via the set-theoretic interpretation of types of semantic subtyping [19]. There are however several key specific characteristics of Elixir that require the semantic subtyping framework to be modified, improved, and/or extended.

### 3.1 Function Arity

A first such characteristic is the arity of functions which plays an important role in Elixir. While it is possible to test the arity of a function using the expression `is_function` (e.g., `is_function(foo, 2)` tests whether `foo` is a binary function), it is not possible in semantic subtyping to express the type of exactly all functions with a specific arity.\(^6\) This is because, in CDuce, all functions are unary, with a function that takes two arguments being considered a unary function that expects a pair. Although it is possible to define a type for all functions as `none() -> term()`,\(^7\) it is not possible to

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\(^6\) In our system, to be able to express arity tests in terms of types is crucial for the precise typing of guards and, thus, of functions and pattern matching: cf. Section 3.2.

\(^7\) The top type of functions of arity one is `not term() -> term()`. In our system, every function of this type can be safely applied to any argument of type `term()`, that is, every well-typed argument. But of course not every function satisfies this property: only the total ones.
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give a type specifically for, say, binary functions using \( \{\text{none()},\text{none()}\} \rightarrow \text{term()} \), for the simple reason that a product of the empty set is equivalent to the empty set, and thus the latter type is equivalent to the former. To address this issue, we introduce a special syntax for function types, written as \((t_1, \ldots, t_n) \rightarrow t\) which outlines the arity of the functions and that we already used in the previous examples. This allows the type of all binary functions to be written as \((\text{none()},\text{none()} \rightarrow \text{term()})\), but it requires a non-trivial modification to the set-theoretic interpretation of function spaces—detailed in Appendix A.1—and, ergo, of the subtyping decision algorithm.

3.2 Guards and Pattern Matching

A second characteristic of Elixir that is not captured by the current research on semantic subtyping is the extensive use of guards both in function definitions and pattern matching.

In the previous examples, we have explicitly declared the type signature of all functions we defined, such as:

\[
\text{def } \text{negate}(x) \text{ when } \text{is_integer}(x), \text{ do: } -x
\]

However, our type system is capable to infer the types of functions as the above even in the absence of their type declaration, by considering guards as explicit type annotations for the respective parameters. This not only applies to simple type tests of the parameters, but also to more complex tests. For example, for

\[
\text{def } \text{get_age}(\text{person}) \text{ when } \text{is_integer}(\text{person}.\text{age}), \text{ do: } \text{person}.\text{age}
\]

our system deduces from the guard that \text{person} must be a record with at least the field \text{age} defined and containing a value of type \text{integer()}, that is, an expression of type \(\%\{\text{age: integer()}, \ldots\}\). This is a record type: records are prefixed by \(\%\) to distinguish them from tuples; the three dots indicate that the record type is open, that is, it types records where other fields may be defined (cf. Section 3.3). Since the dot in \text{person}.\text{age} denotes field selection, then our system deduces for the function \text{get_age} the type \(\%\{\text{age: integer()}, \ldots\} \rightarrow \text{integer()}\). One novelty of our system is that it can precisely express (most) guards in terms of types, in the sense that the set of values that satisfy a guard (e.g., \text{is_integer}(\text{person}.\text{age})) is the set of values that belong to a given type (i.e., \(\%\{\text{age: integer()}, \ldots\}\)).

Note that, in the absence of such guards, it is the task of the programmer to explicitly provide the type of the whole function by preceding its definition by a type specification. It is also possible to elide parts of the return type of non-recursive functions by using the underscore symbol “\(\_\)”: 

\[
\text{def } \text{negate}(x) \text{ when } \text{is_integer}(x), \text{ do: } -x
\]

or
leaving to the type system the task of deducing the best possible types to replace for each occurrence of the underscore.

**Type Information in Guards**  The different clauses that define a function are applied on a first-match basis such that the domain of a clause excludes the domain of all previous clauses. If no guards are present, the top type \texttt{term()} is given. This allows us to infer the precise type of all definitions of the \texttt{negate()} from the previous subsection, including:

\begin{verbatim}
def negate(x) when is_integer(x), do: -x
def negate(x) when is_boolean(x), do: not x
\end{verbatim}

The domain of the second clause of the function is \texttt{boolean()} \texttt{and not integer()}, which is equivalent to just \texttt{boolean()}.

Similarly, the \texttt{!} operator we described in Section 2.2 could be defined as:

\begin{verbatim}
def !(x) when x == false or x == nil, do: true
def !(x), do: false
\end{verbatim}

This time, the second clause has domain \texttt{term()} \texttt{and not (false or nil)} which is simply \texttt{not (false or nil)}.

Furthermore, in Elixir, defining several clauses for a function is equivalent to writing a function with a single case expression. That is, the two-clause \texttt{negate} definition given in lines 62-63 can be equivalently written using a case expression for its body:

\begin{verbatim}
def negate(x), do: (case x do
  x when is_integer(x) -> -x
  x when is_boolean(x) -> not x
end)
\end{verbatim}

Therefore, the task of inferring the return type of a function with multiple clauses is equivalent to achieving precise typing for pattern matching.

The use of guards in Elixir provides a powerful mechanism for expressing and combining constraints on the type, size, and structure of matched values, which provides many opportunities to gather type information. However, this also necessitates a thorough analysis and the utilization of type approximations for guards that cannot be expressed through a specific type. Here is a review of the features and capabilities brought by typing pattern matching.

**Exhaustivity Checking.**  Type analysis makes it possible to check whether clauses of a function definition, or patterns in a case expression, are exhaustive, that is, if they match every possible input value. For instance, consider the following code:
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We define the type result() as the union of two record types: the first maps the atom :output to the (atom) singleton type :ok and the atom :socket to the type socket(); the second maps :output to :error and maps :message to a union type formed by an atom and a tuple. Next consider the definition of handle: values of type %{output: error, message: {:delay, integer()}} are going to escape every pattern used by handle, triggering a type warning:

Note that the type checker is able to compute the exact type whose implementation is missing, which enables fast refactoring since, as the type of result() or the implementation of handle are modified, the type checker will issue precise new warnings to point out the places where code changes are required.

Redundancy Checking. Similarly, it is possible to find useless branches—i.e., branches that cannot ever match. For instance, if we add a clause to the previous example:

then since the specified input type is result() (which is a subtype of maps), the third branch will never match (its pattern matches only pairs) and can be deleted.

This will remove useless code, detect unused function definitions, or reveal more complex problems as these hints can indicate areas where the programmer’s expectations and the actual logic of the program do not match.

Narrowing. Narrowing is the typing technique that consists in taking into account the result of a (type-related) test to refine (i.e., to narrow) the type of variables in the different branches of the test. In Section 2.2 we have already presented a simple example in which narrowing is used, namely, in the function negate_alt (code in line 17) the type-checker uses the test to narrow the type of x, which is (integer() or boolean()), to integer() in the “do” branch and to boolean() in the “else” branch. This is a simple application of narrowing, where the narrowing is
performed on the type of a variable whose type is directly tested. However, our system is also able to narrow the type of the variables that occur in the expression tested by a “case” or a “if”, even if this expression is not a single variable (some exceptions apply though: see future works). Here is a more complete example where we test the field selection on a variable

```elixir
$ result() -> _
def handle(r) when r.output == :ok, do: {:accepted, r.socket}
def handle(r) when is_atom(r.message), do: r.message
def handle(r), do: {:retry, elem(r.message, 1)}
```

In the example the type of `r` which initially is `result()` is narrowed in the first branch to `%{output: :ok, socket: socket()}`, to `%{output: :error, message: :timeout}` in the second branch, and to `%{output: :error, message: {:delay, integer()}}` in the last one. This precision is shown by the fact that `handle` type-checks the following type specification too:

```elixir
$ (%{output: :ok, socket: socket()} -> {:accept, socket()}) and
(%{output: :error, message: :timeout} -> :timeout) and
(%{output: :error, message: {:delay, integer()}} -> {:retry, integer()})
```

As a matter of fact, deducing the type of the parameters of a function by examining its guards is just yet another application of narrowing where the function parameters are initially given the type `term()` and narrowed by the types deduced for the guards.

**Conservative Approximations** When performing a type analysis on patterns with guards, it may not always be possible to determine the precise type of the captured values. In such cases, we use both lower and upper approximations to ensure that narrowing and exhaustivity/redundancy checking still work. As an example, consider the following simplistic function:

```elixir
def foo(x) when map_size(x) == 2, do: Map.to_list(x)
```

We are unable to express by a type the exact domain of this function, which is the set of “all maps of size 2”. However, when the guard succeeds, it is clear that `x` is a map, and this assumption is enough to deduce by narrowing that the body of the function is well-typed. Using the type of all maps to approximate the set of all maps of size 2 is an over-approximation. We call such a type the potentially accepted type of the pattern/guard since it contains all the values that may match it. Conversely, consider the following example:

```elixir
def bar(x) when (is_map(x) and map_size(x) == 2) or is_list(x), do:
  to_string(x)
def bar(x) when length(x) == 2, do: x
```

The first clause matches both the maps of size 2 (but no other map) and any lists. Although we cannot characterize by a type all the values matched by the first clause, we do know that all lists are captured by it and, therefore, the second clause is redundant (`length` being defined only for lists). The type of all lists is an under-approximation...
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(i.e., a subset) of the set of all values that satisfy the guard in the first clause. We refer to this under-approximation as the surely accepted type of the pattern/guard since it contains only values that do match it. Our system makes a distinction between guards that require approximation and those that do not, as further described in Section 4.

Complex Guards  The analysis of guards is more sophisticated than it appears. First of all, guards are examined left to right by incrementally generating environments during their analysis. An example is the guard in line 97: if we compare it with line 96 we see that we added an is_map(x) test. Without it the guard in line 97 would be equivalent to the one in line 96, since when x is a list, then map_size(x) == 2 fails (rather than return false), and so does the whole guard: the is_list(x) would never be evaluated. To account for this, our analysis examines is_list(x) only if the preceding clause may not fail, which is always the case—though, it can return false—and map_size(x) is examined only in the environments in which is_map(x) succeeds.

Another stumbling block is that the analysis may need to generate for a single guard different type environments under which the continuation of the program is checked, as the following definition shows:

```elixir
99 $ (term(),term()) -> {integer(),term()} or {term(),boolean()} or nil
100 def baz(x, y) when is_boolean(x) or is_integer(y), do: {y,x}
101 def baz(_, _), do: nil
```

The definition above type-checks, but this is possible only because the analysis of the guard is_boolean(y) or is_integer(z) in line 100 generates two distinct environments (i.e., one where z has type integer() and y type term(), and a second one where their types are inversed) which are both used to deduce two types for {z,y} which are then united in the result. By the same technique, in the absence of a type specification our system deduces for the definition of baz in line 100 the type

```elixir
102 (((term(),integer()) -> {integer(),term()}) and
103 ((boolean(),term()) -> {term(),boolean()}))
```

and the analysis of the code defined in line 101 adds to this intersection the following arrow: (not(boolean())),not(integer())) -> nil.

Finally, our type system can analyze arbitrarily nested Boolean combinations of guards which are type tests of complex selection primitives, as the following definition of a parametric guard is_data(d) shows:

```elixir
104 defguard is_data(d) when is_tuple(d) and tuple_size(d) == 2 and
105     (elem(d, 0) == :is_an_int and is_integer(elem(d, 1))) or
106     elem(d, 0) == :is_a_bool and is_boolean(elem(d, 1)))
```

Our system deduces that the guard is_data(d) succeeds if and only if d is of type data() defined as follows:

```elixir
107 $ type data() = {is_an_int, integer()} or {is_a_bool, boolean()}
```
3.3 Records and Dictionaries

In Elixir, maps are a key-value data structure that serves as the primary means of storing data. There are two distinct use cases for maps: as records, where a fixed set of keys is defined, and as dictionaries, where keys are not known in advance and can be dynamically generated. A map type should unify both, allowing the type-checker to sensibly choose when it needs to ensure that some expected keys are present while enforcing type specifications for queried values.

Maps as Records  When used as records, Elixir provides the `map.key` syntax, where :key is an atom(). If the map returned by the expression map does not contain said key at runtime, an error is raised. In Section 3.2, we saw the following definition:

```
$ %{age: integer(), ...} -> integer()

def get_age(person) when is_integer(person.age), do: person.age
```

In more precise terms, the above type is equivalent to:

```
$ %{required(:age) => integer(), optional(term()) => term()} -> integer()
```

Each “key type” in a map type is either required or optional. Singleton keys are assumed to be required, unless otherwise noted. The triple dot notation means the type also types records values that define more keys than those specified in the type and corresponds to `optional(term()) => term()`. We refer to those as open maps.

A program similar to the above but with optional keys

```
$ %{optional(:age) => integer()} -> _

def get_age(person), do: person.age
```

raises a type error pointing out the possibly undefined key:

```
Type warning:
| def get_age(person), do: person.age

key :age may be undefined in type: %{optional(:age) => integer()}
```

Hence typing gets rid of all `KeyError` exceptions for every use of `map.key`, by restricting the use of dot-selection to maps that are known to have the key.

Maps as Dictionaries  When working with maps as dictionaries, we use the `m[e]` syntax to access fields, where `m` and `e` are expressions returning a map and a key, respectively. In this notation, the field may not exist, in which case `nil` is returned.

For the given function

---

8 The compiler will reject `required(term())`, as that would require a map with an infinite amount of keys. However, because a finite type such as `boolean()` can be either required or optional, we require all non-singleton types to be accordingly tagged to avoid ambiguity.
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```elixir
$ %{optional(:age) => integer()} -> _
def get_age(person), do: person[:age]
```

the system infers integer() or nil as return type. If the type-checker can infer that
the keys are present, then it will omit the nil return. For instance, the following
function is well typed since both fields are required and, thus, cannot return nil:

```elixir
$ %{foo: integer(), bar: integer()} -> integer()
def add(m), do: m[:foo] + m[:bar]
```

The type-checker distinguishes when a key is present, may be present, or is not present.
To summarize, for the following function (where %{ is the empty map),

```elixir
$ %{foo: integer()}, %{optional(:foo) => integer()}, %{} -> _
def f(m1, m2, m3), do: {m1[:foo], m2[:foo], m3[:foo]}
```

the return type {integer(), integer() or nil, nil} is inferred.

**The fetch! operation** Further interactions with maps happen via the Map module.
We look at the the Map.fetch!(map, key) function? which expects an arbitrary key
to exist in the map, raising a KeyError otherwise. A developer may use fetch! to
denote that a given key was explicitly added in the past and it must exist at this given
point. While map.key is ill-typed if the key may be undefined, fetch! is ill-typed only
if the key is always undefined. So the following program is rejected

```elixir
$ %{not_age: integer()} -> _
def get_age(m), do: fetch!(m, :age)
```

because the field :foo cannot appear in m, but the following one is accepted

```elixir
$ map() -> term()
def get_age(m), do: fetch!(m, :age)
```

because key :age may be present in m, since map() represents any possible map.

**Dictionary Keys** We have shown how to interact with maps as records and dictionaries
where the keys were restricted to singleton types. But $e[e']$ (and other map operations)
allows for generic use of maps as dictionaries, where the key is the result of an arbitrary
expression $e'$. To model this behavior, map types can specify the type of values expected
by querying over certain fixed key domains, e.g.,

```elixir
$ integer() -> %{optional(integer()) => integer()}
def square_map(i), do: %{i => i ** 2}
```

The map %{optional(integer()) => integer()} associates integers to integers,
but since integer() represents an infinite set of values, all fields cannot be required.
Hence it must be annotated as optional.

---

9 In Elixir, ending a function name with ! is a convention that implies the function may raise
for valid domains. For example, File.read!("foo") will raise if the file does not exist,
compared to File.read("foo") which would instead return :error.
Key domains cannot overlap. To ensure this property in our system it is possible to use as key domains only a specific set of basic types of Elixir Typespecs: integer(), float(), atom(), tuple(), map(), list(), function(), pid(), port(), and reference(). However, our type system allows the programmer to define map types that mix dictionary and record fields, that is, types where fields are declared both for singleton keys and for key domains. In that case it is possible to specify in the same type both some singleton keys and the domain keys of these singleton keys, the former taking precedence over the latter. This means that the type

```elixir
t() = %{ foo: atom(), bar: atom(), optional(atom()) => integer() }
```

represents maps with two fields :foo and :bar set to atoms, and where any other key is an atom associated to an integer.

If e is an expression of type the t() above, then the type of the selection e[e'] will be computed according to the type of the expression e': if e' has type :foo or :bar, then the selection has type atom(); if the type of e' intersects atom() but it is not a subtype of it, then the selection will be typed by atom() or integer() or nil. If e' has type not atom() then the selection will have type nil and will issue a warning. If the fetch! operation is used, it will raise if e' is of type not atom().

**Deletions and updates** It is possible to specify missing keys by adding an optional field that points to none() (i.e., the key must be absent since if it were present, then it should be associated to a value of the empty type none(), which does not exist). This makes it possible to precisely type the delete operation:

```elixir
map() -> %{ optional(:foo) => none(), ... }
```

```elixir
def delete_foo(map), do: Map.delete(map, :foo)
```

Similarly to map access, there are different ways to replace a field in a map. The syntax %{map | key => value} requires that the key is present and otherwise raises a `KeyError` exception.

### 3.4 Gradual Typing and Strong Arrows

There is an important base of existing code for Elixir. If we want to migrate this code to a typed setting, the ability to blend statically typed and dynamically typed code is crucial. Some code that is working fine may not pass type-checking, therefore a gradual migration approach is preferred to converting the entire codebase to comply with static typing at once. This is the goal of gradual typing [41]. For that, we introduce the type `dynamic()`, which essentially puts the type-checker in dynamic typing mode. In practice, the programmer can think of `dynamic()` as a type that can become at run-time (technically, that materializes into: see [11]) any other type: an expression of type `dynamic()` can be used wherever any other type is expected, and an expression of any type can be used where a `dynamic()` type is expected since, in both cases,

---

The type of `delete_foo` is not very useful in practice. It will be useful when combined with “row polymorphism” which permits to check the following type: ```elixir
%{ a } -> %{optional(:foo) => none(), a} when a : fields()
``` see future work.
dynamic() may become at run-time that type.\(^{11}\) The simplest use case is to declare that a parameter of a function has type dynamic():

```
$ dynamic() -> _
def foo1(x), do: ...
```

meaning that in the body of `foo1` the parameter `x` can be given any type—possibly a different type for each occurrence of `x`—and that `foo1` can be applied to arguments of any type. The type `dynamic()` is a new basic type, that can occur in other type expressions. This can be used to constrain the types arguments can have. For instance

```
$ (dynamic() -> dynamic()) -> _
def foo2(f), do: ...
```

requires the argument of `foo2` to have a function type. This means that in the body of `foo2` the parameter `f` can be applied to arguments of any type and its result can be used in any possible context, but a use of `f` other than as a function—e.g., `f + 42`—will be rejected. Likewise an application of `foo2` to an argument not having a functional type—e.g., `foo2([7,42])`—will be statically rejected, as well.

**Gradual typing guarantees.** Using `dynamic()` does not mean that type-checking becomes useless. Even in the presence of `dynamic()` type annotations, our type system guarantees that if an expression is given type, say, `integer()`, then it will either diverge, or return an integer value, or fail on a run-time type-check verification. This safety guarantee characterizes the approach known as *sound gradual typing* [41]. This approach was developed for set-theoretic types in Lanvin’s PhD thesis [26] whose results we use here to define subtyping and precision relations using the subtyping relation on non-gradual types (i.e., types in which `dynamic()` does not occur).

There is however a fundamental difference between our approach and the one of sound gradual typing: the latter uses the gradual type annotations present in the source code to *insert* into the compiled code the run-time type-checks necessary to ensure the above type safety guarantee. Instead, one of our requirements is that the addition of types *must not* modify the compilation of Elixir. Therefore, we have to design our gradual type system so that it ensures the type safety guarantee by taking into account both the dynamic type-checks performed by the Erlang VM *and those inserted by the programmer*. The goal, of course, is to deduce for every well-typed expression a type which is gradual as little as possible, the best deduction being that of a non-gradual type (the less gradual the type, the more the errors captured at compile time). To that end we introduce the notion of *strong function types*.

\(^{11}\) Oversimplifying, one can consider `dynamic()` to be both a supertype and subtype of every other type (while `term()` is only the former) with a caveat, subsumption does not apply to `dynamic()` since we cannot consider an expression of a type different from `dynamic()` to be of type `dynamic()` (the application of `dynamic() -> dynamic()` to an integer is well-typed because the arrow type materializes in `integer() -> dynamic()` and not because `integer()` materializes into `dynamic()`.)
**Strong Function Types.** We have seen that our system can deduce the type of a function also in the absence of an explicit annotation when there are guards on the parameters. So from a typing point of view the following two definitions are equivalent since they both define the identity function of type \(\text{integer()} \rightarrow \text{integer()}\):

\[
\begin{align*}
\text{id}_\text{weak}(x), & \quad \text{do: } x \\
\text{id}_\text{strong}(x), & \quad \text{when } \text{is_integer}(x), \quad \text{do: } x
\end{align*}
\]

However, from a runtime perspective, the two definitions above differ as the latter checks that its argument is of type \(\text{integer()}\), while the former does not. Therefore, if these functions are applied to an argument that is not of type \(\text{integer()}\), then we can only be certain that the resulting output is an integer for the function \(\text{id}_\text{strong}\). This distinction appears when we type the following function:

\[
\begin{align*}
\text{def } \text{foo}3(x), & \quad \text{do: } \{\text{id}_\text{weak}(x), \text{id}_\text{strong}(x)\}
\end{align*}
\]

which is accepted by our system since it deduces that when \(\text{id}_\text{weak}\) is applied to an argument of an unknown \(\text{dynamic()}\) type, then it cannot give to the result a type more precise than \(\text{dynamic()}\), while for the same application with \(\text{id}_\text{strong}\) it deduces that whenever the application returns a result, this result will be of type \(\text{integer()}\).

We refer to the function \(\text{id}_\text{strong}\) as having a “strong” function type, since it guarantees that when applied to an argument that is not within its domain, it will either return a result within its codomain or fail on dynamic type check (or diverge). Likewise, the function \(\text{id}_\text{weak}\) has a “weak” function type, since it may return (and actually, does return) a result not of type \(\text{integer()}\) when applied to an argument that is not of type \(\text{integer()}\).

Actually, our system deduces for \(\text{foo}3\) a type more precise than the one given in line 140. If we omit the type annotation and, like for \(\text{foo}3\), there is no explicit guard, then our system assumes that the parameter \(x\) has type \(\text{dynamic()}\) and deduces for \(\text{foo}3\) the type \(\text{dynamic()} \rightarrow \{\text{dynamic()}, (\text{integer()} \text{ and } \text{dynamic()})\}\) which is a subtype of the type in line 140 (a classic sound gradual typing approach such as those by [41] or [11, 26] would have deduced for this function the return type \(\{\text{integer()}, \text{integer()}\}\), but also modified its standard compilation by inserting two run-time integer type-checks, one for each occurrence of \(x\) in the body of \(\text{foo}3\)). The reason why the second projection of the result is intersected with \(\text{dynamic()}\) is that this improves the typability of existing code via gradual typing. An expression of type \(t() \text{ and } \text{dynamic()}\) can be used not only in all contexts where an expression of type \(t()\) is expected, but also in all contexts where a strict subtype of \(t()\) is expected (in which case the use of \(\text{dynamic()}\) will be propagated). This is useful especially for (strong) functions whose codomain is a union type. For instance, consider again the function \(\text{negate}\) as defined in lines 62–63. This is a strong function whose codomain is \(\text{integer()} \text{ or } \text{boolean()}\). If this code is coming from some existing base—i.e., without any annotation—then the system deduces that this function takes a \(\text{dynamic()}\) input and returns a result of type \((\text{integer()} \text{ or } \text{boolean()} \text{ and } \text{dynamic()})\): thanks to the intersection with \(\text{dynamic()}\) in the result type, it is then possible to use the result of \(\text{negate}\) not only where an expression of type \(\text{integer()} \text{ or } \text{boolean()}\) is
expected, but also where just an integer() or just a boolean() is expected, then propagating the dynamic type. Concretely, in this dynamic setting, the type of subtract as defined in lines 4–6 would still be well typed since the type of negate(b) in line 5 could materialize into integer() and in the absence of an explicit annotation, by the propagation of dynamic() the type deduced for the result of subtract would be integer() and dynamic() which thus in turn could be passed to a function expecting a subtype of integer (e.g., a modulo function expecting an input of type integer() and not 0). Finally, notice that if we had explicitly defined the type of negate to be dynamic() -> integer() or boolean() (as we did above in line 140 for foo3), then the result of negate(b) in line 5 would have been typed as integer() or boolean() thus precluding the materialization and the consequent typing of subtract. Therefore, as a good programming practice it is better to leave the system to deduce the return types of all functions whenever gradual typing is used, by systematically using the underscore _ for return types, since an explicit return type may hinder the propagation of dynamic().

We have extended the semantic subtyping framework to add strong function types, which are inhabited by functions satisfying the property we described above (see [9]). Strong function types are the key feature that allows the type-system to take into account the run-time typechecks, either performed by the VM or inserted by the programmer. Built-in operations, such as field selection, tuple projections, etc, are, by implementation, strong: the virtual machine dynamically checks that, say, if the field a of a value is selected, then the value is a record and the field a is defined in it. Our theory extends this kind of checks to user-defined operations—i.e., functions definitions—by fine-grainedly analyzing their bodies to check that all the necessary dynamic checks are performed. The functions for which this holds have a strong type, and the system can safely deduce that when they are applied to an argument that may be not in their domain (e.g., an argument of type dynamic()), then the application will return a value in their codomain (rather than a result of type dynamic()), and as explained above, to maximize typability of existing code this codomain is intersected with dynamic().

All this is currently transparent to the programmer since strong types are only used internally by the type checker to deduce the type of functions such as foo3. A possible extension of our system would be to allow the programmer to specify whether higher-order parameters require a strong type or not.

4 A Pinch of Formalization

Elixir is, in essence, a minimalist language, with most of its constructs being syntactic sugar for the language's core expressions: functions and pattern matching. In this section we just outline the formalization of this core (in which a significant part of Elixir can be encoded), its typing and its extension to gradual typing. We omit the formalization of maps, the theoretical properties of the type system and its algorithmic aspects since they are fully detailed in two companion papers: [8] which formalizes
Base types \[ b ::= \text{int} \mid \text{atom} \mid \mathbb{1}_{\text{fun}} \mid \mathbb{1}_{\text{tup}} \]

Types \[ t, s ::= b \mid c \mid \alpha \mid \overline{t} \rightarrow t \mid \{ \overline{t} \} \mid t \lor t \mid \neg t \]

Expressions \[ e, f ::= c \mid x \mid \lambda (\overline{x}).e \mid f (\overline{e}) \mid \{ \overline{e} \} \mid \text{elem}(e, e) \mid e + e \]
\[ \mid \text{let } x : t = e \text{ in } e \mid \text{case } e \text{ do } \overline{pg} \rightarrow \overline{e} \]

Patterns \[ p ::= x \mid c \mid \{ \overline{p} \} \]

Guards \[ g ::= g \text{ and } g \mid g \text{ or } g \mid \text{not } g \mid \text{is integer}(d) \]
\[ \mid \text{is atom}(d) \mid \text{is tuple}(d) \mid \text{is function}(d, d) \]
\[ \mid d == d \mid d ! = d \mid d < d \mid d <= d \]

Selectors \[ d ::= c \mid x \mid \text{elem}(d, d) \mid \text{tuple size}(d) \]

\textbf{Figure 1} Expressions and Types
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differentiate this system from the one in the cited works. First, in Elixir and, thus, in our core calculus, the index of a tuple projection can be the result of an expression, thus the typing rules for projections have to be reworked to take into account this aspect and our type system cannot statically ensure that the projection of a tuple will be in the bound of the tuple size (this happens only if any rule \(\text{proj}()\) in Figure 3 is used, in which case the compiler emits a warning). Second, and more importantly, the typing rule for case expressions is new since it must perform the analysis of Elixir guards. Let us look at it in detail (where \(<\) is the strict lexicographical order on pairs):

\[
\frac{\Gamma \vdash e : t \quad (\forall i \leq n) (\forall j \leq m_i) \quad (t_{ij} \not\in \bigcup_{i \leq n} \{p_i g_i\}) \quad \Gamma, (t_{ij}/p_i) \vdash e_i : s_i}{\Gamma \vdash \text{case } e \text{ do } (p_i g_i \rightarrow e_i)_{i \leq n} : s_n}
\]

where \(\Gamma; t \vdash (p_i g_i)_{i \leq n} \rightarrow (s_{ij}, b_{ij})_{i \leq n, j \leq m_i}\) and \(t_{ij} = (t \land s_{ij}) \setminus \bigcup_{(h,k) \notin \{(i,j)\}} \{h:k\} \wedge b_{hk}\)

This rule types a case expression with \(n\) branches, where the \(i\)-th branch is guarded by the pattern \(p_i\) and guard \(g_i\). To type the expression \(e_i\) of the corresponding branch, the system must estimate which values may reach the pair \(p_i g_i\); these are the values that can be returned by \(e\) (i.e., those of type \(t\)) minus those that are surely matched in one of the branches preceding \(i\), that is, those in the surely accepted type of a pair \(p_i g_j\) for some \(j < i\). We note by \(\{p g\}\) and \(\{p g\}\) the surely accepted type and the potentially accepted type of the pair \(p g\). Then the values that may reach \(p_i g_i\) are those in \((t \setminus \bigcup_{j < i} \{p_j g_j\})\). But not all these values are then matched by the rule against the pattern \(p_i\), only those that may be accepted by \(g_i\). To compute the set of these values, as we anticipated in Section 3.2, our system computes for each pair \(p_i g_i\) a list of types \(t_{i1}, ..., t_{im_i}\) whose union contains these values. Then the rule types \(e_i\) \textit{m}_i\times\textit{times}, by generating each type environment \((t_{ij}/p_i)\), which assigns the type for the capture variables of the pattern \(p_i\) under the hypothesis that the pattern is matched against a value in \(t_{ij}\). The various \(t_{ij}\)’s are computed thanks to an auxiliary deduction system that computes them for all the branches of the case: \(\Gamma; t \vdash (p_i g_i)_{i \leq n} \rightarrow (s_{ij}, b_{ij})_{i \leq n, j \leq m_i}\). This auxiliary judgment, whose definition is given in [9] essentially scans each \(g_i\) from left to right looking for OR-clauses, and for each clause, it generates a pair \((s, b)\) where \(s\) is the type of the values for which the clause may be true and \(b\) is a Boolean value that indicates whether \(s\) is exact or not. For instance, for the guard \((\text{is} \_ \text{map}(x) \text{ and } \text{map} \_ \text{size}(x) == 2) \text{ or } \text{is} \_ \text{list}(x)\), which we used in line 97, it will generate two pairs: \((\text{map}(), \text{false})\) since the first clause may be true for some maps but not all of them, and \((\text{list}(), \text{true})\) since the second clause is true for all lists. Guards are parsed from left to right to take into account Elixir evaluation order and possible failures (a clause is typed only if the preceding clauses may not fail). The computation for a guard \(g_i\) needs both \(\Gamma\) and \(p_i\) since \(g_i\) can use variables that are in the environment or are introduced by \(p_i\). Given \(\Gamma; t \vdash (p_i g_i)_{i \leq n} \rightarrow (s_{ij}, b_{ij})_{i \leq n, j \leq m_i}\), then the potentially accepted type of \(p_i g_i\) is the union of all \(t_{ij}\)’s, while the surely accepted type of \(p_i g_i\) is the union of all \(t_{ij}\)’s for which \(b_{ij}\) is true: \(\{p_i g_i\} = \bigcup_{j \leq m_i} s_{ij}\) and \(\{p_i g_i\} = \bigcup_{j \leq m_i} \{s_{ij}\} \wedge b_{ij}\). On our example, if \(g\) is the guard above that we used in line 97 then \(\{x g\} = \text{map}() \text{ or } \text{list}()\) and \(\{x g\} = \text{list}()\), as expected. It is now clear how \(t_{ij}\) is computed: it is the type of all values that are generated by \(e\) and for which the \(j\)-th OR-clause of \(g\) may evaluate to true (i.e., \(t \land s_{ij}\)) minus all the values that are
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surely captured either in a preceding branch or a preceding OR-clause of the branch (i.e., $\sqrt{\binom{(h,k)}{(l,i)} b_{hk}}^b_{hk}$). Finally, the side condition of the rule, $t \leq \sqrt{\binom{i}{<n}{p_i} b_{i}}$, checks exhaustiveness: every possible result of $e$ (i.e., every value of type $t$) must be in the potentially accepted type of some branch. Finally, if the stronger condition $t \leq \sqrt{\binom{i}{<n}{p_i} b_{i}}^*$ also holds, then the pattern matching is exhaustive; otherwise, the type-checker emits a warning that the pattern matching may not be exhaustive (rule (casee2) in Figure 3).

**Gradual Typing and Strong Arrows** We extend the previous system by adding gradual typing. This consists of adding a new base type $?$ (corresponding to the dynamic() type of Section 3.4) and defining strong arrows and $?$ propagation.

To determine whether a function of type $s \rightarrow t$ is strong, we check whether the body $e$ of the function strongly ensures that it will return a result in $t$ (noted $e \stackrel{??}{=} t$) under the hypothesis that the parameter is not in the domain of the function (i.e., $x : \neg s$). This uses an auxiliary deduction system where, for instance, built-in operations are strong

$$\frac{\Gamma, x : s \vdash e : t}{\Gamma \vdash \lambda(x : s). e : (s \rightarrow t)^*} \quad \text{(add)} \quad \frac{\Gamma \vdash e_1 \stackrel{??}{=} \top \Gamma \vdash e_2 \stackrel{??}{=} \top}{\Gamma \vdash e_1 + e_2 \stackrel{??}{=} \text{int}}$$

and where the rule for case expressions does not check exhaustiveness (since if no branch match, then the case fails and the expression is strong).

The addition of “?” is then handled by defining a precision relation $\ll$. The intuition is that a type $t$ is more precise than a type $s$, written $s \ll t$ if $t$ can be obtained by replacing in $s$ some occurrences of $?$ by other types.\(^{12}\)

Whenever we need to use the precision relation to type an application, we propagate $?$. If the function is weak, then the application can only be given type $?$, while for strong functions we use the result type of the function intersected with $?$, in order to improve the typability of gradually-typed programs:

$$\frac{\Gamma \vdash f : (s \rightarrow t)^* \quad \Gamma \vdash e : s' \quad s' \ll s_1 \leq s_2 \gg s}{\Gamma \vdash f(e) : ? \land t} \quad \frac{\Gamma \vdash f : s \rightarrow t \quad \Gamma \vdash e : s' \quad s' \ll s_1 \leq s_2 \gg s}{\Gamma \vdash f(e) : ?}$$

In the future, we plan to experiment with different disciplines for $?$ propagation, for instance, to propagate $?$, not only when the precision relation is needed, but also just when the types involved in the application have some $?$ components: this would enhance typability of untyped code but at the expense of the precision of the static detection of type errors and will have to be tested against existing bases of code.

5 Integration into Elixir

**Design Principles.** A type system tailored for Elixir needs to carefully balance the need to bring static error detection to existing users of the language without changing

\(^{12}\)Actually, we use a semantic definition of $\ll$, due to [26], which takes into account type equivalences: e.g., $(\{?, \text{int}\} \lor \{\text{fun}, ?\}) \ll \{\text{fun}, \text{int} \lor \text{atom}\}$ or $\neg ? \ll ?$
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their experience, while still appealing to programmers coming from statically-typed languages. To fulfill these requirements we established few basic design principles:
- the introduction of typing must not require any modification to the syntax of Elixir expressions (not even the addition of type annotations for function parameters);
- gradual typing should suffice to ensure that programmers are not forced to annotate existing code (apart from some corner cases);
- the system must extract a maximum of type information from patterns and guards. This should help find typing errors in current programs and encourage skeptical developers to provide types on more occasions;
- programmers who prefer a fully statically typed environment should be able to reduce (or remove altogether) the reliance on gradual types within their code by emitting warnings when `dynamic()` is used;
- we assume that most users who choose static typing over dynamic one would prefer to annotate all functions explicitly, for documentation and readability reasons. Hence, priority is given to type most (if not all) Elixir idioms before turning our attention to more advanced (and computationally expensive) features such as type reconstruction.

Type syntax. Elixir is a language defined by minimal syntax with direct translation to Abstract Syntax Tree, similar to M-expressions introduced by McCarthy for LISP[30]. A large part of Elixir is written in itself through macros, and therefore it does not provide special syntax for defining modules, functions, conditionals, etc. From the typing perspective, this means Elixir shall not provide special syntax for types, and all the operators and notation found in types must match their uses outside of types, including associativity and precedence. While new operators can be introduced (as long as they consistently apply everywhere in the language), there is also a concern from the Elixir team about relying too much on punctuation and its impact on the language adoption.

With this in mind, we choose to use the operators `or`, `and`, and `not` to represent our fundamental set-theoretic operations. All of those operators exist in the language today and are extensively used in guards. Furthermore, we hope the Elixir community will find `Enumerable.t(a)` and `Collectable.t(a)` more readable than `Enumerable.t(a) & Collectable.t(a)`, and `atom() and not(:foo or :bar)` to be clearer than `atom() \ (:foo | :bar)`.

Implementation. We have implemented a prototype type-checker for Elixir, based on the formalization outlined in Section 4 and which is available at https://typex.fly.dev/. This prototype relies on a crucial component: a library of set-theoretic types, that checks the subtyping relation between types and solves type constraint problems. Our first prototype used the CDue type library [16], but we are currently reimplementing it in Elixir itself, in order to deploy it within the Elixir compiler. A roadmap of the planned development of the type system of Elixir is given in the conclusion (Section 7).
6 Related work

With this design, a typed Elixir would join the family of languages that make use of semantic subtyping, which includes CDuce [15] (for which it was originally designed), and newer additions such as Ballerina [2], Luau [28, 24], and (partially) Julia [4].

The work most related to ours is the addition to set-theoretic types to Erlang by Schimpf et al. [39] which transposes and adapts the current polymorphic type system of CDuce to Erlang. Their work provides a nice formalization and a throughout comparison with other current type analysis and verification systems for Erlang. Essentially, [39] ends where we start from, namely the content of Section 2, whereas most of the features we presented in Section 3 are by [39] either left as future work (e.g., the typing of records and gradual typing) or ignored (e.g., the typing of function arity). A notable exception is the typing of patterns and guards: as in our case, and independently of us, the authors of [39] propose over and under approximations for types accepted by patterns combined with guards, but their analysis, which is similar to [22], stops to very simple guards formed by conjunctions of type tests on single variables, thus avoiding the complex guard analysis we outlined in Section 4.

Another related work is eqWAlizer [18], an open-source Erlang type-checker (with an extremely succinct documentation) developed by Meta and used to check the code of WhatsApp. It consumes the spec and type alias of Erlang with few exceptions. In particular, and contrary to what we do, they have distinct types for records and dictionaries, and empty lists are subsumed to lists. As in our system, they use generics (constrained by the same when keyword we use) with local type inference, type narrowing, and gradual typing. In particular, eqWAlizer uses the same subtyping and precision relations for gradual types as we do, since both approaches are based on [26, 11]. However, eqWAlizer techniques to gradually type Erlang expressions are quite different from ours (no dynamic propagation or strong arrows). Another important difference is that when typing overloaded functions with overloaded specs (i.e., our intersections of arrow types) eqWAlizer does not take into account the order of the clauses of the functions while their applications require the argument to be compatible with a unique clause. Thanks to negation types our approach takes into account the order of clauses, and applications are correctly typed even if the argument is compatible with several clauses: it thus implements a more precise type inference.

Besides eqWAlizer and our approach, the theory of [11] is used also by Cassola et al. [6] to add gradual typing to Elixir. The work focuses on the gradual aspects, which is why the typing of the functional core is quite basic (no guards, no polymorphism, no set-theoretic types). For the gradual aspects, they use the sound gradual typing approach of [11] but they do not couple it neither with a cast-inserting compilation (to preserve Elixir semantics) nor with advanced techniques like ours that can take into account existing checks, and this may hinder the satisfaction of gradual guarantees.

The initial effort to type Erlang was by Marlow and Wadler [29] who define a type system based on solving subtyping constraints. This type system supports disjoint unions, a limited form of complement, and recursive types, but not general unions, intersections, or negations, as we do. The formalization lacks proofs for first-class function types, which is a solved problem in semantic subtyping. One issue with this
work is that they infer constrained types which are quite large, which leads to the use of a heuristics-based simplification algorithm to make them more readable.

Dialyzer [27], which serves as the current default to provide type inference in Erlang, is a static analysis tool that detects errors with a discipline of no false positive, while our static type system ensures soundness, that is, no false negative. Dialyzer lacks support for conditional types or intersection types to capture the relation between input and output types for functions, and record types are parsed but not used.

An actively developed alternative to type Erlang is Gradualizer [25], which also supports Elixir programs through a translation frontend. Their approach looks similar to ours, though it lacks subtyping polymorphism, with gradual typing inspired from [26]. But a comparison is difficult since it lacks a formalization or a detailed description.

The literature on Erlang also includes Hindley-Milner type systems [42] and bidirectional type systems (without set-theoretic types) [35].

Numerous statically-typed languages constructed for the Erlang VM have emerged over time. Two examples, Hamler and purerl [21, 33], derived from [34], incorporate a type system akin to Haskell’s, including type classes. Notably, in Hamler, type classes are used to model OTP behaviors. Another language, Caramel [5], features a type system inspired from OCaml. Sesterl [40] extends the trend by offering a module system [37], utilizing functors to type OTP behaviors (a high priority in our future work list). Lastly, Gleam [20] is a functional language utilizing well-proven static typing methodologies from the ML community dating back to the early 90s: a Hindley-Milner type system [23, 31], supplemented with a rudimentary form of row polymorphism [43, 36].

7 Conclusion and Future Work

We presented the type system that we plan to incorporate in the Elixir compiler. The system is a transposition to languages of the Erlang family of the polymorphic type system of CDuce. To do that we had to improve and extend the latter to account for several characteristics of Elixir: the arity of functions, the use of guards, a uniform treatment of records and dictionaries, the need for a new sound gradual typing discipline that does not rely on the insertion at compile time of specific run-time type-tests but, rather, takes into account both the type tests performed by the virtual machine and those explicitly added by the programmer. The design of our system was guided by the principles and goals we briefly exposed in Section 5. Whether it achieves these goals will have to be checked on an actual implementation.

Incorporating a type system into a language used at scale can be a daunting task. Our concerns range from how the community will interact and use the type system to how it will perform on large code bases, with hundreds of millions of users. Therefore, our plan is to introduce very gradually our gradual (pun intended) type system into the Elixir compiler.

In the first release types will be used just internally by the compiler. The type system will extract type information from patterns and guards to find the most obvious of
mistakes, such as typos in field names or type mismatches from attempting to add an integer to a string, without using any $\text{-}$ prefixed type specification: developers will not be allowed to write them. The goal is to assess the performance impact of the type system and the quality of the reports we can generate in case of typing violations, without tying the language to a specific type syntax.

The second milestone is to introduce type annotations only in structs, which are named and statically-defined closed record types. Elixir programs frequently pattern match on structs, which reveals information about the struct fields, but it knows nothing about their respective types. By propagating types from structs and their fields throughout the program, we will increase the type system’s ability to find errors while further straining our type system implementation. This capability will require the implementation of strong arrows, as the types defined in structs may not be necessarily guarded in functions that receive said struct.

The third milestone is to introduce the $\text{-}$ prefixed type annotations for functions, with no or very limited type reconstruction: users can annotate their code with types, but any untyped parameter will be assumed to be of the dynamic() type.

The development of the type system will happen in parallel with further research into set-theoretic types and their application to other Elixir idioms, according to the lines we briefly describe next.

**Type Reconstruction and Occurrence Typing** In the current system we can define and type JavaScript’s “logical or” as follows:

```elixir
142 $ ( (a , term()) -> a ) and ( (false or nil) , b -> b )
143 when a: not(false or nil), b: term()
144 def l_or(x, y) do: if x, do: x, else: y
```

The type is very precise: it states that when the first argument is of a type a that is neither false nor nil (as not(false or nil) is equivalent to not false and not nil), then the result is (of the type of) the first argument, otherwise it is (of the type of) the second argument. It reflects Elixir’s semantics of if which executes the else: part if and only if the tested value is either false or nil. By extending the techniques of [12] to polymorphic types, it will become possible not only to check but also to reconstruct—i.e., to infer in the absence of an explicit type specification—this same type for l_or. Using these same techniques we should be able to give more precise types to some common functions. For example, consider:

```elixir
145 $ ((a -> boolean())), [a]) -> [a when a: term()]
146 def filter(fun, []), do: []
147 def filter(fun, [h | t]) do
148   if fun.(h), do: [h | filter(fun, t)], else: filter(fun, t)
149 end
```

This function type-checks in our system, and the given type is as good a type as we can specify for it. However, by extending the techniques of [12] to polymorphic types,
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it will become possible to check (and probably also to reconstruct) for filter the following far more precise type:\(^13\)

\[
\$ ((a \text{ and } b \rightarrow \text{true}) \\text{and} (a \text{ and not } b \rightarrow \text{false}), [a]) \rightarrow [a \text{ and } b]
\]

In our current system, checking this type fails because its verification requires to narrow the type of \(h\) in the branches of the if-expression by using the fact that, in the test, \(h\) is the argument of a function, \(\text{fun}\), that has an intersection type; such a deduction requires the powerful occurrence typing techniques developed by [12] which can probably be decoupled from type reconstruction. Enabling type reconstruction in Elixir would make it easier for programmers to annotate their programs, since the type reconstructed for a function can be suggested as a starting point for the annotation (the type reconstructed for \(\_\text{or} \) would probably not be the first type a programmer would think of). Having a powerful type reconstruction system would also open the possibility for a strict type-checker mode that, instead of using \text{dynamic()}\ for non-annotated parameters, tries to infer their type. However, these advantages are counterbalanced by the computational price of this kind of reconstruction which makes several passes on the code each pass requiring the resolution of several type constraint problems. Therefore, a careful analysis of the costs and benefits of the approach must be performed before implementing it.

Maps: row polymorphism and key-types To write polymorphic annotations on functions operating on maps, row polymorphism is needed [43, 36], but extending semantic subtyping with it is an open problem we are working on. Also, we plan to study how to remove the constraint that key-types must be chosen among a predefined set of types. Our idea is to allow the programmer to declare finite partitions of these predefined types and, eventually, to infer these partitions without an explicit declaration.

Message-passing. One key characteristic of Elixir is its concurrency and distribution system based on message-passing between lightweight threads called processes. Receiving messages from other processes is done through the receive construct, which relies on pattern matching and guards to match messages sitting in the process inbox. Typing the concurrency constructs and the actor model of Elixir is an obvious next step. Our type system is already capable of augmenting the code in receive with type information from guards, with narrowing and approximations. The potentially accepted type (cf. Section 3.2) of the patterns and guards in receive operations can be used to define interfaces (i.e., types) for processes and thus type higher-order communications. A longer-term research project is to type processes with their behavioral types, in the sense of [1].

\(^{13}\) Actually an extension of the techniques of [12] should reconstruct an even better type:

\[
((a \text{ and } b \rightarrow \text{term()} \text{and} (a \text{ and not } b \rightarrow (\text{false or nil})), [a]) \rightarrow [a \text{ and } b]
\]

which is better since it allows filter to be applied to a function of type \(\text{Int} \rightarrow \text{boolean()}\) and then deduce for this application the type \(\[(a \text{ and } \text{Int}) \rightarrow [(a \text{ and } \text{Int})]\)
Behaviors. Elixir is a language with first-class modules: modules can be passed to functions and returned as results. The “types” for modules are called behaviors: when you declare a behavior in Elixir, you specify a list of function callbacks, alongside their domain and codomain. A module implements (i.e., it is typed by) a behavior if it defines for each callback in the behavior a function that accepts a superset of the callback domain and returns a subset of the callback codomain. Elixir uses behavior to implement a naive static typing of modules: if a module adopting a behavior does not implement all the callbacks of the behavior or does not do it according to their specifications, then a warning is emitted. Currently, callbacks’ domains and codomains are specified in TypeSpec’s, but at some point (cf. the third milestone above) we will want to use the types presented here for callbacks specifications, too. Later we will add behaviors as types in our system in order to reap some benefits of our static type system when programming with modules. This will require further research. Behaviors may specify the type of callbacks in terms of abstract types, that is, nominal types whose concrete implementation is provided only by each module. In Elixir this corresponds to use \texttt{term()} in the TypeSpec specification of a callback. We can already more advantageously replace \texttt{term()} by \texttt{dynamic()}, but to fully exploit the information provided by behaviors, we need to extend the semantic subtyping framework, for instance to cope with existential types for packaged modules [38]. At the same time, even the simpler extension where abstract types are simulated by \texttt{dynamic()} will require careful consideration at the language design, especially in regard to backward compatibility.

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[34] Purescript. https://www.purescript.org/.


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A Types

A.1 Multi-argument functions

Definition A.1. Let $X_1,..,X_n$ and $Y$ be subsets of $D$.

$$(X_1,..,X_n) \rightarrow Y = \{R : \mathcal{P}_f (D^n \times D \_\Omega) | \forall (d_1,..,d_n,\delta) : R. (\forall i \in \{1,..,n\}. d_i : X_i) \implies \delta : Y\}$$

Using the usual semantic subtyping relation for unary functions, our system encodes multi-arity function types using a CDuce record type:

Encoding for Multi-arity Functions.

$$\text{Fun}((t_1,..,t_n), t) := \{\text{fn} = \{t_1,..,t_n\} \rightarrow t; \text{ar} = n\}$$
$$\text{Fun}_0 := \{\text{fn} = \emptyset \rightarrow 1; \text{ar} = \text{int}\}$$
$$\text{Fun}_n := \{\text{fn} = \emptyset \rightarrow 1; \text{ar} = n\}$$

Subtyping Properties.

- $\forall (t_1,..,t_n, t) \quad \text{Fun}((t_1,..,t_n), t) \leq \text{Fun}_1$
- there is a distinct top function for functions of all arity, which is for all $n$,

$$\text{Fun}_0 = \{\text{fn} = \emptyset \rightarrow 1; \text{ar} = n\}$$

- since the integer singleton type $n$ is a subtype of $m$ if and only if $n = m$, each function type is discriminated by its arity:

$$\text{Fun}((t_1,..,t_n), t) \leq \text{Fun}((s_1,..,s_m), s) \iff (n = m) \land (\forall i = 1..n, t_i \geq s_i) \land (t \leq s)$$

Note that this encoding makes intersections of function types of different arity be the empty type, since $n \land m$ is $\emptyset$ if $n \neq m$. 
B Language

B.1 Syntax

\( i \) integers, \( k \) atoms, \( \alpha \) type variables, \( x \) variables

Constants
\[ c ::= i \mid k \]

Expressions
\[ e,f ::= c \mid x \mid \lambda(x).e \mid f(t) \mid \{\ell\} \mid \pi_e(e) \]
\[ \mid \text{let } x : t = e \text{ in } e \mid e + e \]
\[ \mid \text{case } e \text{ do } \overrightarrow{pg} \rightarrow \overrightarrow{e} \]

Base types
\[ b ::= \text{int} \mid \text{atom} \mid \text{fun} \mid \text{tup} \]

Types
\[ t,s ::= b \mid c \mid \alpha \mid \overrightarrow{t} \rightarrow t \mid t \lor t \mid \neg t \mid \{\overrightarrow{t}\} \]

Singletons
\[ \ell ::= c \mid \{\ell\} \]

Patterns
\[ p ::= \ell \mid x \mid p \& p \mid \{\overrightarrow{p}\} \]

Guard atoms
\[ a ::= \ell \mid x \mid \pi_a(a) \mid \text{size}(a) \]

Guards
\[ g ::= a ? t \mid a = a \mid g \text{ and } g \mid g \text{ or } g \]

Type environments
\[ \Gamma ::= \bullet \mid \Gamma, x : t \]

where no patterns have variables occurring more than once.

\textbf{Figure 2} Expressions and Types
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B.2 Type system

Declarative Typing Rules.

\[
\begin{align*}
\text{(cst)} & \quad c : c & & \frac{}{\neg x : t} & & \frac{x : t}{\lambda (x. e) : \exists \Rightarrow t} & & \frac{f : \exists \Rightarrow t \quad \exists : \exists}{f (\exists) : t} \\
\text{(var)} & \quad e_1 : \text{int} & e_2 : \text{int} & \frac{e_1 + e_2 : \text{int}}{\lambda (x. e) : t} & & \frac{f : \text{id} \quad e \text{: } \{t_0, \ldots, t_n\}}{f (e) : \bigcup_i t_i} & & \frac{\pi (f) (e) : \{t_i\}}{\{\pi (f) (e) : \{t_i\}\} \\
\text{(proj)} & \quad e_1 : \text{int} & e_2 : \text{int} & \frac{\pi (f) (e) : \{t_i\}}{\lambda (x. e) : \{t_i\}} & & \frac{\text{let } x : s = f \text{ in } e : t}{\text{let } x : s = f \text{ in } e : t} \\
\text{(proj)} & \quad f : \text{id} & e \text{: int} & \frac{\pi (f) (e) : \{t_i\}}{\lambda (x. e) : \{t_i\}} & & \frac{\text{let } x : s = f \text{ in } e : t}{\text{let } x : s = f \text{ in } e : t} \\
\text{(inst)} & \quad \Gamma \vdash e : t & \quad \text{dom} (\varnothing) \cap \Gamma = \varnothing & \frac{}{\text{let } x : s = f \text{ in } e : t} \\
\end{align*}
\]

\[\text{Figure 3} \quad \text{Declarative type system}\]

The system of Figure 3 uses a presentation in which only the relevant part of the type environments is presented (i.e., the part \(\Gamma \vdash \) is often omitted). In that system the rules marked by a “\(\Omega\)” correspond to cases in which the type-checker emits a warning since it cannot ensure type safety. More precisely, whenever a rule (proj) is used the type-checker warns that the expression may generate an “index out of range” exception; when the rule (case) is used the type-checker warns that the case may not be exhaustive.
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