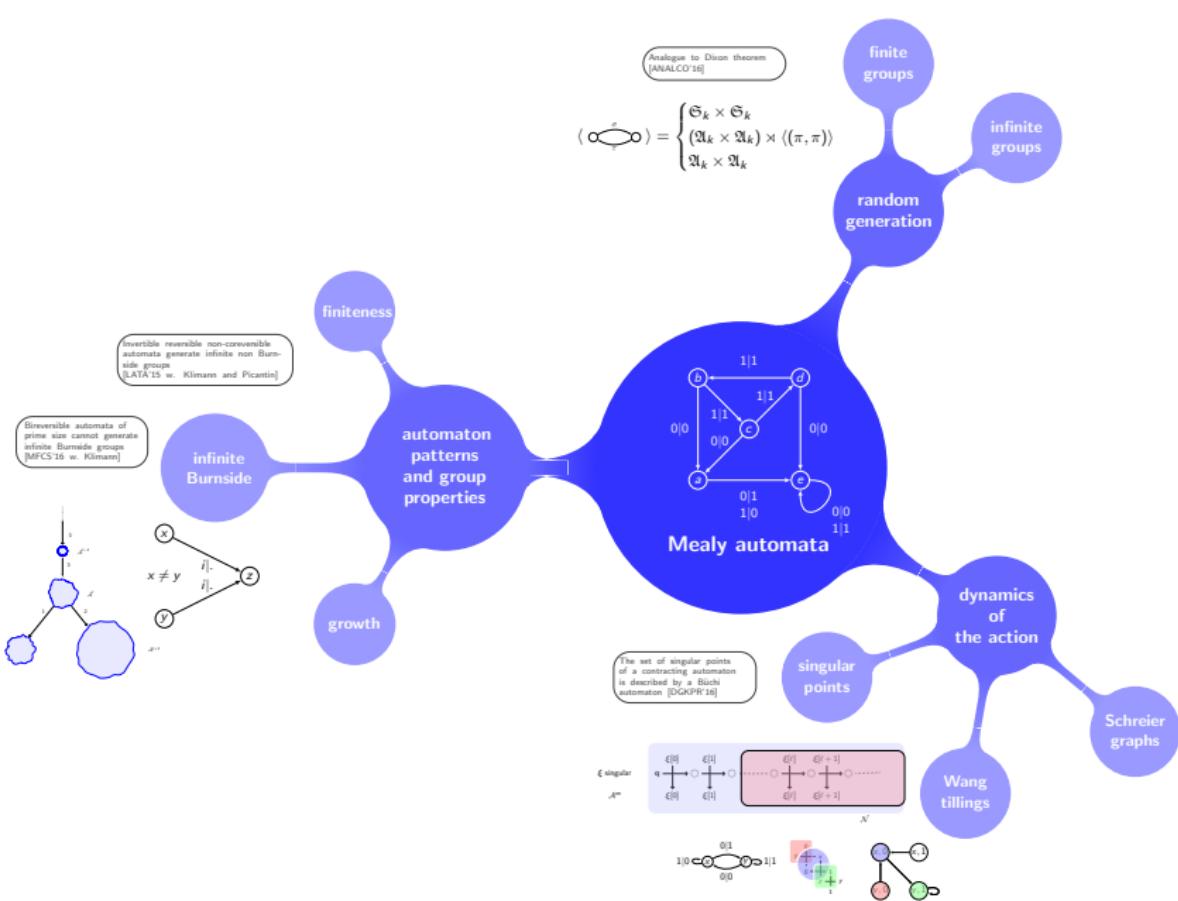


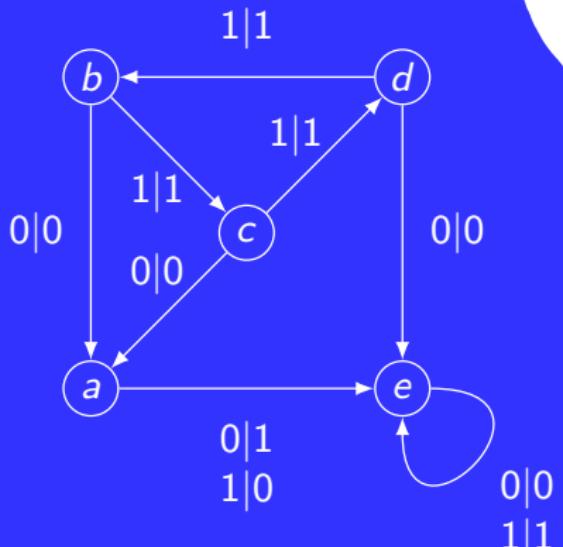
# Mealy machines, automaton (semi)groups, decision problems, and random generation

Thibault Godin  
PhD defense, July 13, 2017



ANR JCJC 12 JS02 012 01

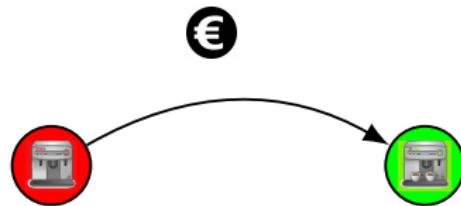


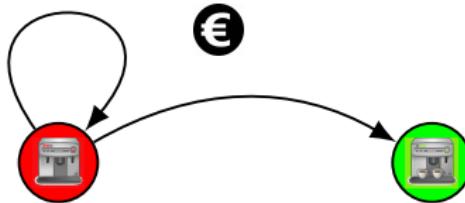


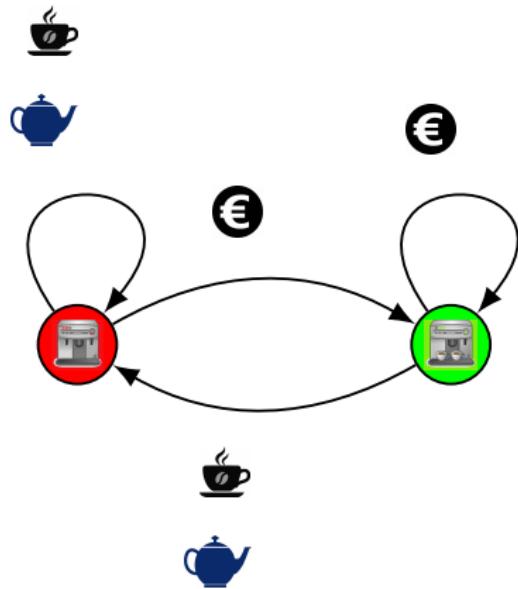
Mealy automata

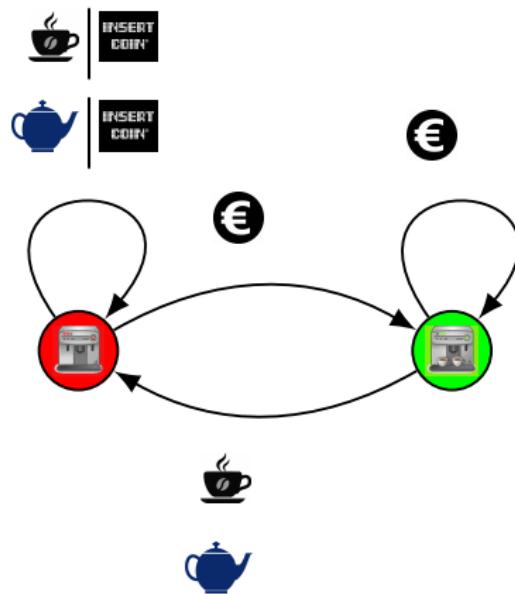


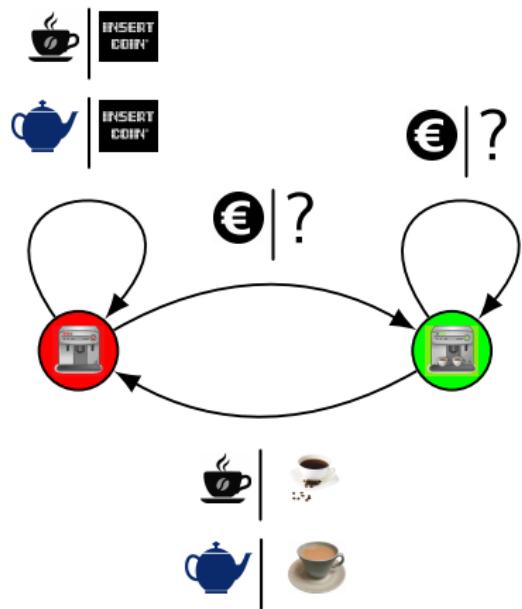


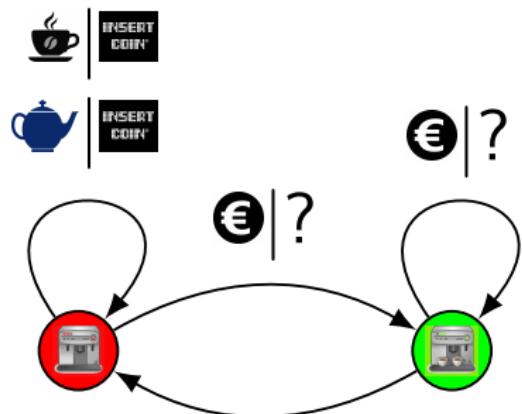


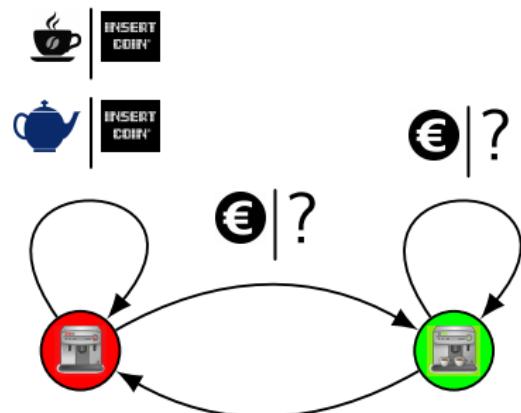


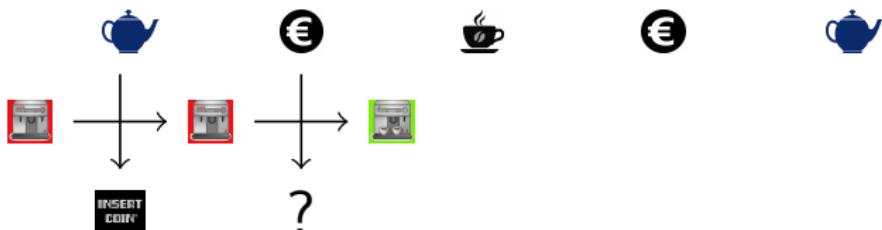
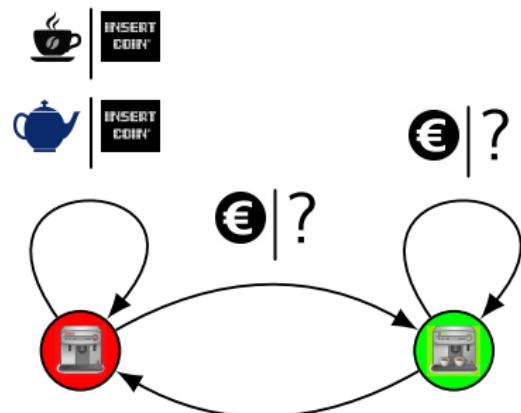


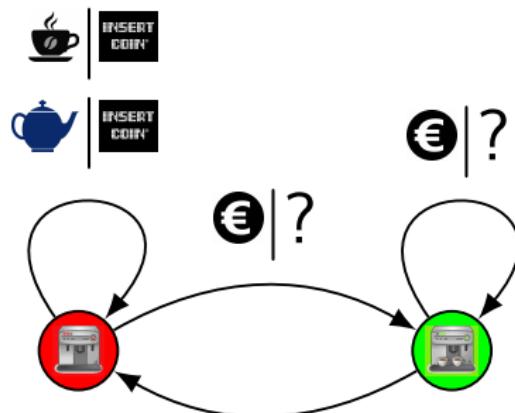


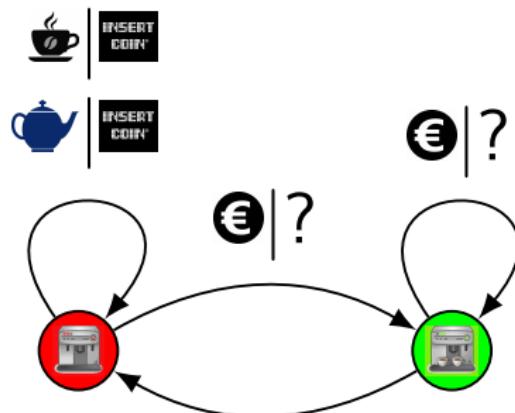




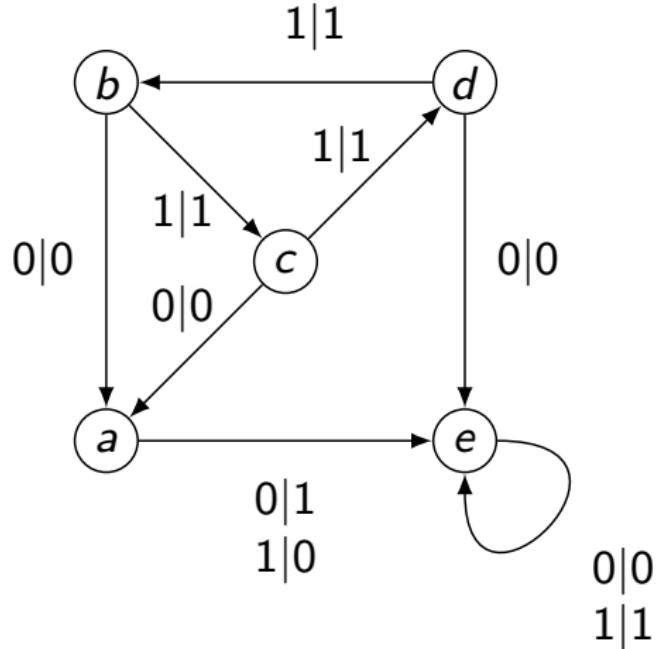




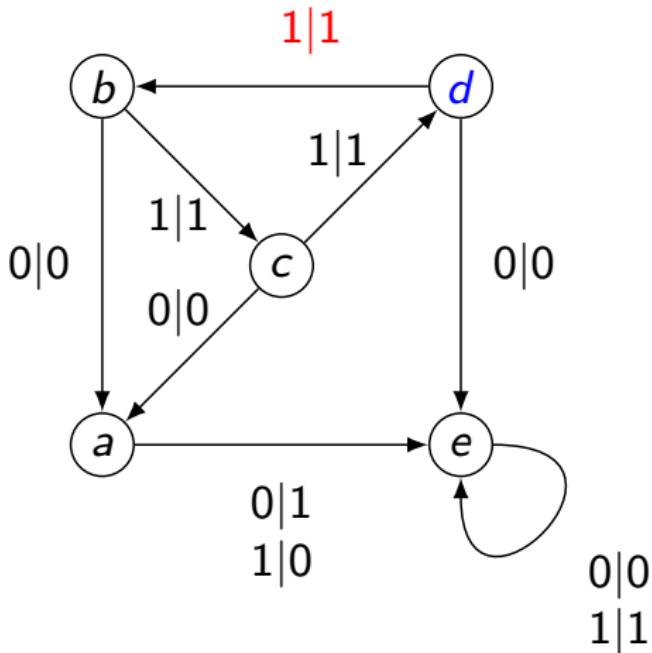




$$\mathcal{A} = (Q, \Sigma, \delta, \rho)$$



Mealy automaton  $\mathcal{G}$

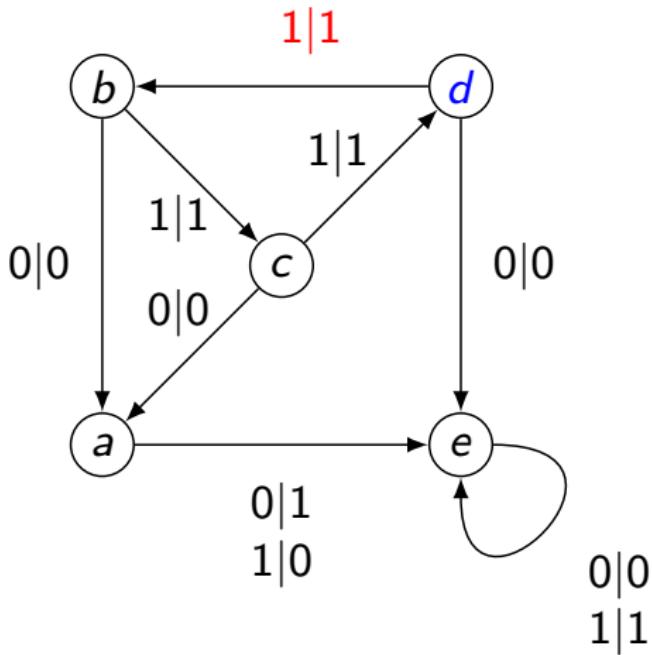


$$\mathcal{A} = (Q, \Sigma, \delta, \rho)$$

$$\rho_q : \Sigma \rightarrow \Sigma, q \in Q$$

$$d \xrightarrow[1]{1} b$$

Mealy automaton  $\mathcal{G}$

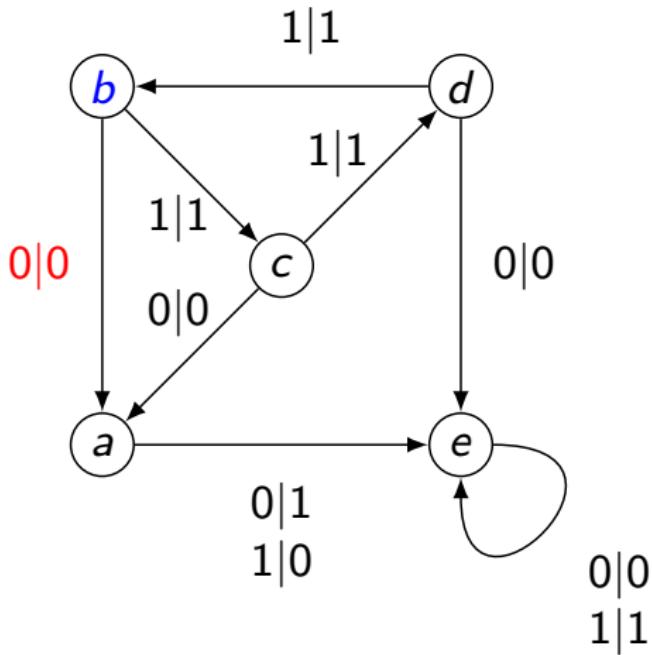


$$\mathcal{A} = (Q, \Sigma, \delta, \rho)$$

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$$d \quad \begin{matrix} 1 & 0 & 0 & 0 & 1 \end{matrix}$$

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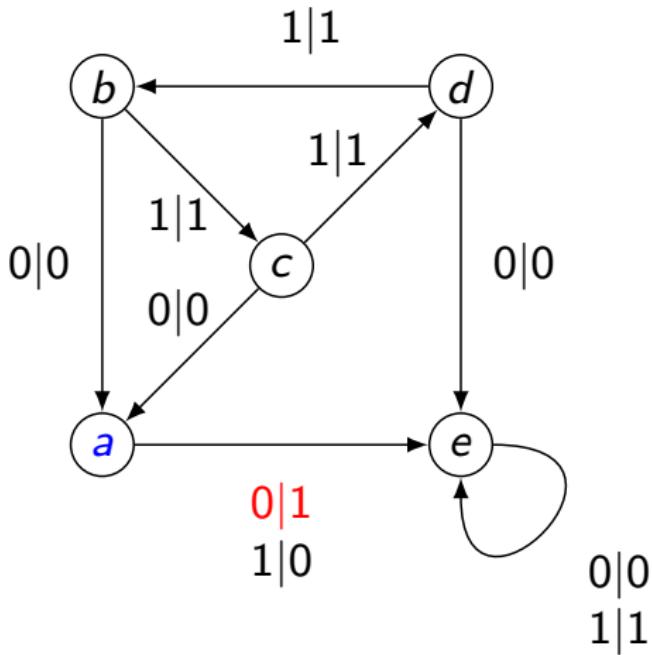


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$d$ $\downarrow$	$\begin{array}{c} 1 \\ \text{---} \\ 0 \\ \text{---} \\ 1 \end{array}$	$b$	0	0	0	1
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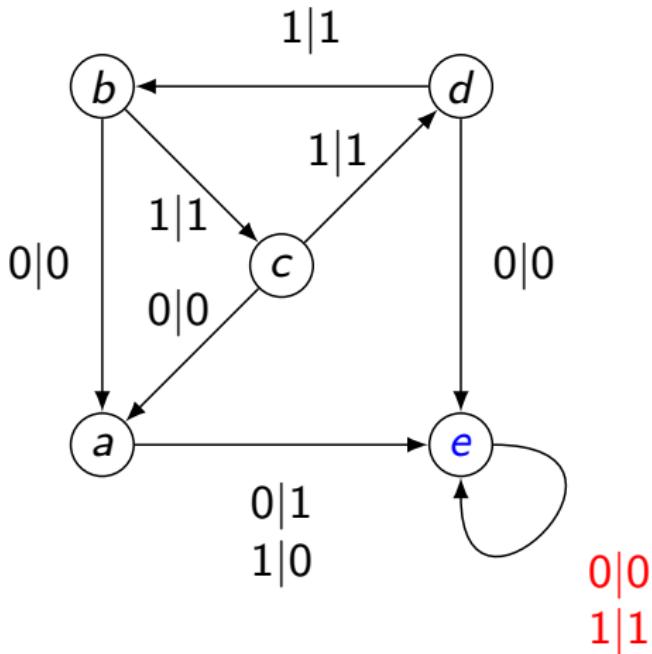
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$d$	$\xrightarrow{1} b$	$\xrightarrow{0} \color{blue}{a}$	0	0	1
	1	0			
	1	0			

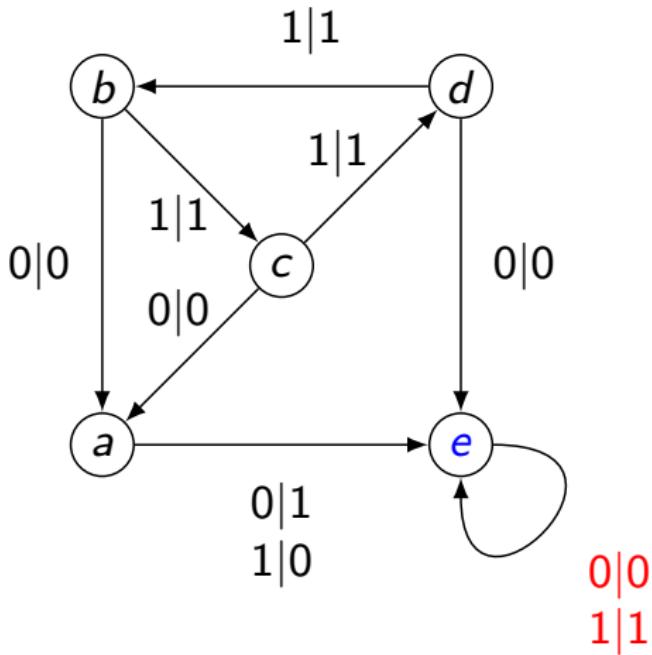


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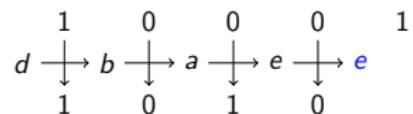
$d$	$1$	$0$	$0$	$1$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$b$	1	0	0	$\textcolor{blue}{e}$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$a$	0	1	1	0

Mealy automaton  $\mathcal{G}$

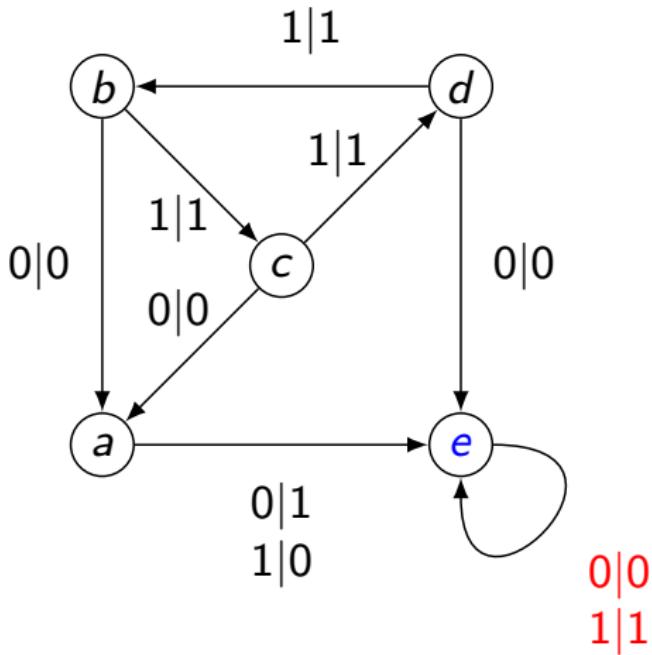


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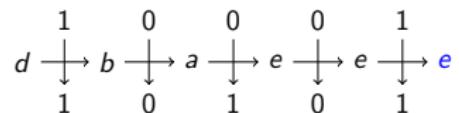


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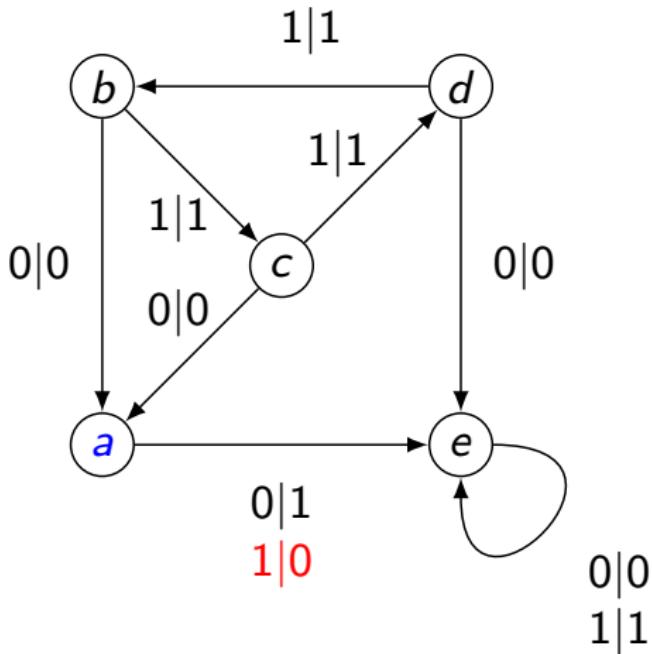


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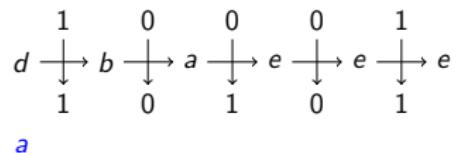


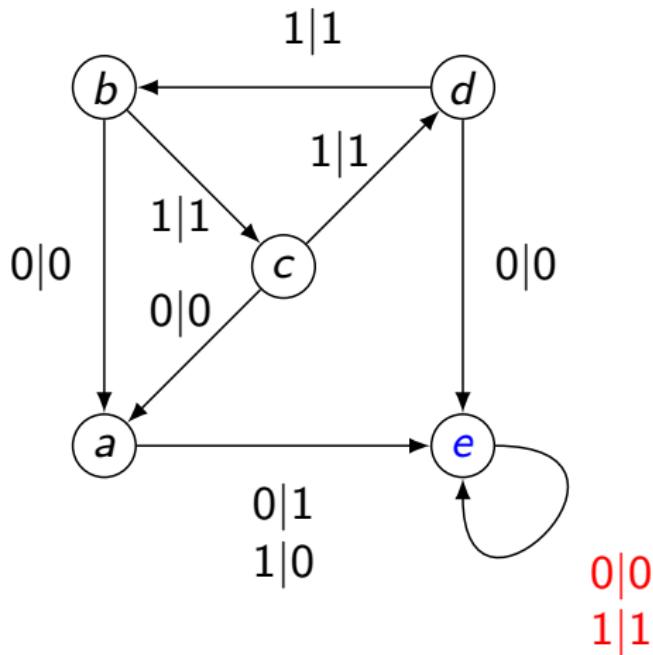
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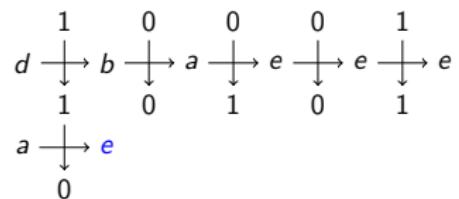
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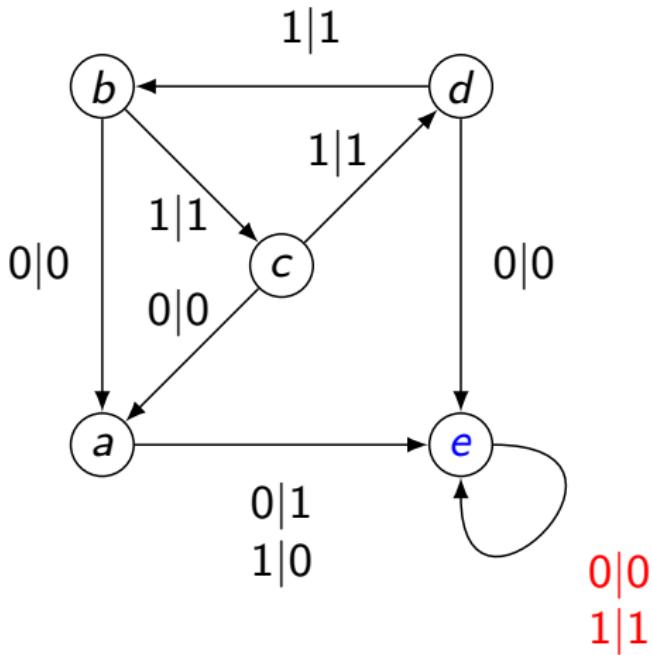




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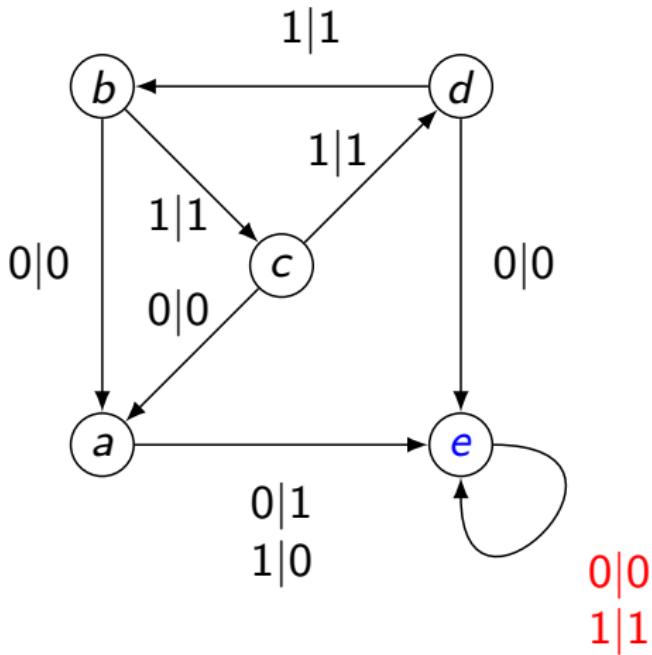




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$d \xrightarrow{1} b \xrightarrow{0} a \xrightarrow{0} e \xrightarrow{0} e \xrightarrow{1} e$ $d \xrightarrow{1} 0 \xrightarrow{0} 1 \xrightarrow{0} 0 \xrightarrow{1} 1$
$a \xrightarrow{1} e \xrightarrow{0} e \xrightarrow{1} e \xrightarrow{0} e \xrightarrow{1} e$ $a \xrightarrow{1} 0 \xrightarrow{0} 1 \xrightarrow{1} 0 \xrightarrow{0} 1$



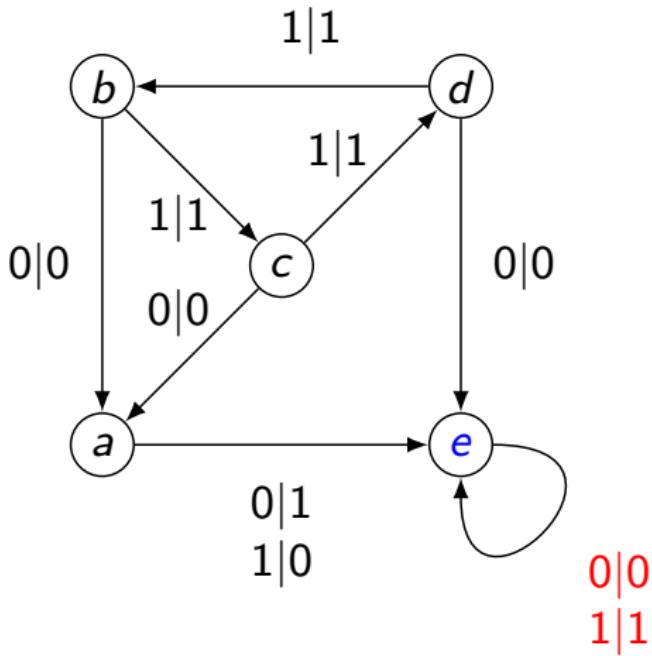
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$$\begin{array}{ccccccccc}
 & 1 & 0 & 0 & 0 & 0 & 1 \\
 d & \xrightarrow{\quad\downarrow\quad} & b & \xrightarrow{\quad\downarrow\quad} & a & \xrightarrow{\quad\downarrow\quad} & e & \xrightarrow{\quad\downarrow\quad} & e \\
 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\
 a & \xrightarrow{\quad\downarrow\quad} & e & \xrightarrow{\quad\downarrow\quad} & e & \xrightarrow{\quad\downarrow\quad} & e & \xrightarrow{\quad\downarrow\quad} & e \\
 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0
 \end{array}$$

$$\rho_{da}(10001) = \rho_a(\rho_d(10001))$$



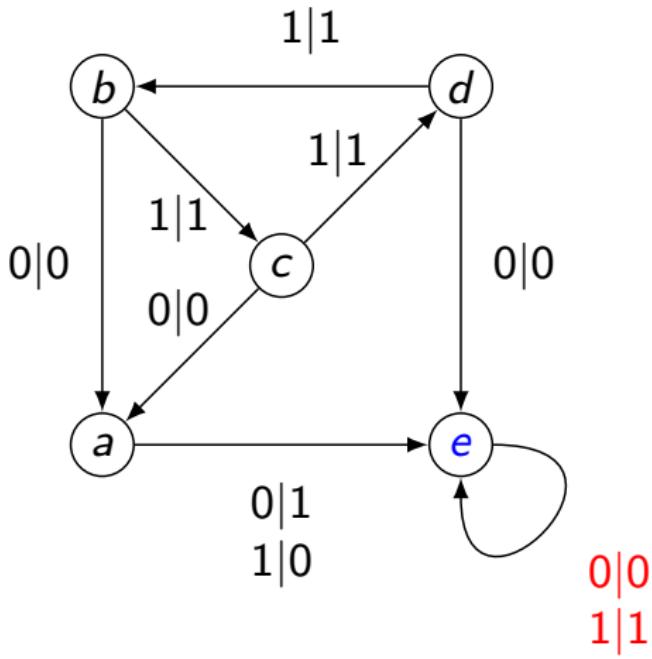
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$$\begin{array}{ccccccccc}
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 d \xrightarrow{\quad} & b & \xrightarrow{\quad} & a & \xrightarrow{\quad} & e & \xrightarrow{\quad} & e \\
 \downarrow & 1 & \downarrow 0 & \downarrow 1 & \downarrow 0 & \downarrow 1 & \downarrow 0 & \downarrow 1 \\
 a \xrightarrow{\quad} & e & \xrightarrow{\quad} & e & \xrightarrow{\quad} & e & \xrightarrow{\quad} & e \\
 \downarrow & 0 & \downarrow 0 & \downarrow 1 & \downarrow 0 & \downarrow 1 & \downarrow 0 & \downarrow 1
 \end{array}$$

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$da$  is a state of  $\mathcal{G}^2$

# Order

## Order of an element

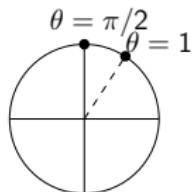
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- ▶  $\mathbb{Z}/n\mathbb{Z}$  : every element has finite order
- ▶  $\mathbb{Z}$  : 0 is the only element of finite order
- ▶ On the circle  $\mathbb{R}/2\pi\mathbb{Z}$  :  $\pi/2$  has finite order, but 1 has infinite order



# The Burnside problem



Burnside (1902):

Can a finitely generated group have all elements of finite order and be infinite?

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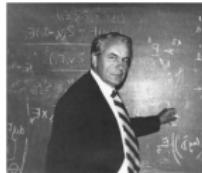
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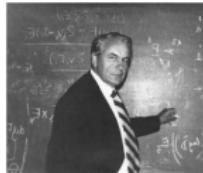
Aleshin+Grigorchuk:  
an example  
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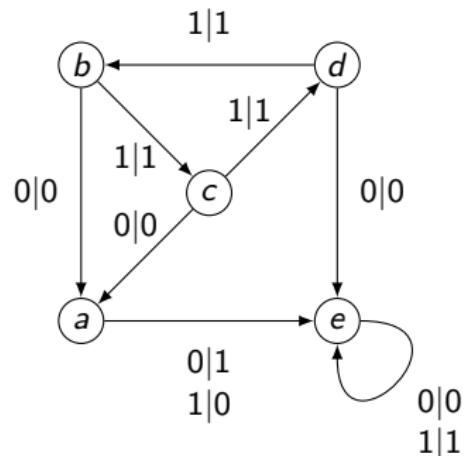
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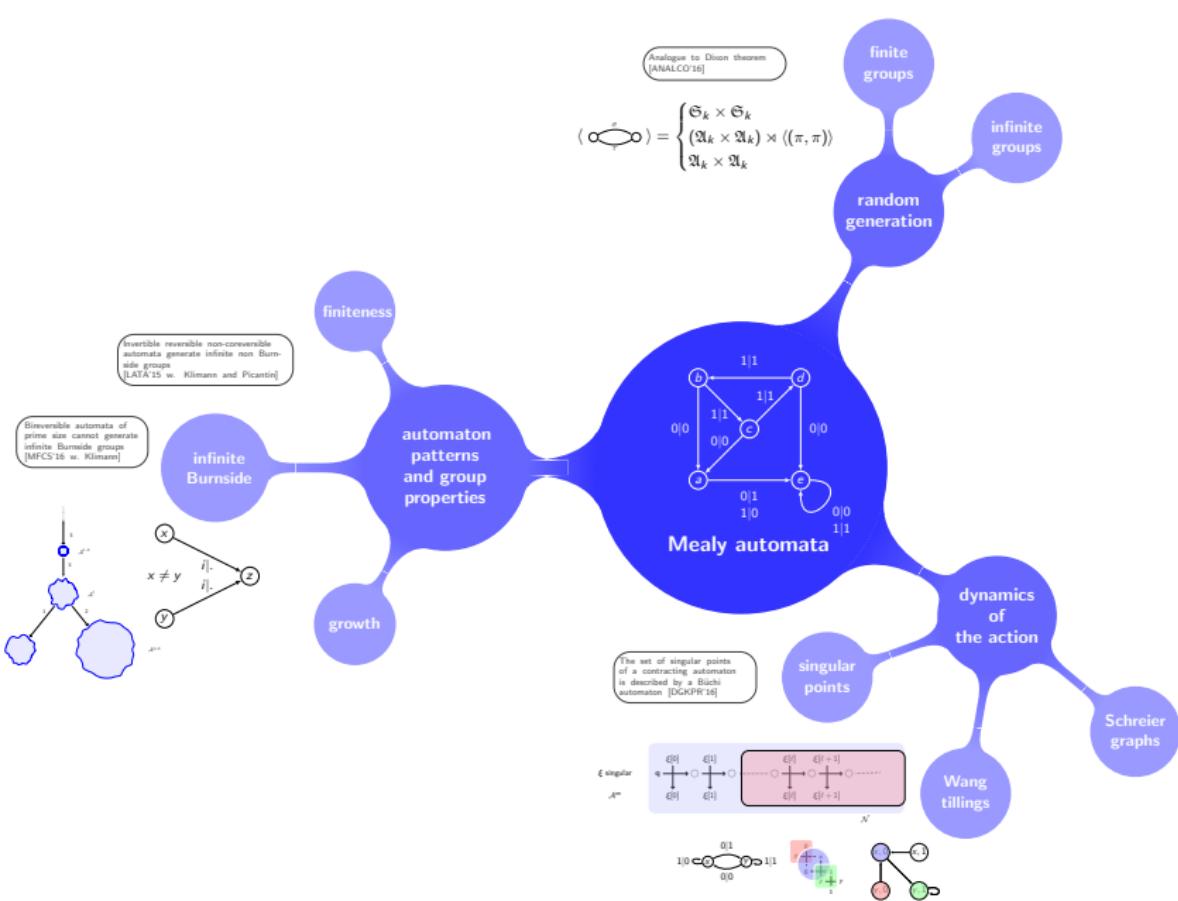


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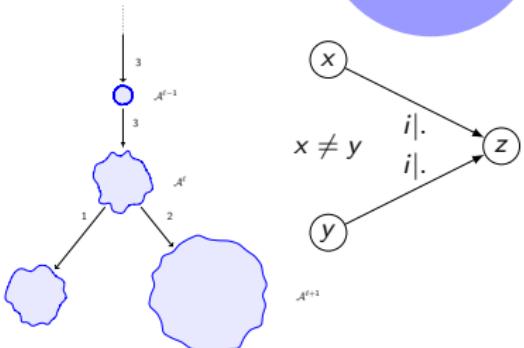
The set o  
of a contr  
is describe  
automaton

## automaton patterns and group properties

growth

finiteness

infinite  
Burnside



Invertible reversible non-coreversible  
automata generate infinite non Burn-  
side groups  
[LATA'15 w. Klimann and Picantin]

Bireversible automata of  
prime size cannot generate  
infinite Burnside groups  
[MFCS'16 w. Klimann]

# Mealy automata

1|0

0|0

1|1

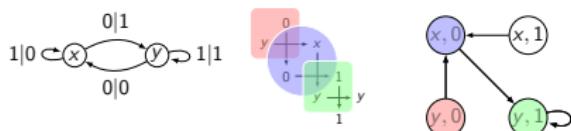
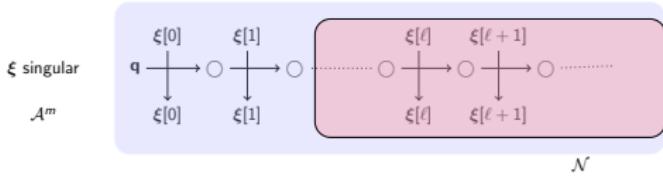
dynamics  
of  
the action

singular  
points

Schreier  
graphs

Wang  
tillings

The set of singular points  
of a contracting automaton  
is described by a Büchi  
automaton [DGKPR'16]



Analogue to Dixon theorem  
[ANALCO'16]

$$\langle \circlearrowleft_{\sigma}^{\sigma} \circlearrowright_{\tau} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$

finite  
groups

infinite  
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random  
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# Finite random groups

## Theorem

Any finite group  $G$  is a subgroup of  $\mathfrak{S}_{|G|}$ .

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Pick up some permutations  $\sigma_1, \dots, \sigma_n$  of  $\{1, \dots, k\}$ , look at  $\langle \sigma_1, \dots, \sigma_n \rangle$ .

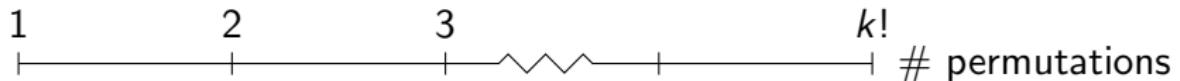
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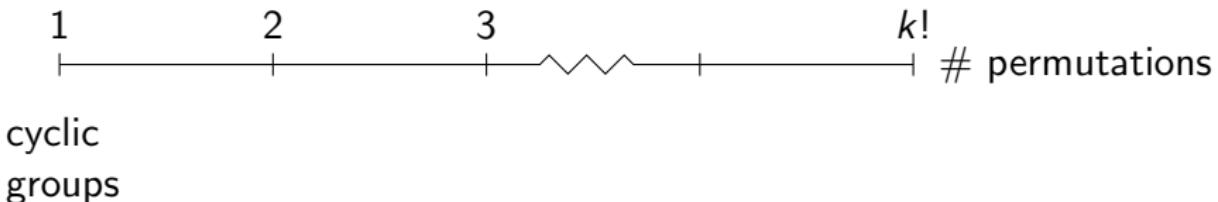
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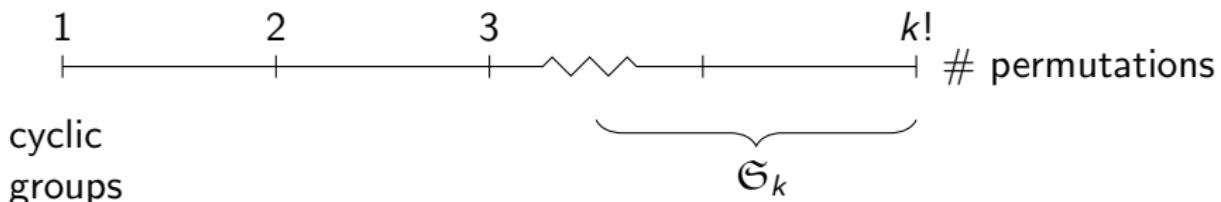
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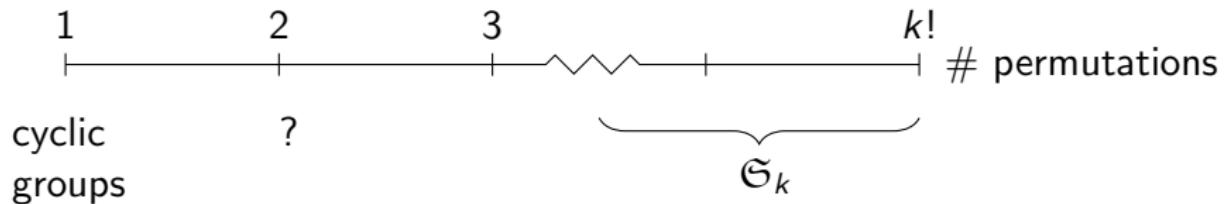
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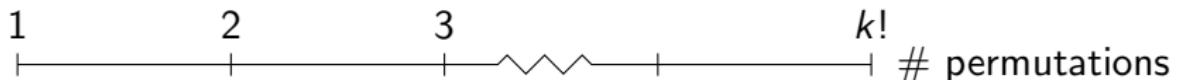
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# Finite random groups

Theorem (Dixon, 1969)

$$\text{w.g.p. } \langle \sigma, \tau \rangle = \begin{cases} \mathfrak{S}_k \\ \mathfrak{A}_k \end{cases}$$

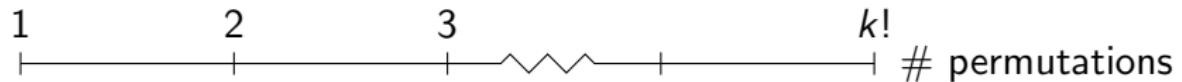
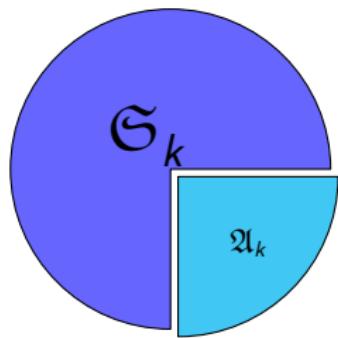


cyclic  
groups

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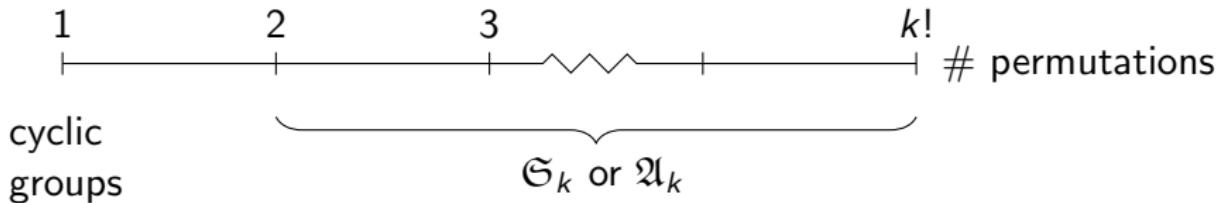
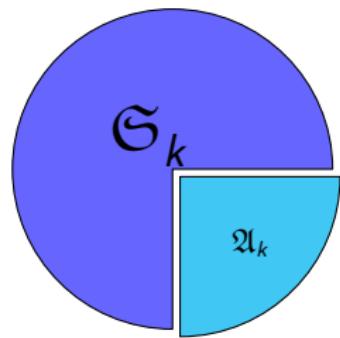


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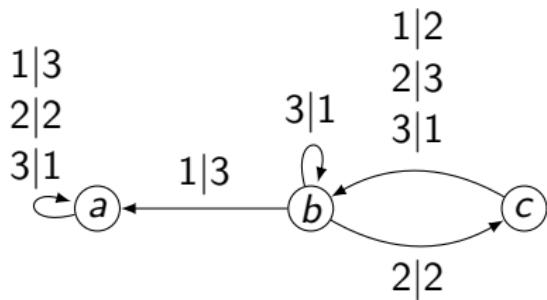
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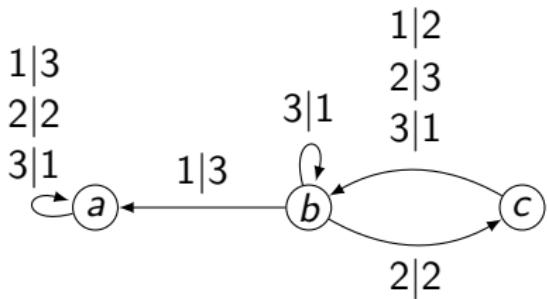


## Random automata



Is the generated group finite?

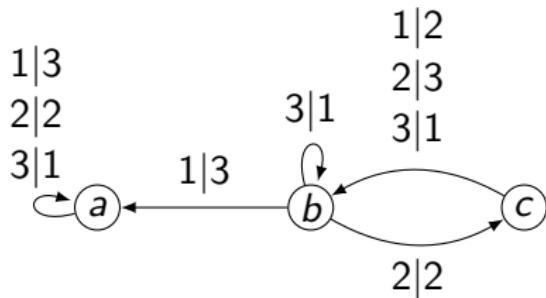
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## Random automata



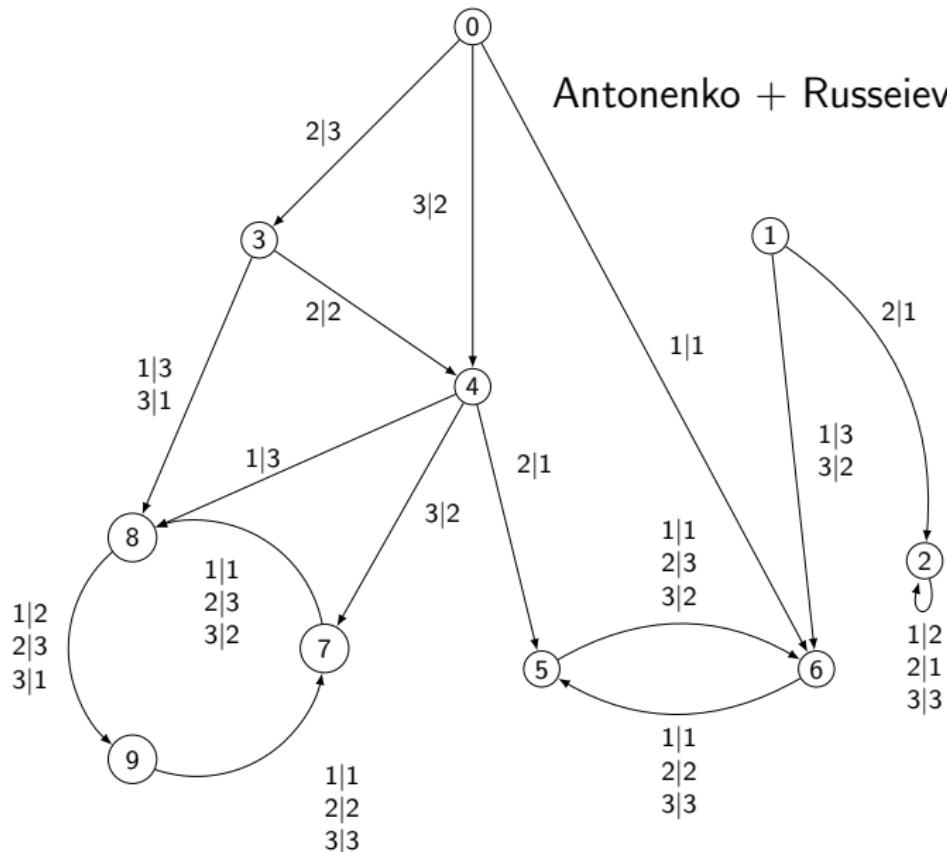
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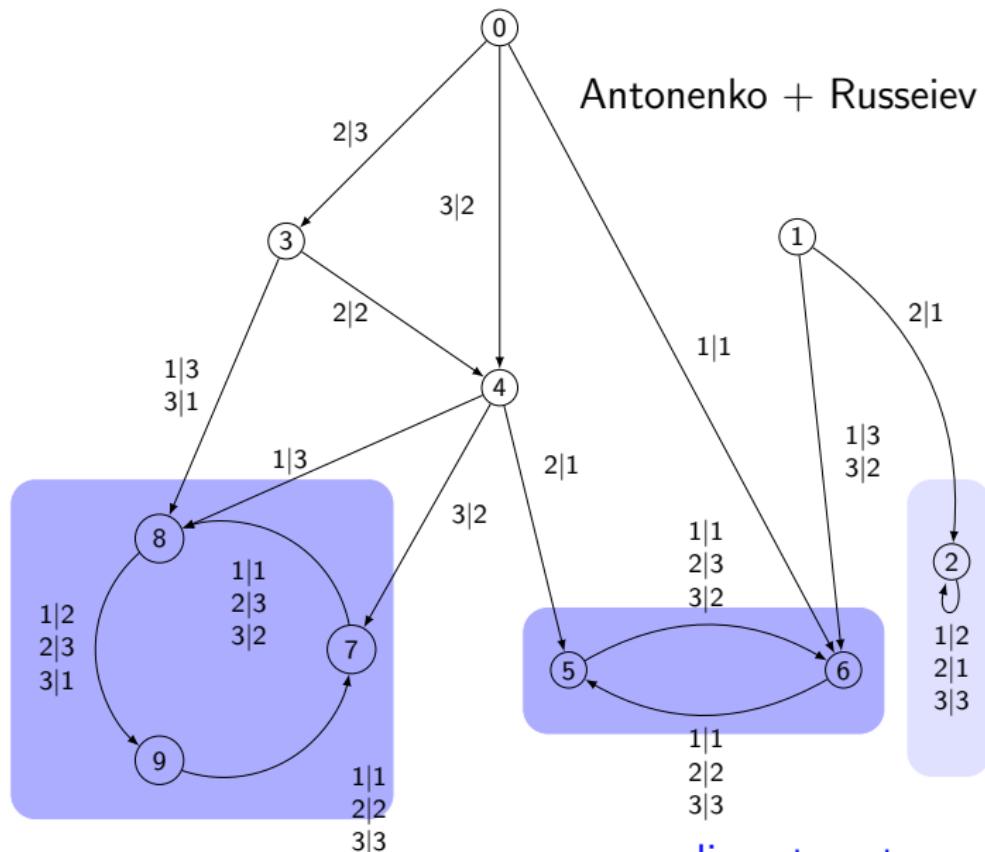
Difficult problem + inefficient rejection sampling.

# Random automata

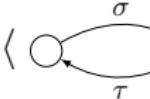
Antonenko + Russeiev



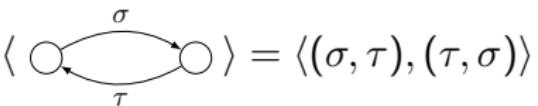
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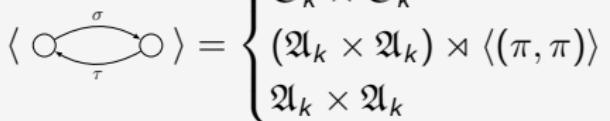
## Random 2-state cyclic automata

$$\langle \text{Diagram} \rangle = \langle (\sigma, \tau), (\tau, \sigma) \rangle$$


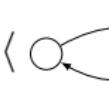
## Random 2-state cyclic automata

$$\langle \text{Diagram} \rangle = \langle (\sigma, \tau), (\tau, \sigma) \rangle$$
A diagram showing two states represented by circles. A self-loop arrow on the left state is labeled  $\sigma$ , and a self-loop arrow on the right state is labeled  $\tau$ .

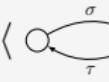
### Contribution

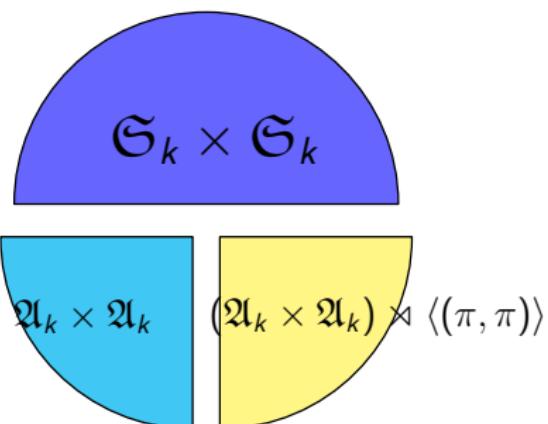
$$\langle \text{Diagram} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$
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## Random 2-state cyclic automata

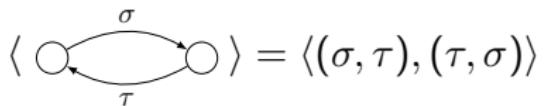
$$\langle \text{Diagram} \rangle = \langle (\sigma, \tau), (\tau, \sigma) \rangle$$


### Contribution

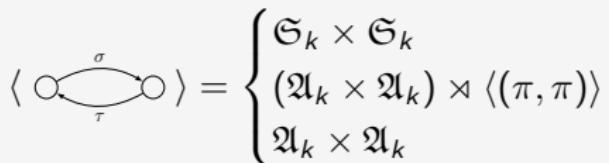
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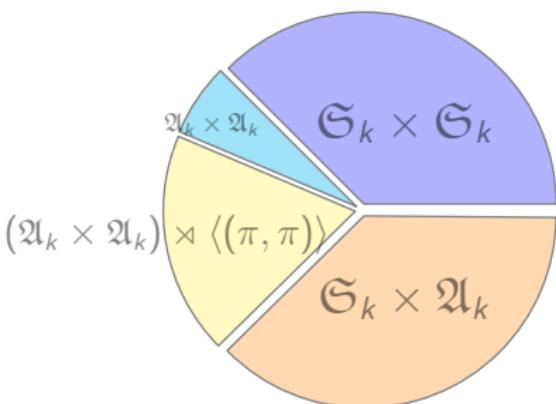
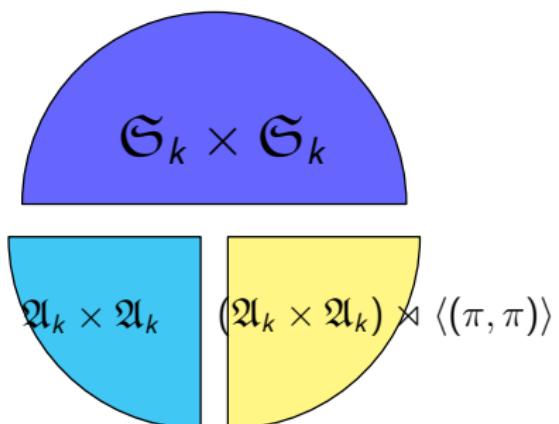


# Random 2-state cyclic automata

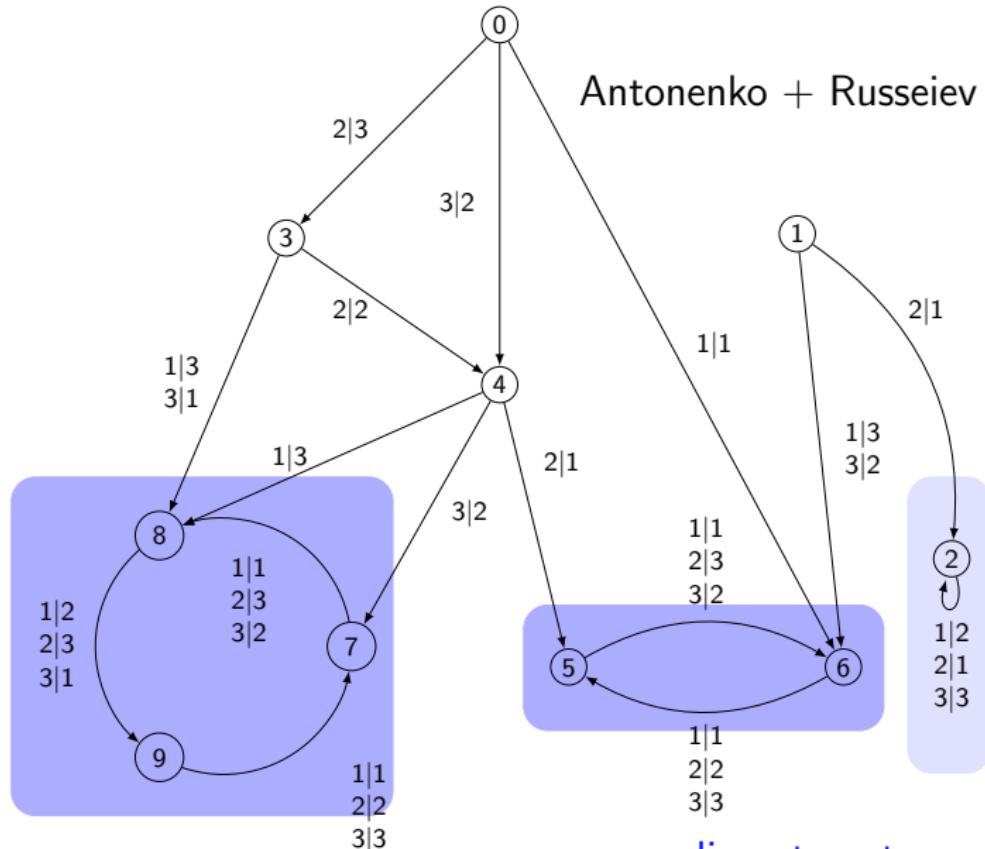
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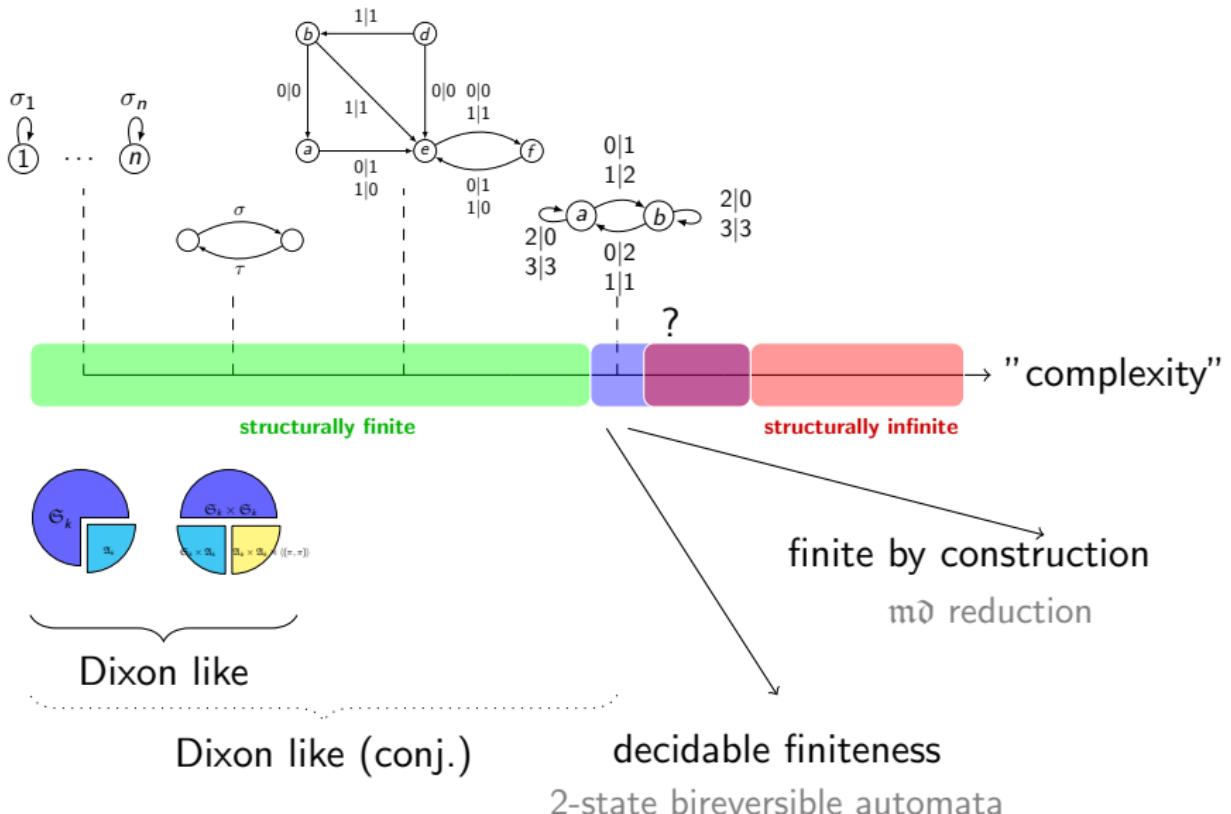
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# Random automata





# Mealy automata

1|0

0|0

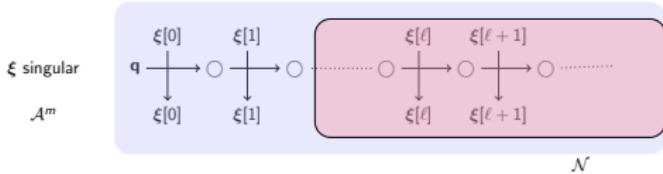
1|1

dynamics  
of  
the action

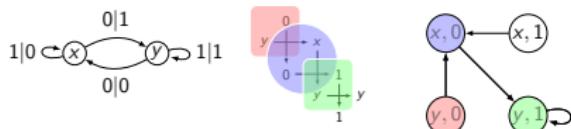
singular  
points

Schreier  
graphs

The set of singular points  
of a contracting automaton  
is described by a Büchi  
automaton [DGKPR'16]



Wang  
tillings

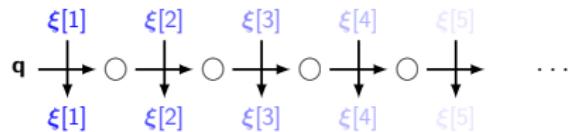


## Stabilisers and singular points

The stabilisers of an infinite point  $\xi$  is  $\text{Stab}_{\langle \mathcal{A} \rangle}(\xi) = \{g \in \langle \mathcal{A} \rangle \mid g(\xi) = \xi\}$

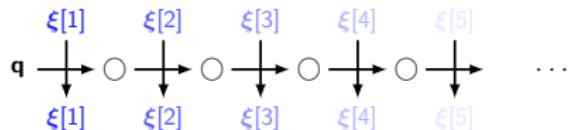
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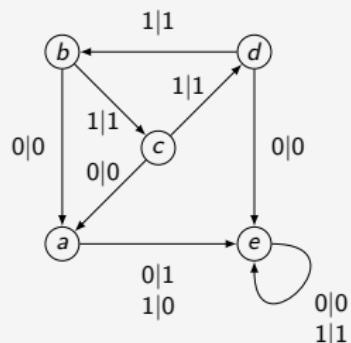


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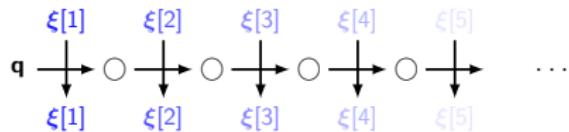
## Example



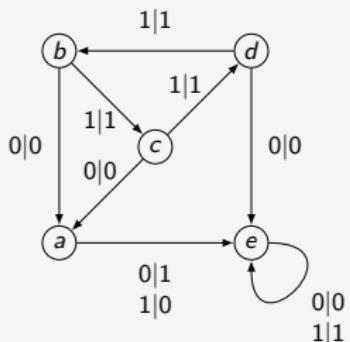
$\rho_e, \rho_b, \rho_c, \rho_d \in \text{Stab}_{\langle \mathcal{G} \rangle}(1^\omega)$   
studied by Y. Vorobets

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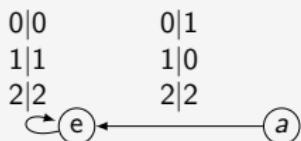


## Example



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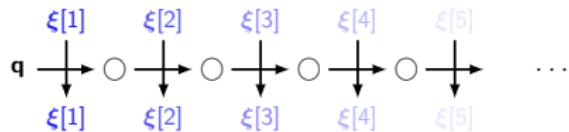
## Interesting elements



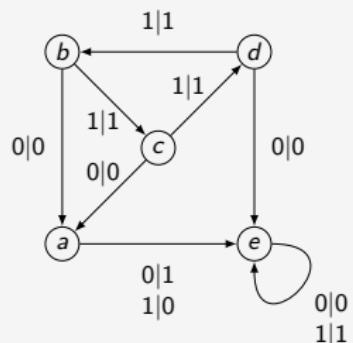
$2^\omega$  is stabilised by  $\rho_a$

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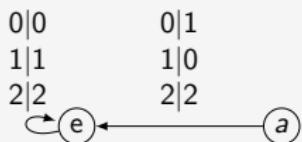


## Example



$\rho_e, \rho_b, \rho_c, \rho_d \in \text{Stab}_{\langle \mathcal{G} \rangle}(1^\omega)$   
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## Interesting elements



$2^\omega$  is stabilised by  $\rho_a$

## Singular points

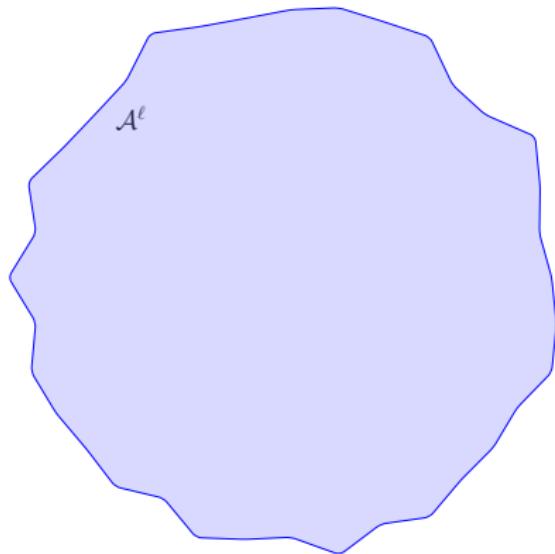
$\xi$  singular if  $\exists g$  stabilizing  $\xi$  and avoiding ending in  $e$

## Contracting automata

$\mathcal{A}$  contracting  $\iff \exists$  finite  $\mathcal{N}$ ,  $\forall \mathbf{q}, \forall \xi, \exists n, \delta_{\xi[:n]}(\mathbf{q}) \in \mathcal{N}$

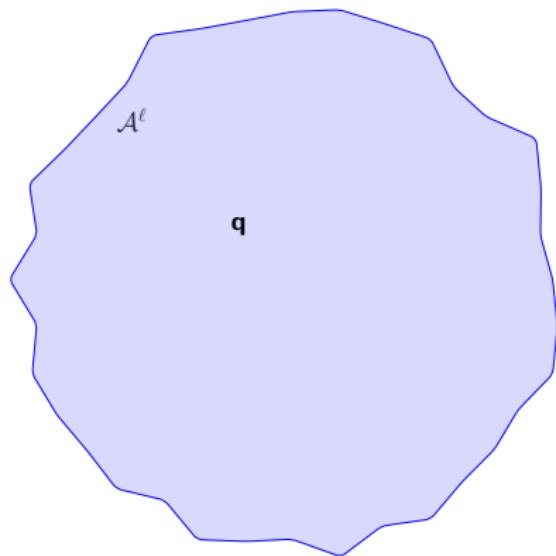
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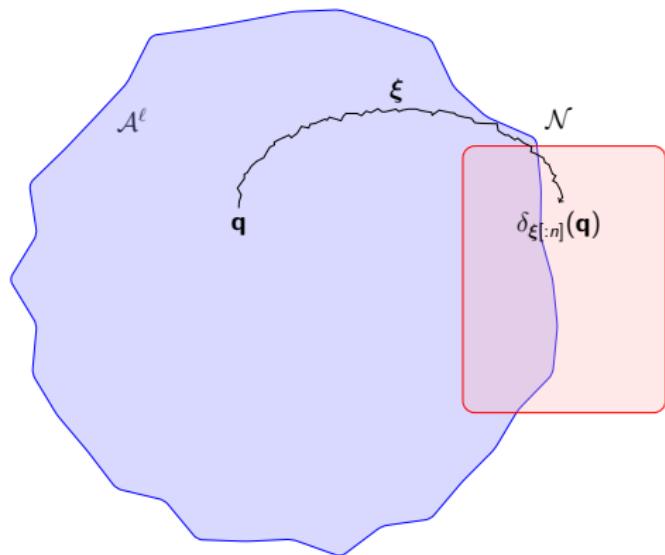
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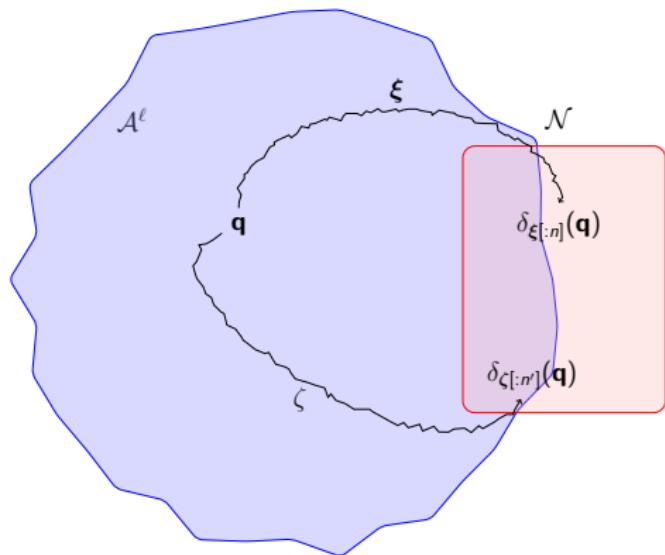
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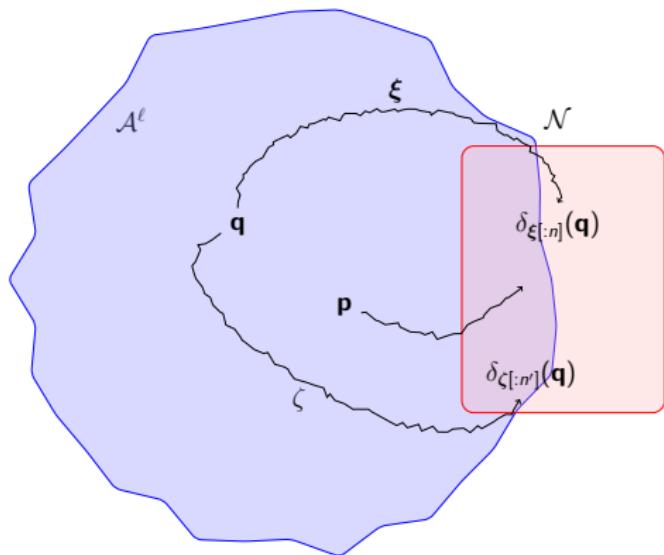
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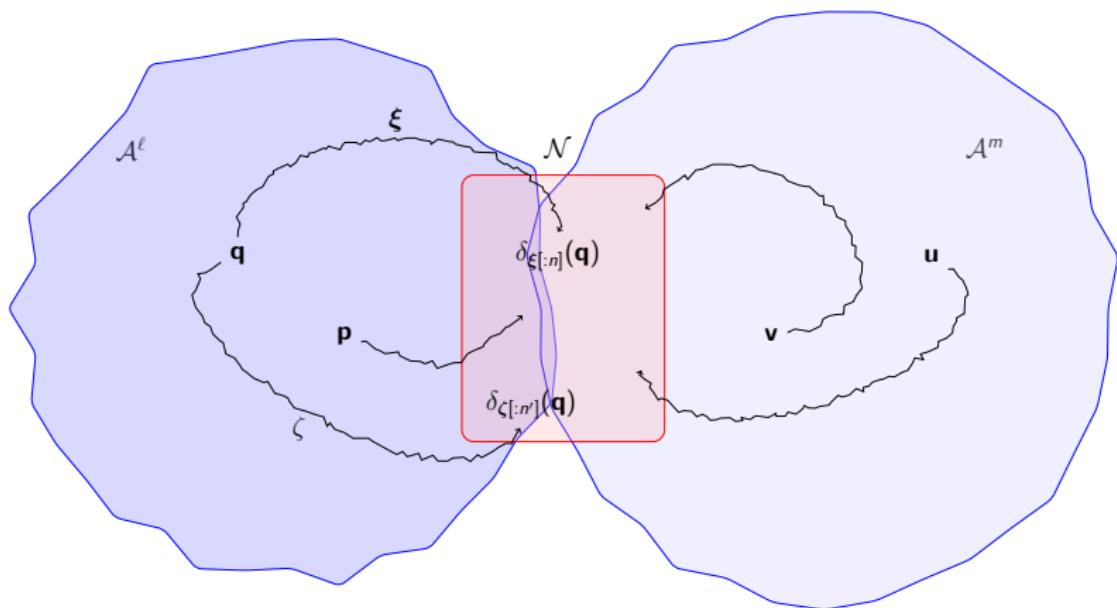
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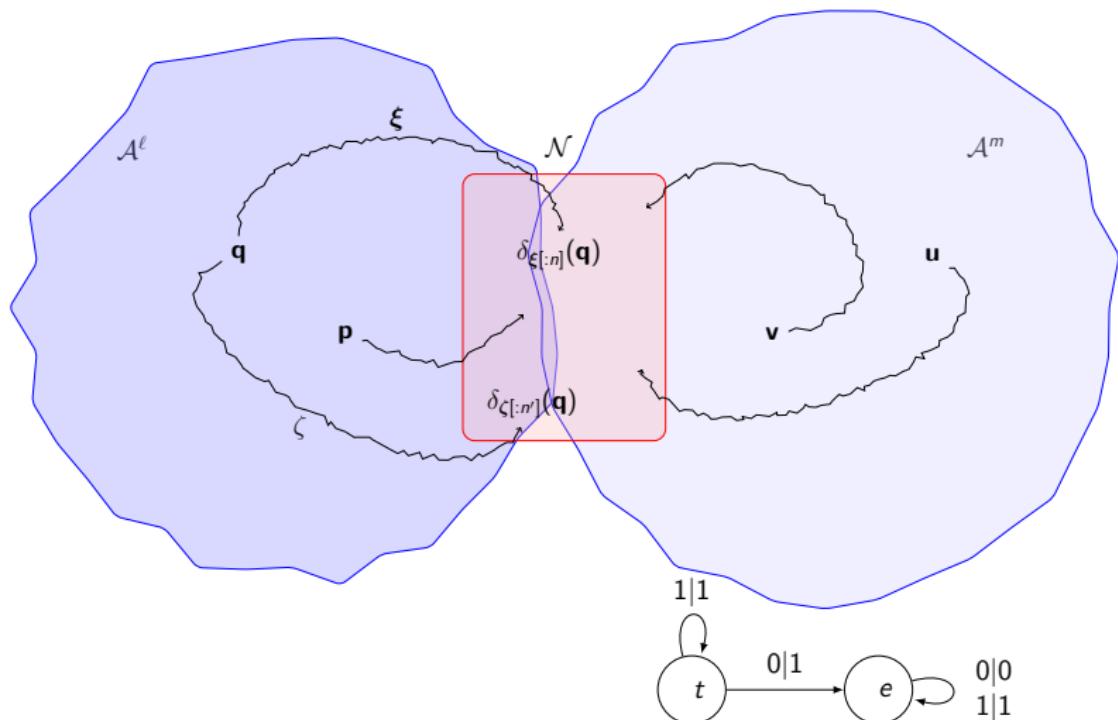
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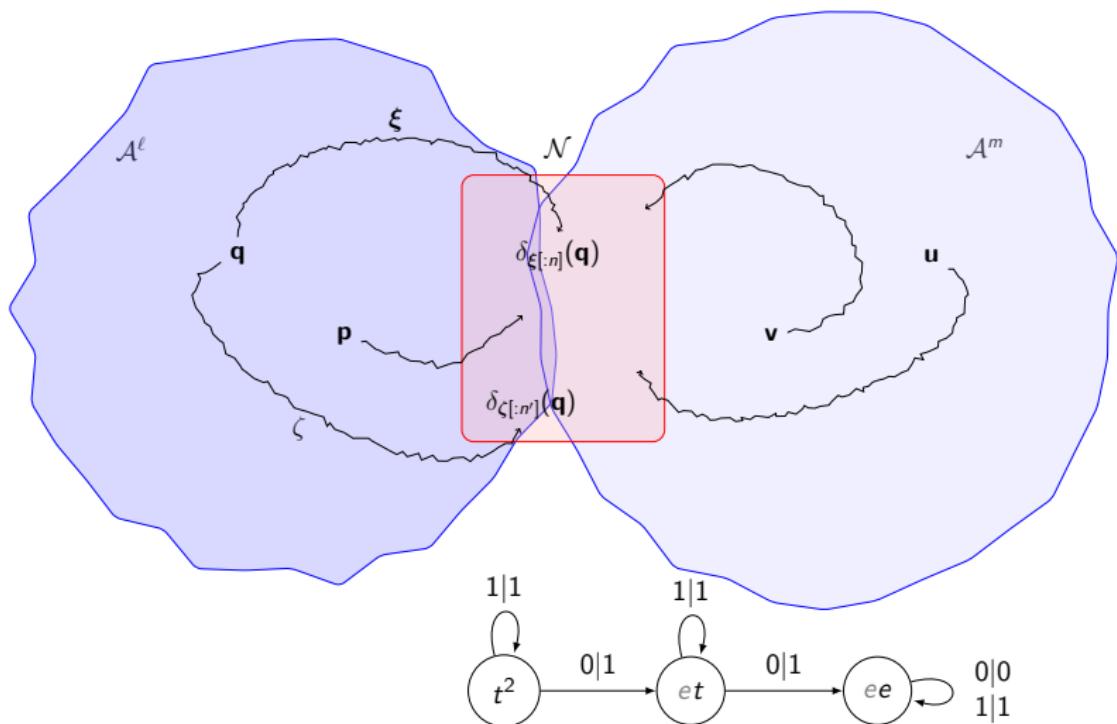
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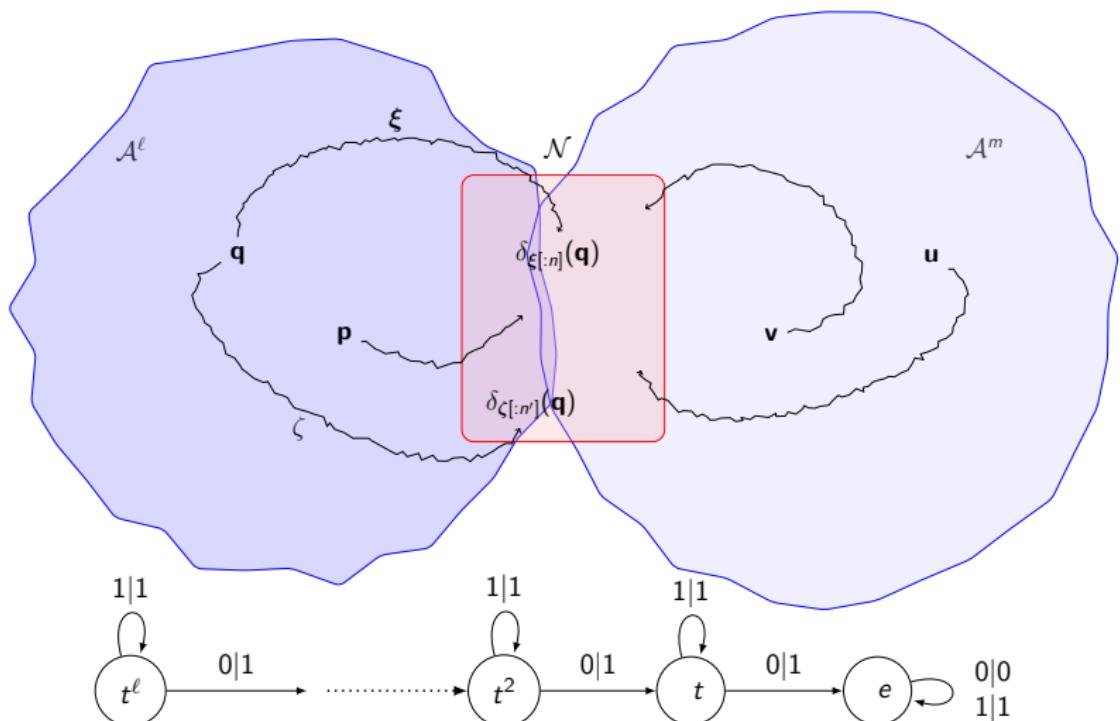
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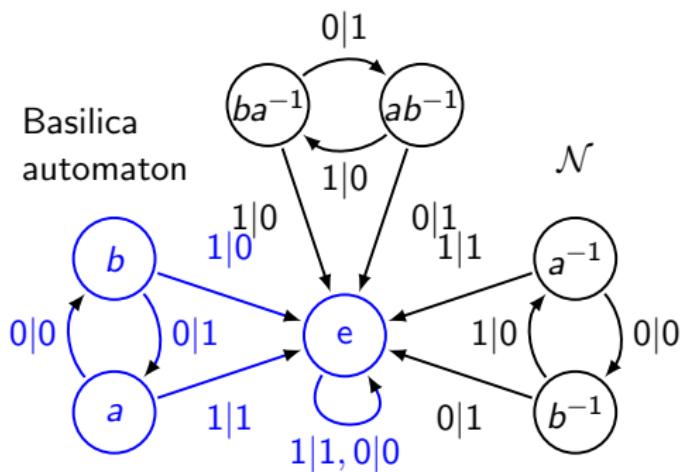


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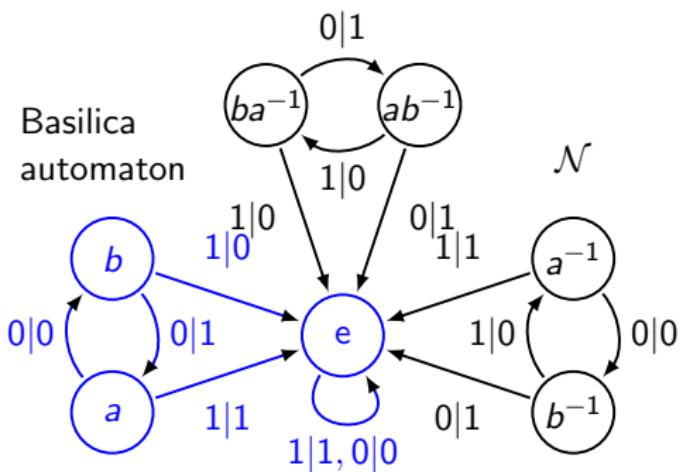


# Contracting automata and singular points

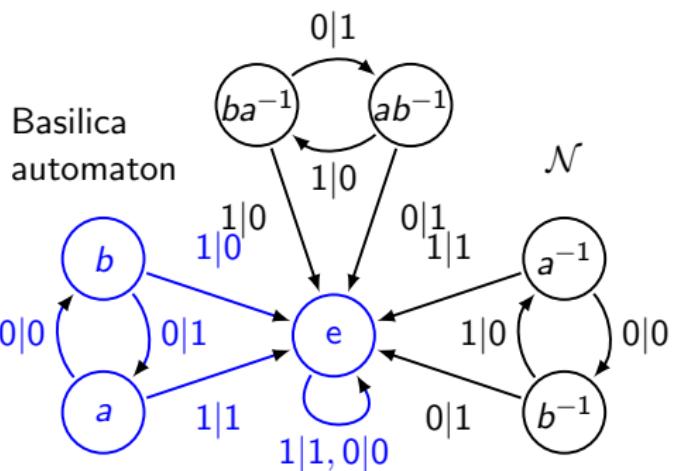
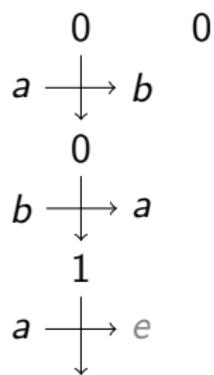


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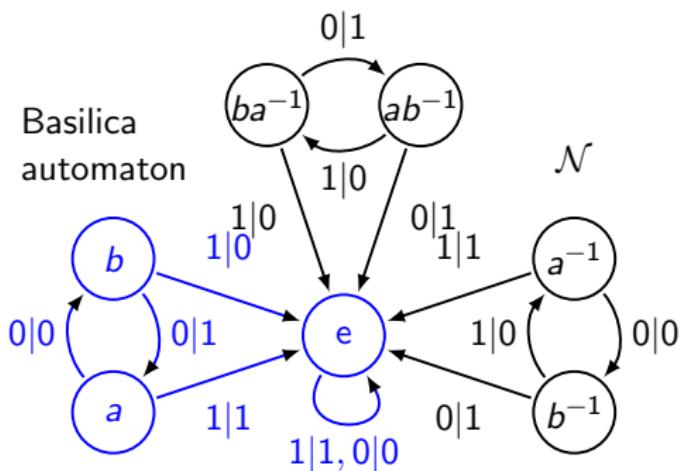
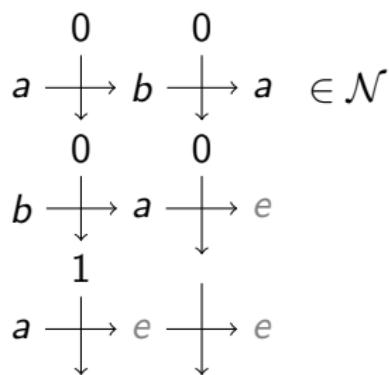
0      0  
 $a$   
 $b$   
 $a$



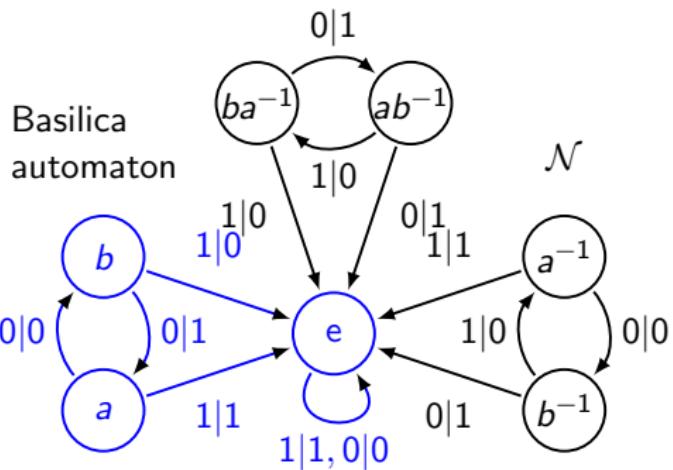
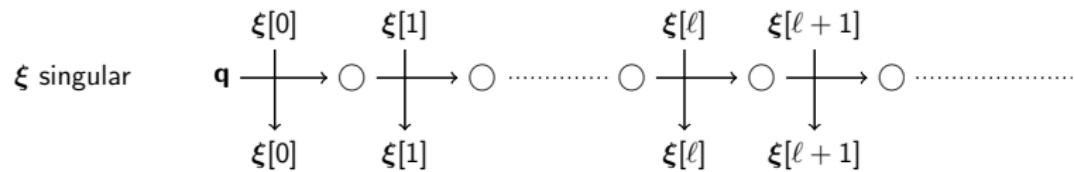
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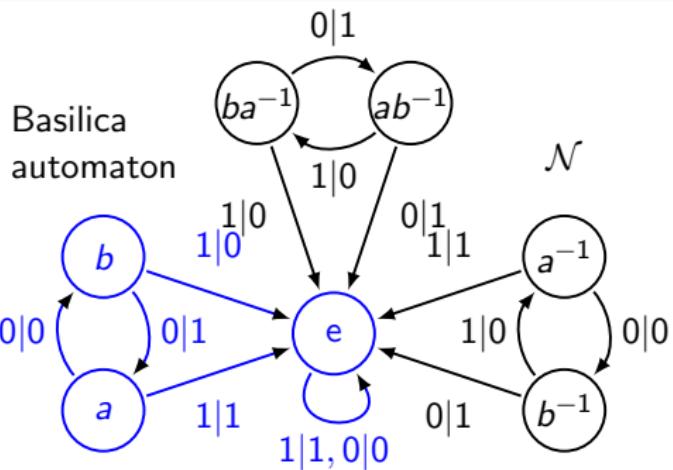
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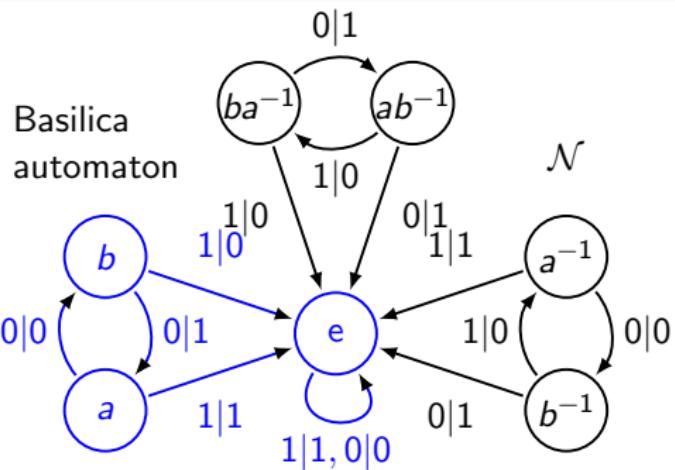
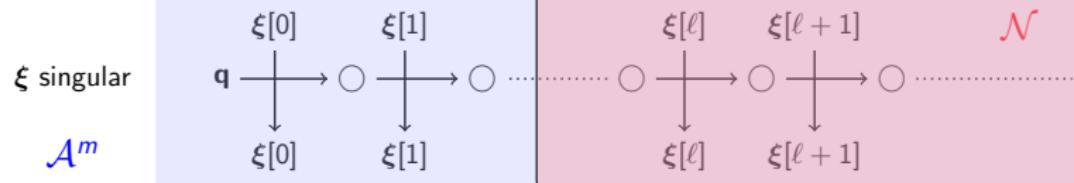
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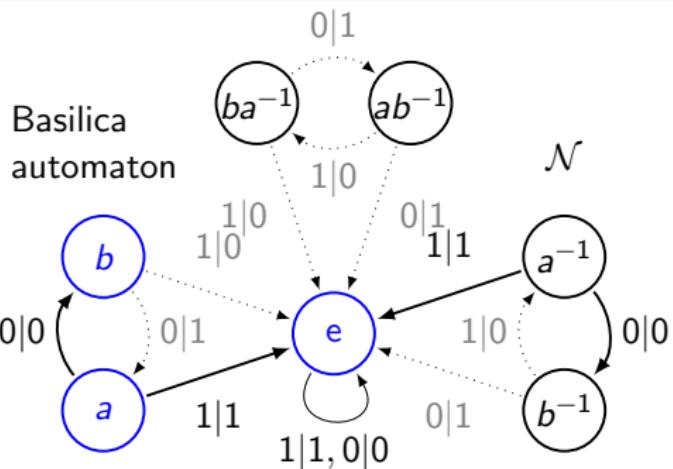
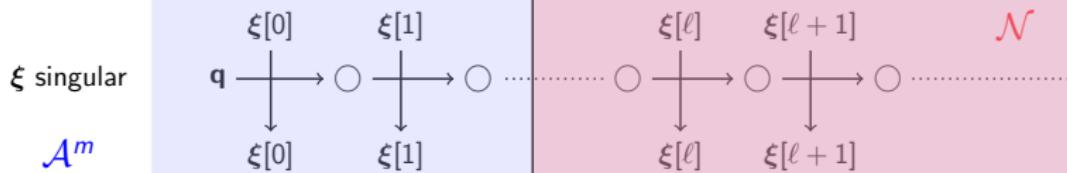
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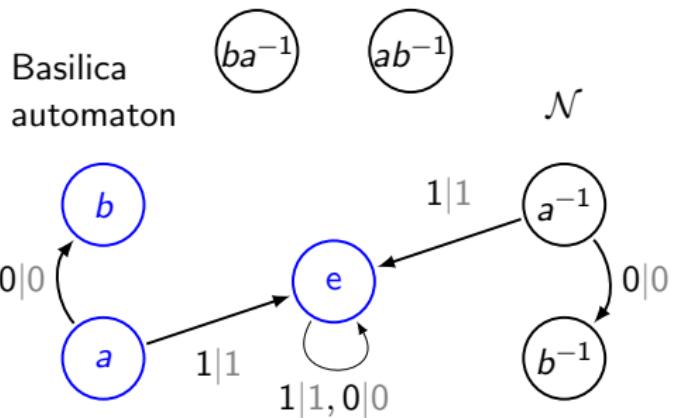
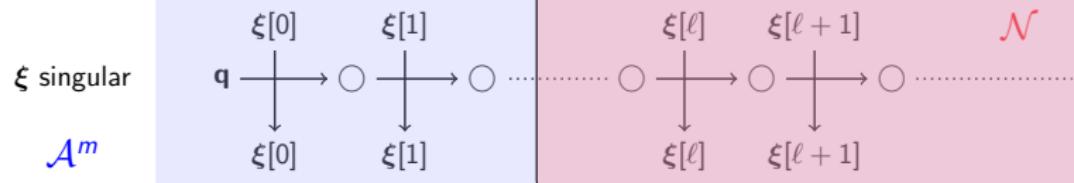
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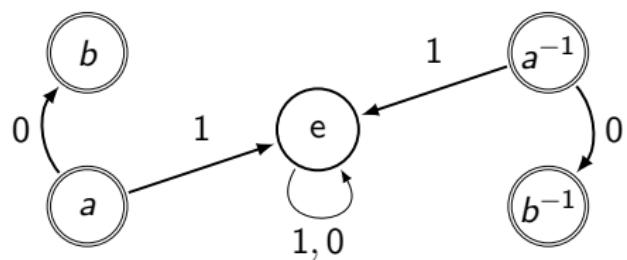
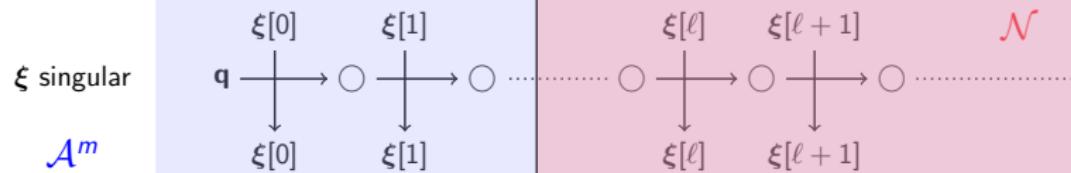
# Contracting automata and singular points



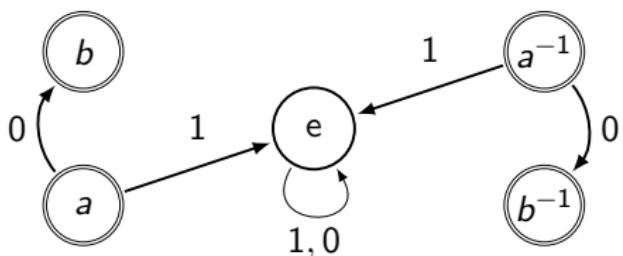
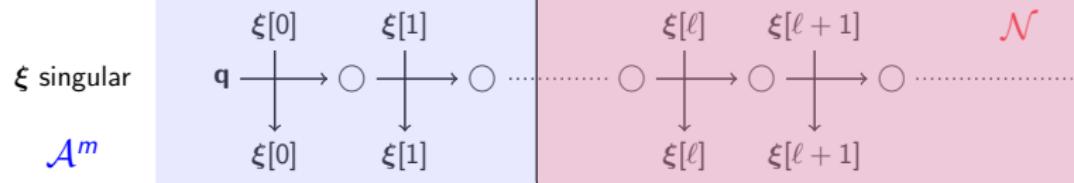
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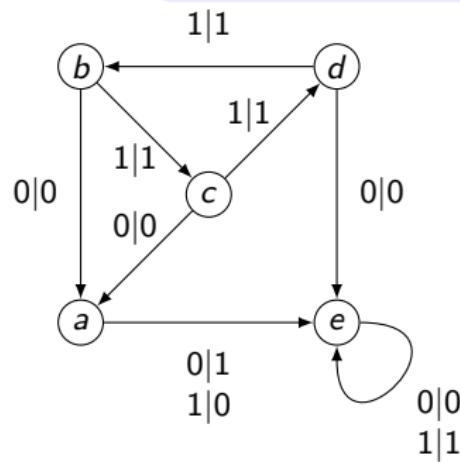
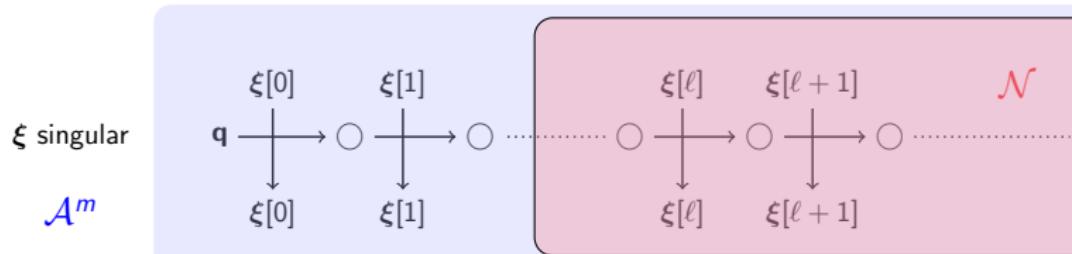
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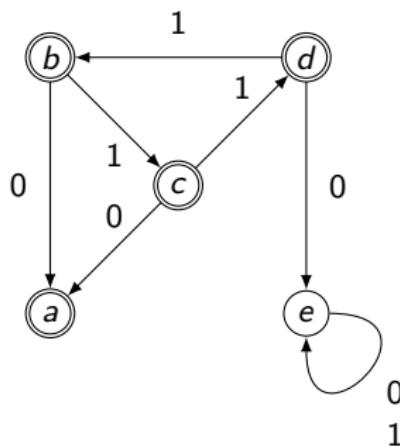
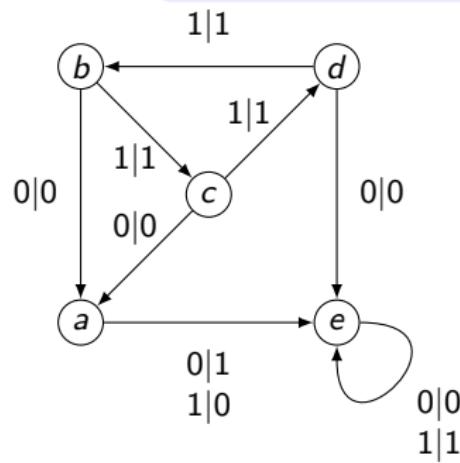
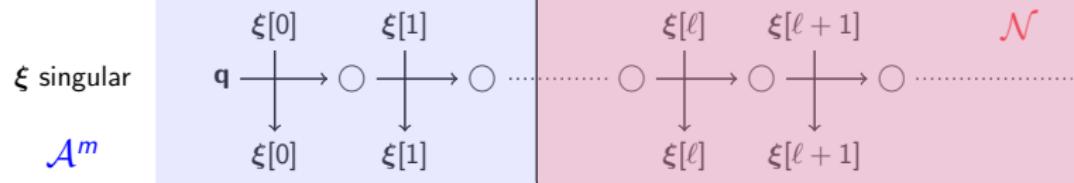
Contribution

$$\text{Sing}(\mathcal{B}) = \emptyset.$$

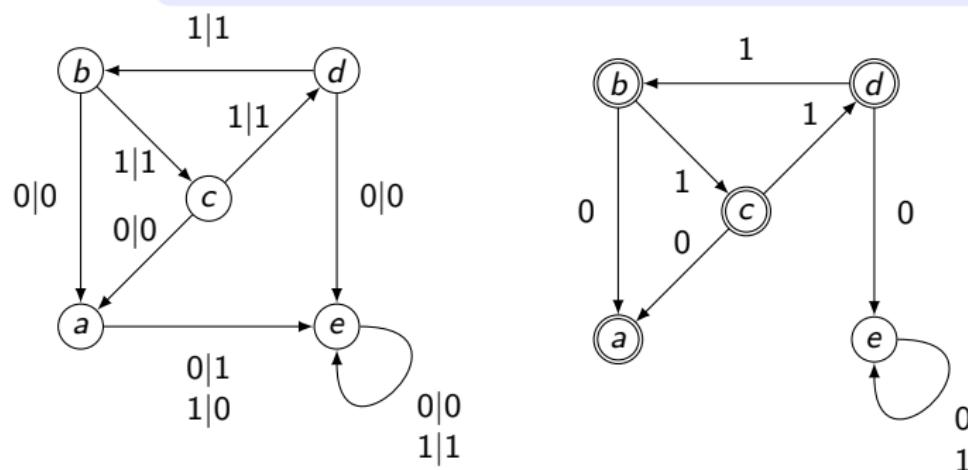
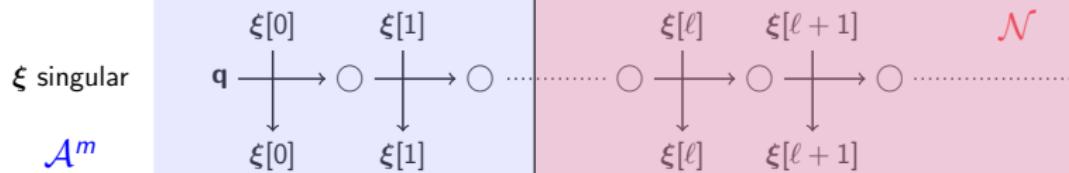
# Contracting automata and singular points



# Contracting automata and singular points



# Contracting automata and singular points



Proposition [Vorobets, DGKPR]

$$\text{Sing}(\mathcal{G}) = (0 + 1)^* 1^\omega.$$

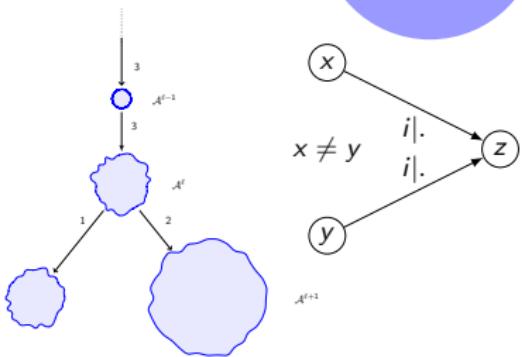
The set o  
of a contr  
is describe  
automaton

## automaton patterns and group properties

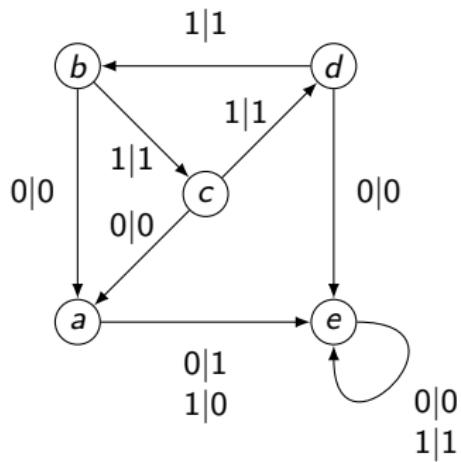
growth

finiteness

infinite  
Burnside

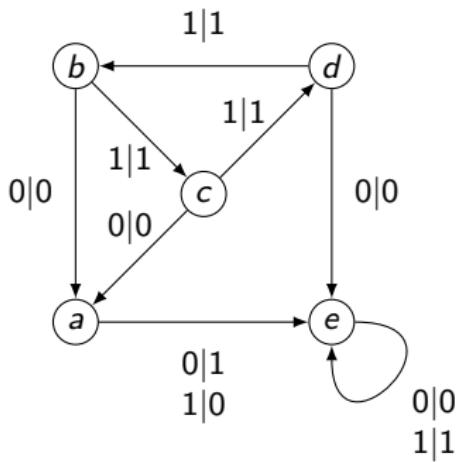


# About the Grigorchuk automaton



# About the Grigorchuk automaton

Actions of the states on the letters:



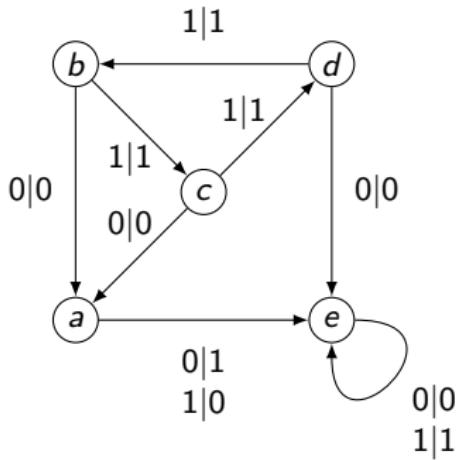
$$\rho_a : 0 \mapsto 1 \mapsto 0$$

$$\rho_b, \rho_c, \rho_d, \rho_e : 0 \mapsto 0; \quad 1 \mapsto 1$$

→permutations

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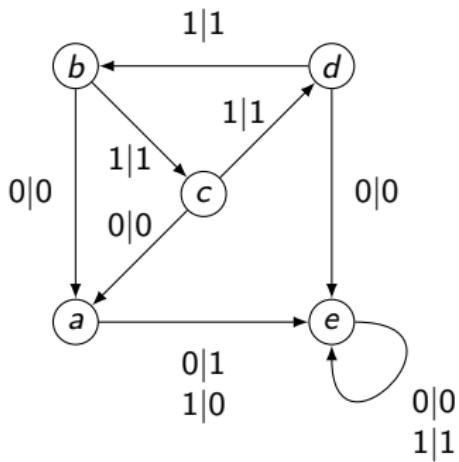
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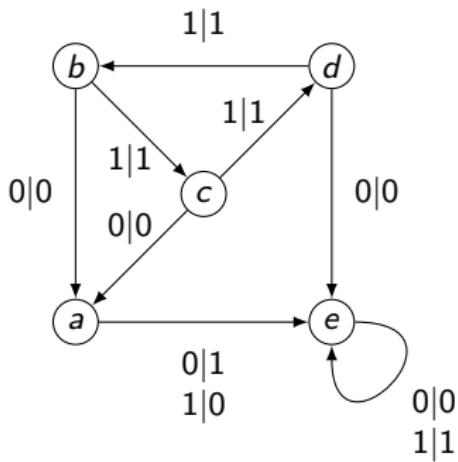
→invertible

Action of a letter on the states:

$$\delta_0 : a, d, e \mapsto e; \quad b, c \mapsto a$$

→not a permutation

# About the Grigorchuk automaton



Actions of the states on the letters:

$$\rho_a : 0 \mapsto 1 \mapsto 0$$

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→**permutations**

→**invertible**

Action of a letter on the states:

$$\delta_0 : a, d, e \mapsto e; \quad b, c \mapsto a$$

→**not a permutation**

→**non-reversible**

# About the Grigorchuk automaton

Actions of the states on the letters:

## Reversibility:

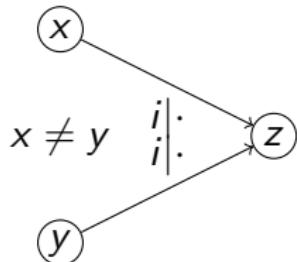
Each input letter permutes the stateset.

$$\rho_a : 0 \mapsto 1 \mapsto 0$$

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→ permutations

→ invertible



Action of a letter on the states:

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# About the Grigorchuk automaton

Actions of the states on the letters:

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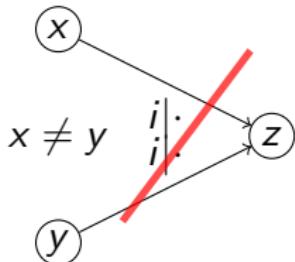
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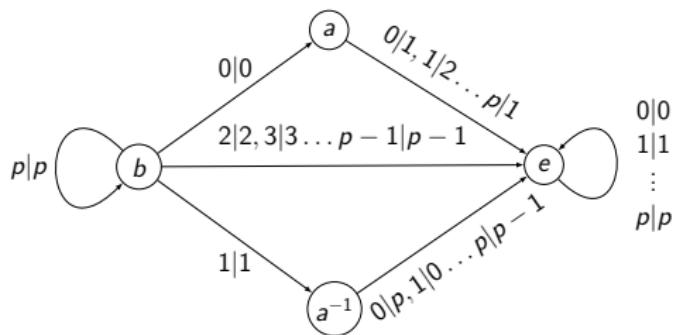
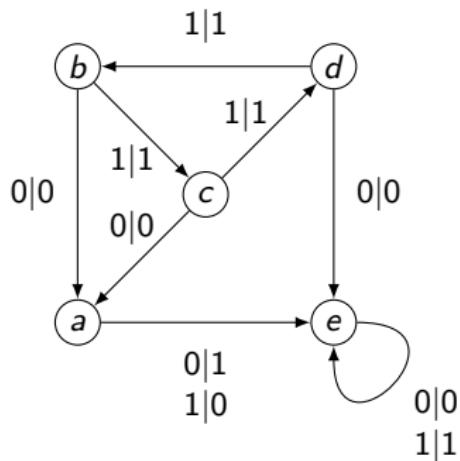
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→ not a permutation

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## Observation

Every known automaton generating an infinite Burnside group happens to be non-reversible.



## Question

Can a reversible automaton generate an infinite Burnside group?

## Theorem(s)

An invertible and reversible automata which is:

cannot generate an infinite Burnside group.

## Theorem(s)

An invertible and reversible automata which is:

2-state

[Klimann]

STACS'13

cannot generate an infinite Burnside group.

## Theorem(s)

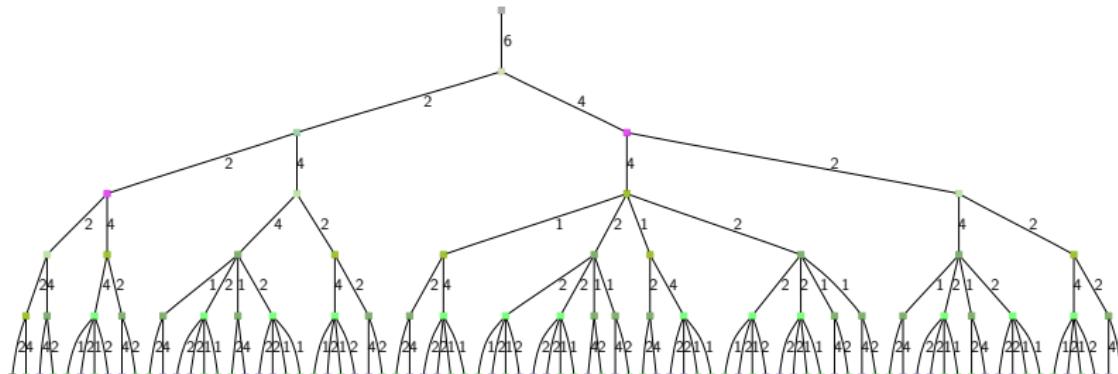
An invertible and reversible automata which is:

**2-state**    **connected** **3-state**

[Klimann]    [Klimann, Picantin, and Savchuk]

STACS'13    DLT'15

cannot generate an infinite Burnside group.



## Theorem(s)

An invertible and reversible automata which is:

**2-state**

**connected 3-state**

**non coreversible**

[Klimann]

[Klimann, Picantin, and Savchuk]

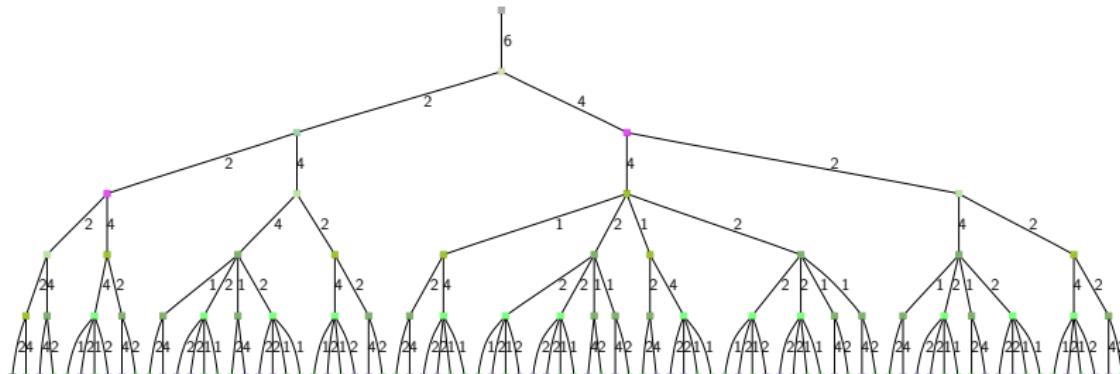
[G., Klimann, and Picantin]

STACS'13

DLT'15

LATA'15

cannot generate an infinite Burnside group.



## Theorem(s)

An invertible and reversible automata which is:

**2-state**

**connected 3-state**

**non coreversible**

**connected with prime size**

[Klimann]

[Klimann, Picantin, and Savchuk]

[G., Klimann, and Picantin]

[G. and Klimann]

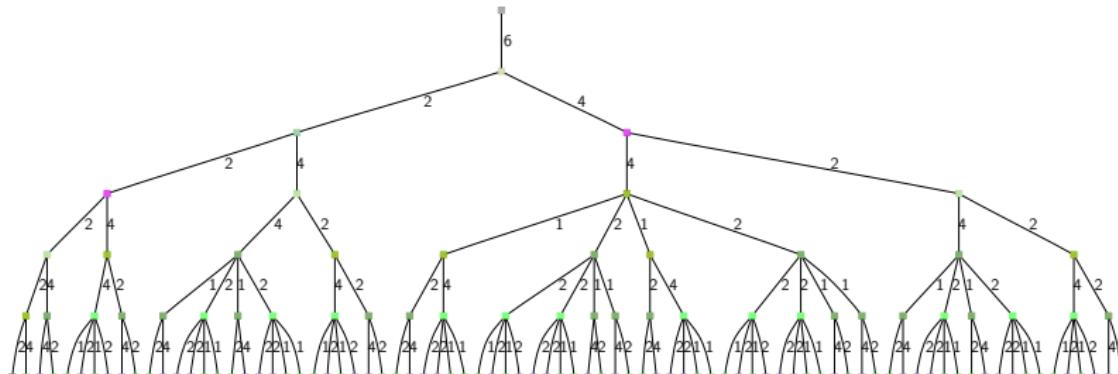
STACS'13

DLT'15

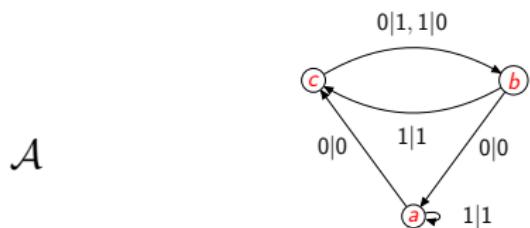
LATA'15

MFCS'16

cannot generate an infinite Burnside group.

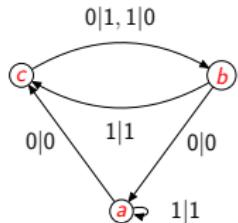


# Schreier tree

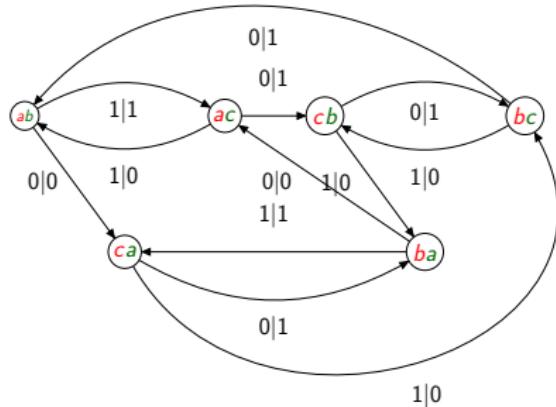
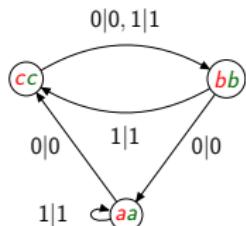


# Schreier tree

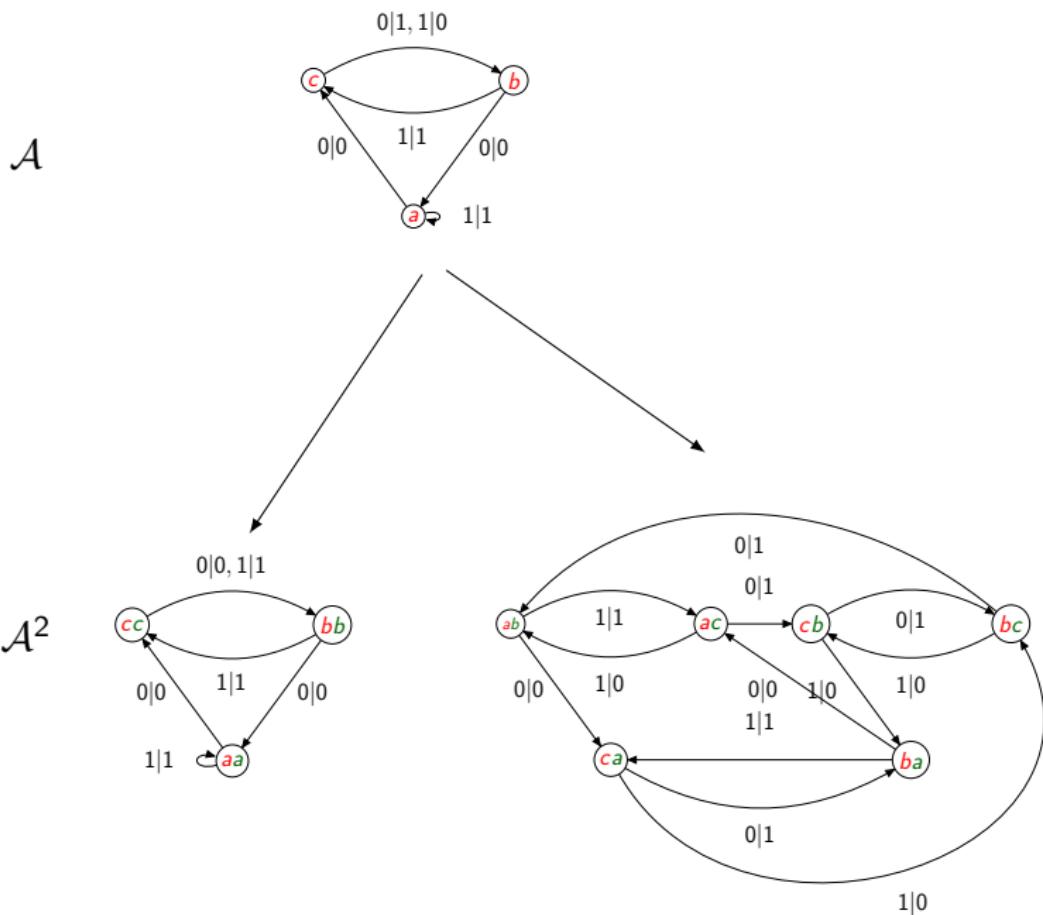
$\mathcal{A}$



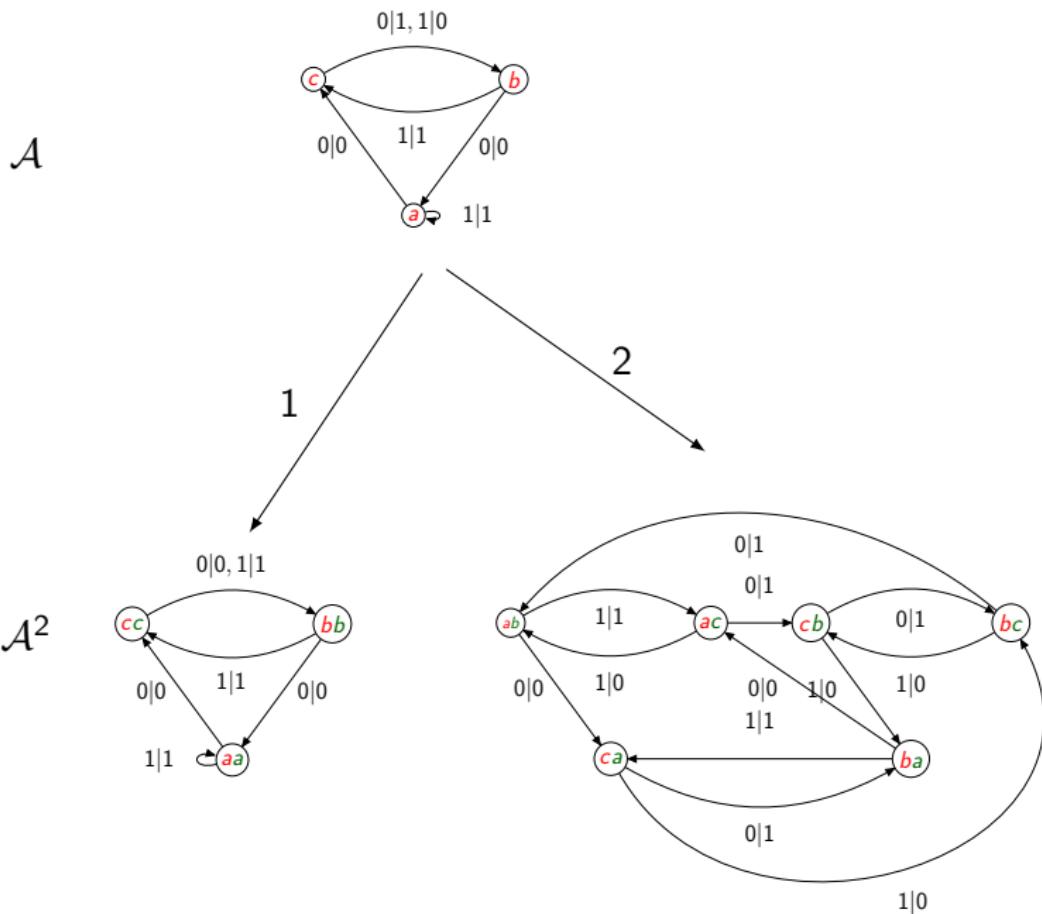
$\mathcal{A}^2$



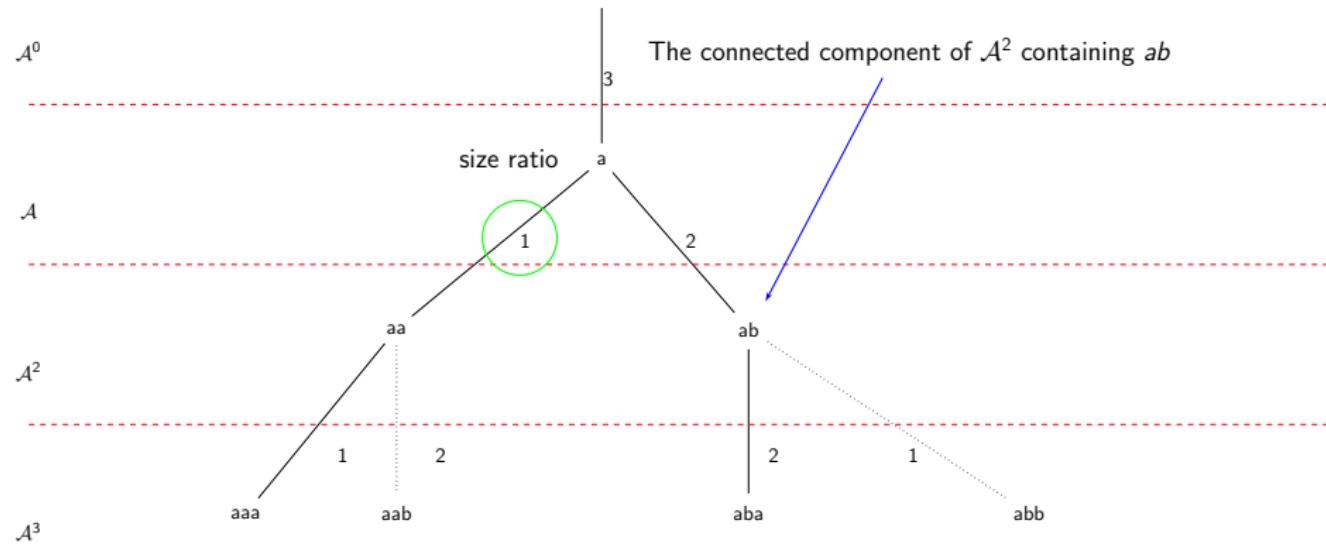
## Schreier tree



# Schreier tree



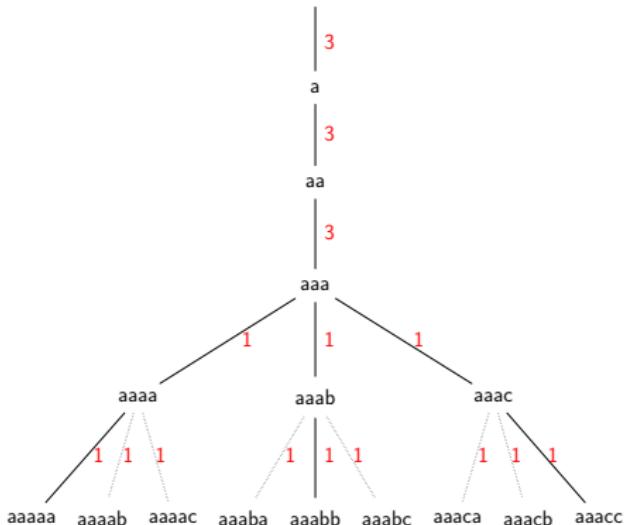
# Schreier tree



# Boundedness

## Proposition

$\langle \mathcal{A} \rangle$  is finite iff the labels of the cc of  $(\mathcal{A}^n)_n$  are ultimately 1.



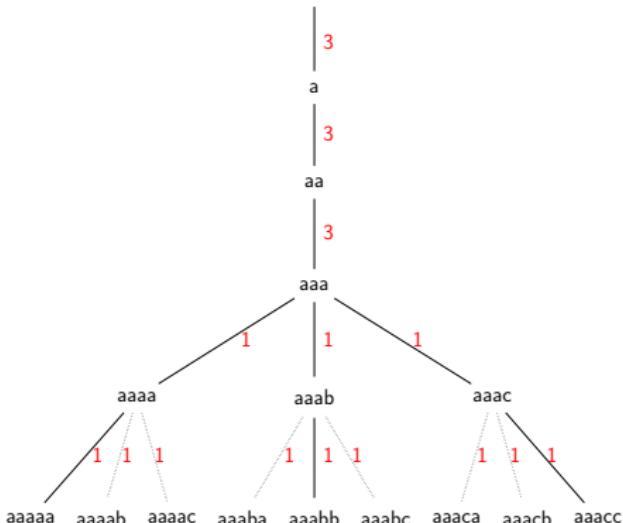
# Boundedness

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## Proposition

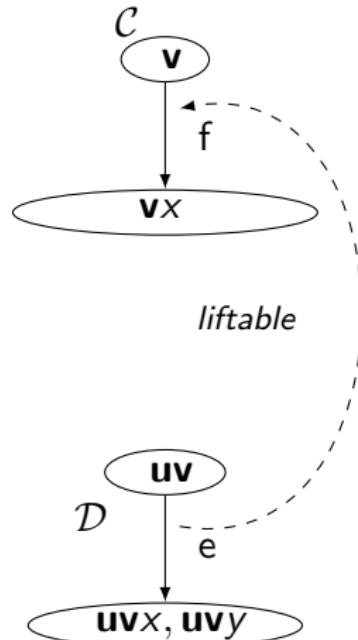
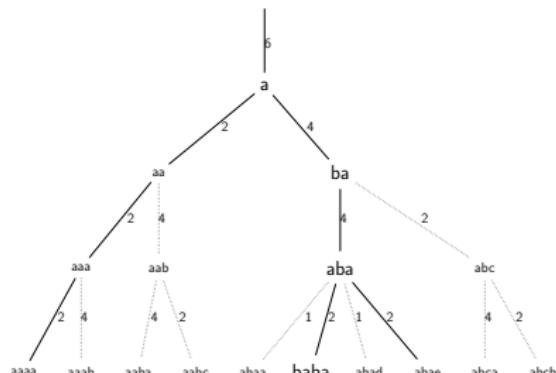
$\rho_q$  has finite order iff the labels of the cc containing  $q^n$  are ultimately 1.



# Liftable

## Proposition

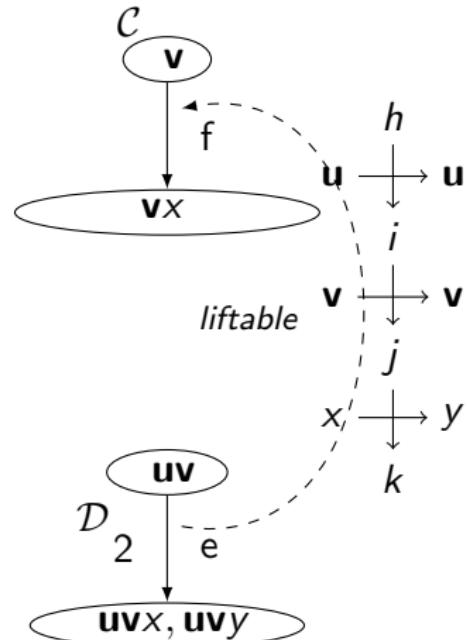
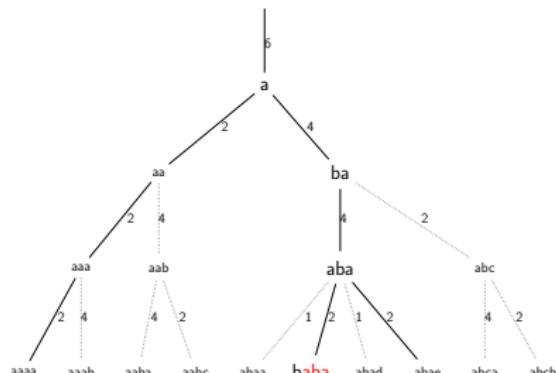
$e$  liftable to  $f \Rightarrow \text{label}(e) \leq \text{label}(f)$ .



# Liftable

## Proposition

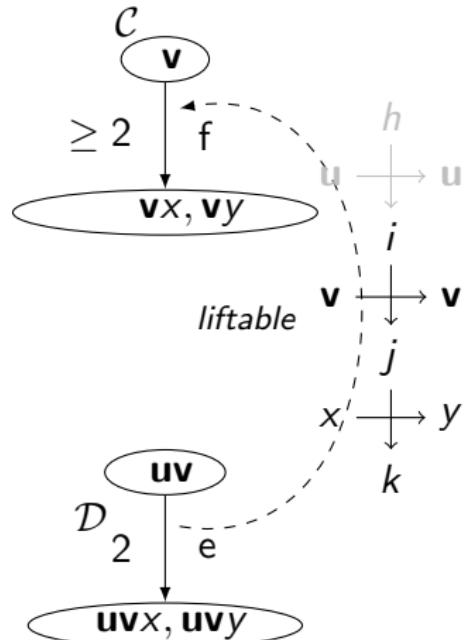
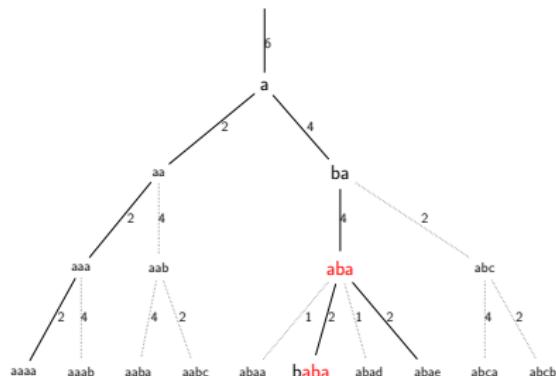
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# Liftable

## Proposition

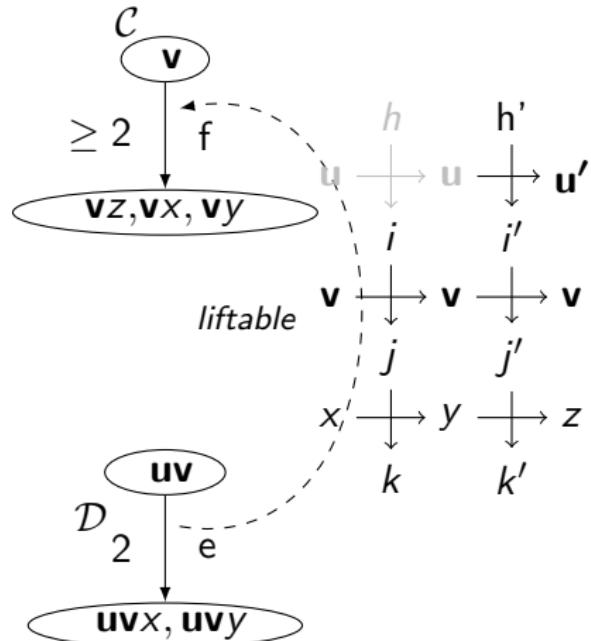
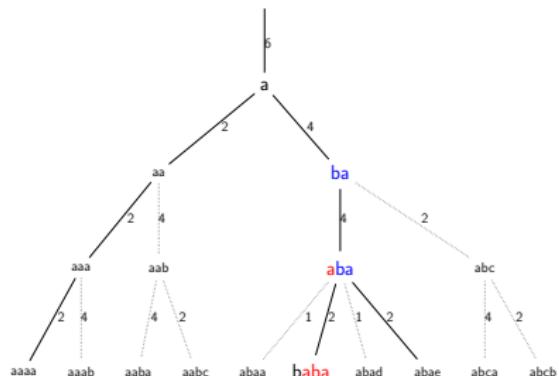
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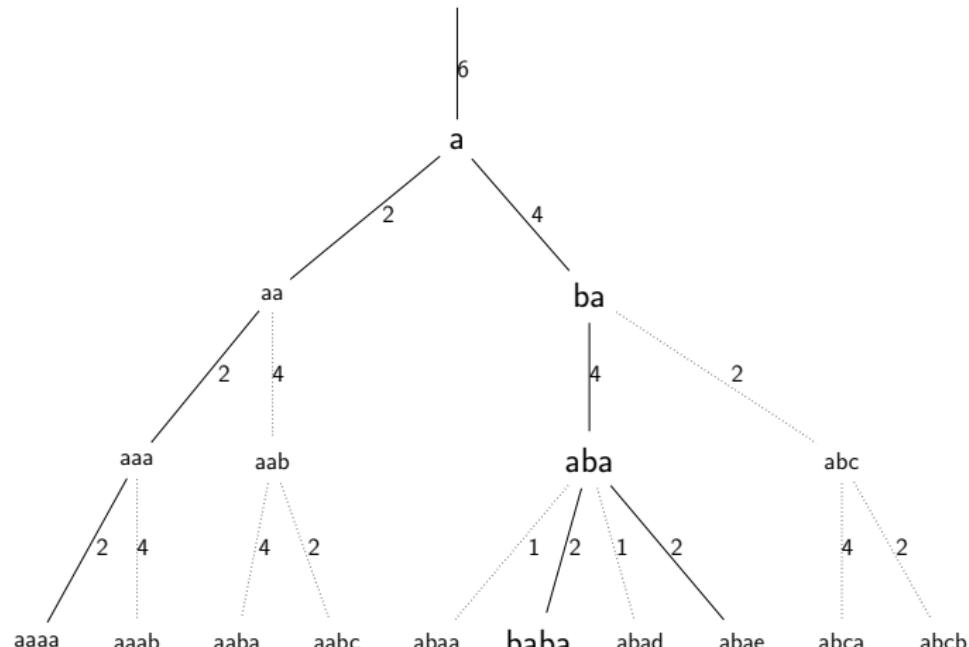
# Liftable

## Proposition

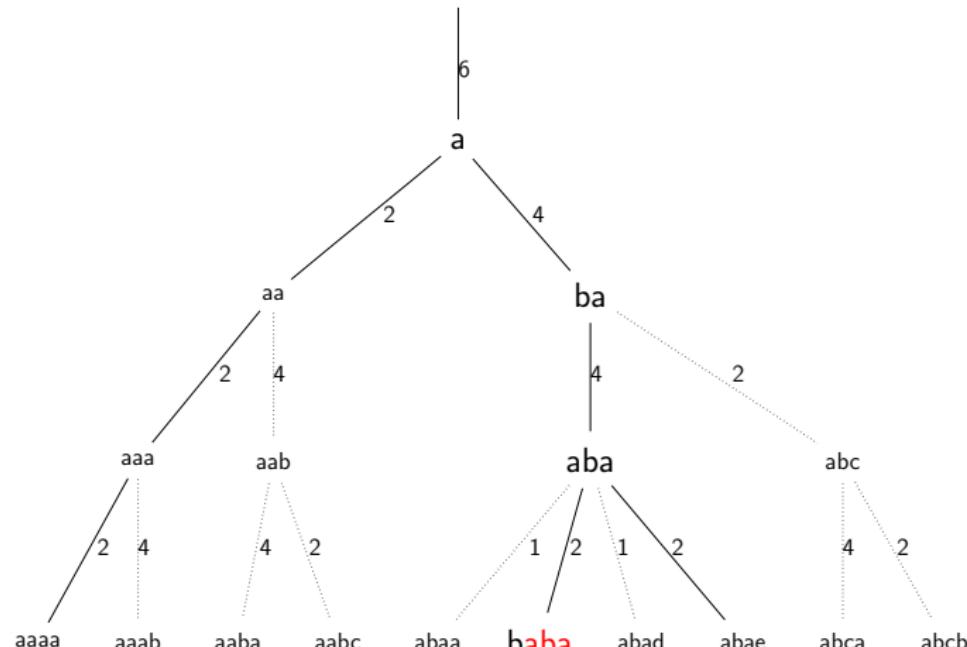
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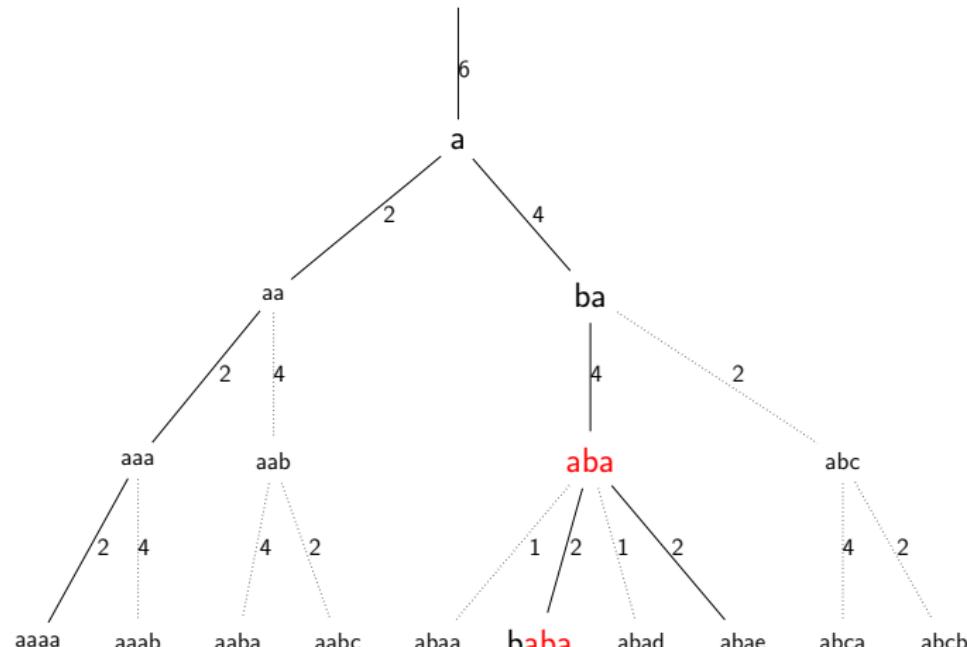
# Liftable paths



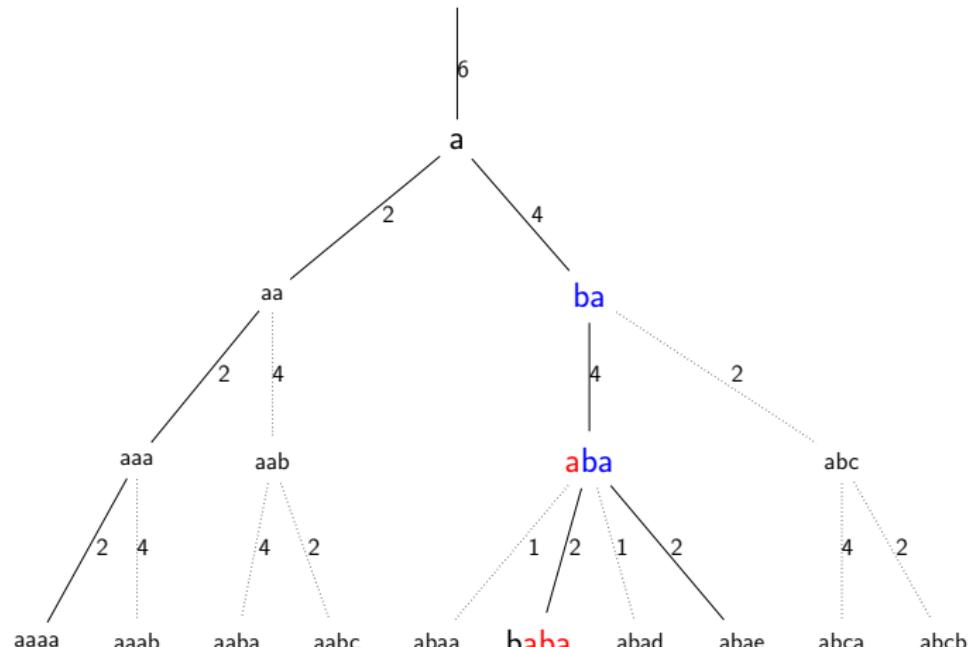
## Liftable paths



## Liftable paths



## Liftable paths



## Jungle tree

active  $\equiv$  labels not ending with  $1^\omega$ .

If active liftable path ✓: not Burnside.

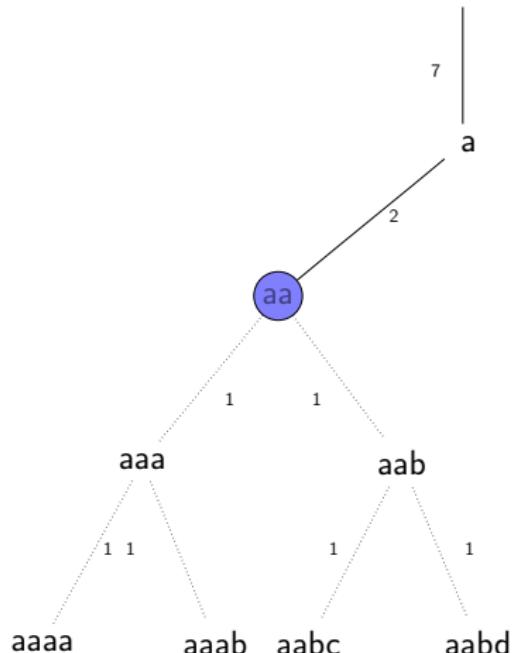
Otherwise :

## Jungle tree

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Otherwise :



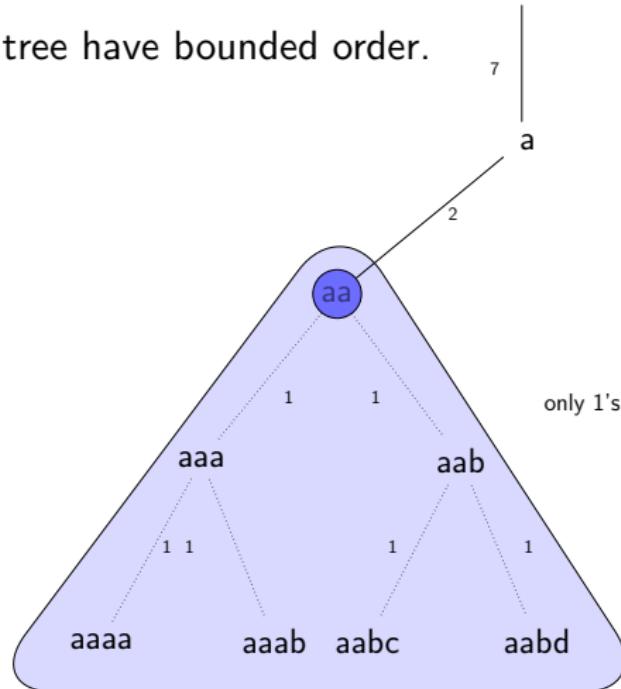
## Jungle tree

active  $\equiv$  labels not ending with  $1^\omega$ .

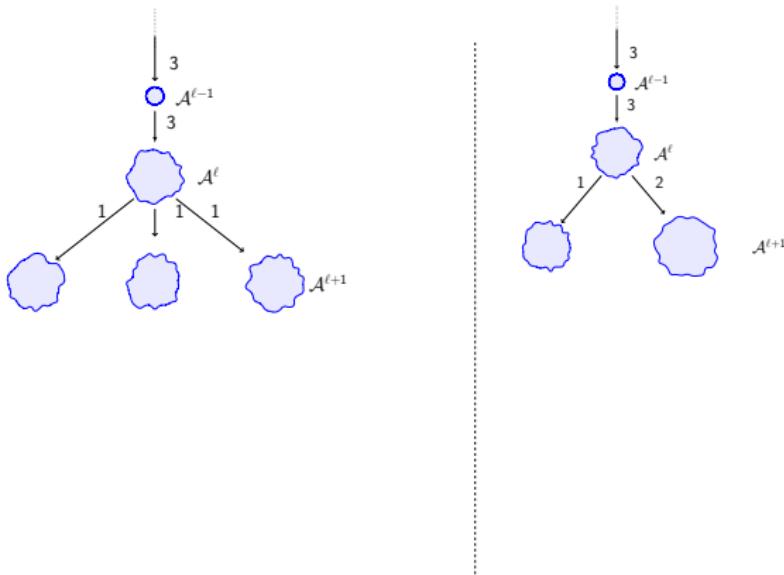
If active liftable path ✓: not Burnside.

Otherwise :

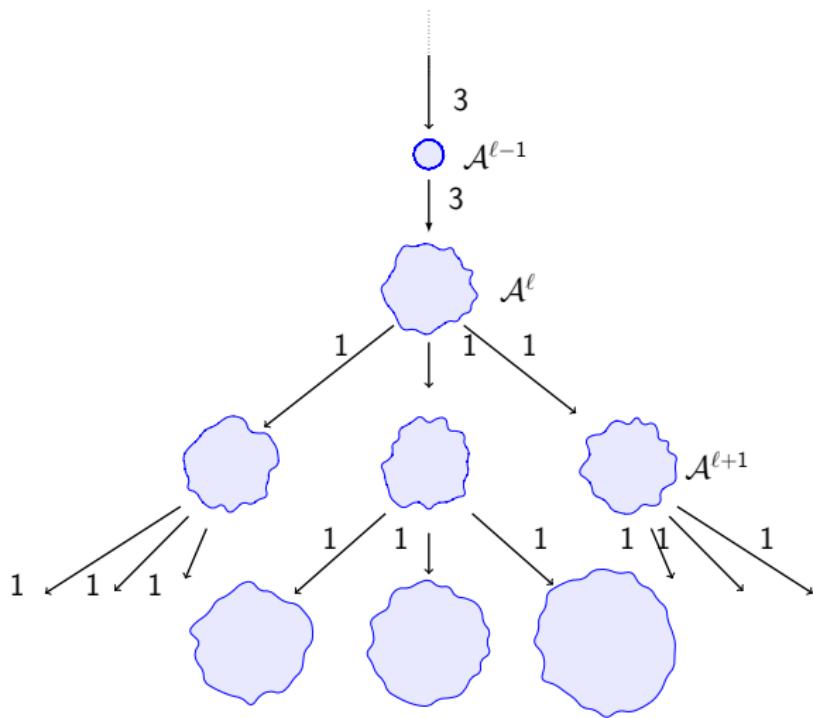
words in the jungle tree have bounded order.



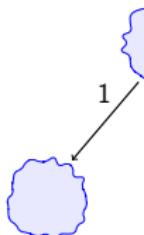
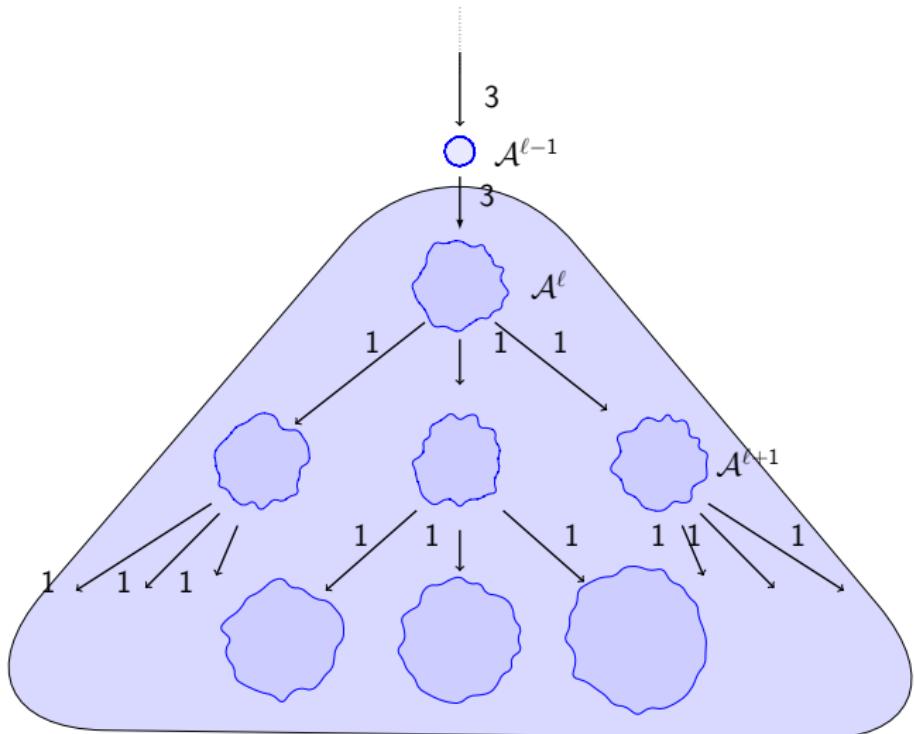
## 3-state case



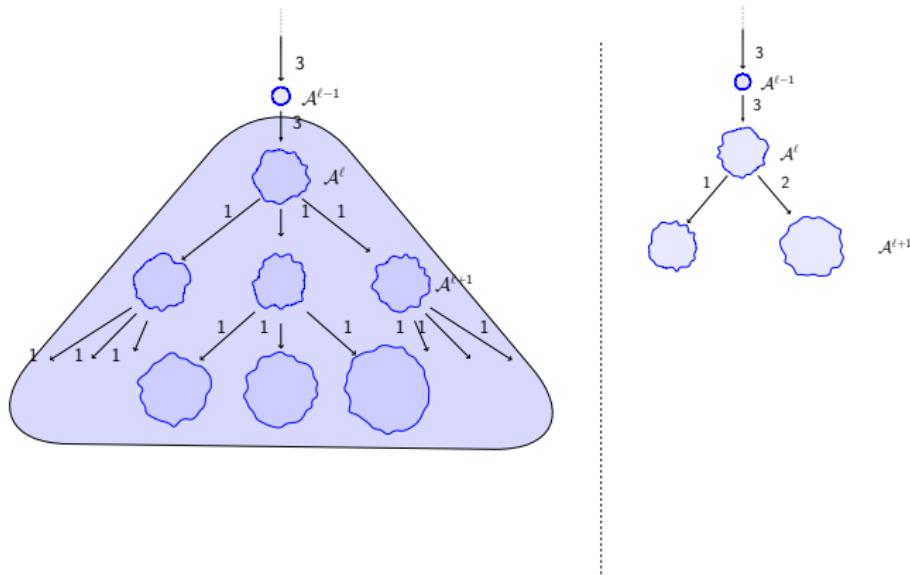
## 3-state case



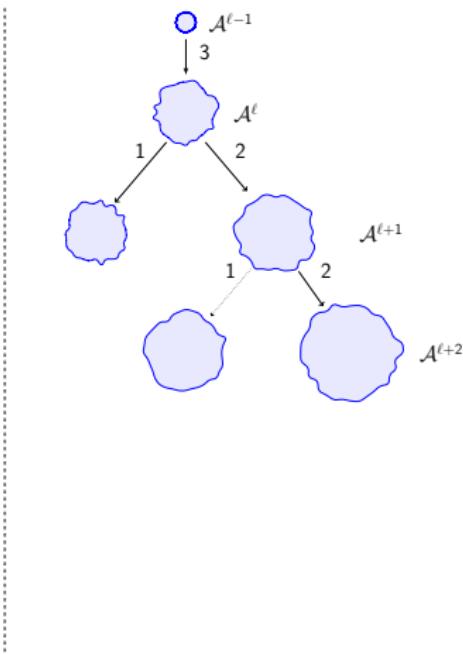
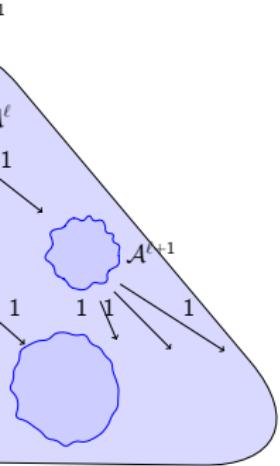
## 3-state case



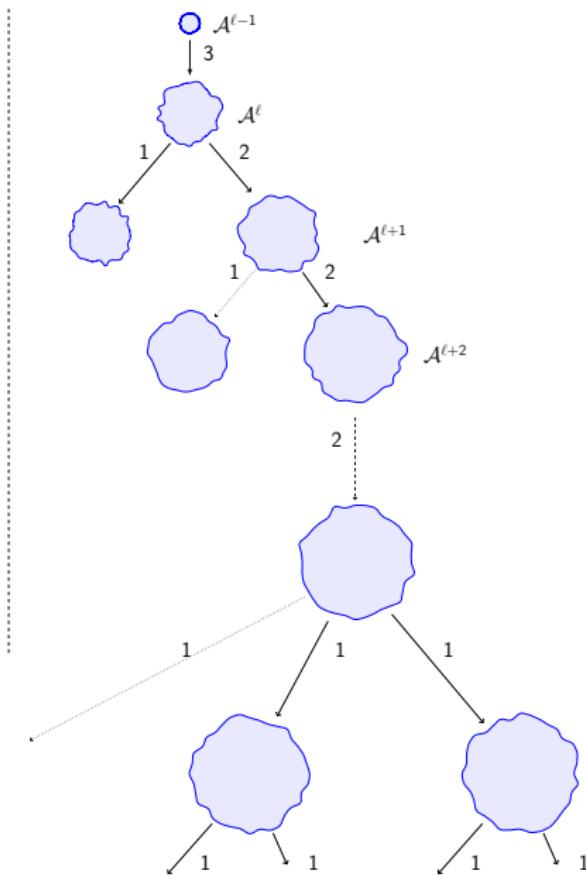
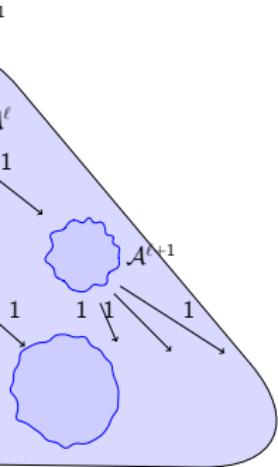
## 3-state case



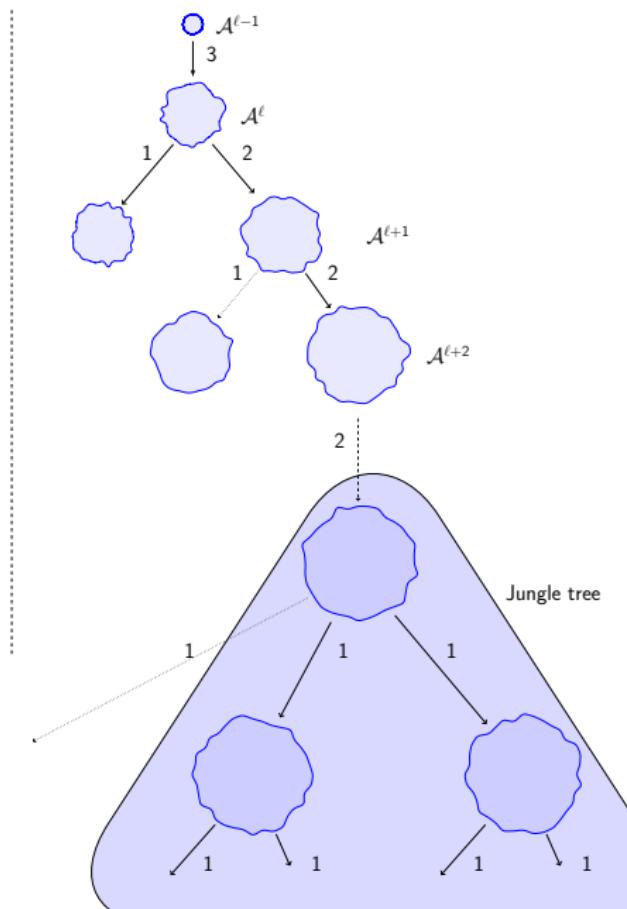
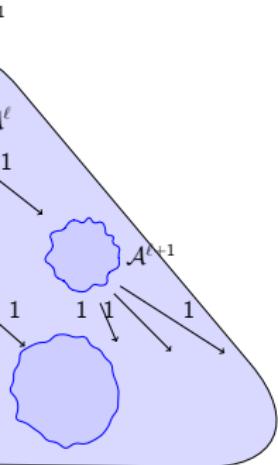
## 3-state case



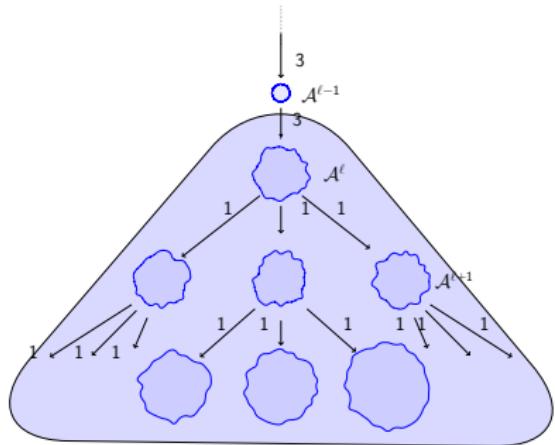
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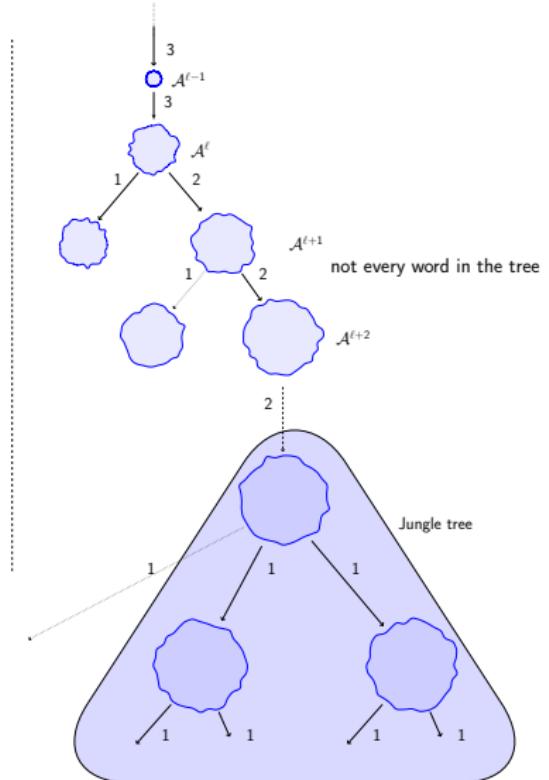
## 3-state case



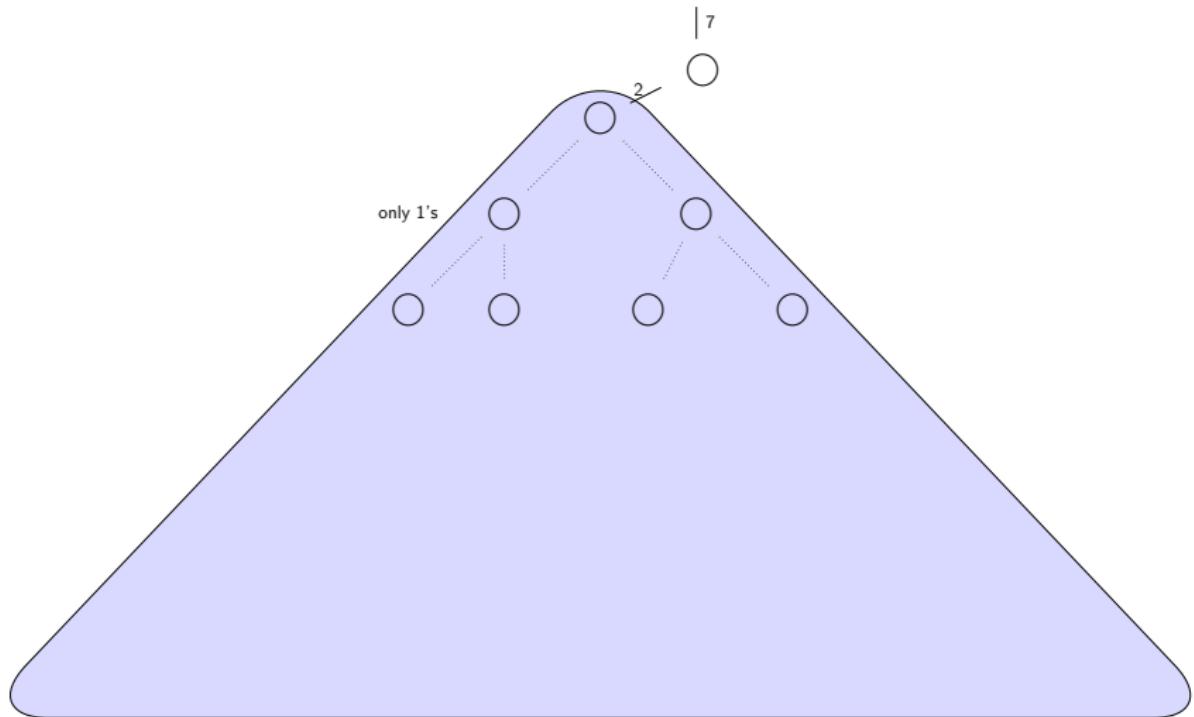
## 3-state case



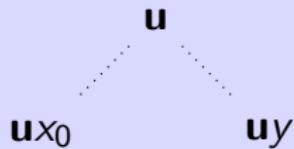
Every word in the tree ✓



## Looking for (equivalent) words

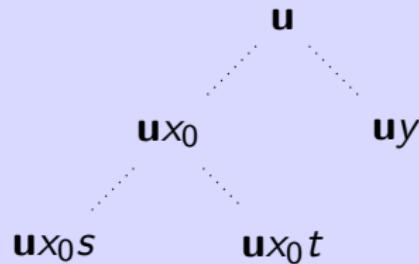


## Looking for (equivalent) words



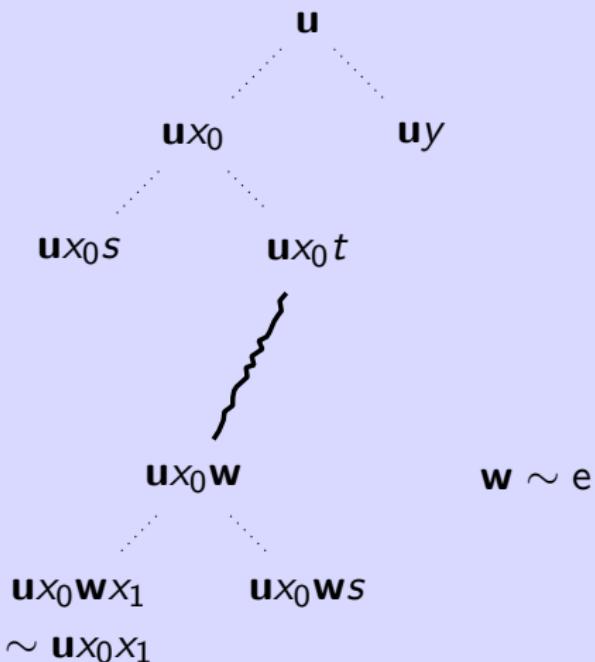
Idea:  $\forall x_0 x_1 x_2 \dots$  find a word with same action in the jungle tree

## Looking for (equivalent) words



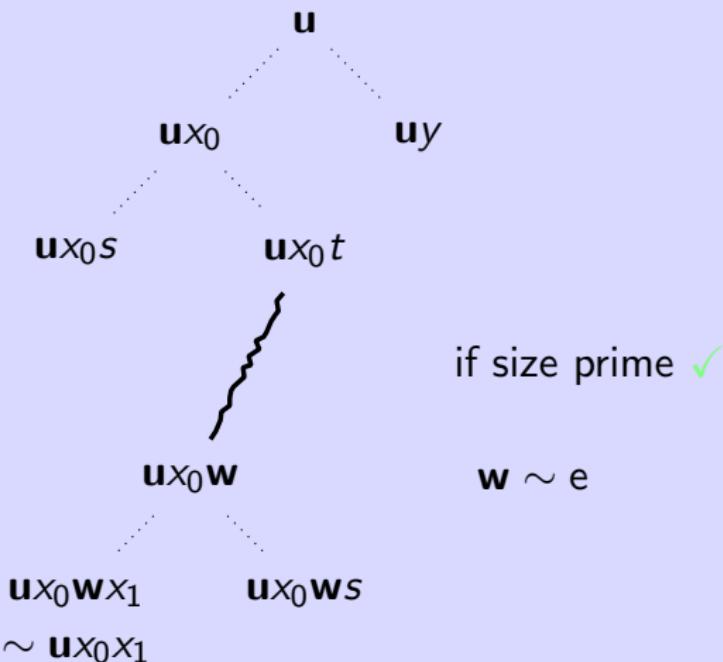
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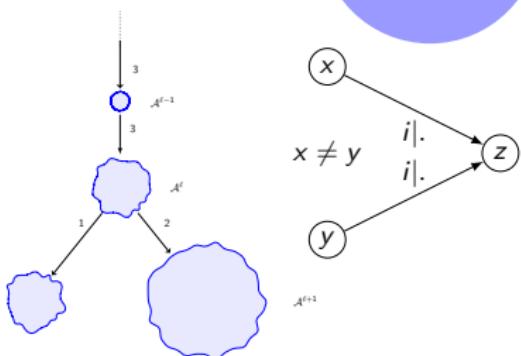
The set o  
of a contr  
is describ  
automaton

## automaton patterns and group properties

growth

finiteness

infinite  
Burnside

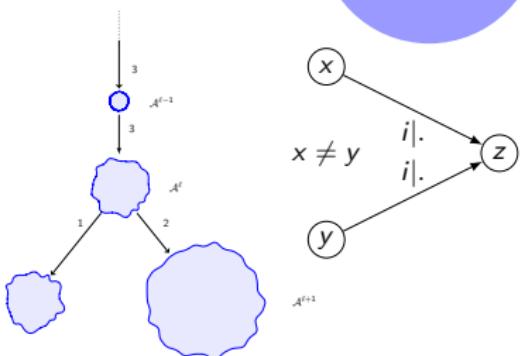


## automaton patterns and group properties

finiteness

Invertible reversible non-coreversible automata generate infinite non Burnside groups [LATA'15 w. Klimann and Picantin]

infinite  
Burnside



Level transitive reversible automata have exponential growth [Klimann'16]

The set o  
of a contr  
is describ  
automaton

# Mealy automata

1|0

0|0

1|1

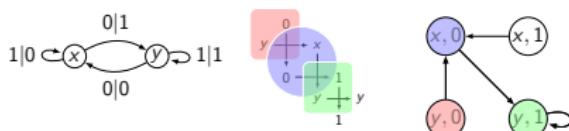
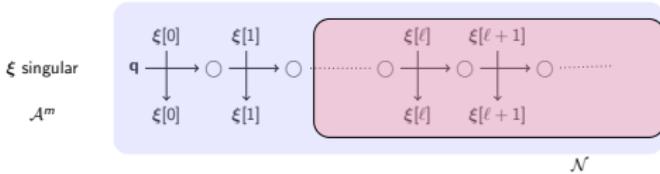
dynamics  
of  
the action

singular  
points

Schreier  
graphs

Wang  
tillings

The set of singular points  
of a contracting automaton  
is described by a Büchi  
automaton [DGKPR'16]



Analogue to Dixon theorem  
[ANALCO'16]

$$\langle \circlearrowleft_{\sigma}^{\sigma} \circlearrowright_{\tau} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$

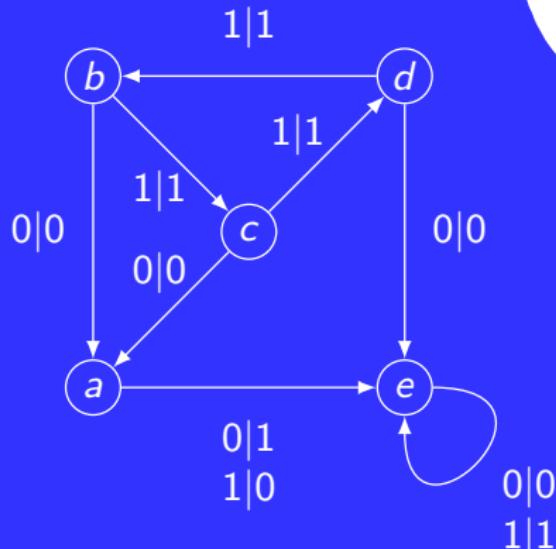
finite  
groups

infinite  
groups

random  
generation

[LATA'15]  
w. Klimann and Picantin

[ANALCO'16]



## Mealy automata

[arXiv'16]  
w. D'Angeli,  
Klimann,  
Picantin,  
and Rodaro

[MFCS'16 & ToCS'17]  
w. Klimann