

An algebraic characterization of unary two-way transducers

Christian Choffrut¹, Bruno Guillon^{1,2}

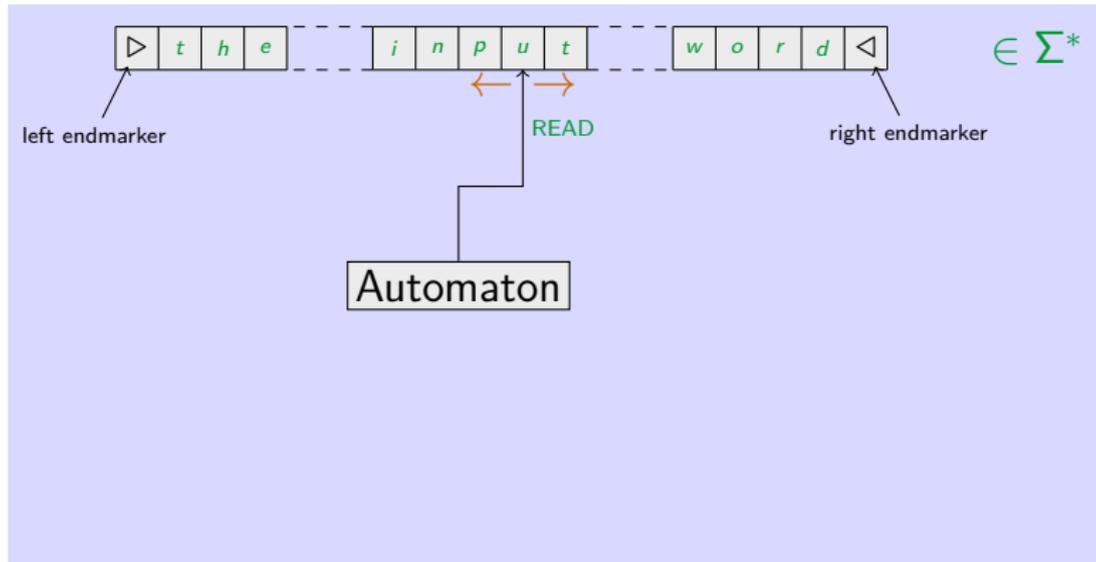
¹LIAFA, Université Paris Diderot, Paris 7

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July 2, 2014

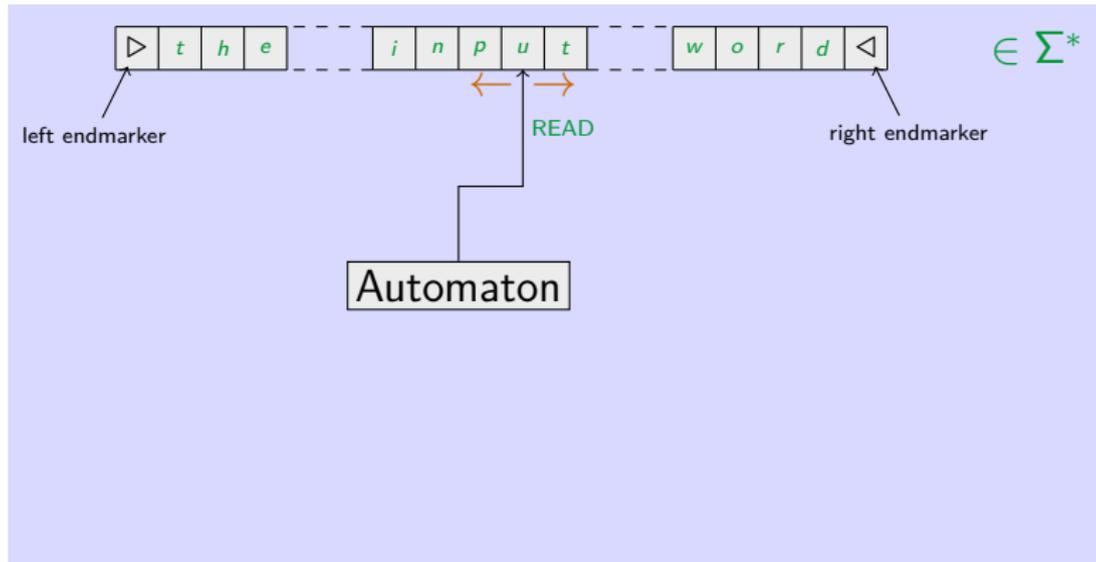
Two-way automaton over Σ

$(Q, \Sigma, I, F, \delta)$ ← A



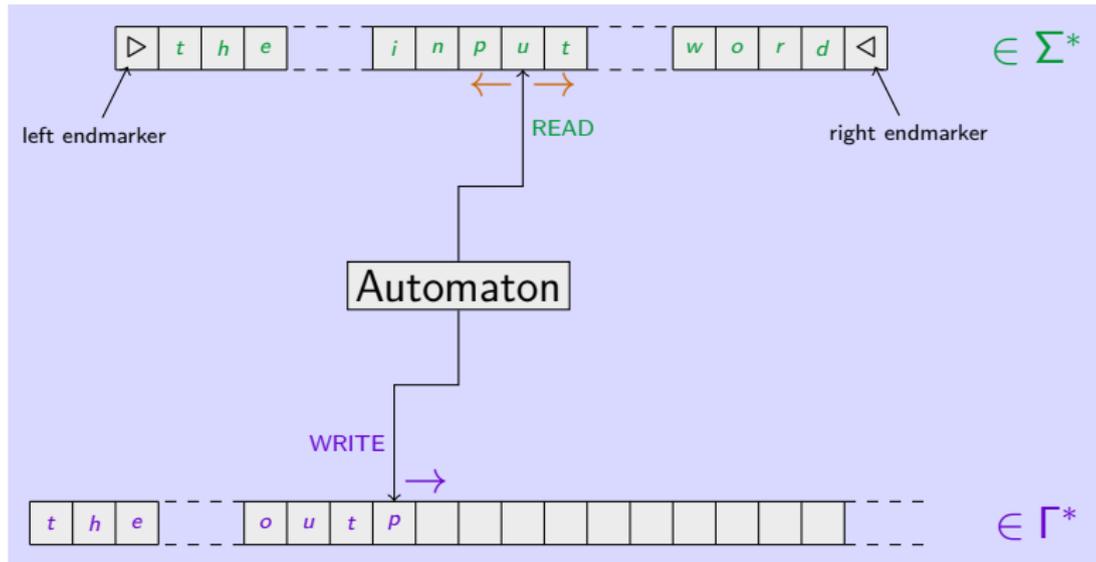
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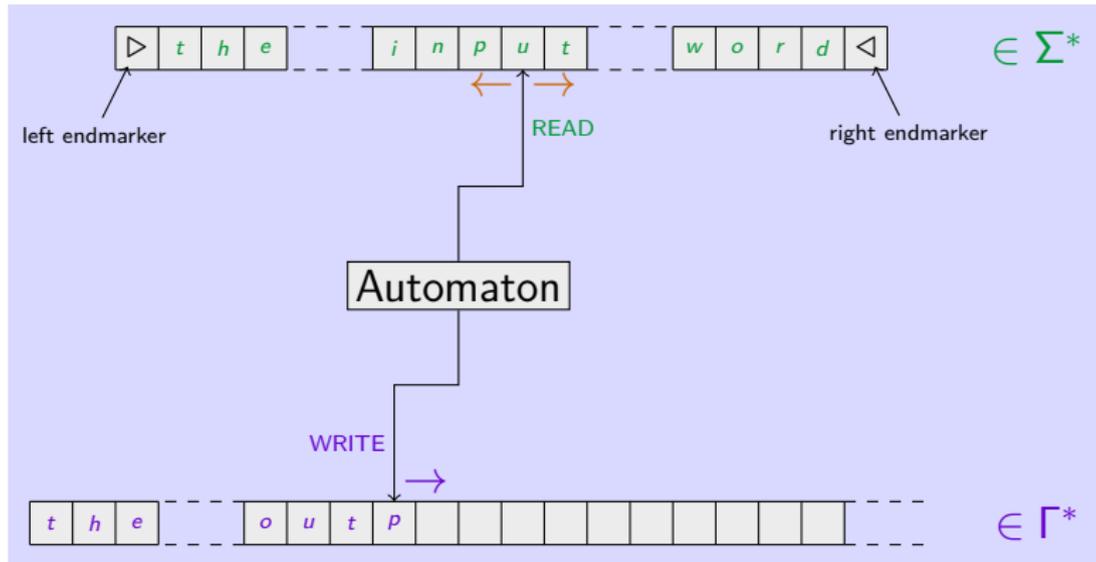
Two-way transducer over Σ, Γ

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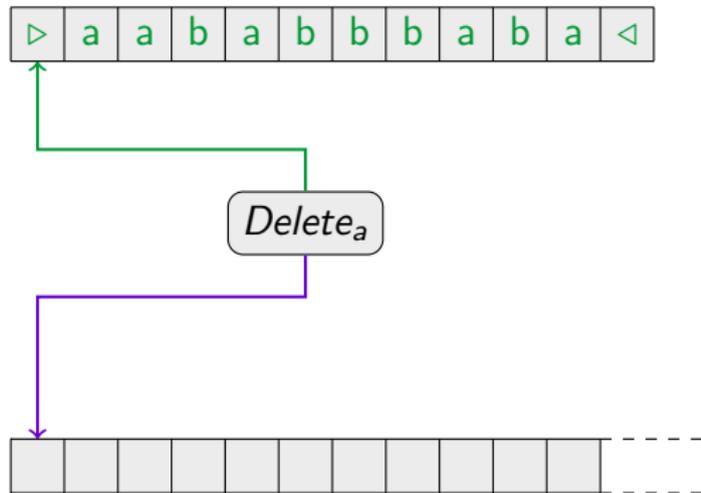
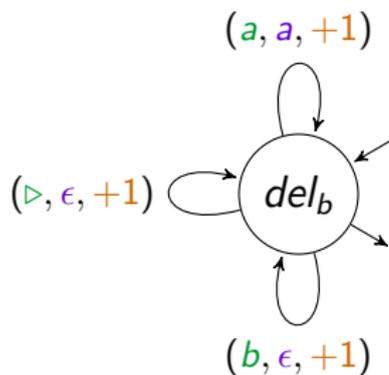
Two-way transducer over Σ, Γ

(A, ϕ)
 $(Q, \Sigma, l, F, \delta)$ ← production function: $\delta \rightarrow \Gamma^*$
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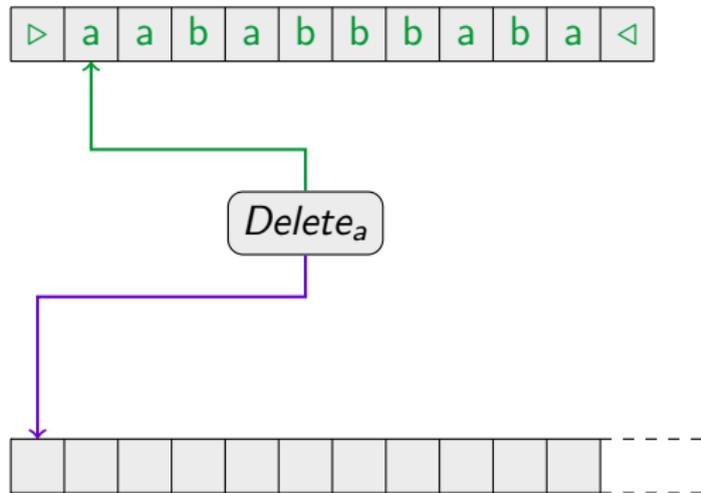
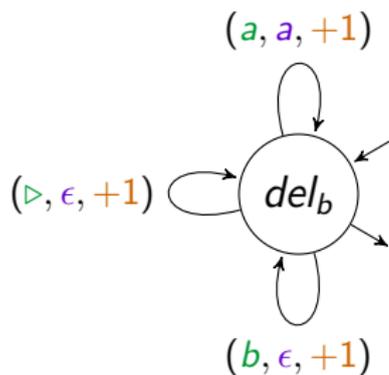
One-way simple example

$$\Sigma = \Gamma = \{a, b\}$$



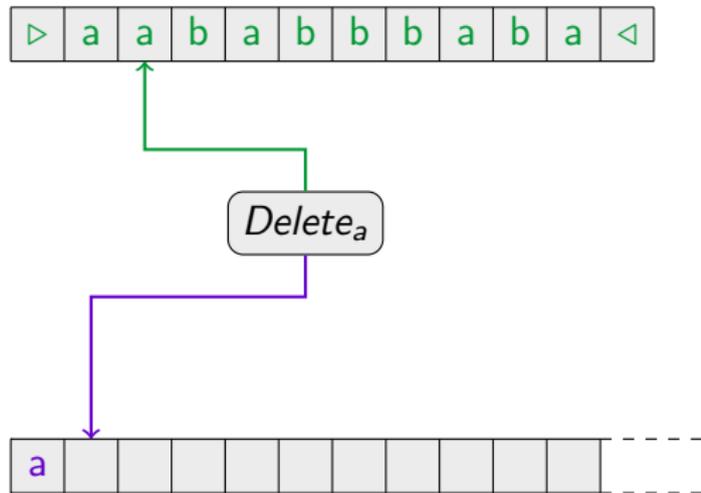
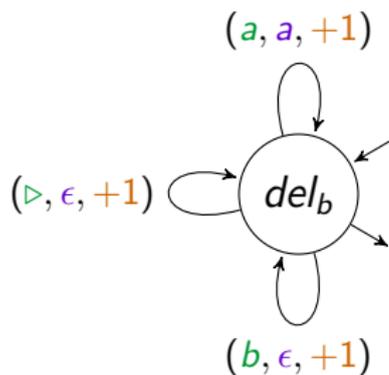
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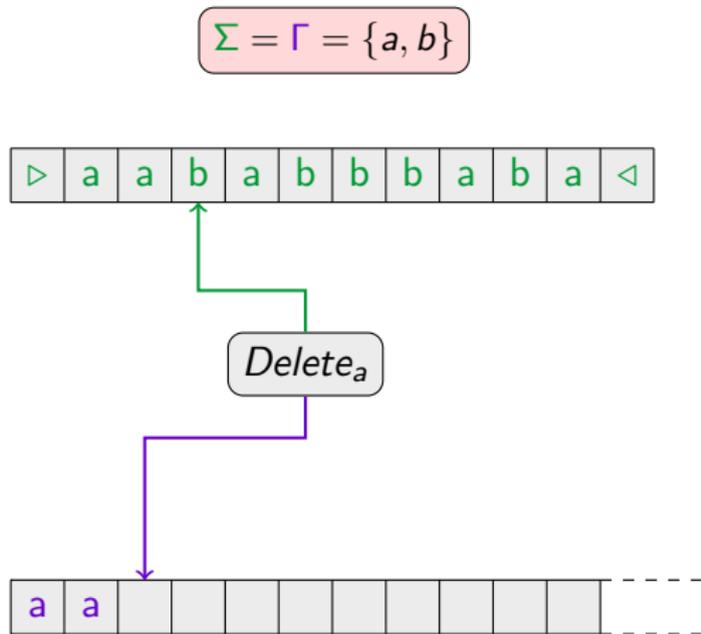
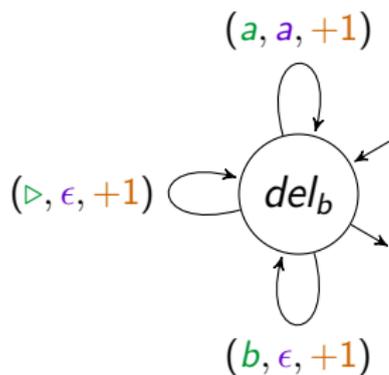


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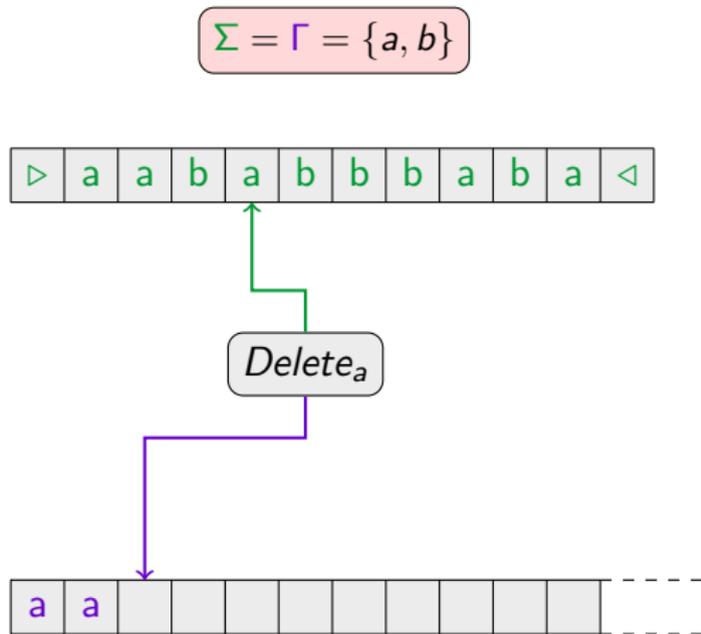
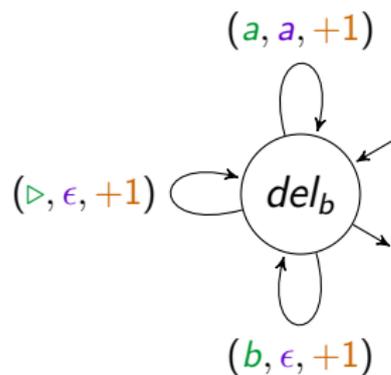
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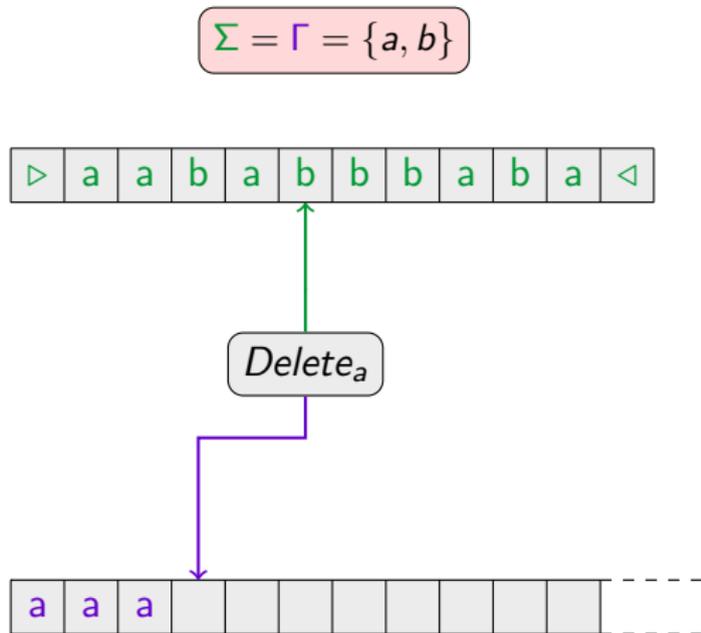
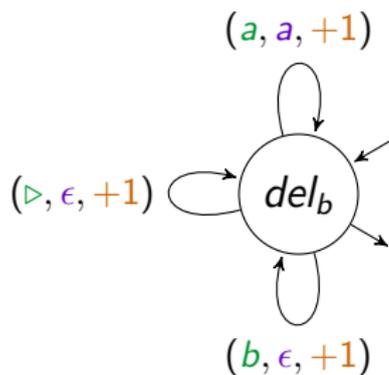
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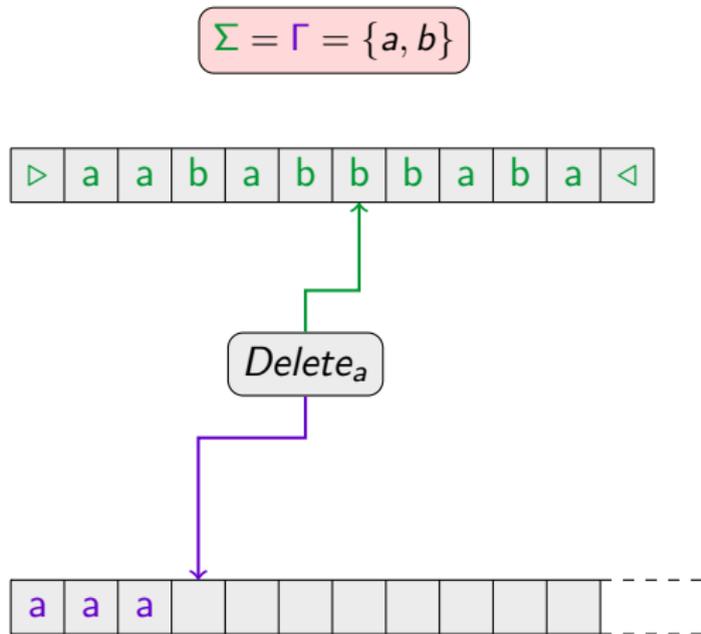
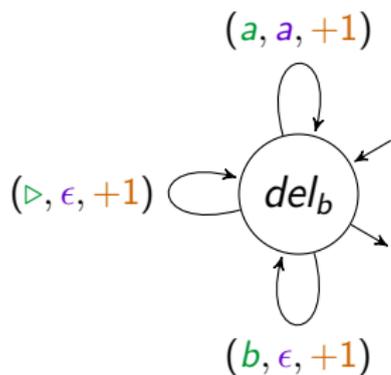
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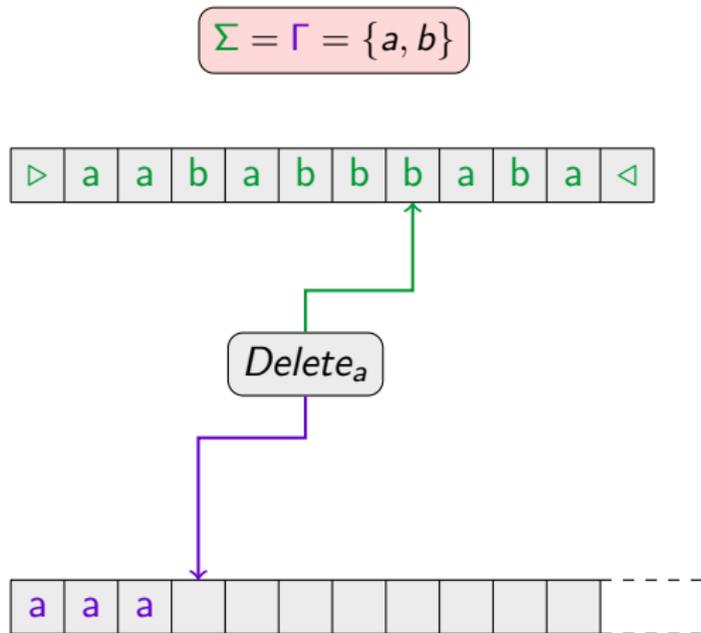
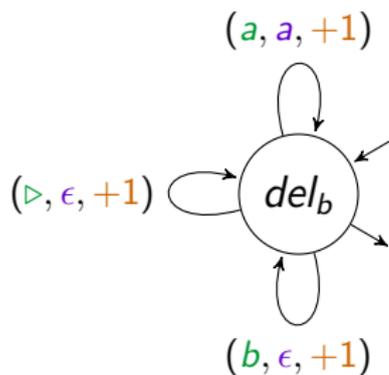
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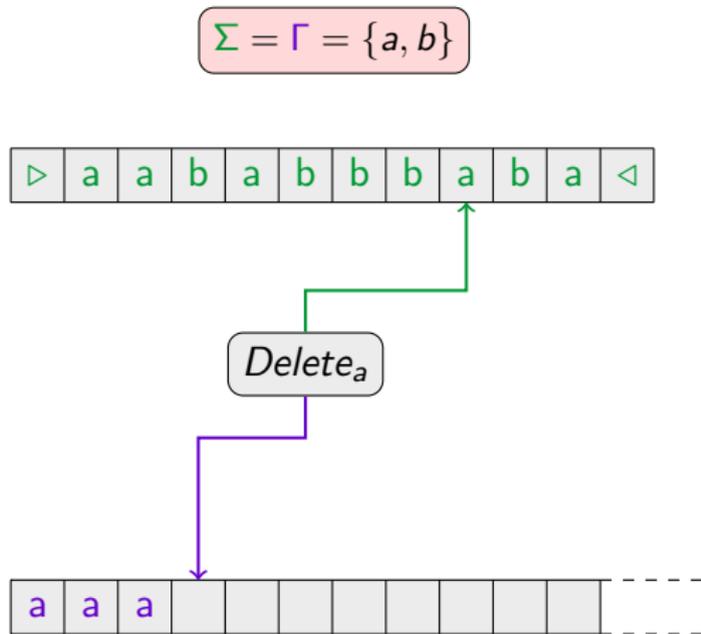
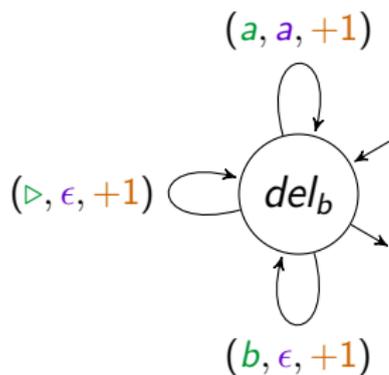
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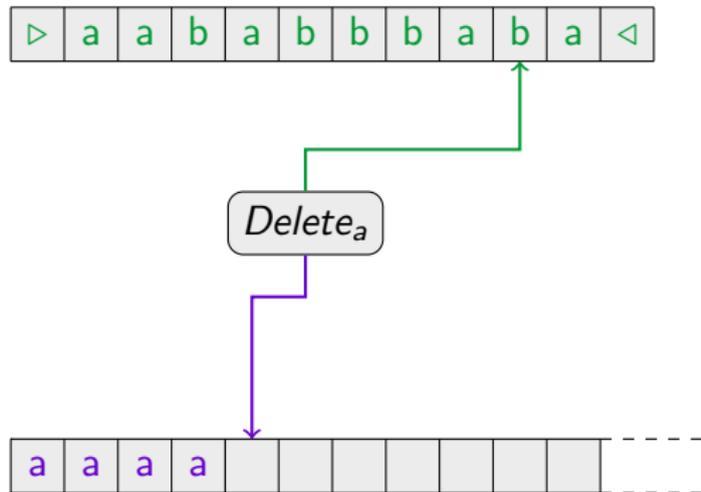
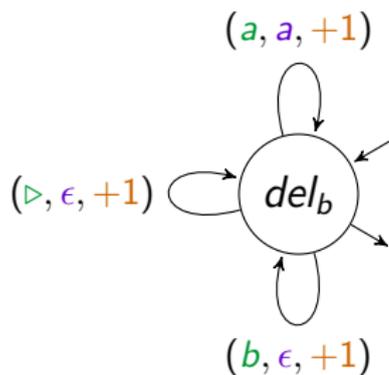


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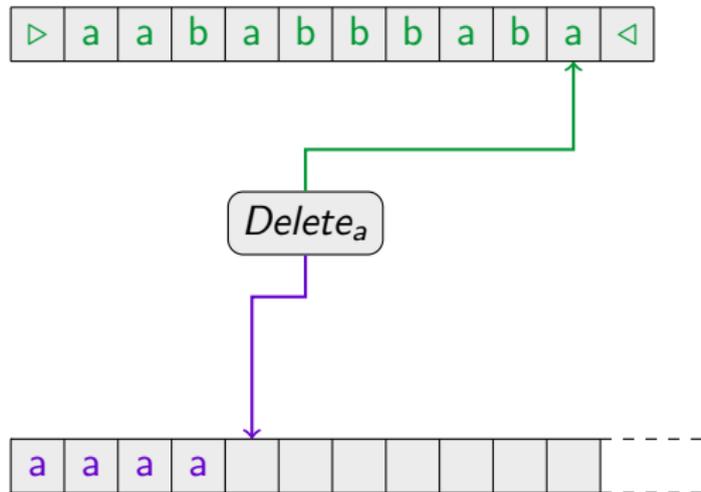
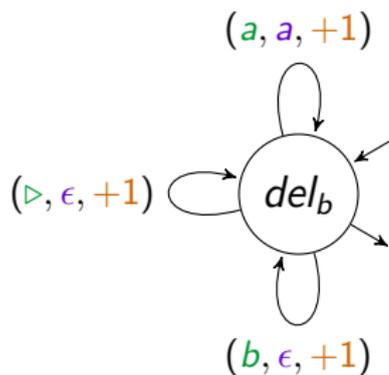
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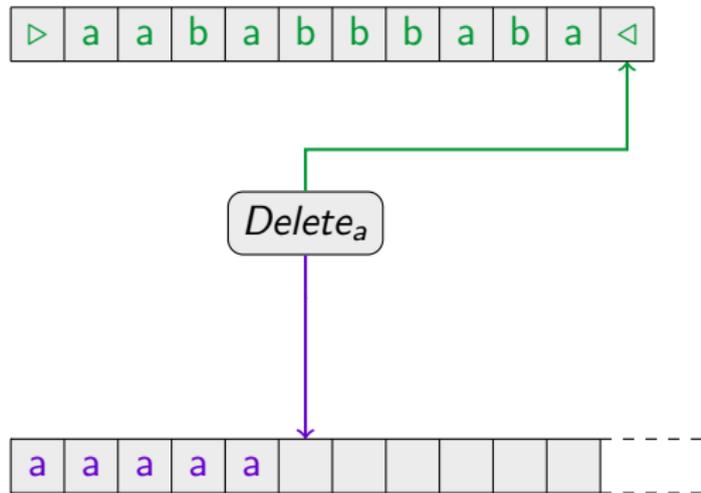
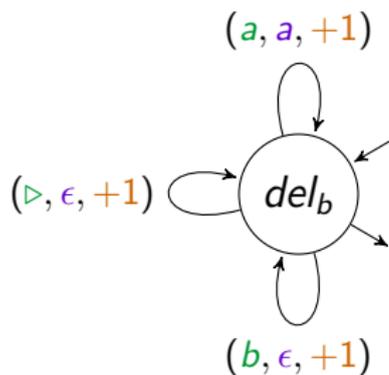
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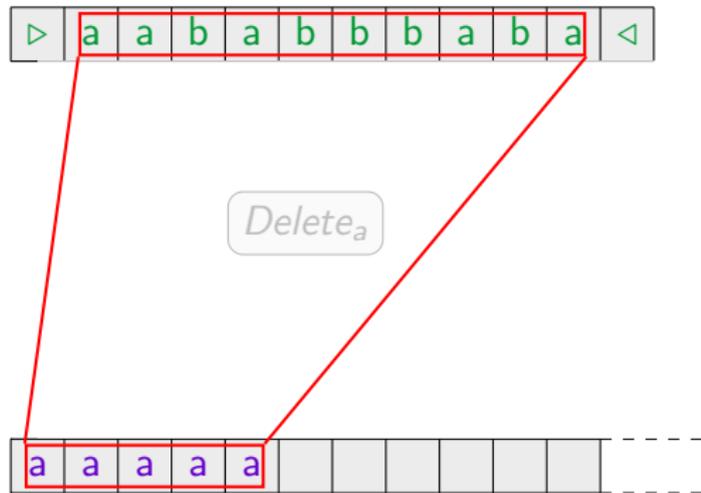
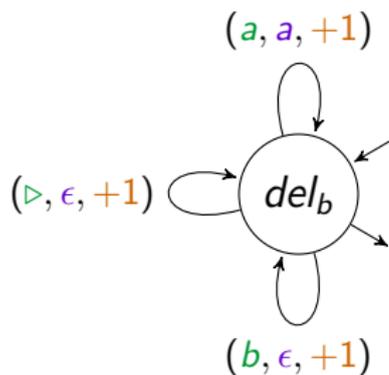
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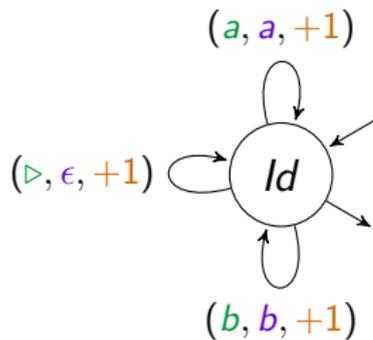
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accepts: $\{(u, v) \mid v = a^{|u|_a}\}$

Simple Examples

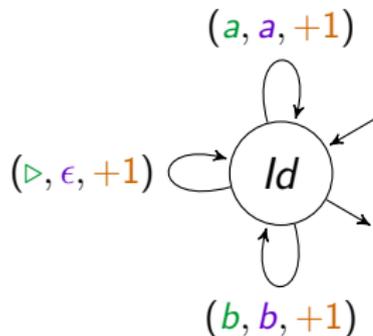
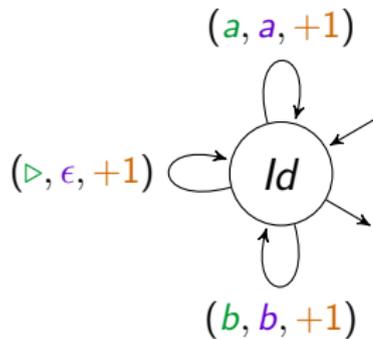


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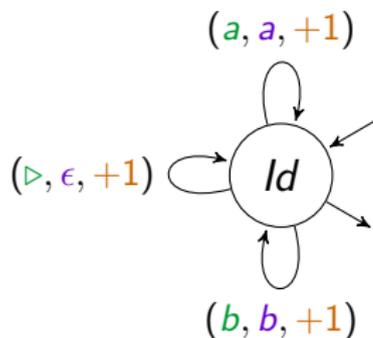
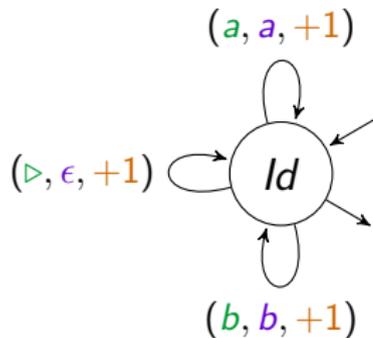
accepts: $\{(w, w) \mid w \in \Sigma^*\}$

Simple Examples

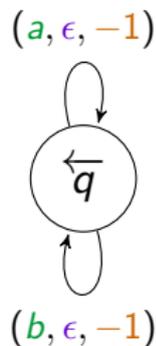
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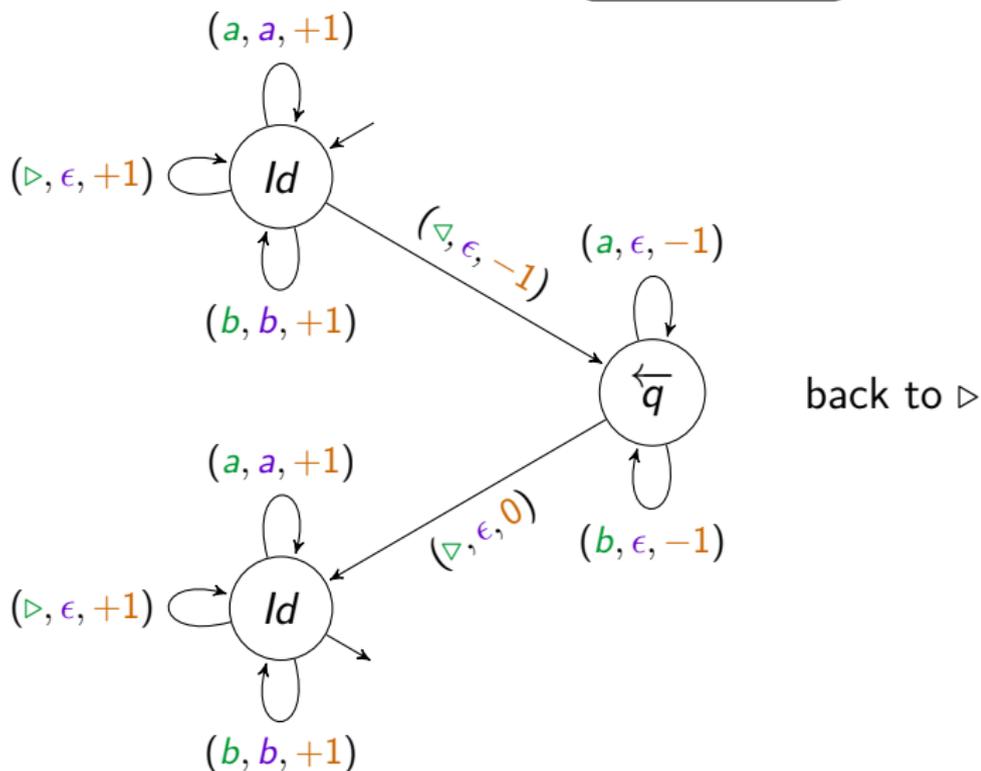
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back to \triangleright

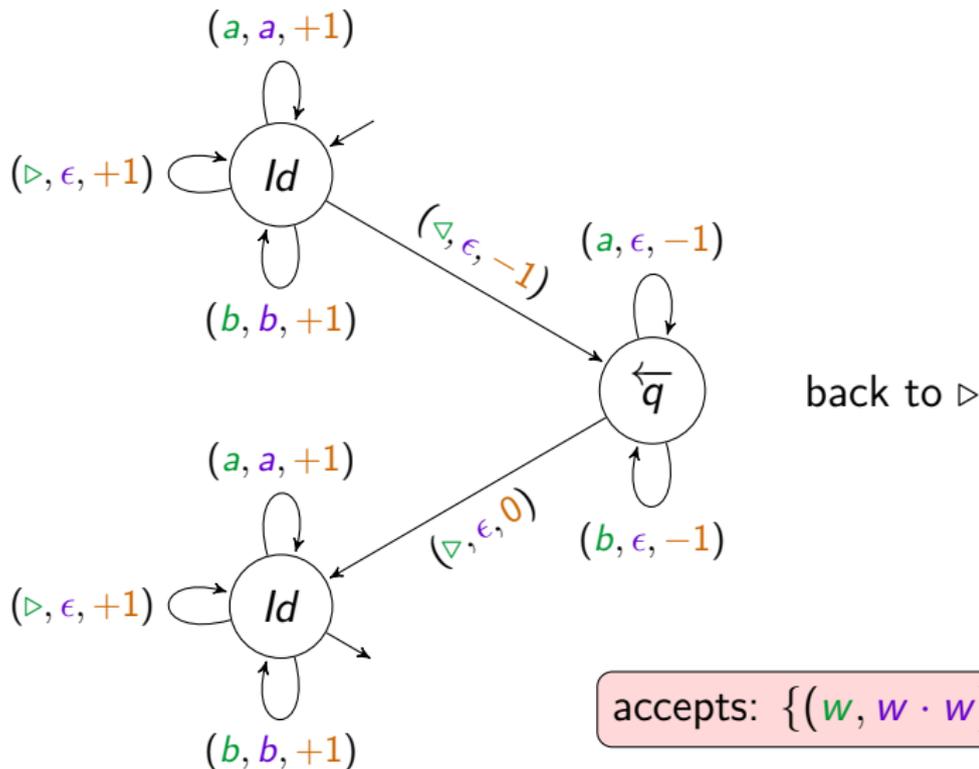
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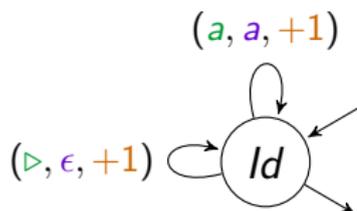
Simple Examples

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Simple Unary Examples

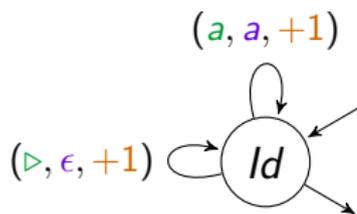
$$\Sigma = \Gamma = \{a\}$$



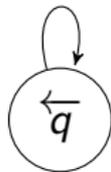
accepts: $\{(a^n, a^n) \mid n \in \mathbb{N}\}$

Simple Unary Examples

$$\Sigma = \Gamma = \{a\}$$



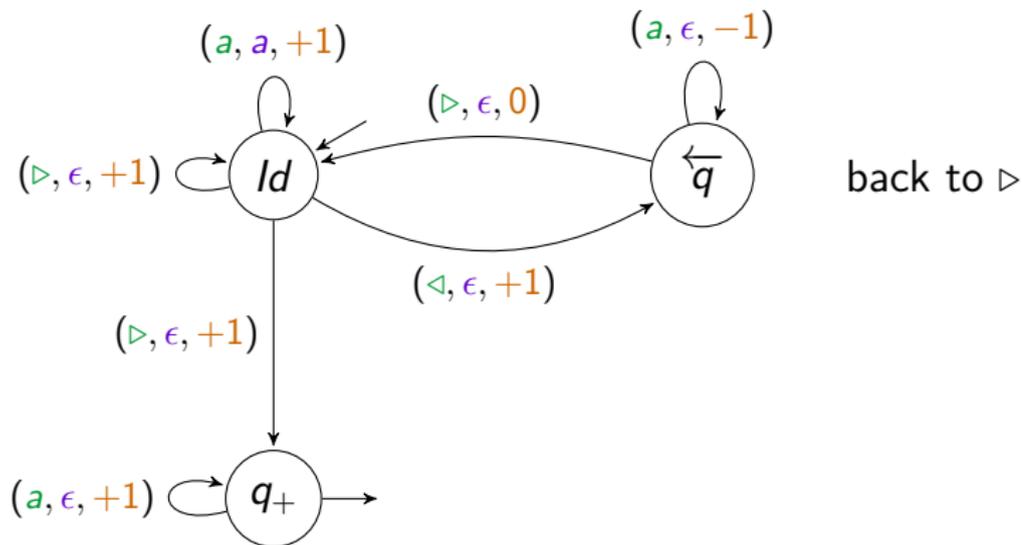
$(a, \epsilon, -1)$



back to \triangleright

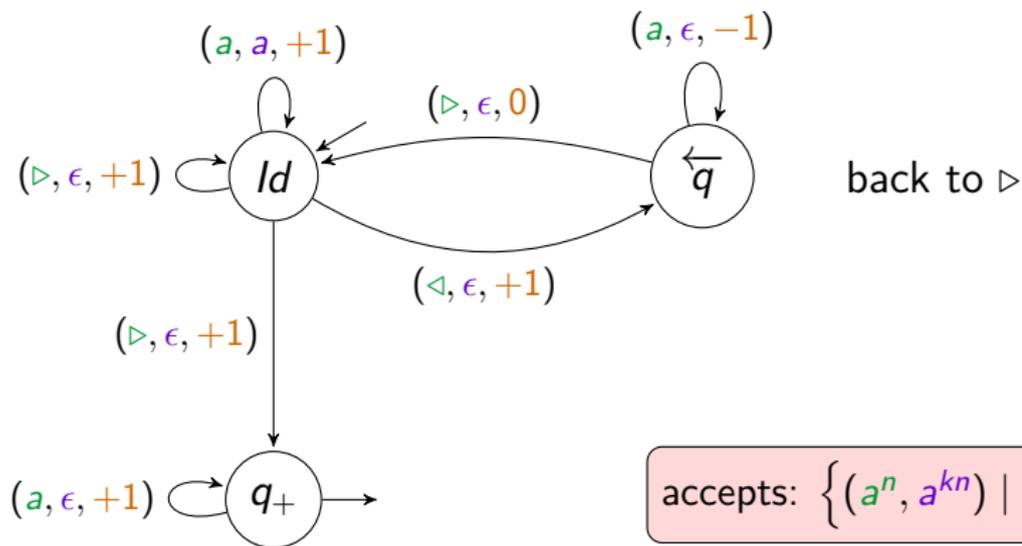
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Examples

$$\Sigma = \Gamma = \{a, b\}$$

$$R = \{(w, a^{|w|_a})\}$$

$$s = \sum_{w \in \Sigma^*} \{a^{|w|_a}\} w$$

$$R = \{(w, ww) \mid w \in \Sigma^*\}$$

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$$\Sigma = \Gamma = \{a\}$$

$$R = \{(a^n, a^{kn}) \mid k, n \in \mathbb{N}\}$$

$$s = \sum_{a^n \in \Sigma^*} \{a^{kn} \mid k \in \mathbb{N}\} a^n$$

Rational operations...

► Sum:

$$s + t = \sum_{u \in \Sigma^*} (\langle s, u \rangle \cup \langle t, u \rangle) u$$

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$$s \cdot t = \sum_{u \in \Sigma^*} \sum_{u_1 u_2 = u} \langle s, u_1 \rangle \langle t, u_2 \rangle u$$

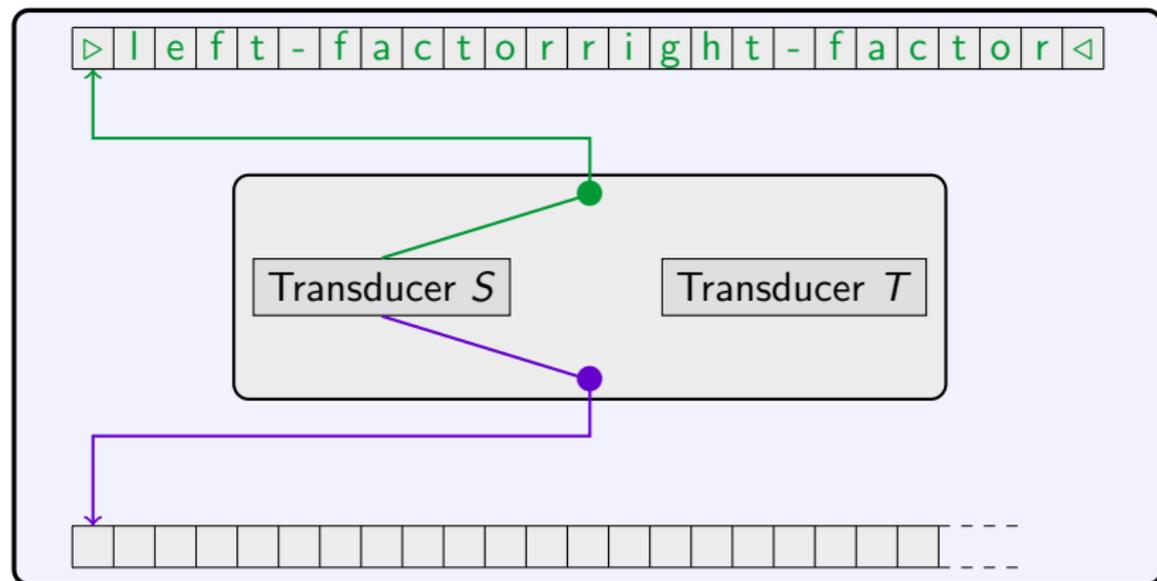
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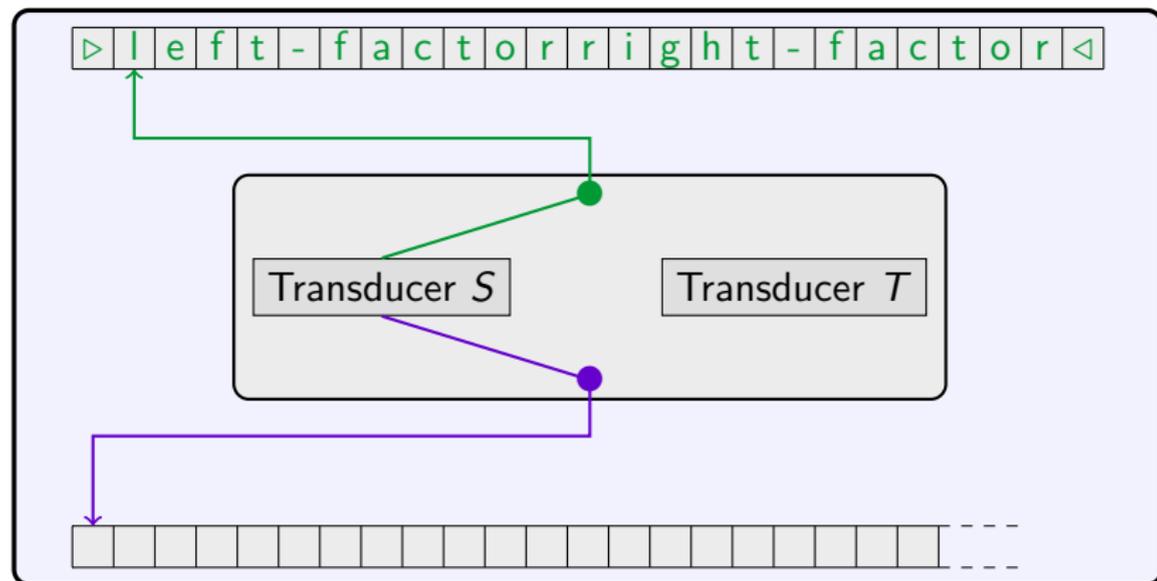
► Cauchy product:
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► Kleene star:
$$s^* = \sum_{u \in \Sigma^*} \sum_{u_1 u_2 \cdots u_n = u} \langle s, u_1 \rangle \langle s, u_2 \rangle \cdots \langle s, u_n \rangle u$$

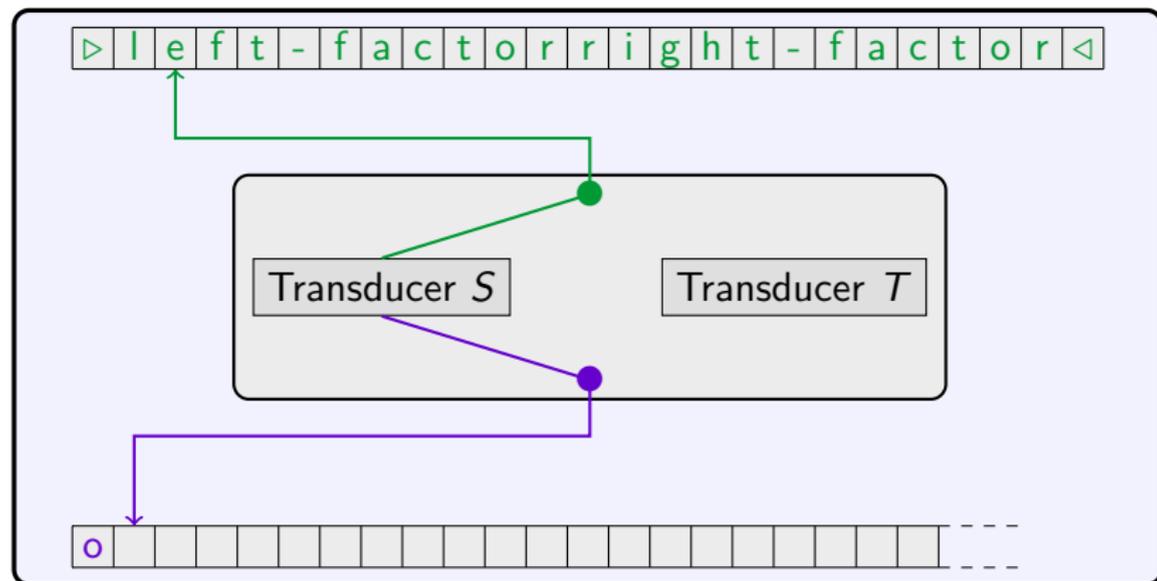
Rational operations are one-way natural operations



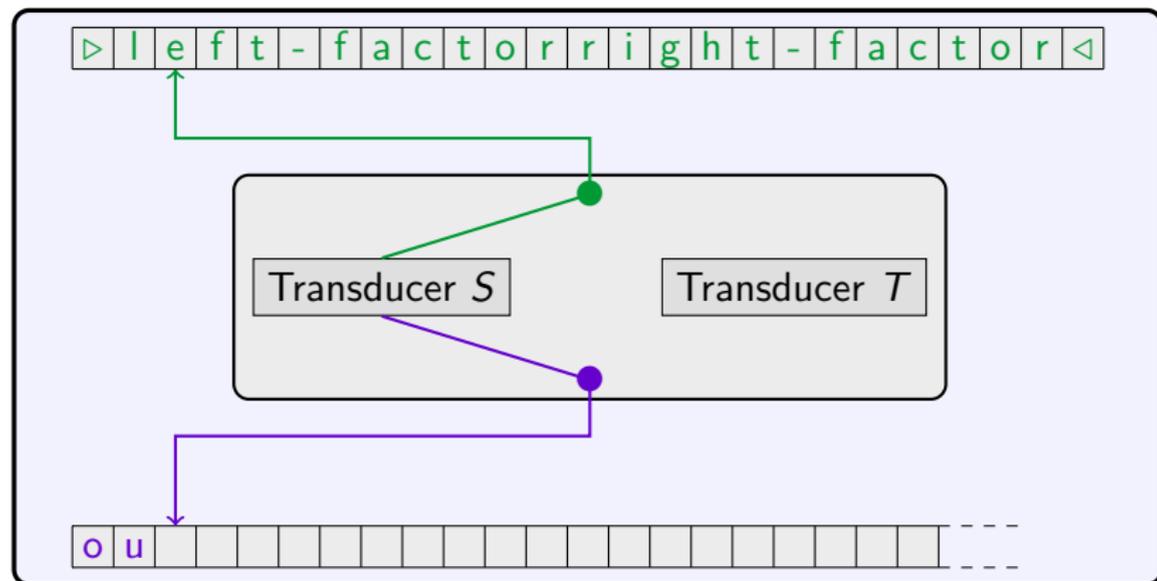
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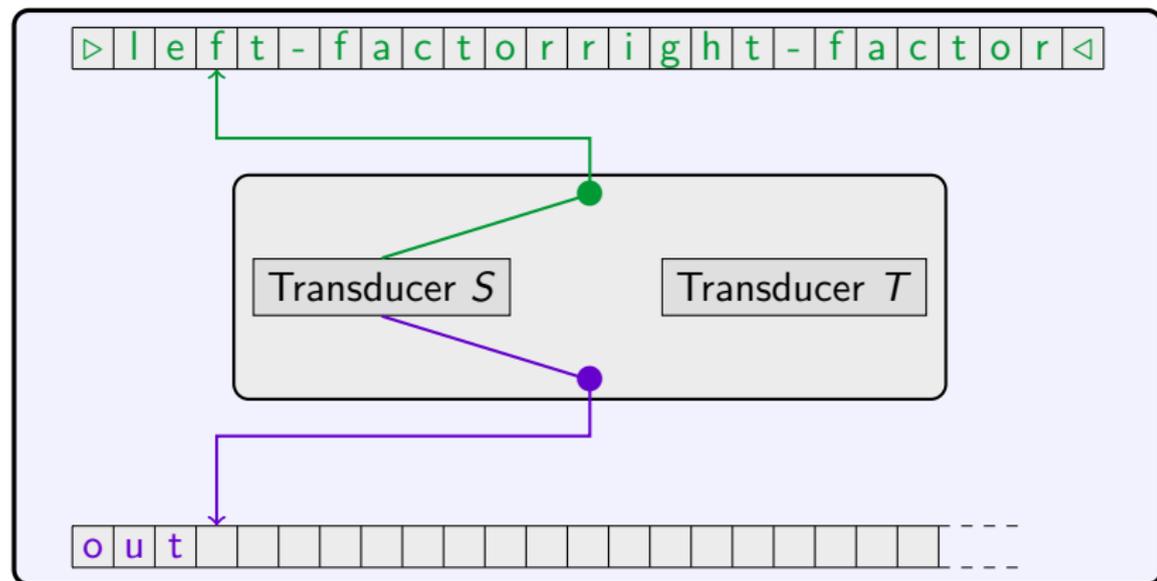
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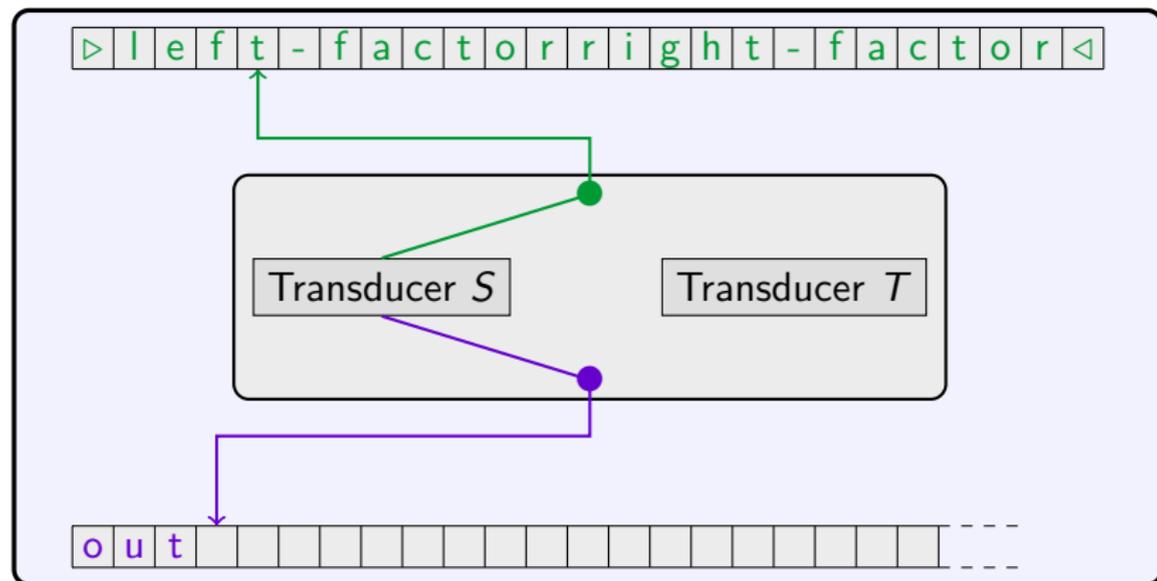
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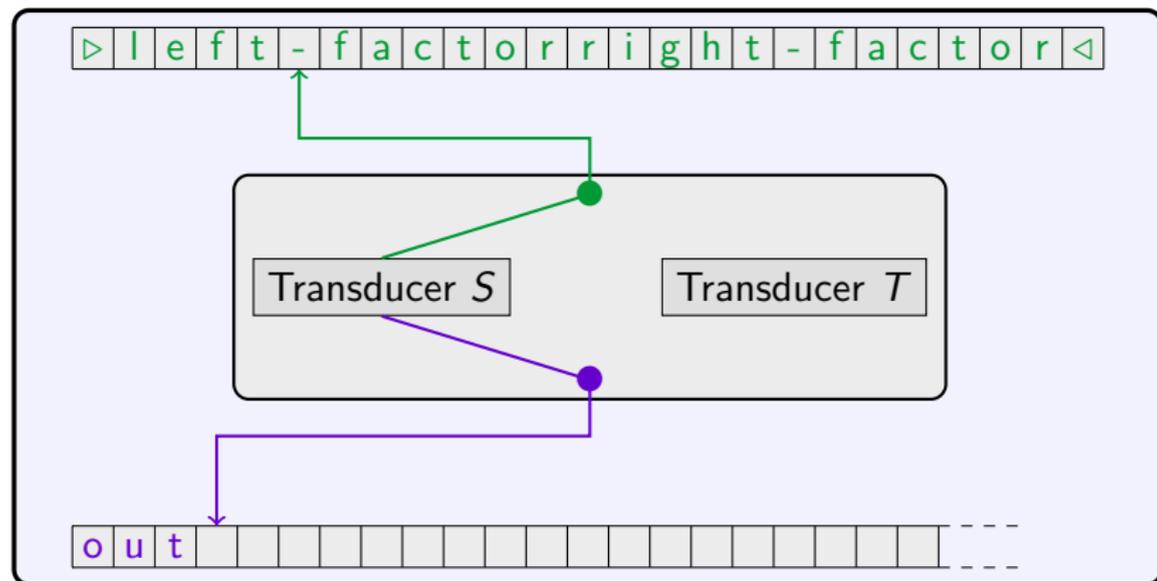
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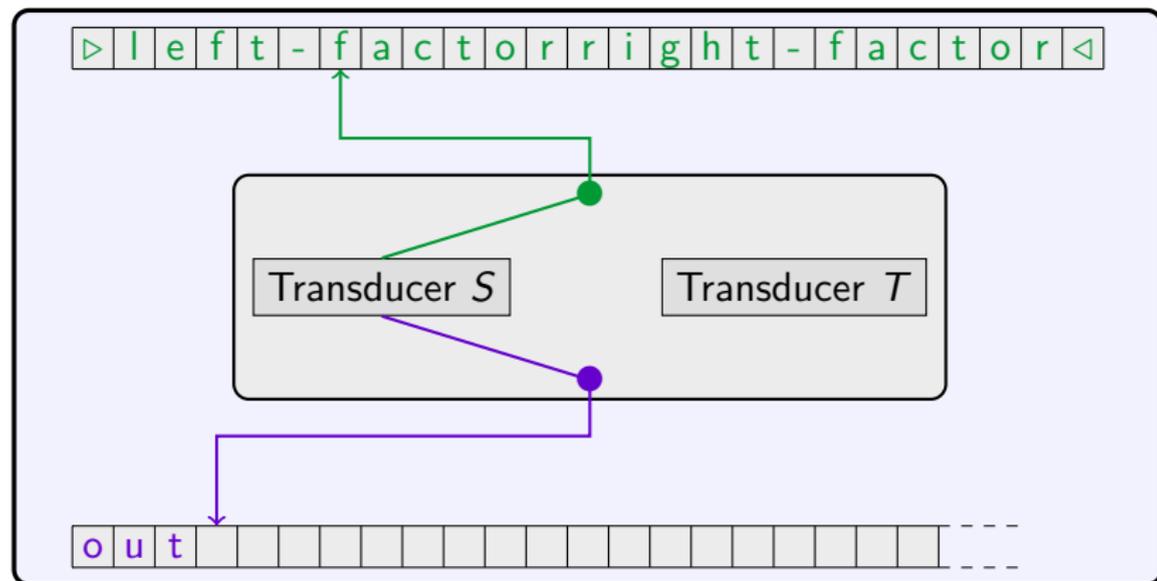
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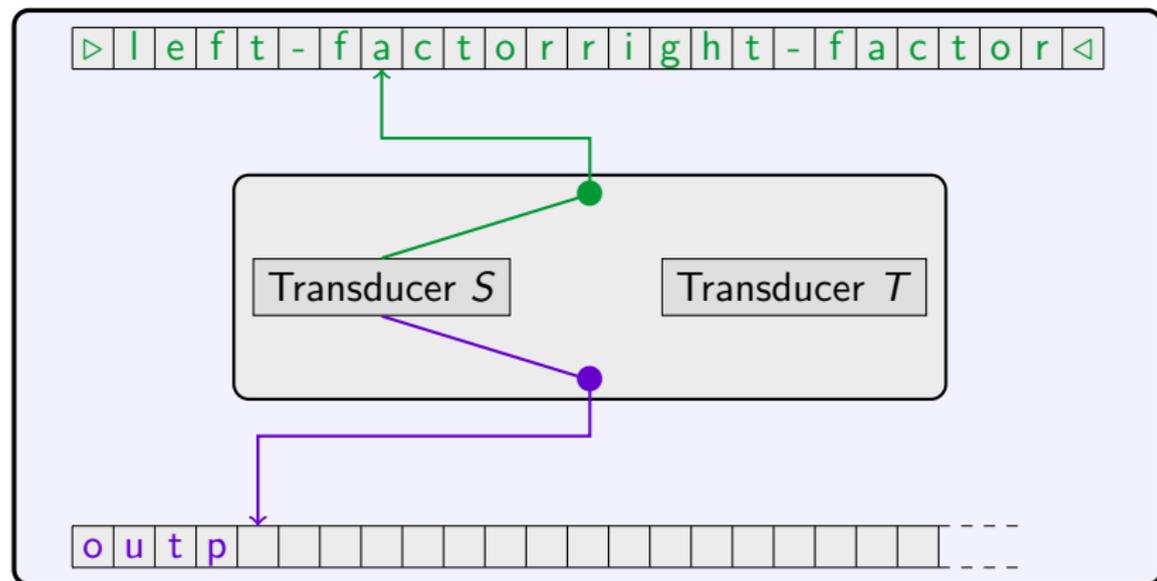
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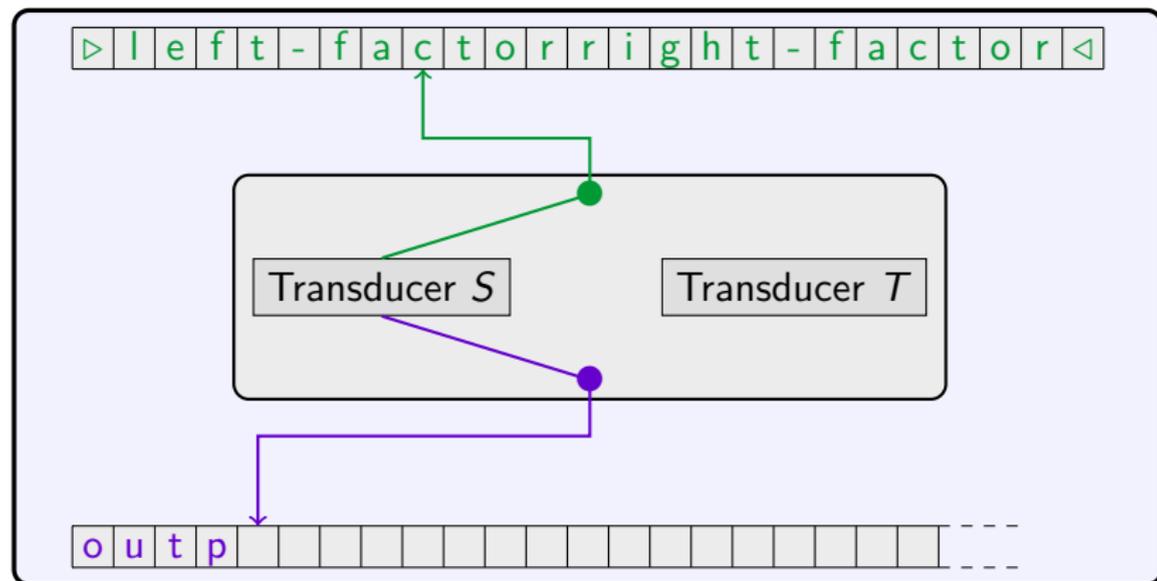
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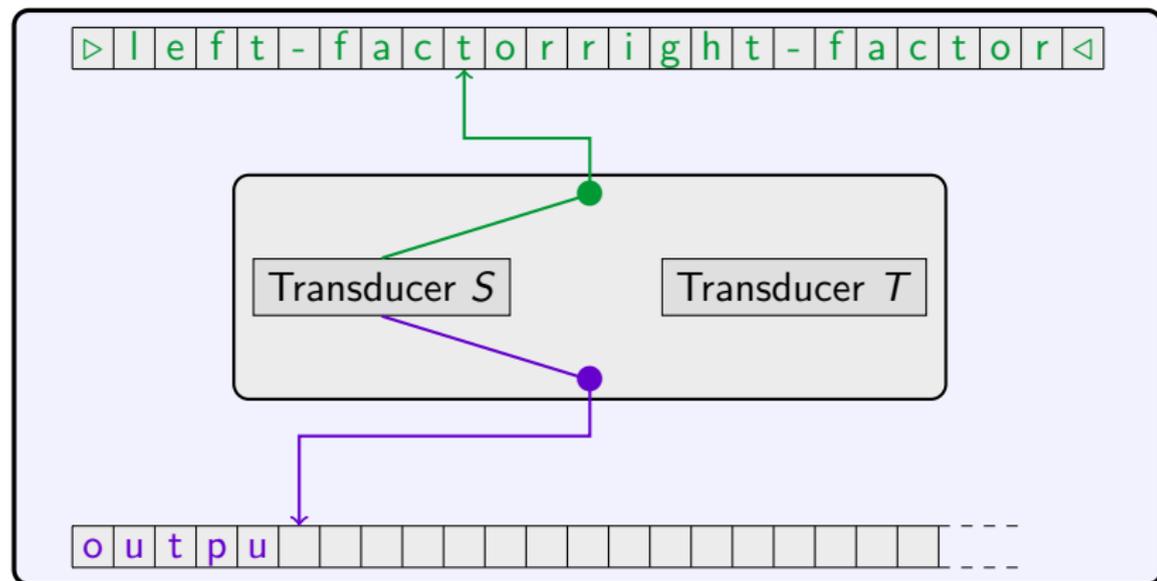
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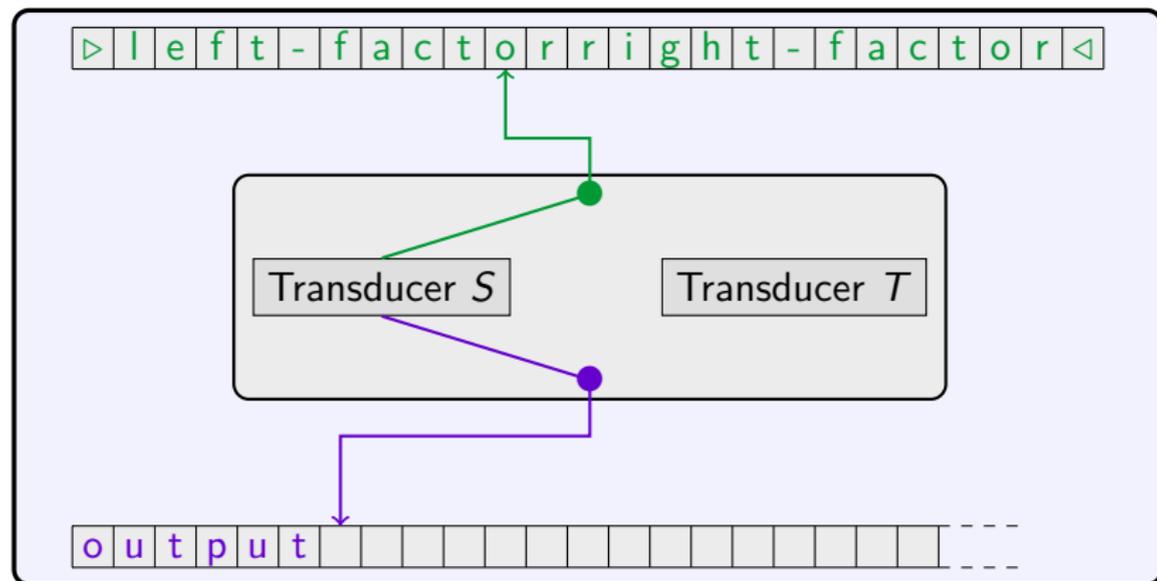
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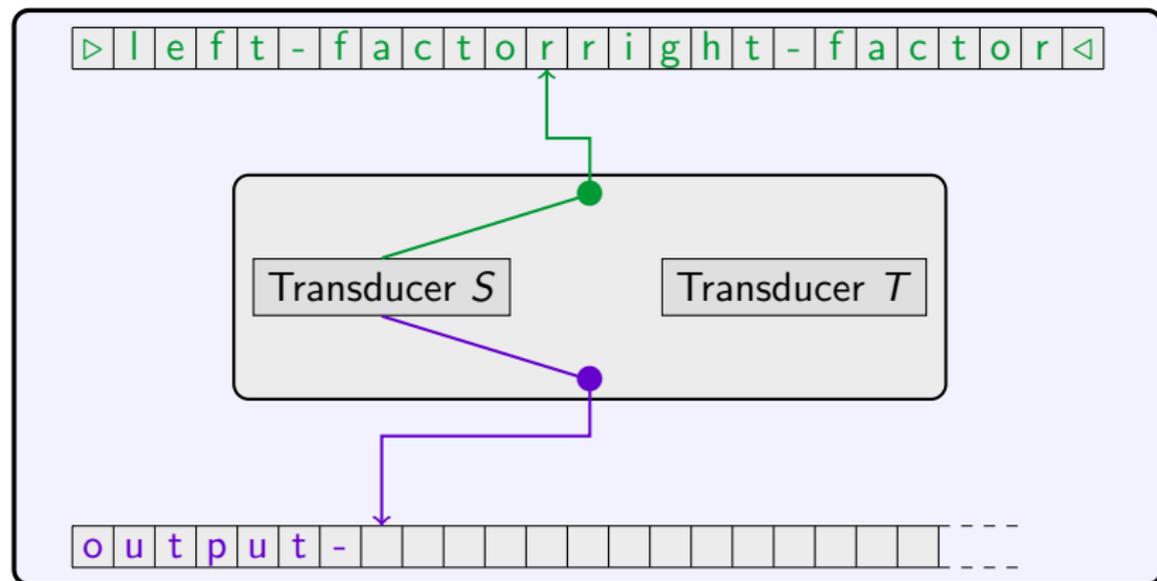
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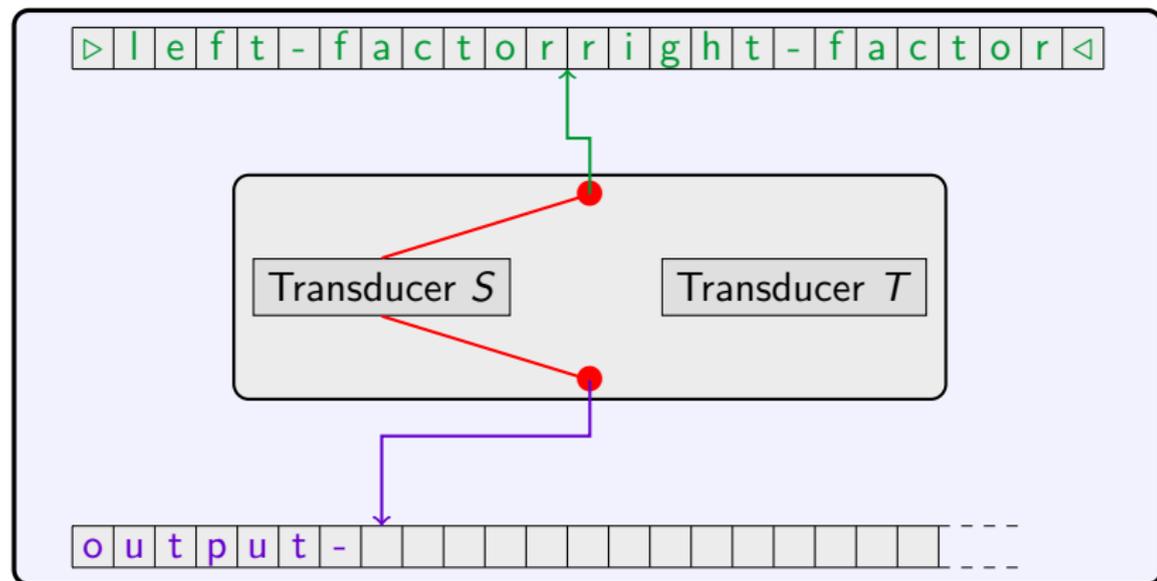
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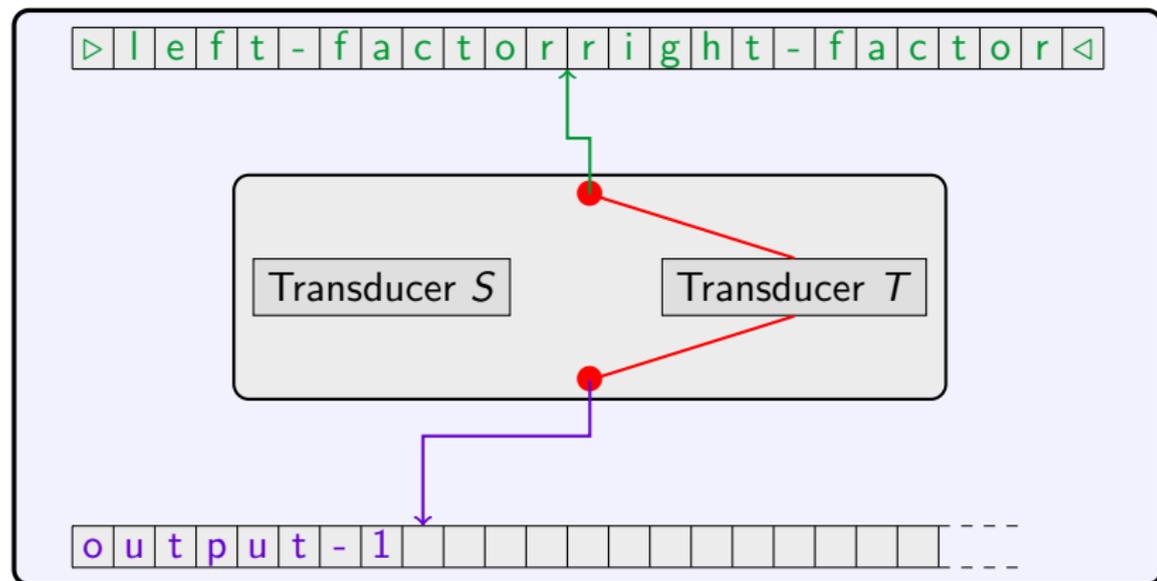
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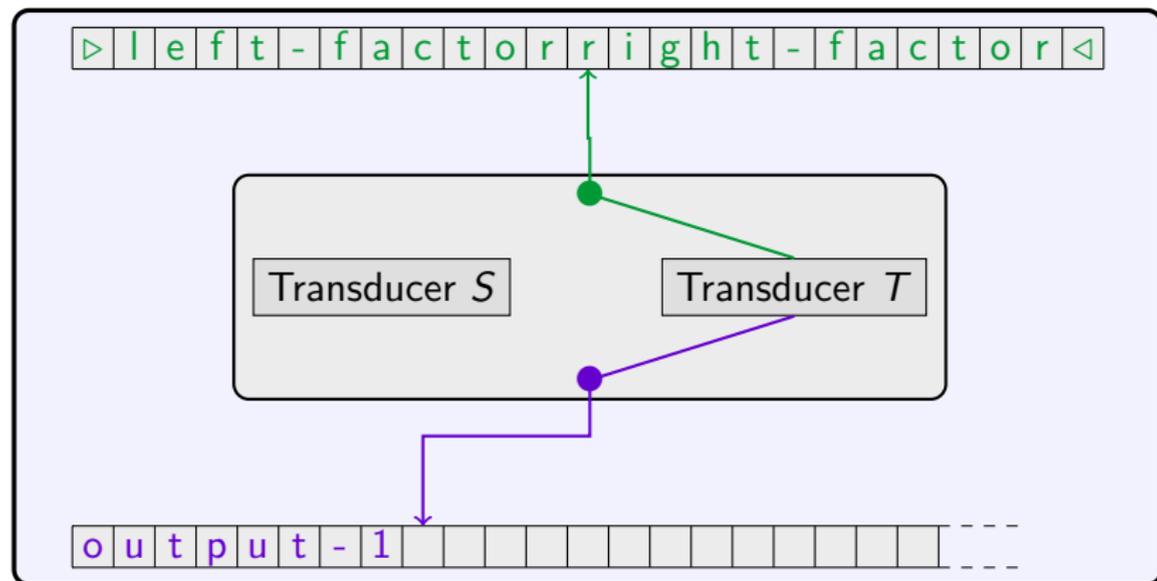
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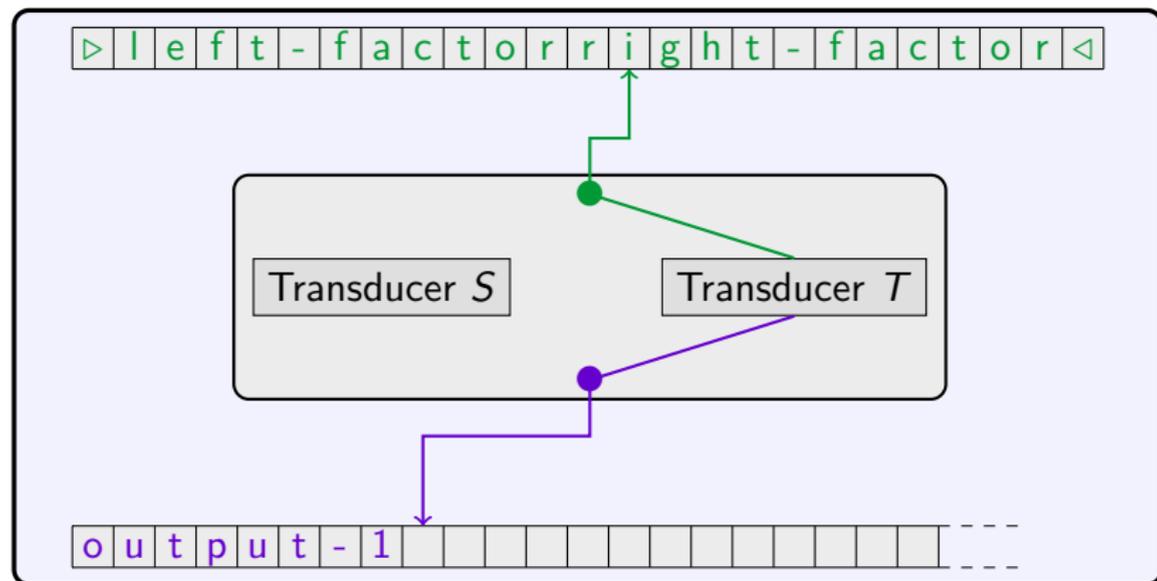
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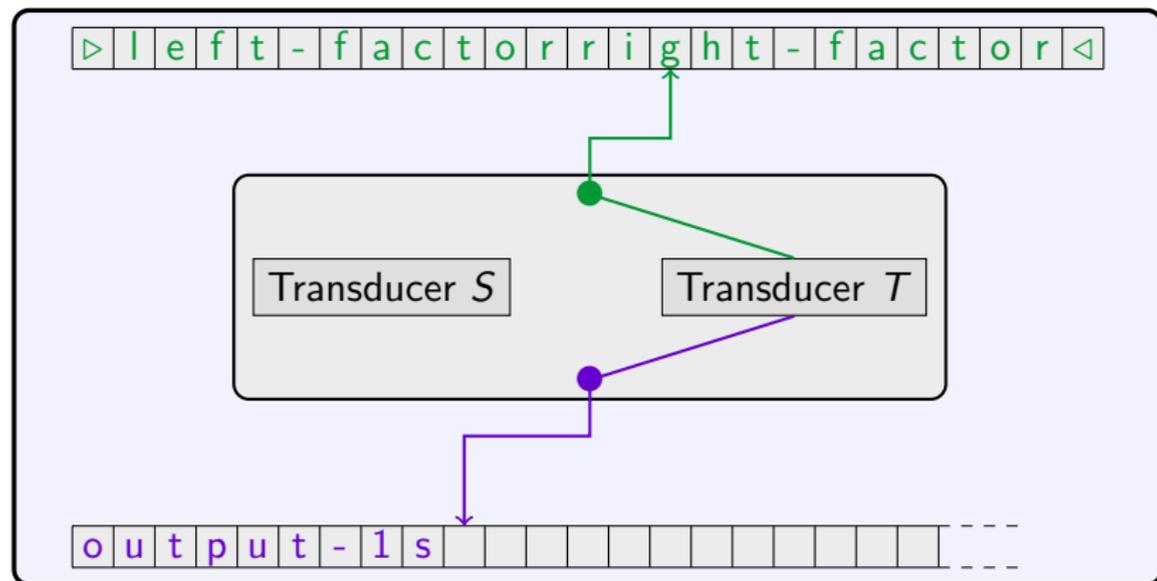
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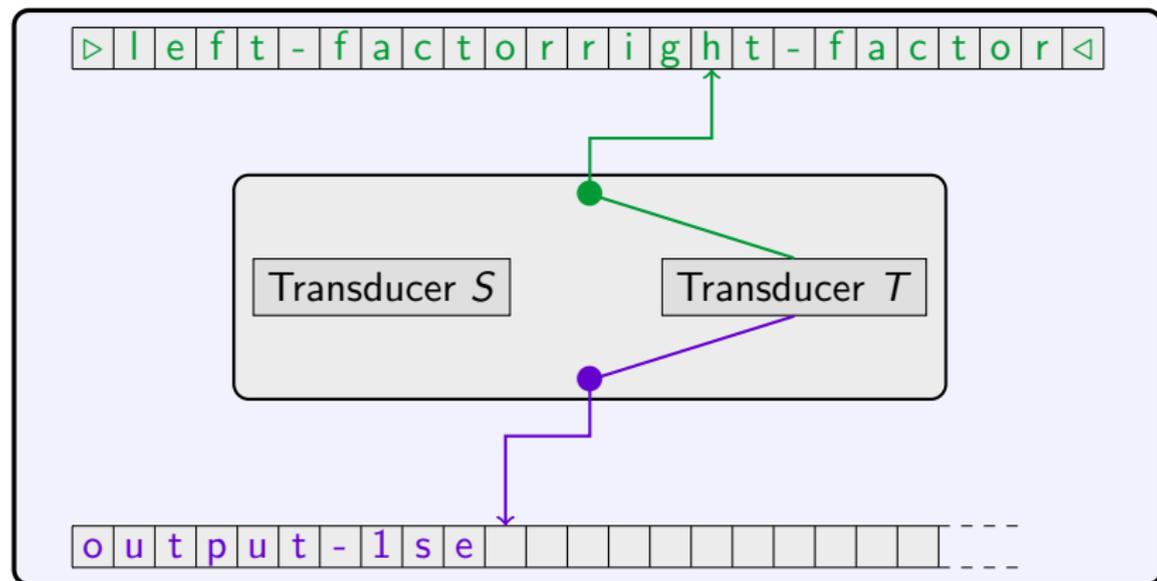
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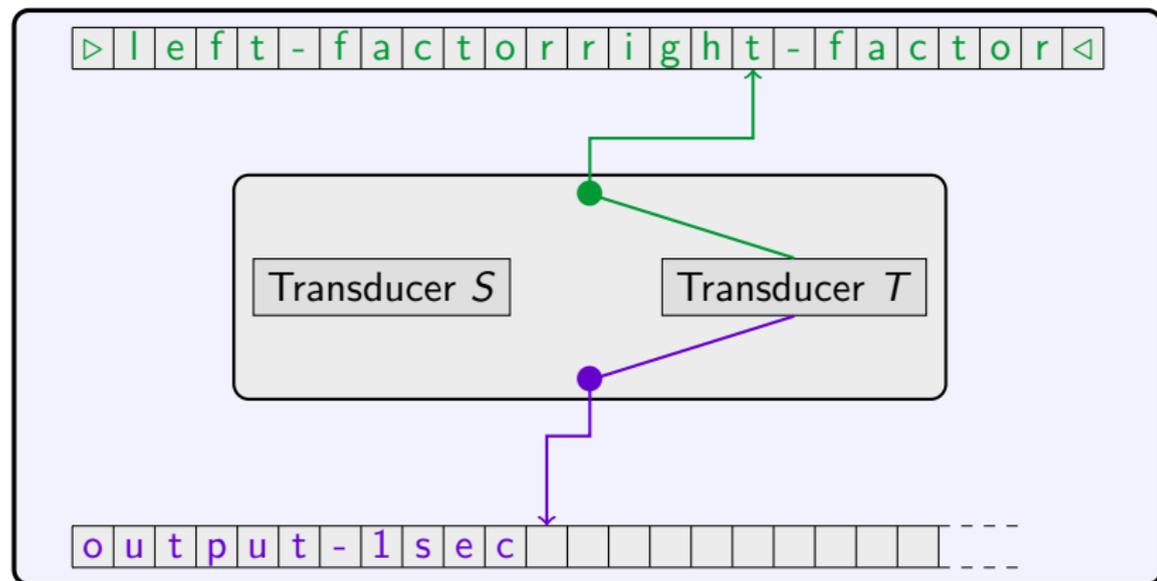
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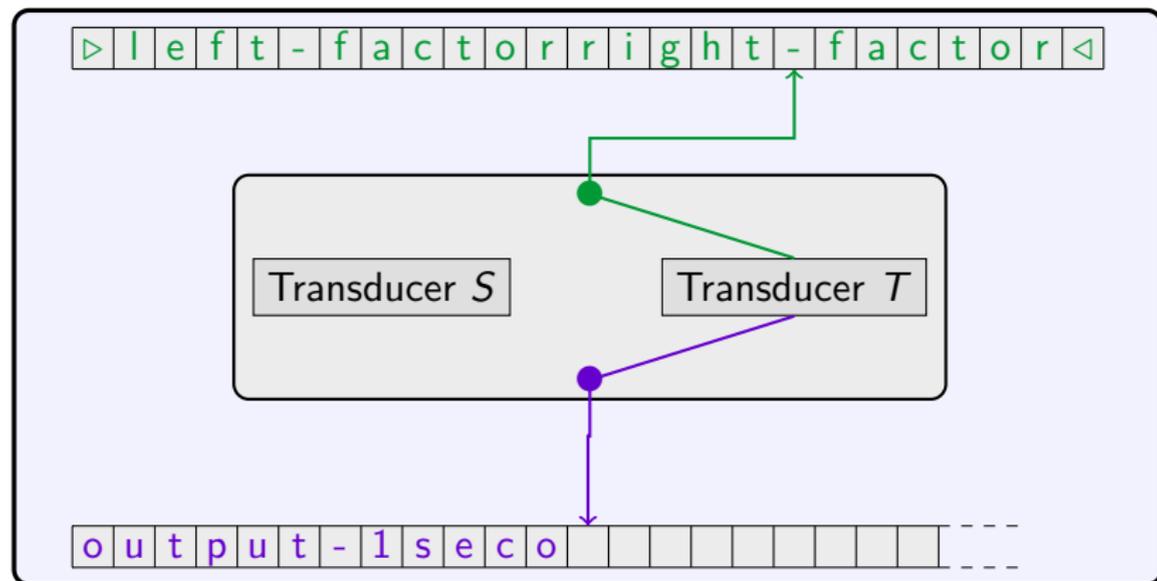
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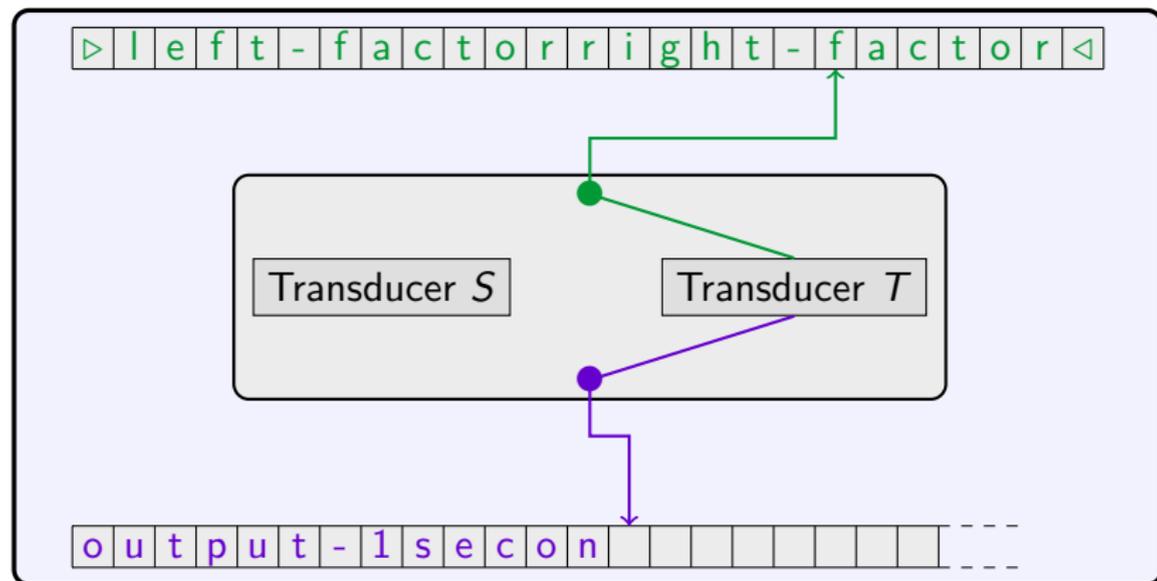
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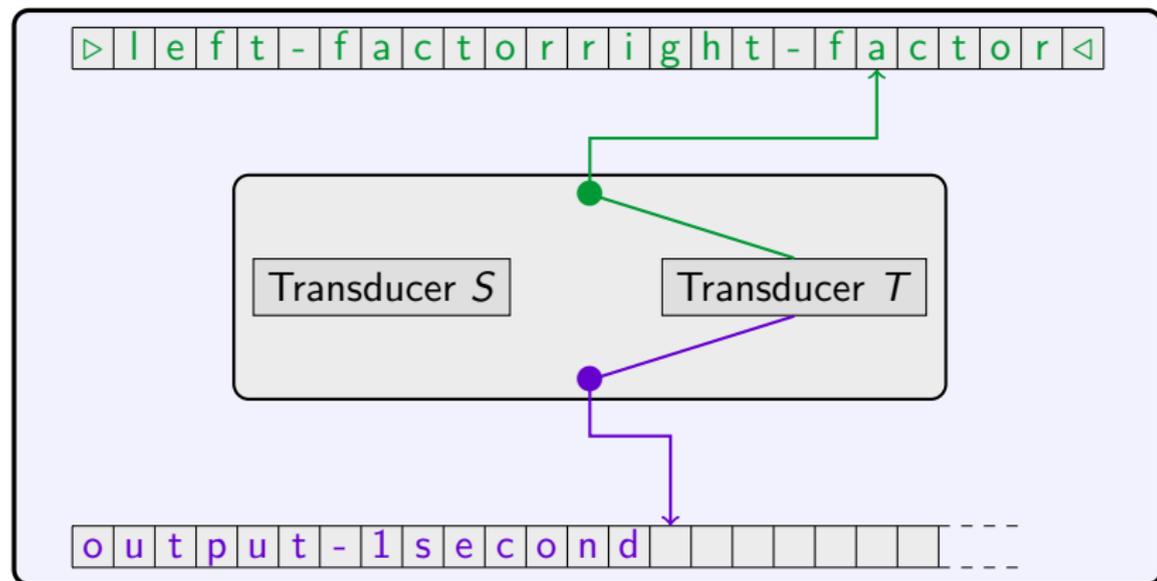
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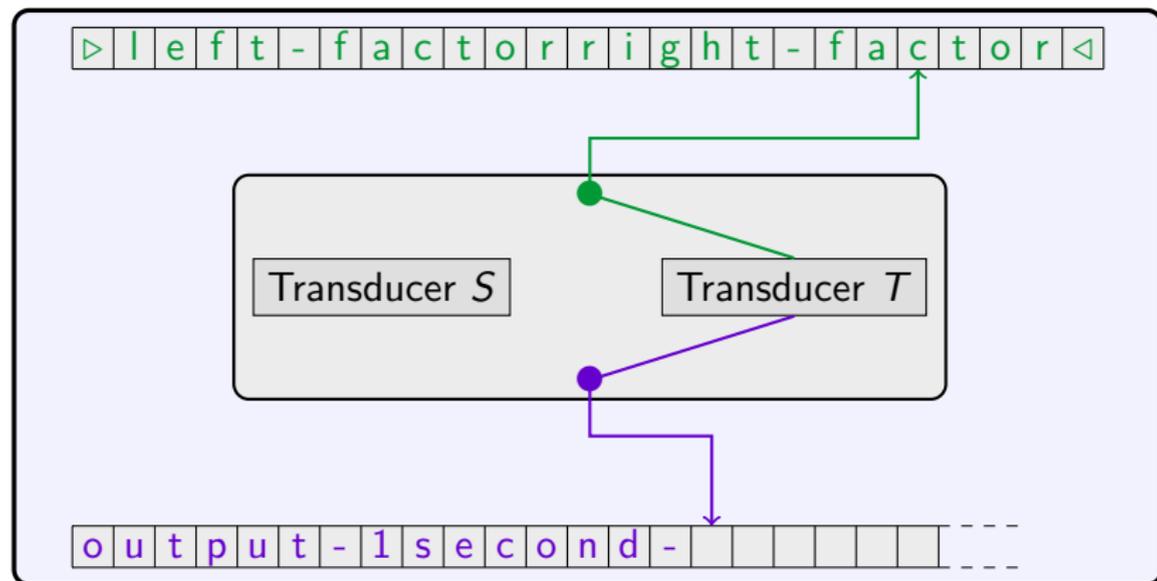
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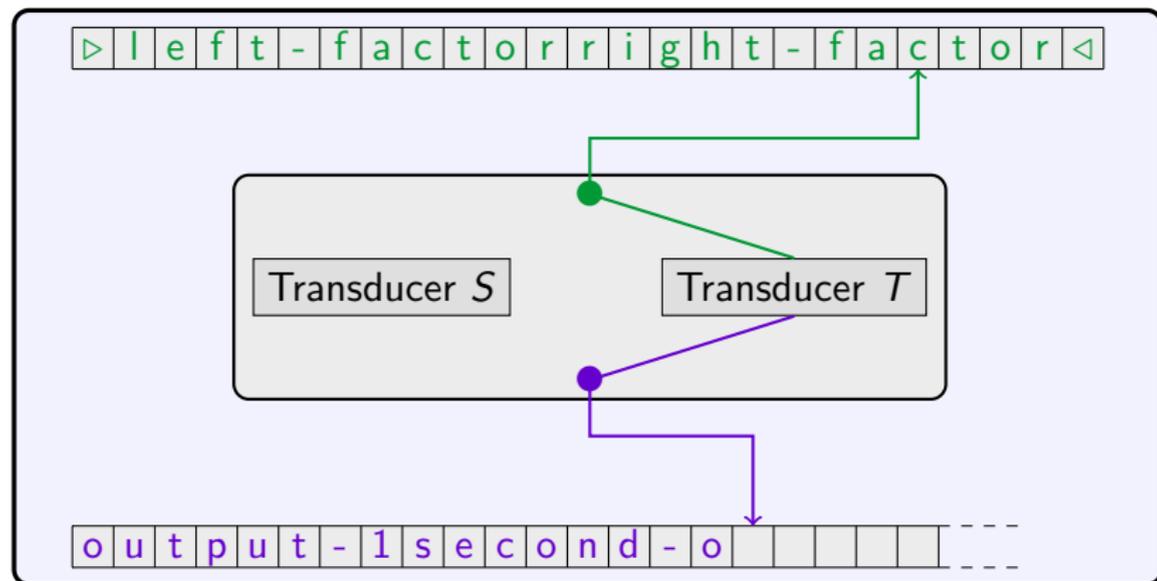
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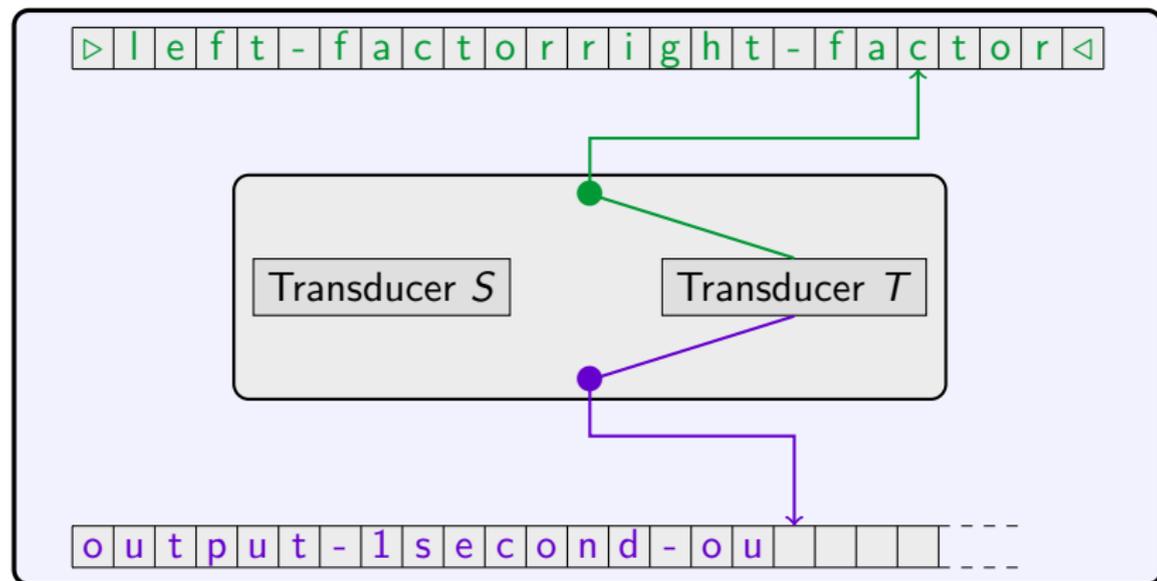
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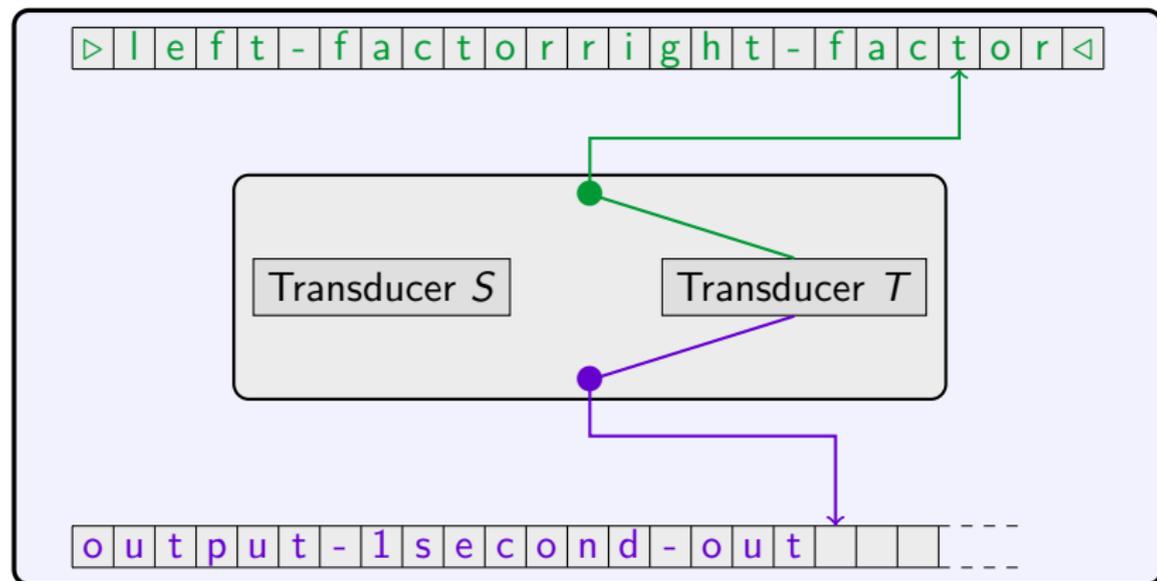
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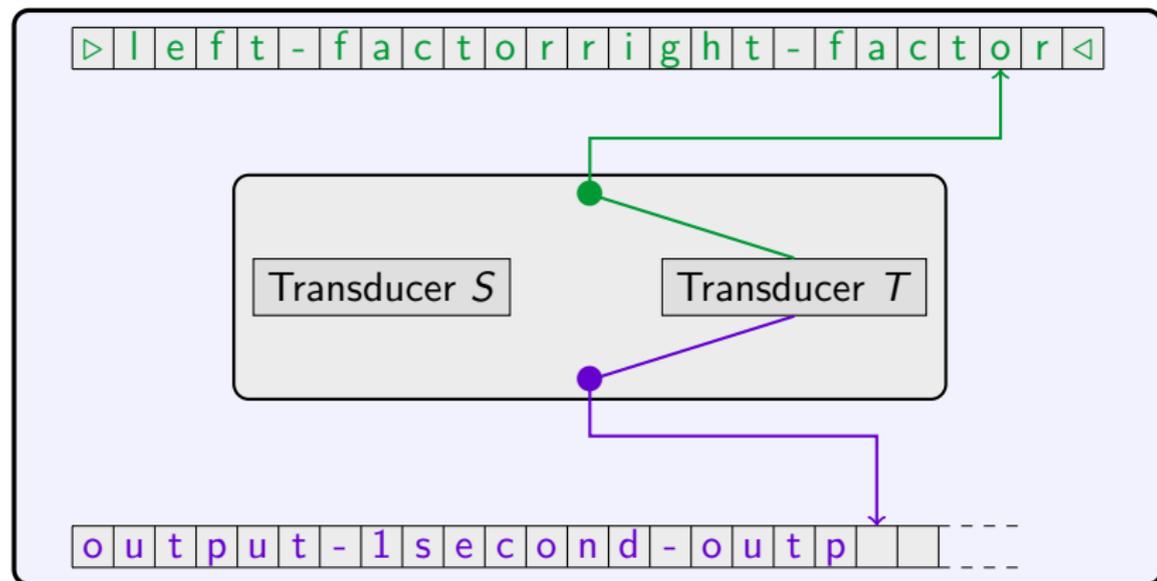
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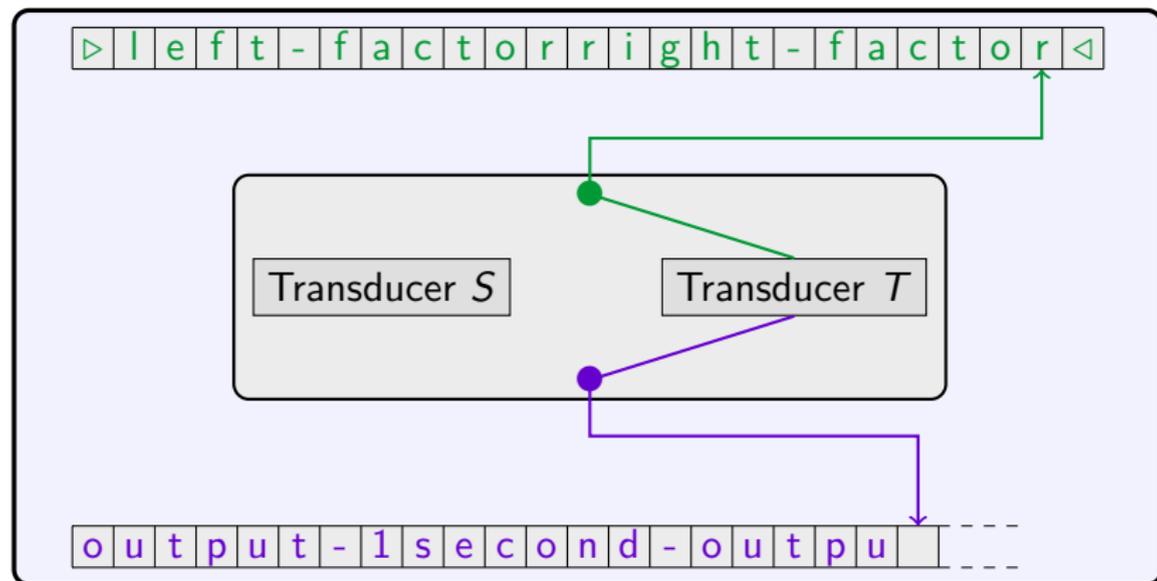
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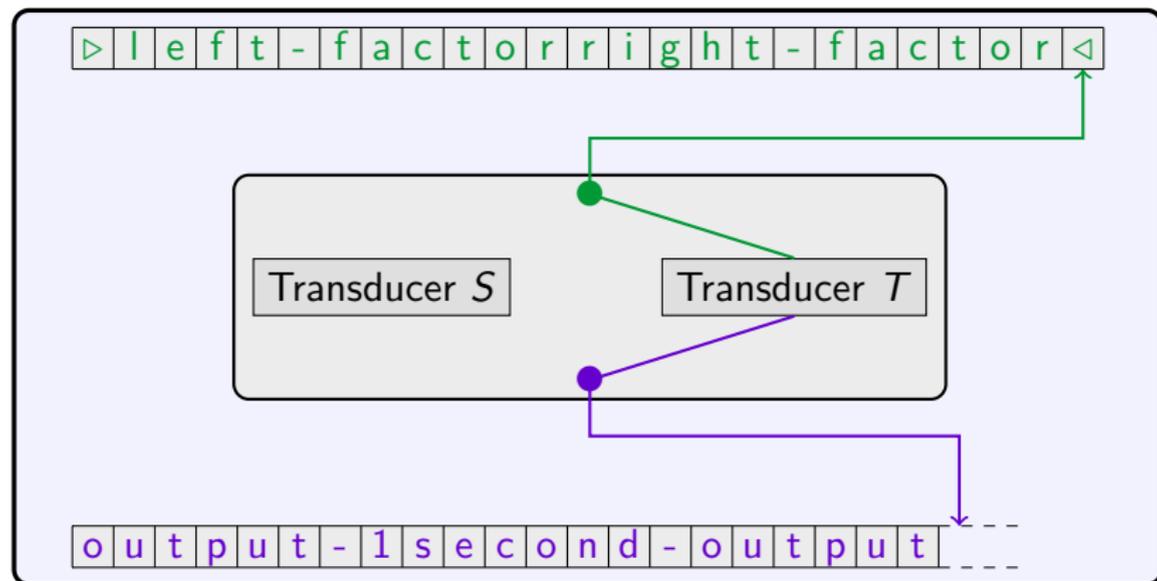
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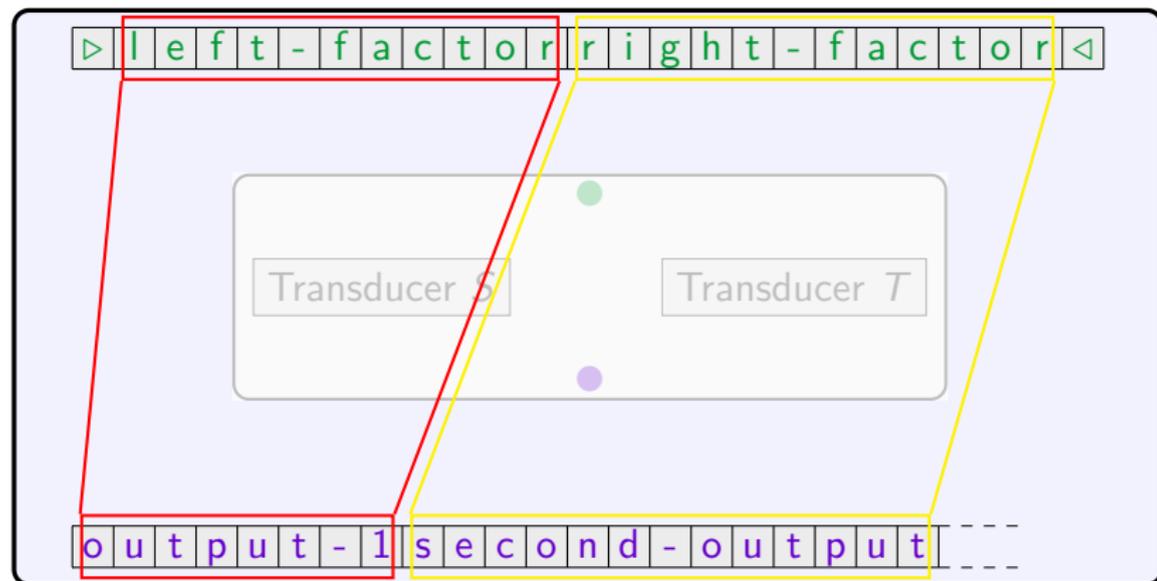
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Theorem

One-way transducers accepts exactly the class of **rational series**.

Hadamard operations...

- ▶ Hadamard product:

$$s \oplus t = \sum_{u \in \Sigma^*} \langle s, u \rangle \cdot \langle t, u \rangle u$$

Hadamard operations...

- ▶ Hadamard product:

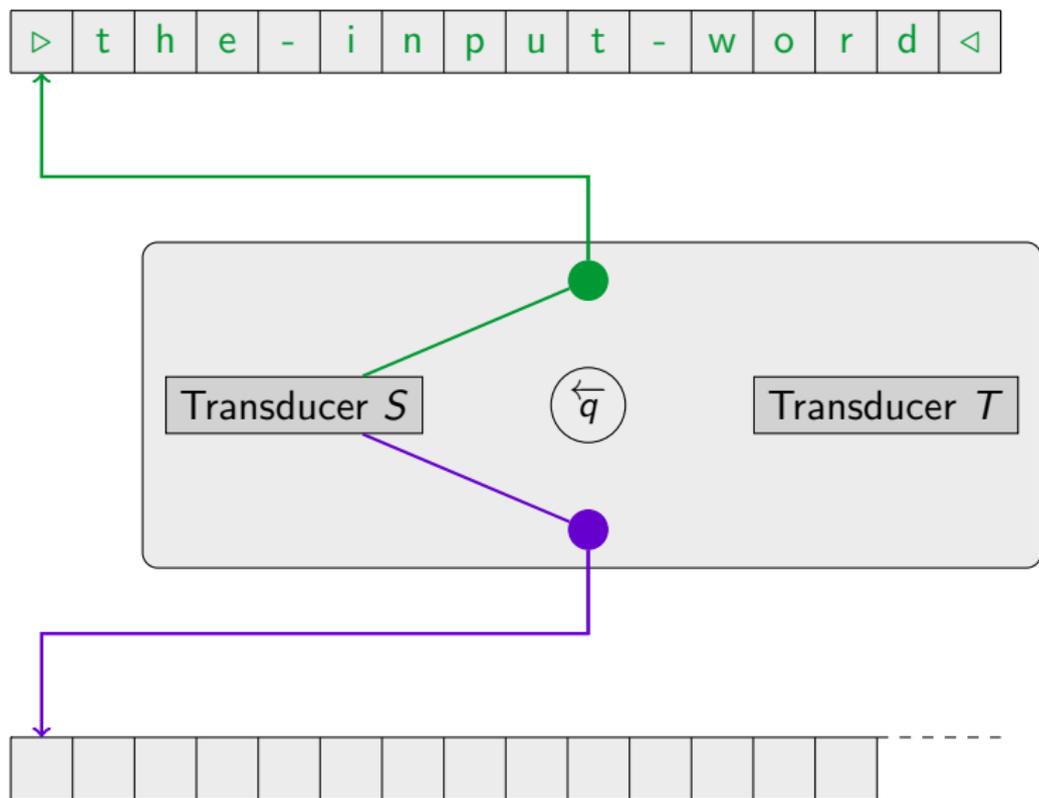
$$s \oplus t = \sum_{u \in \Sigma^*} \langle s, u \rangle \cdot \langle t, u \rangle u$$

- ▶ Hadamard star:

$$s^{\text{H}^*} = \sum_{n \in \mathbb{N}} \underbrace{s \oplus s \oplus \dots \oplus s}_{n \text{ times}} = \sum_{u \in \Sigma^*} \langle s, u \rangle^* u$$

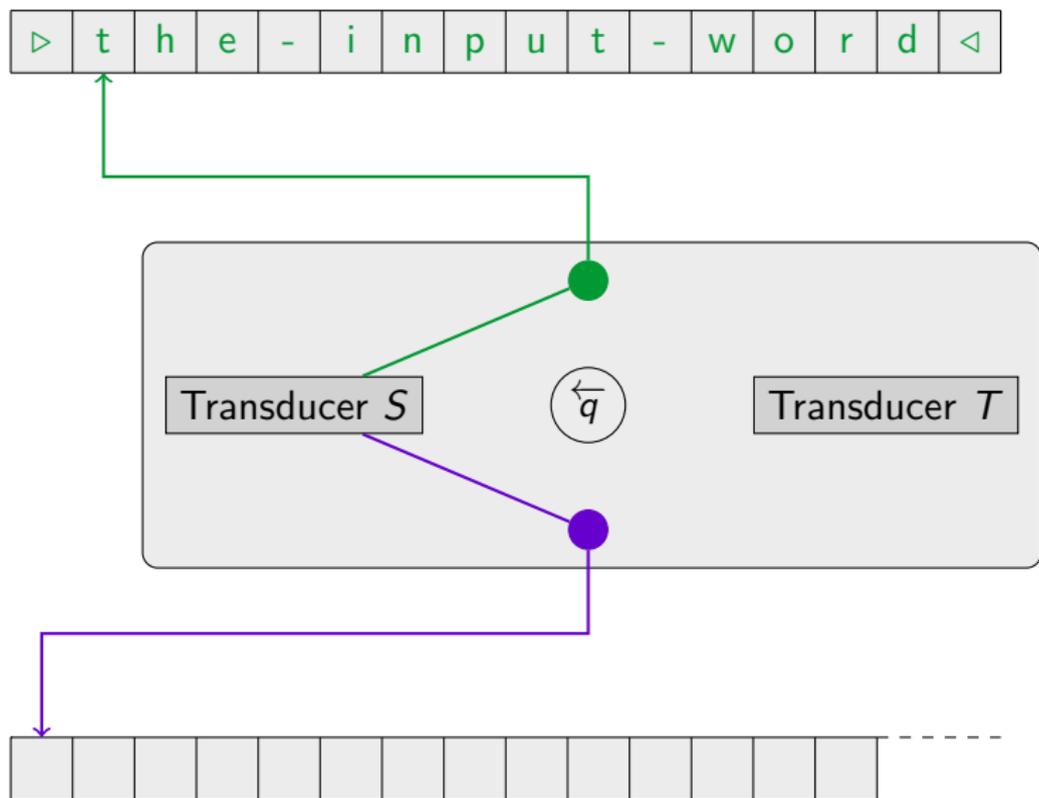
Hadamard operations are natural for two-way transducers

Hadamard product: $s \oplus t$



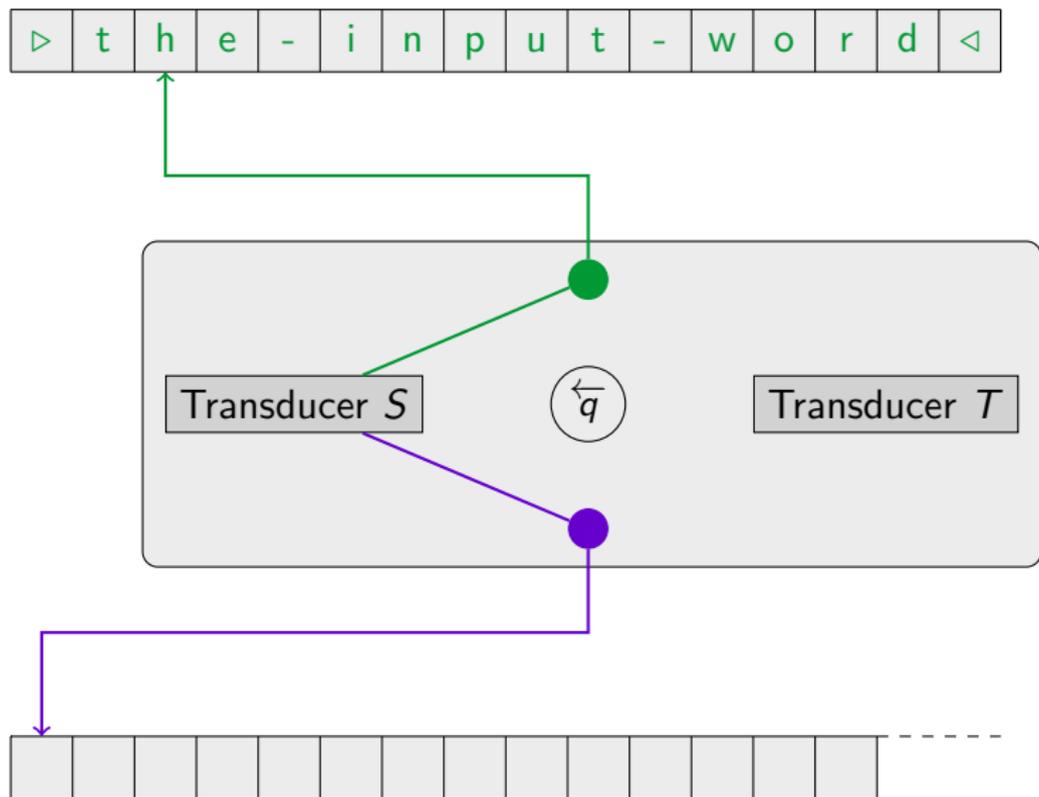
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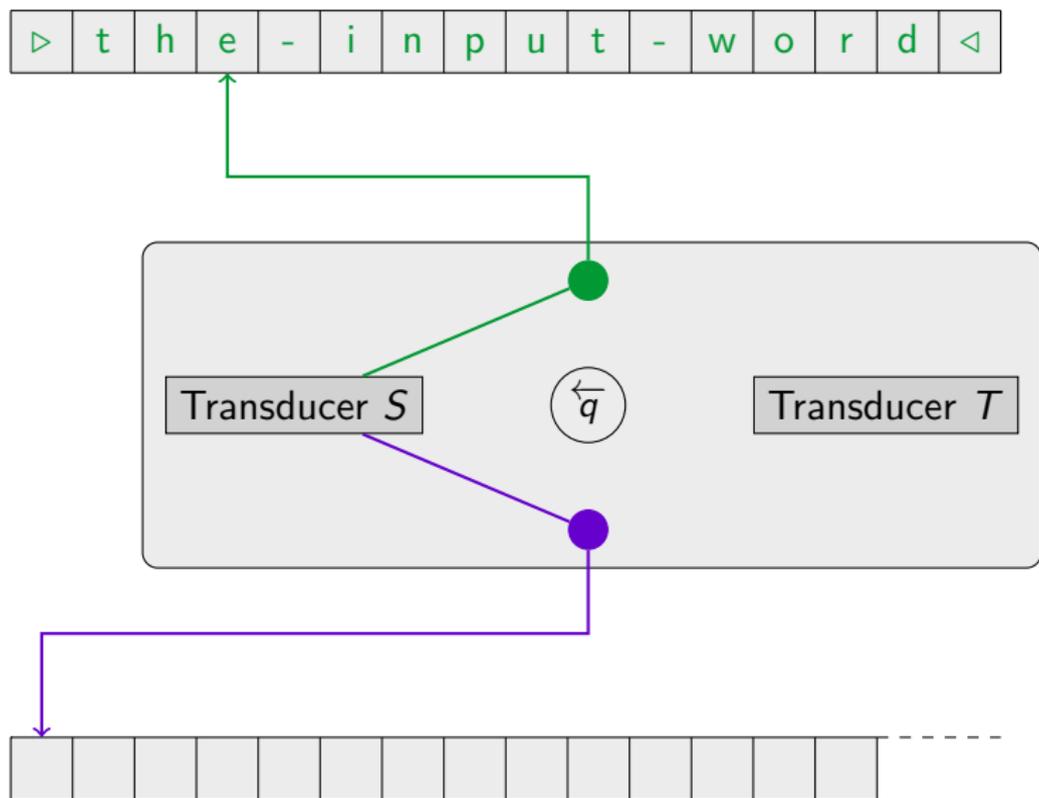
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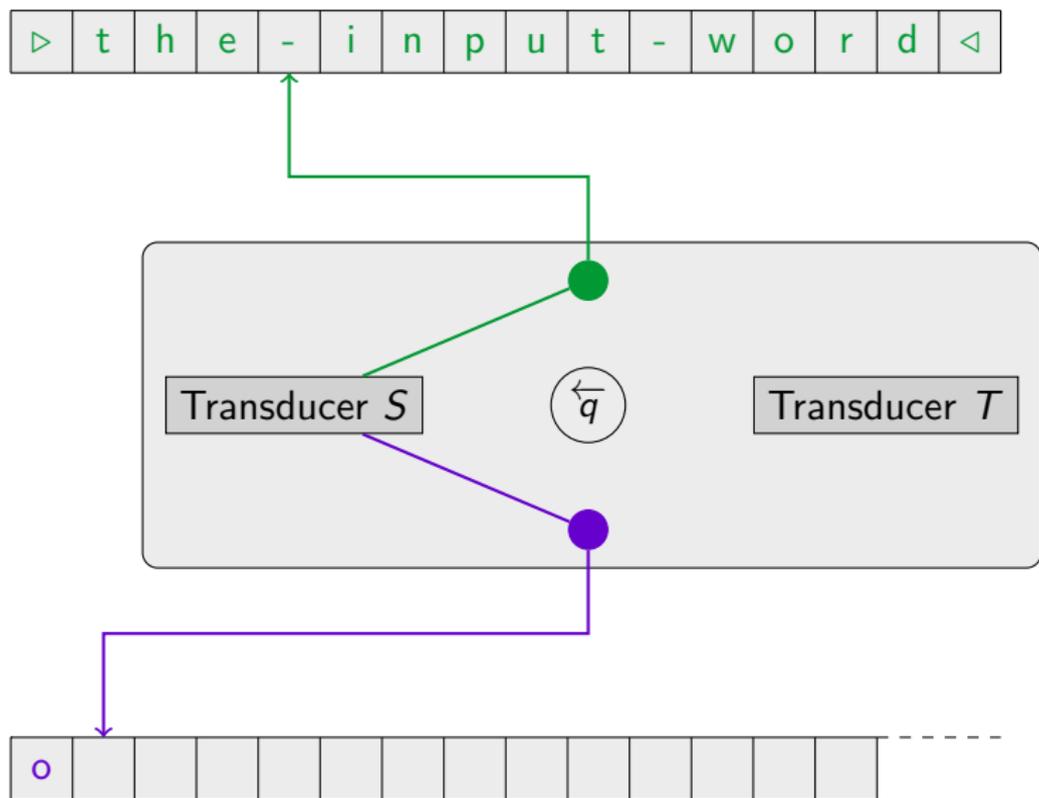
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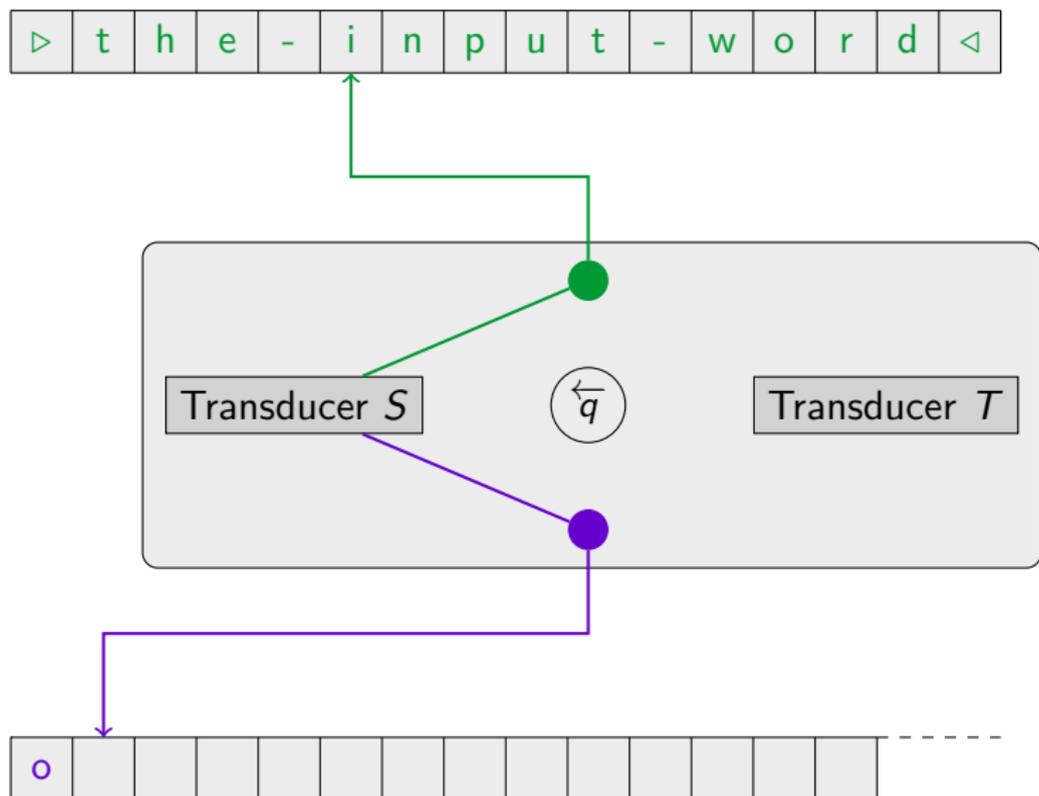
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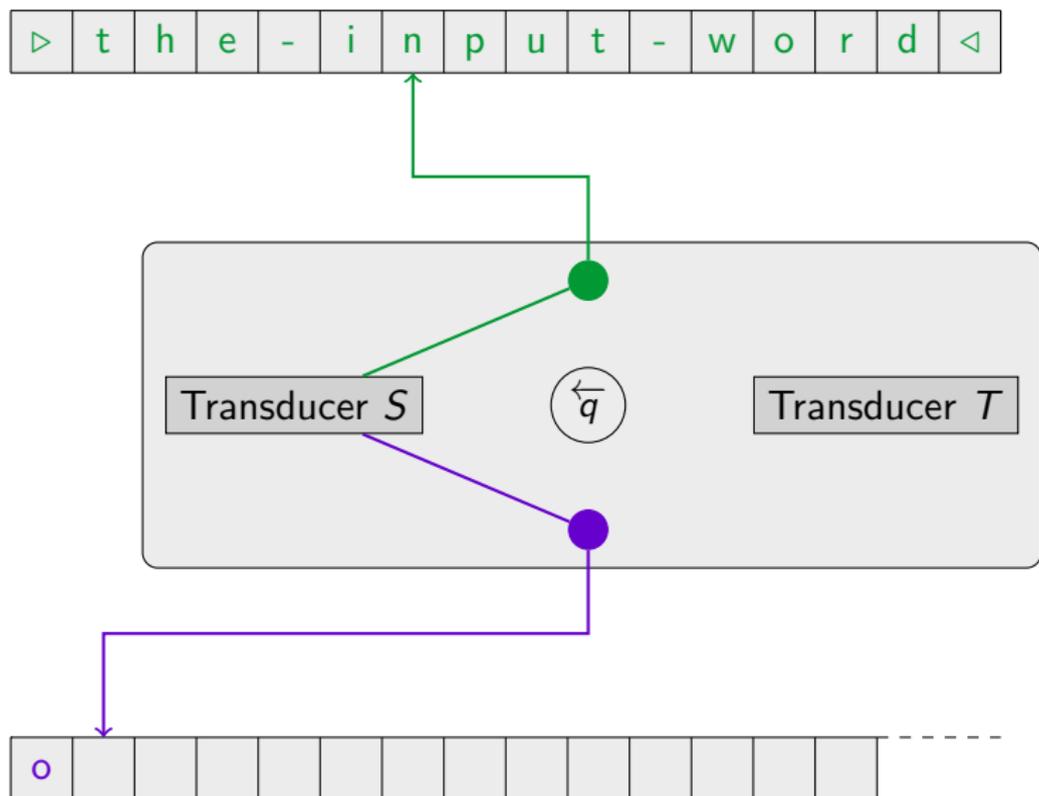
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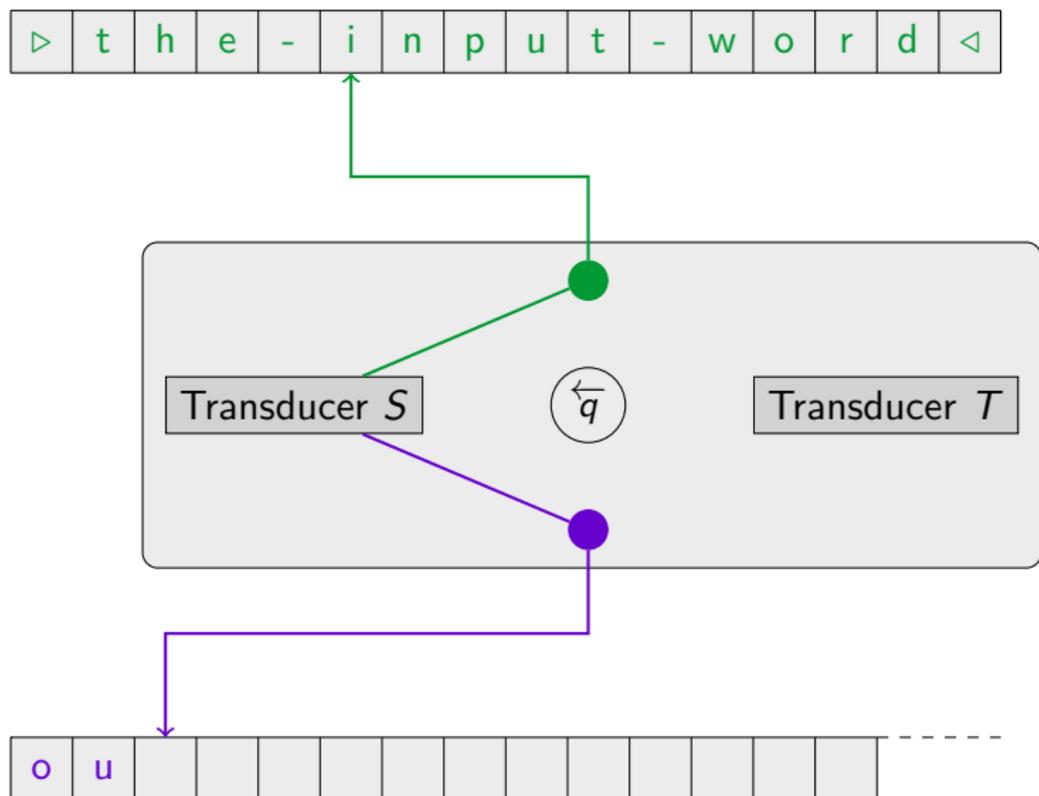
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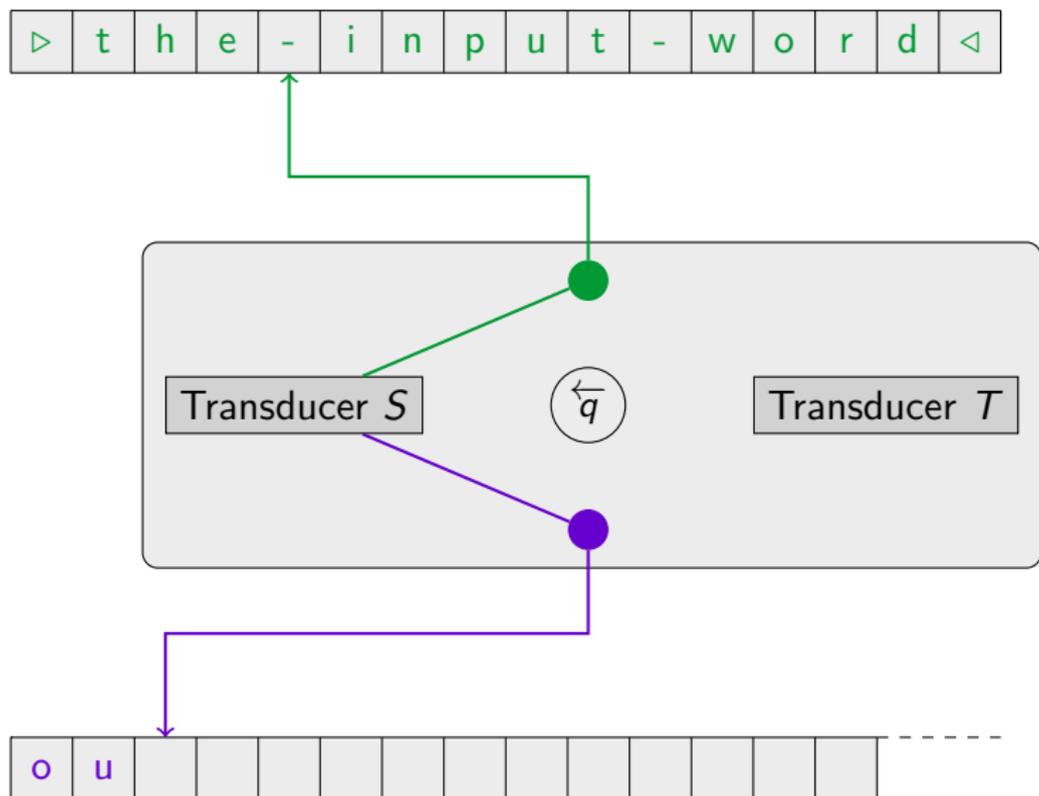
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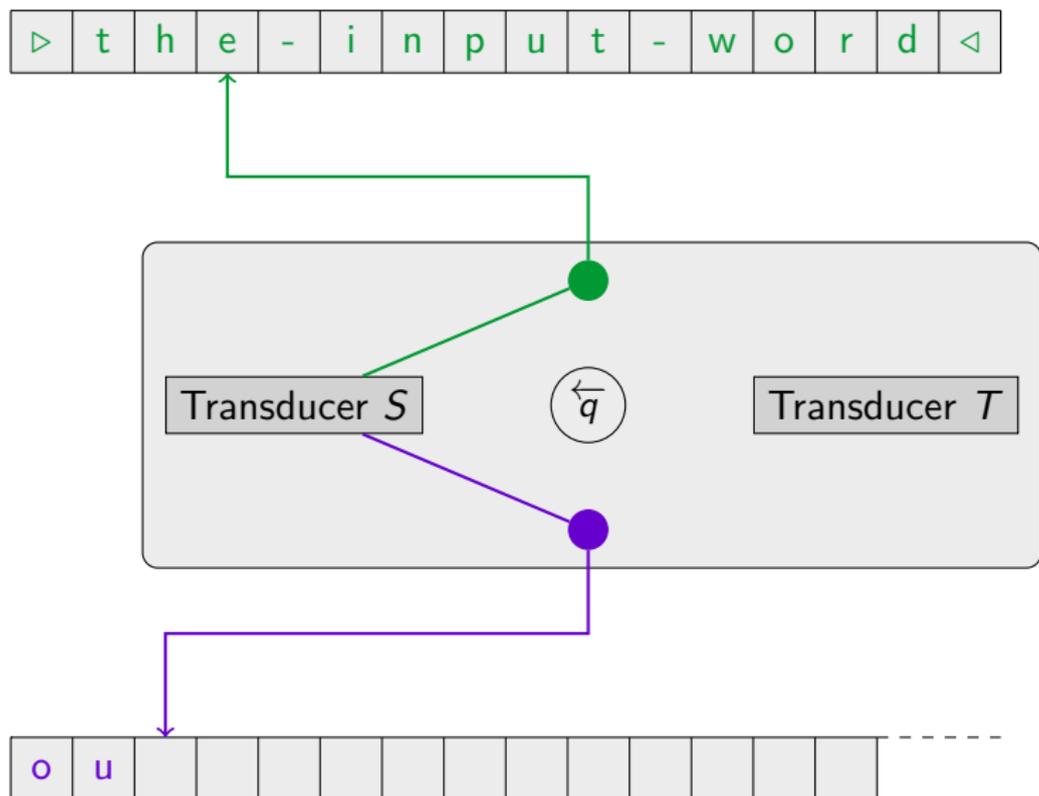
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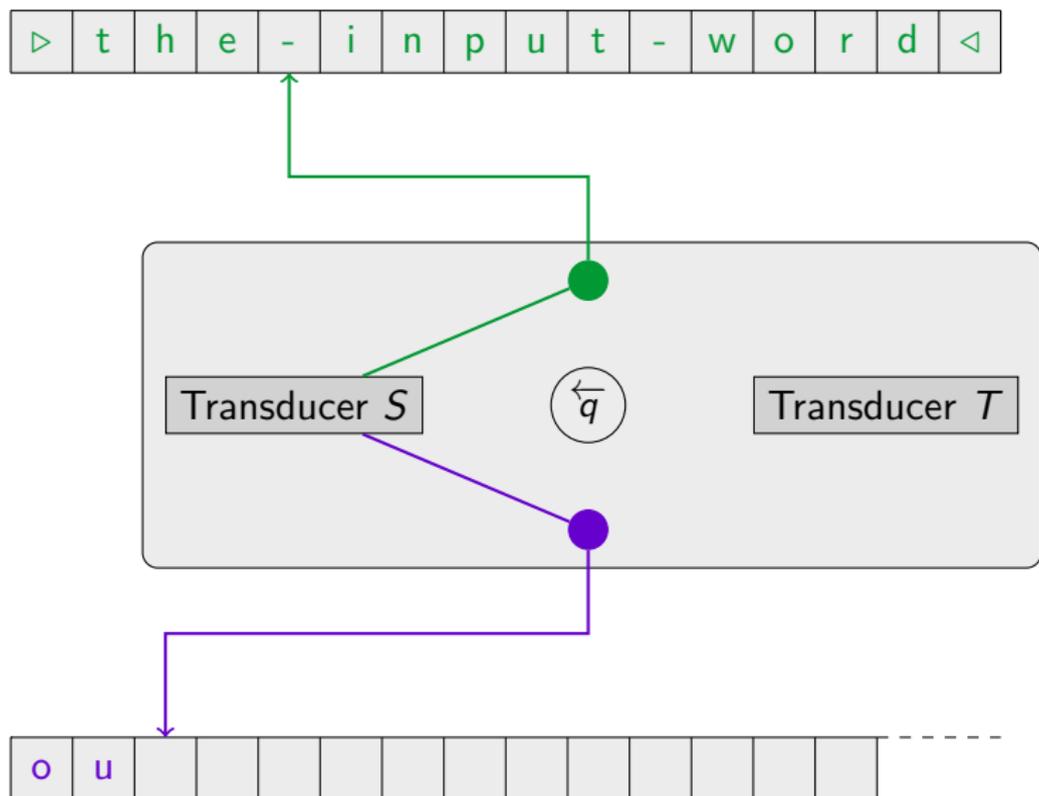
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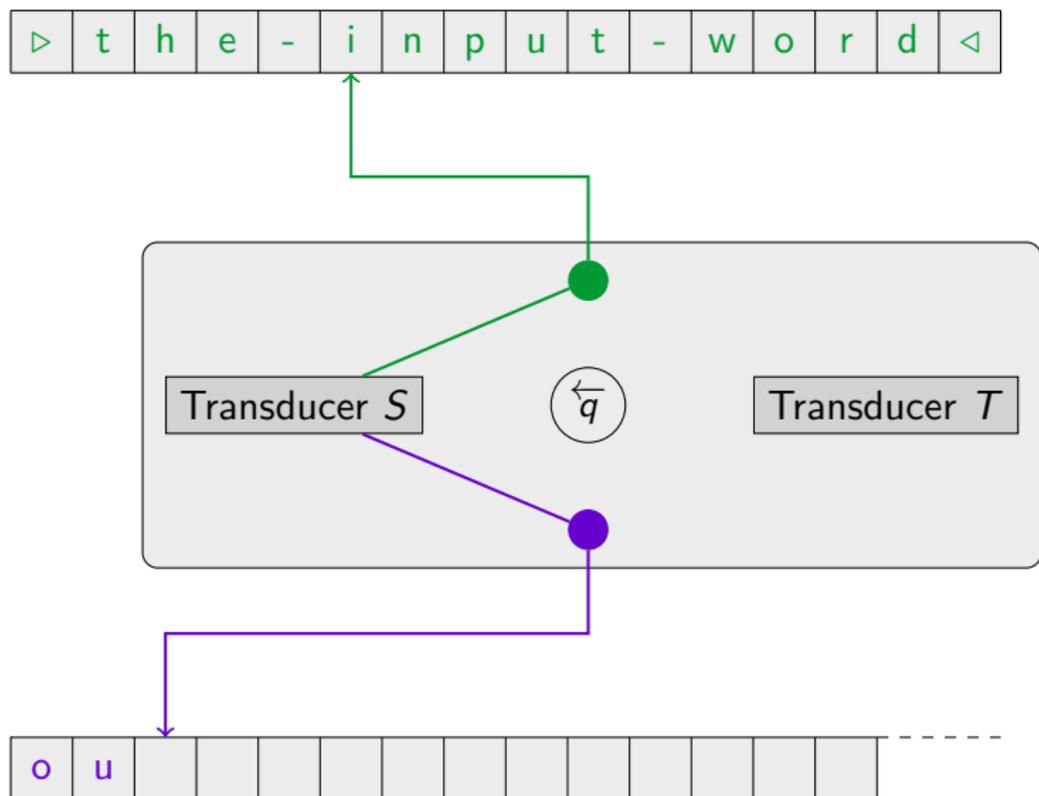
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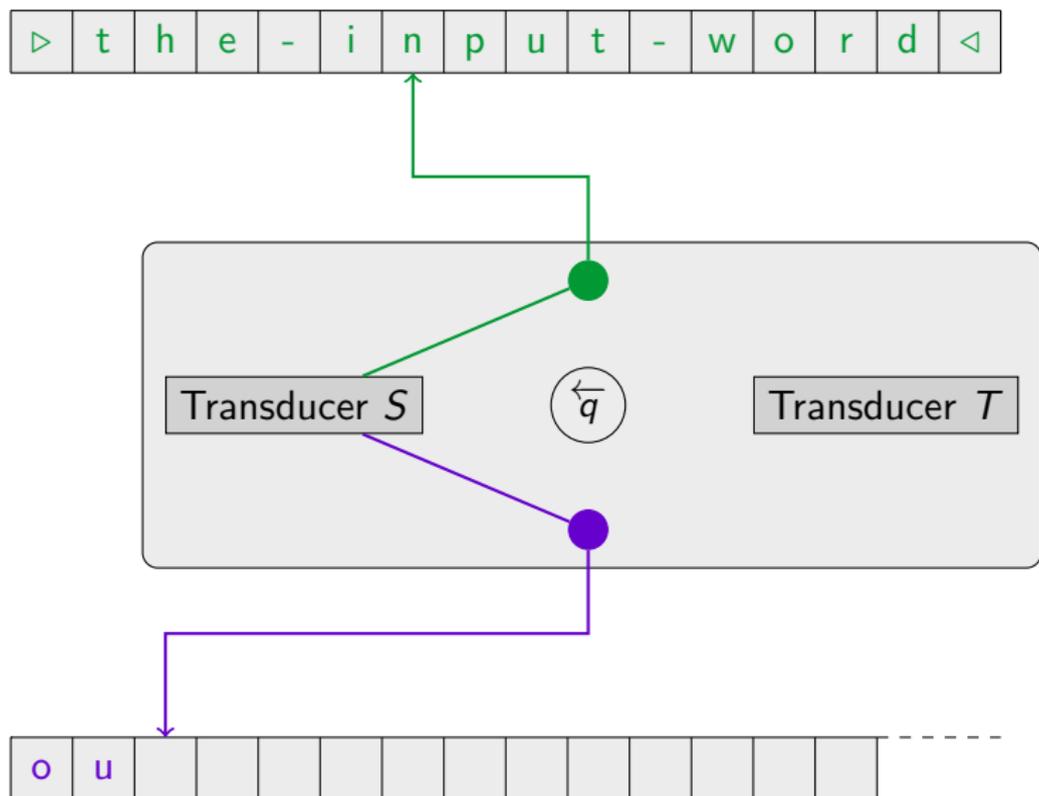
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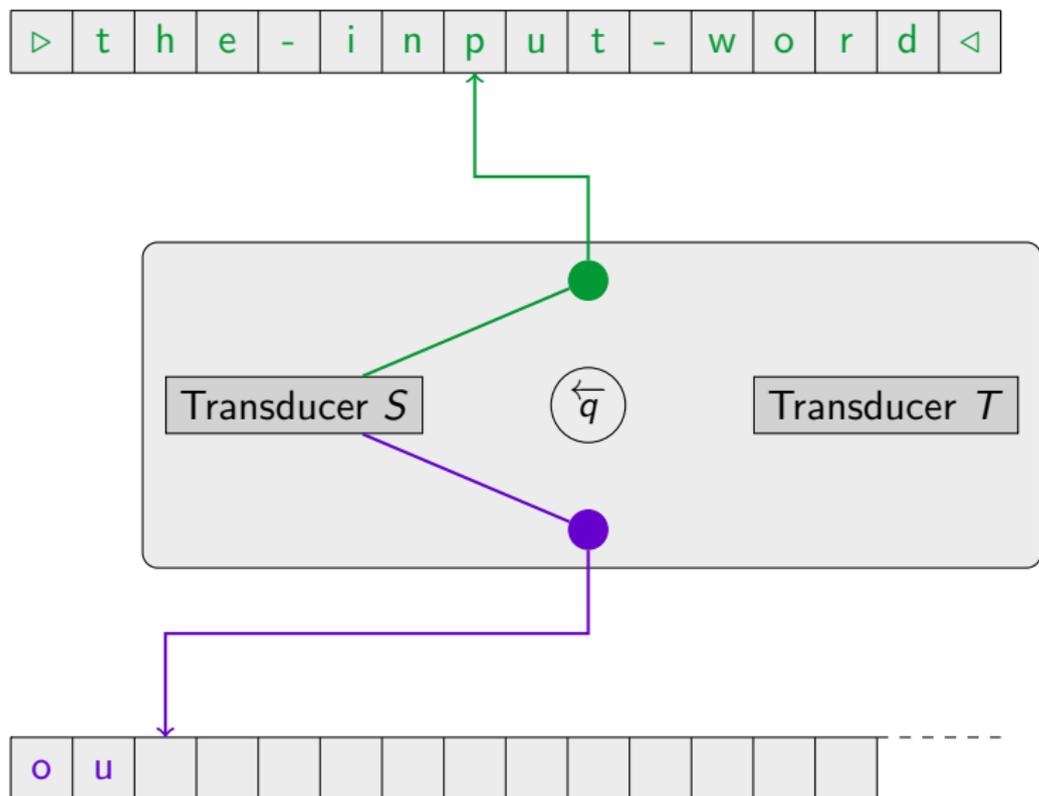
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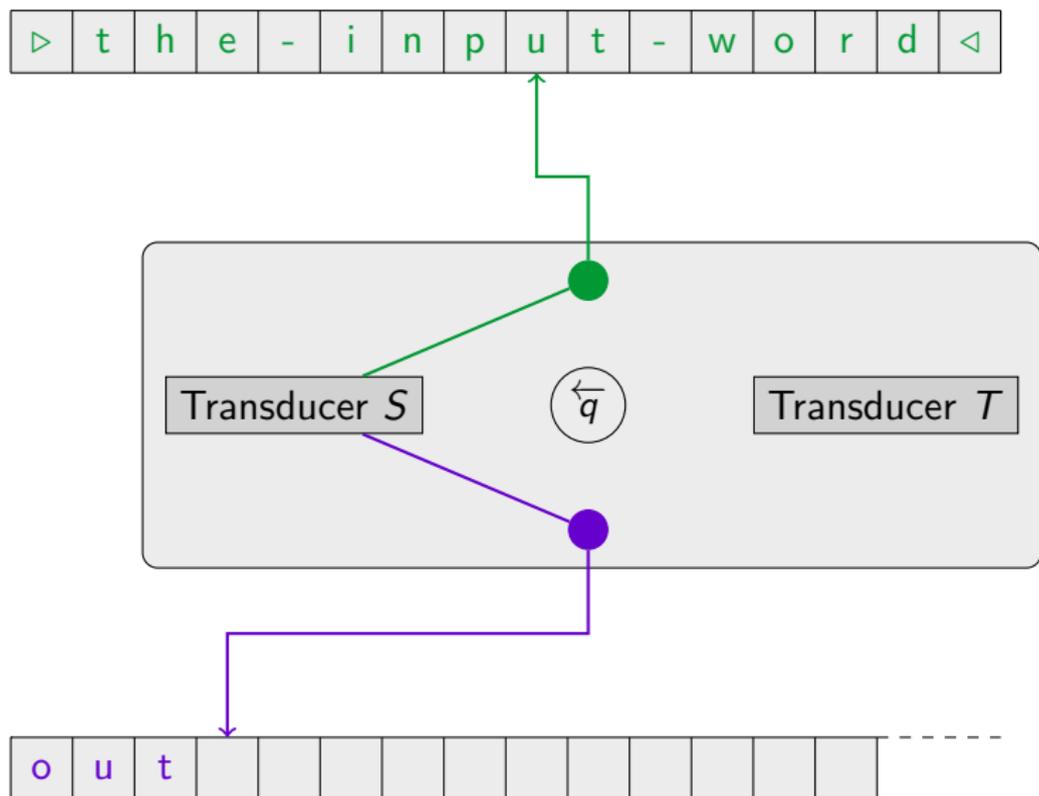
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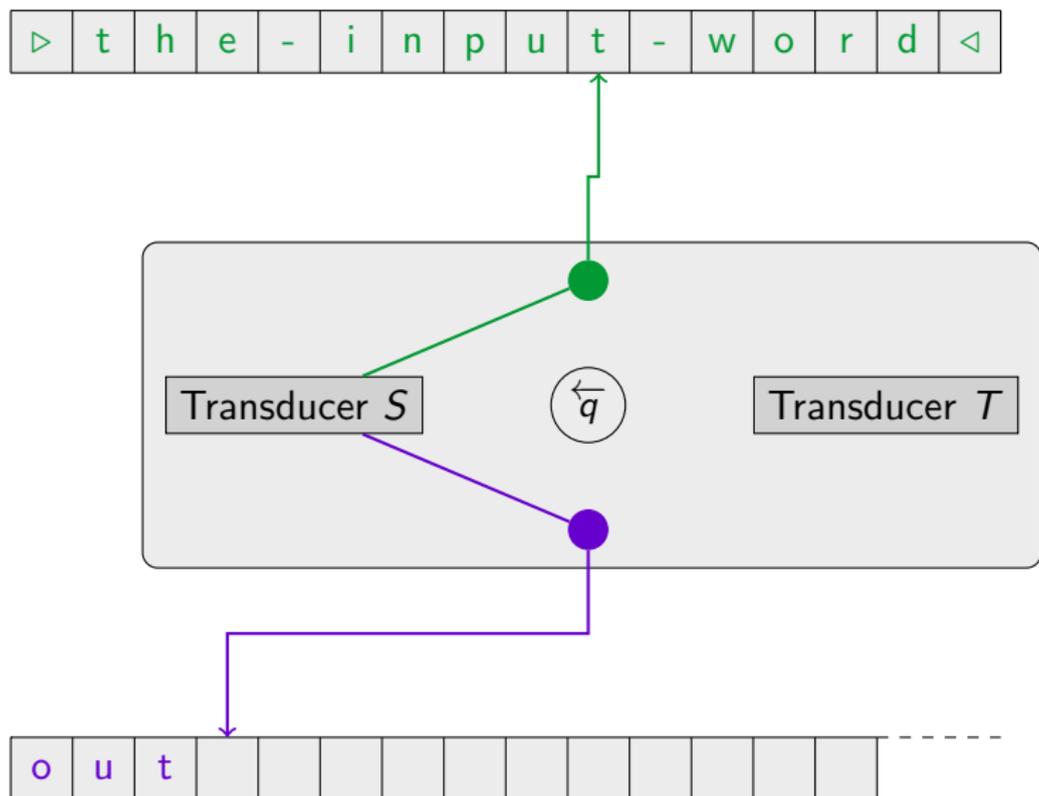
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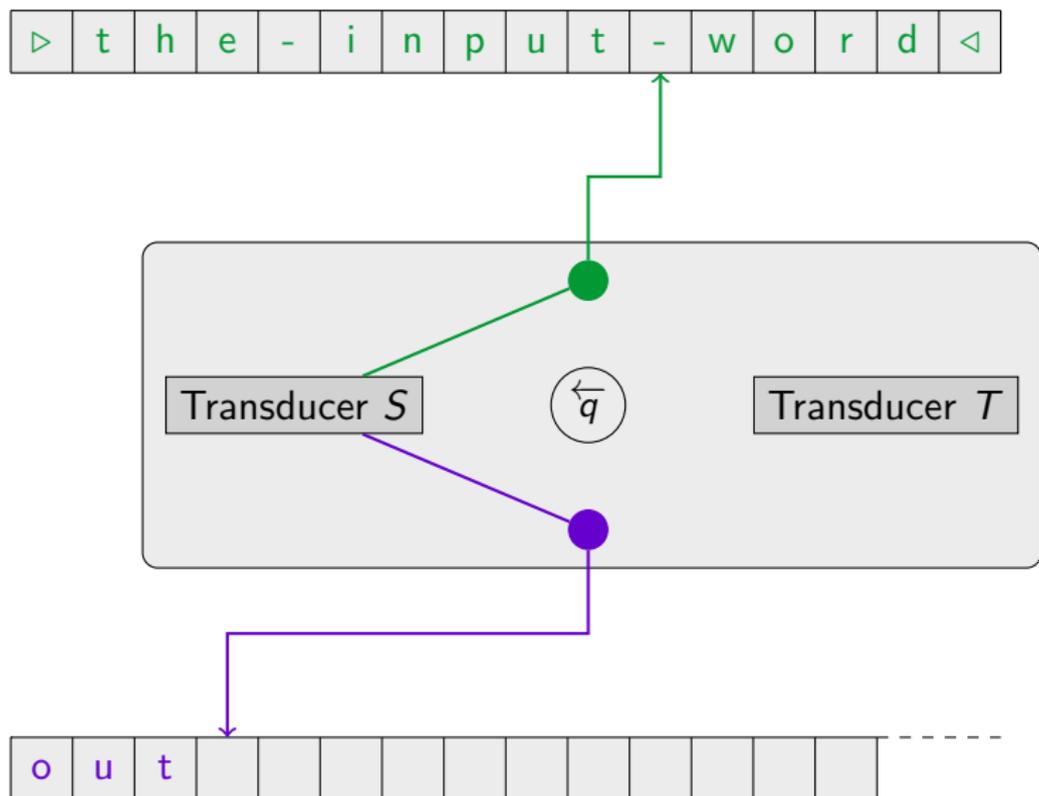
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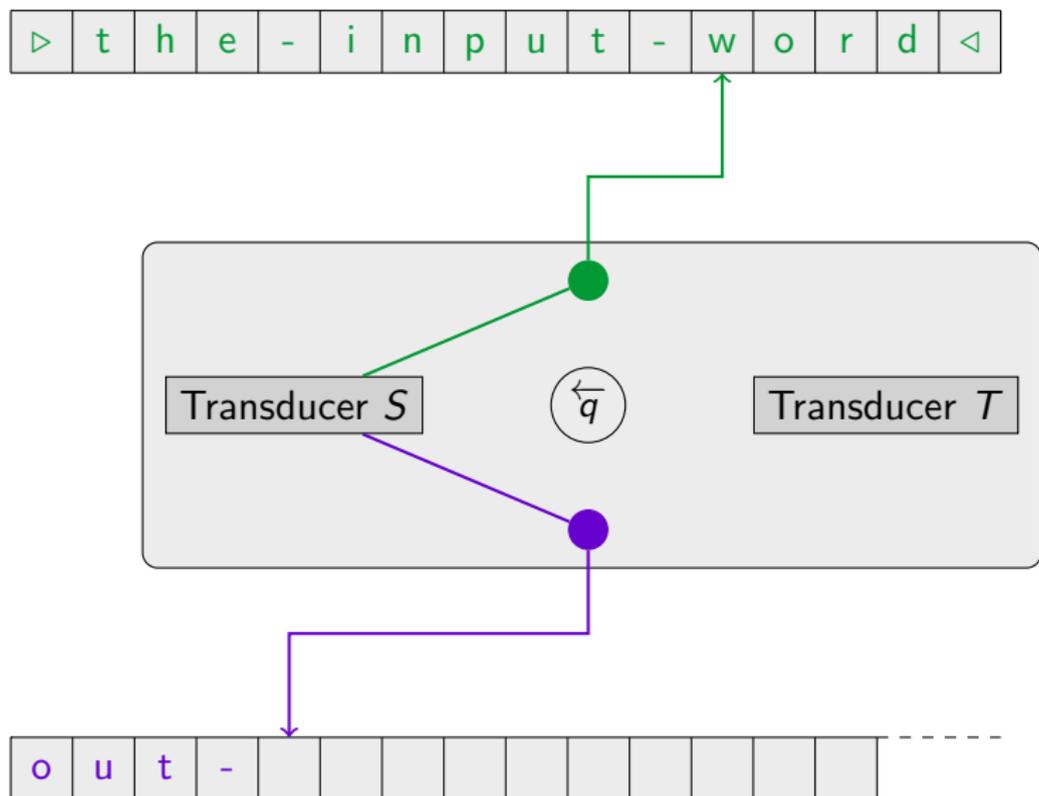
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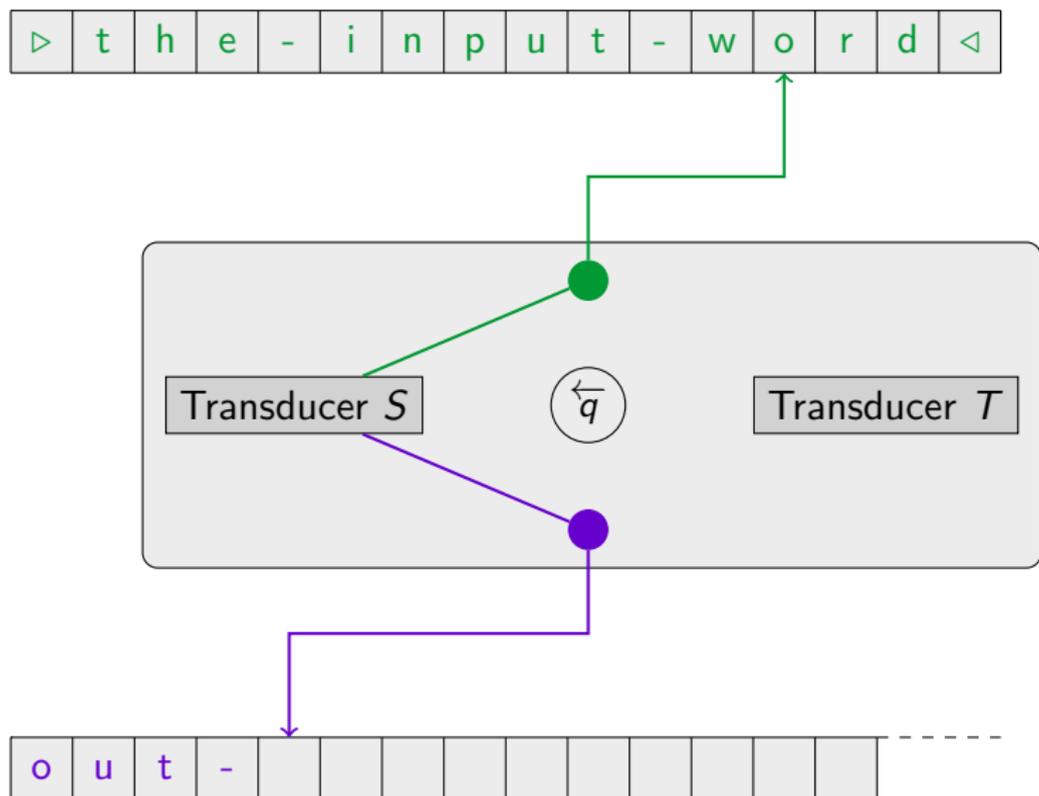
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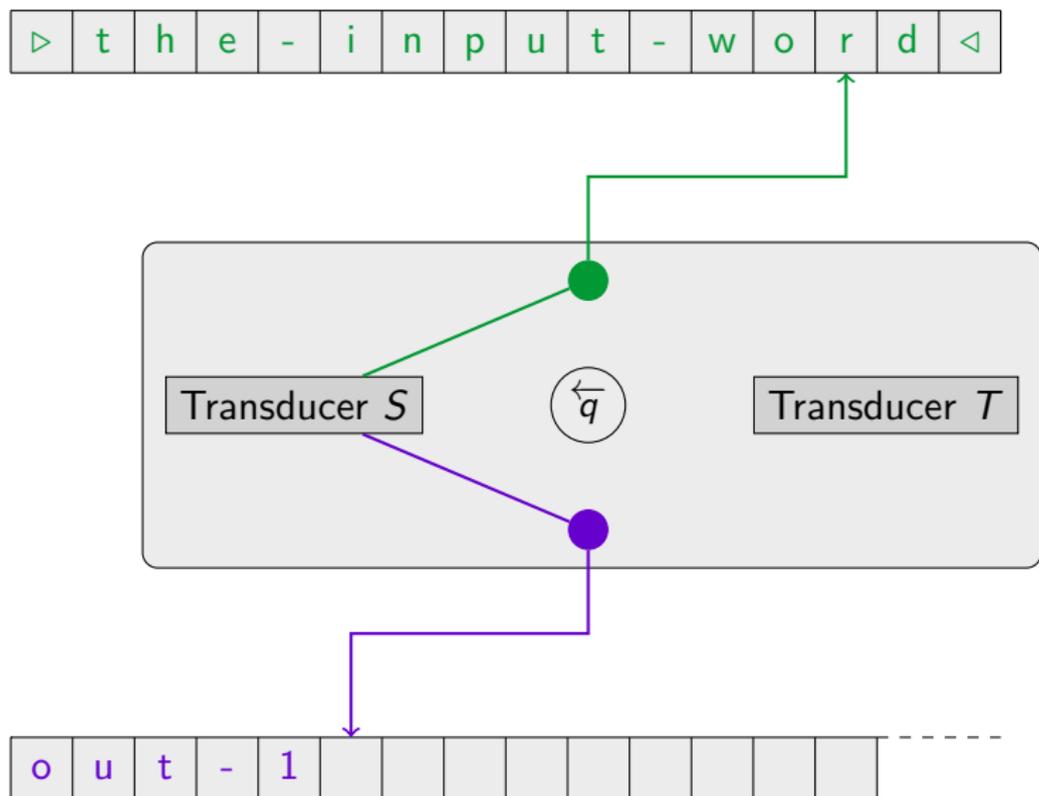
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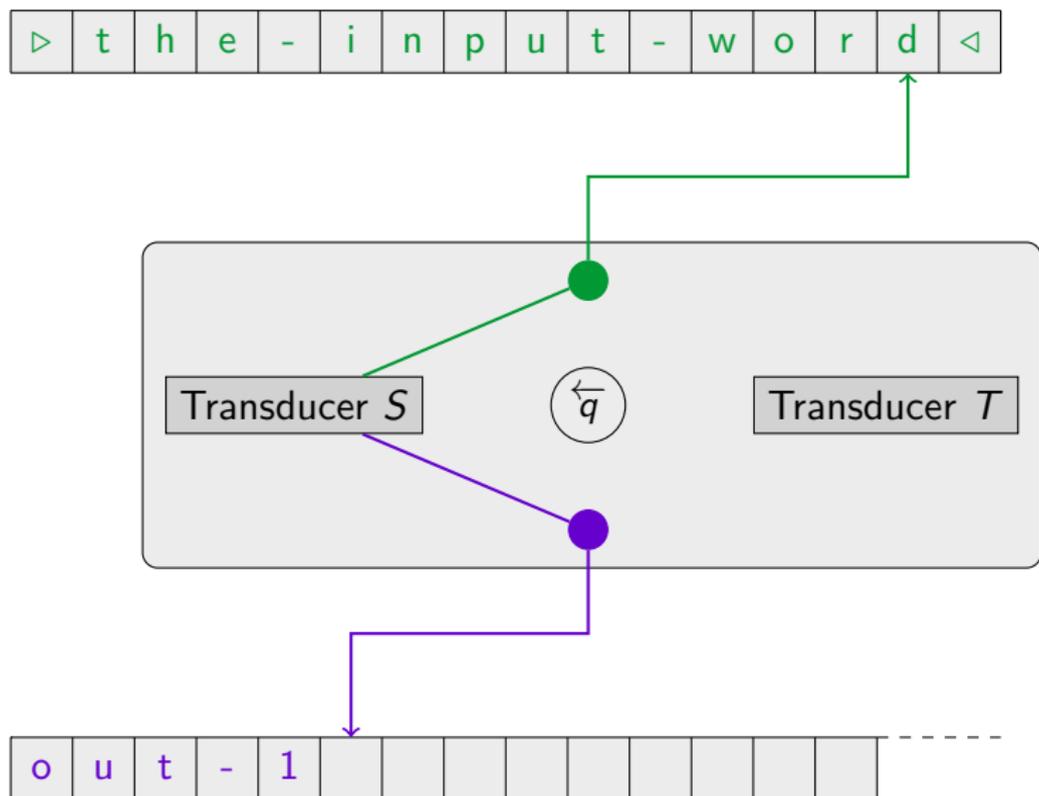
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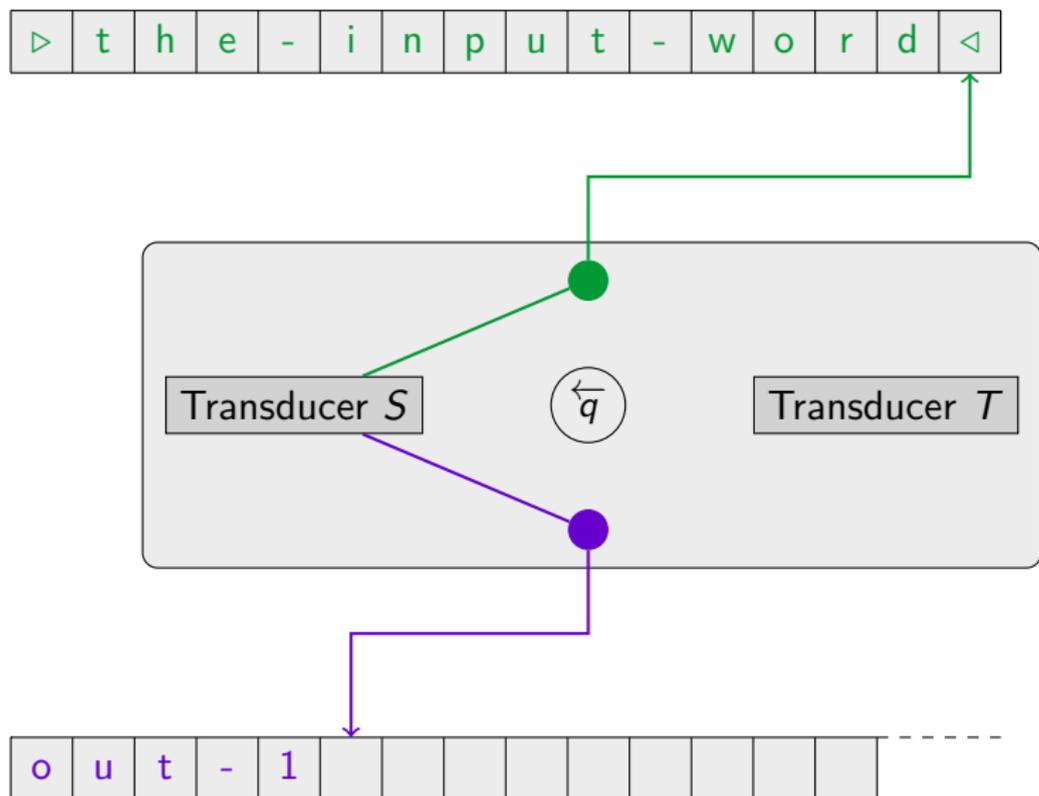
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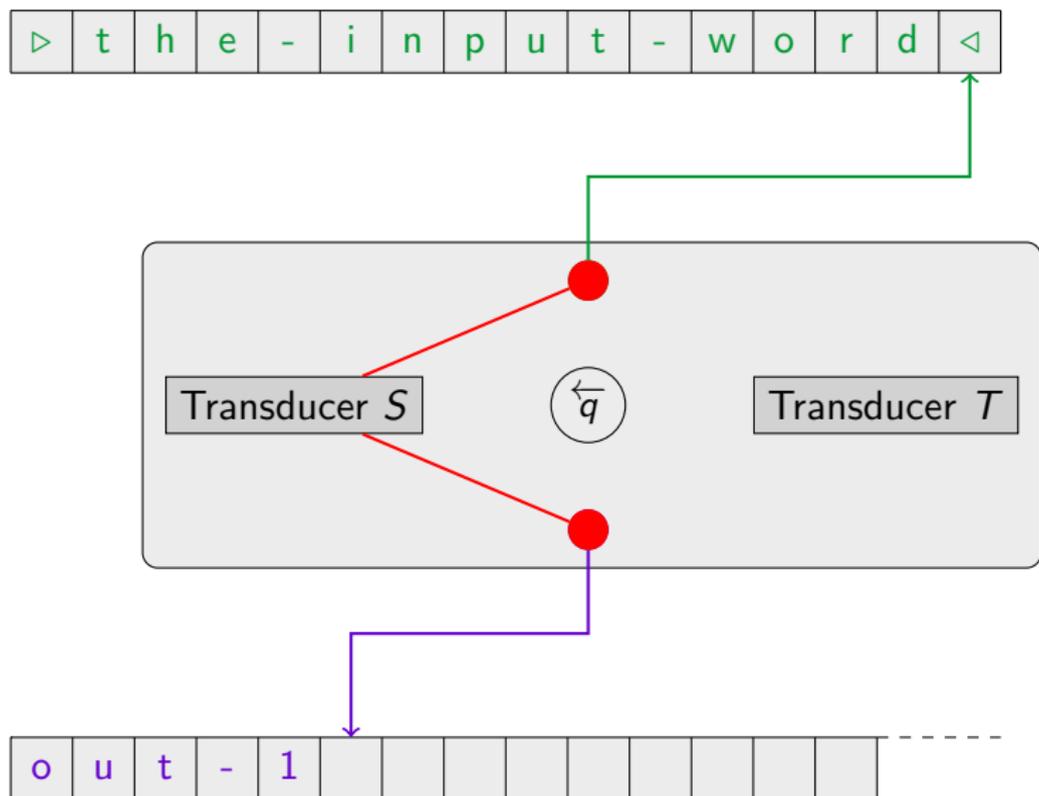
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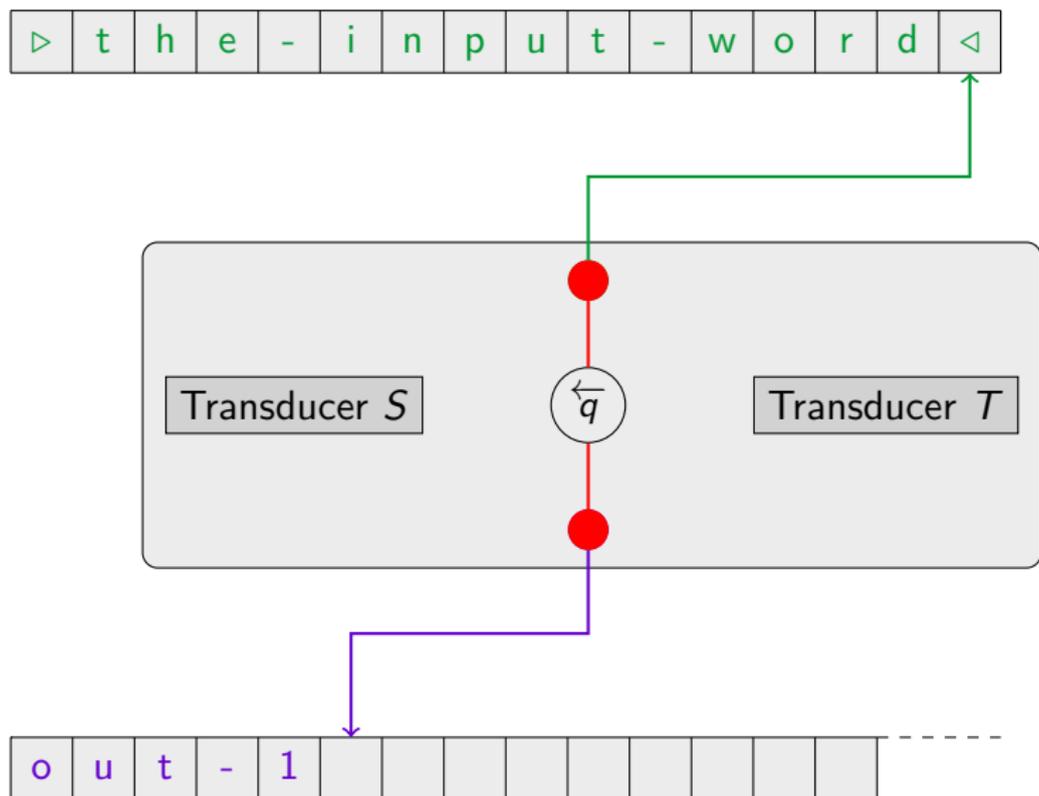
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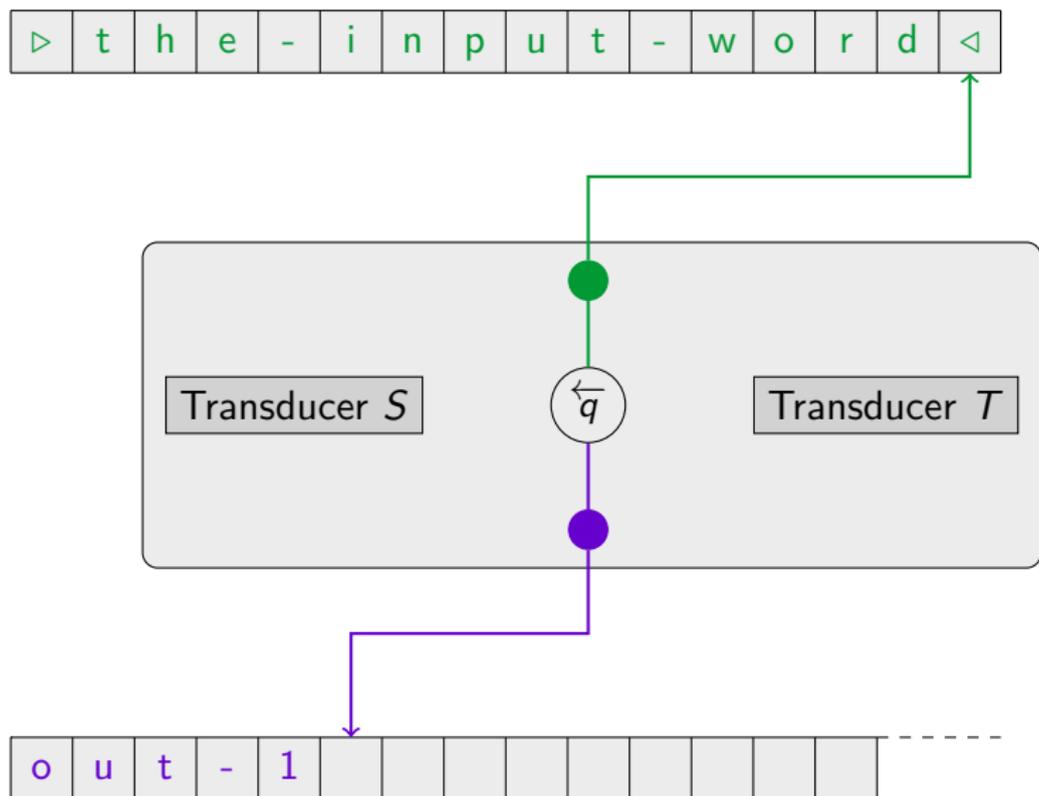
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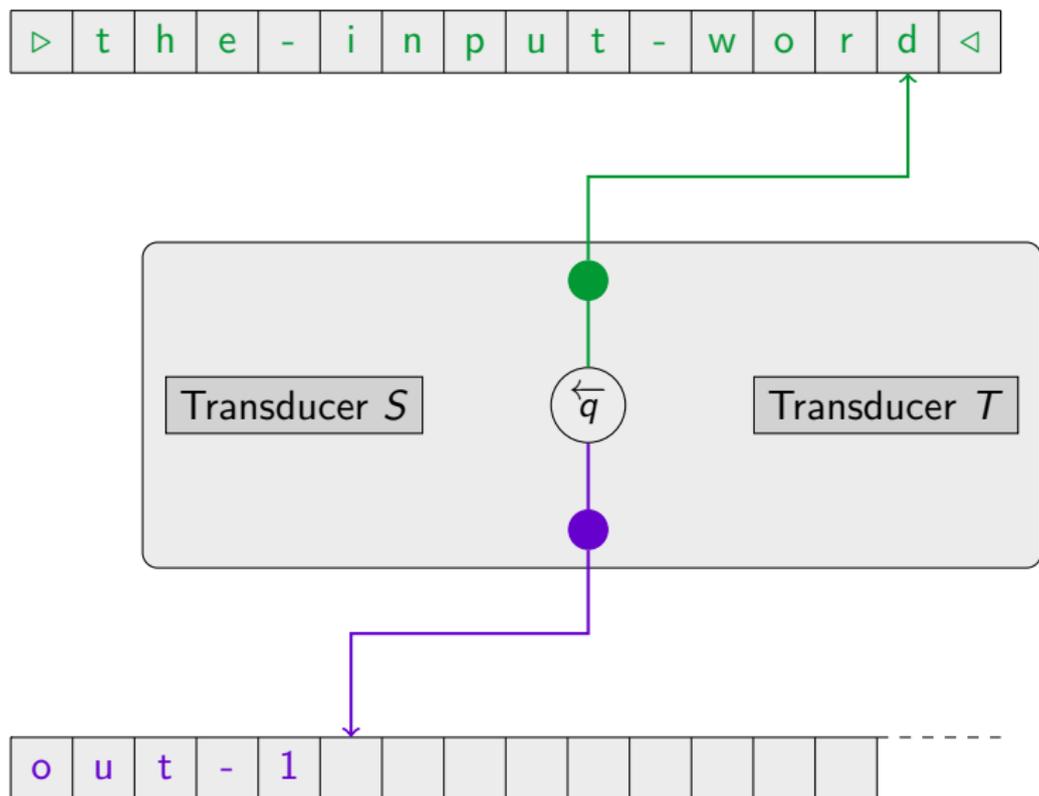
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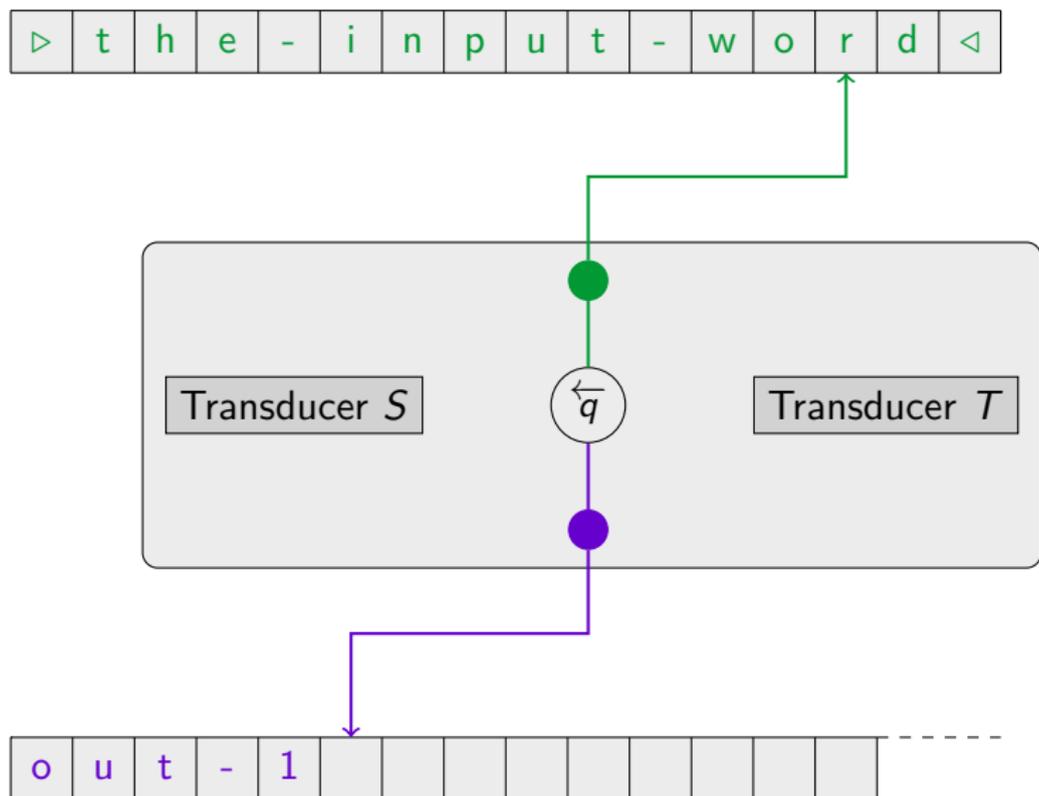
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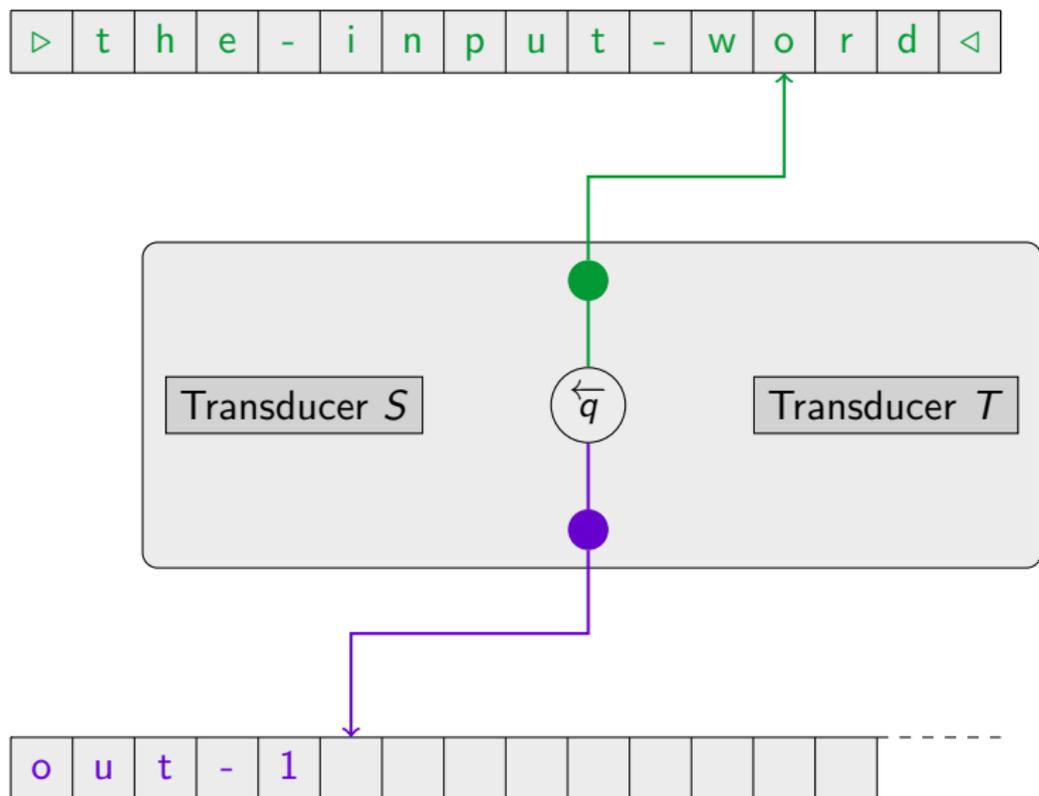
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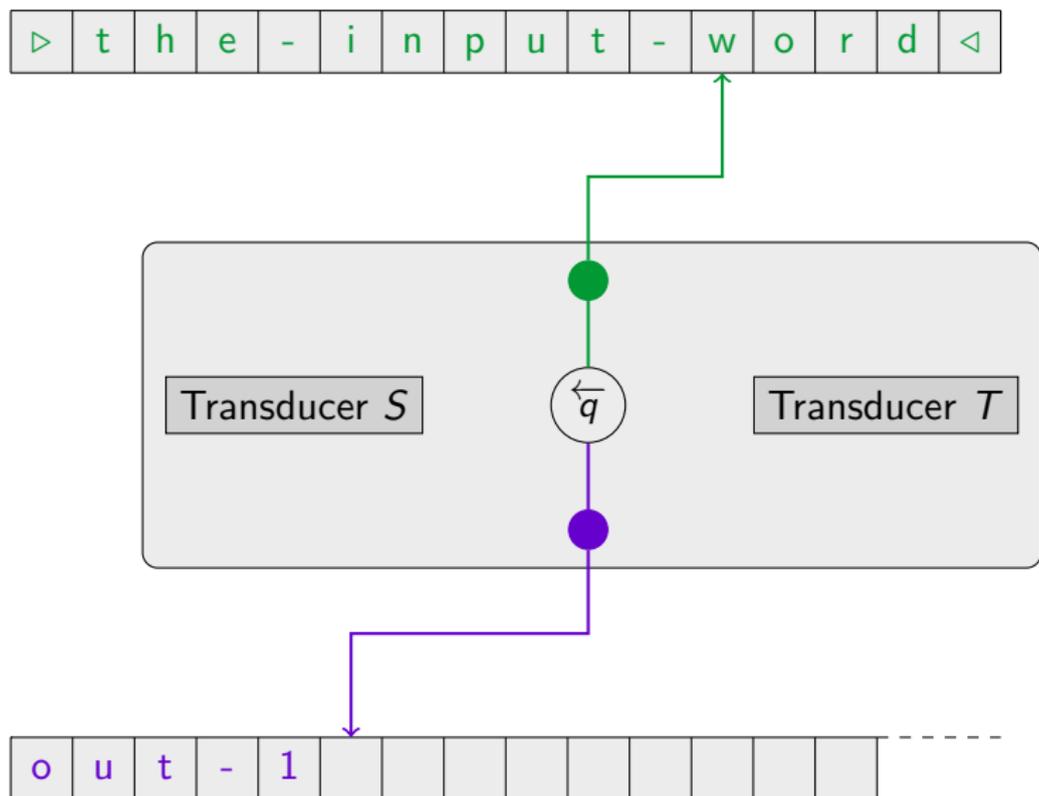
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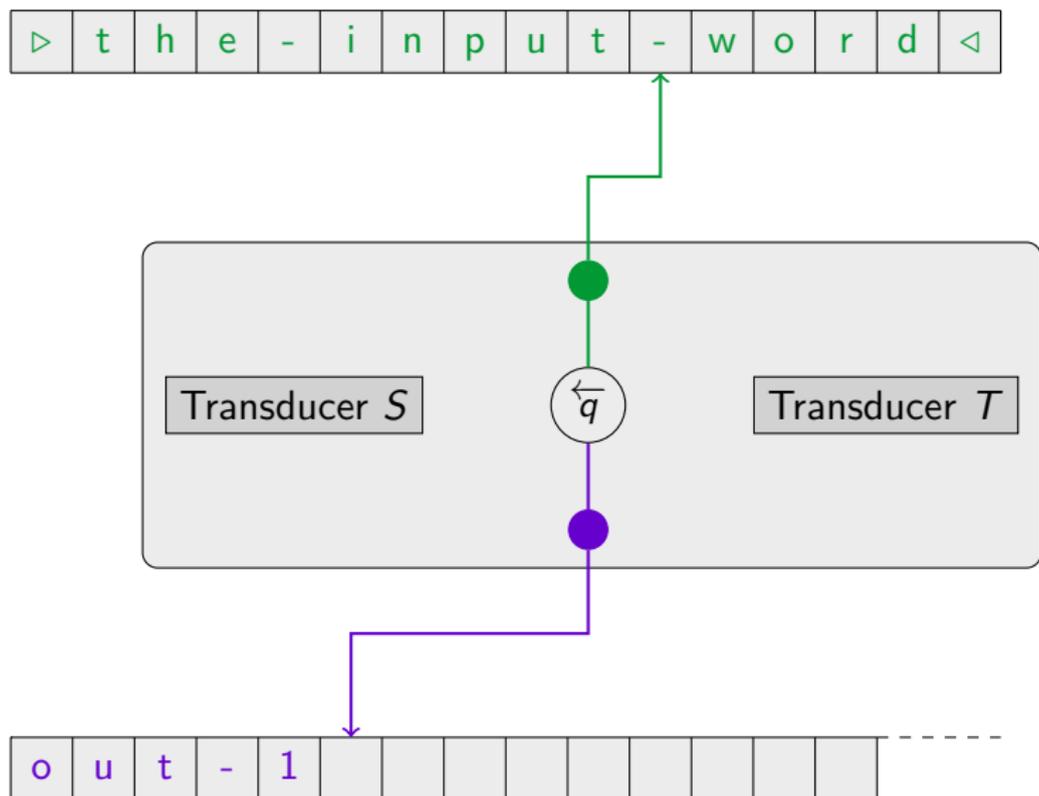
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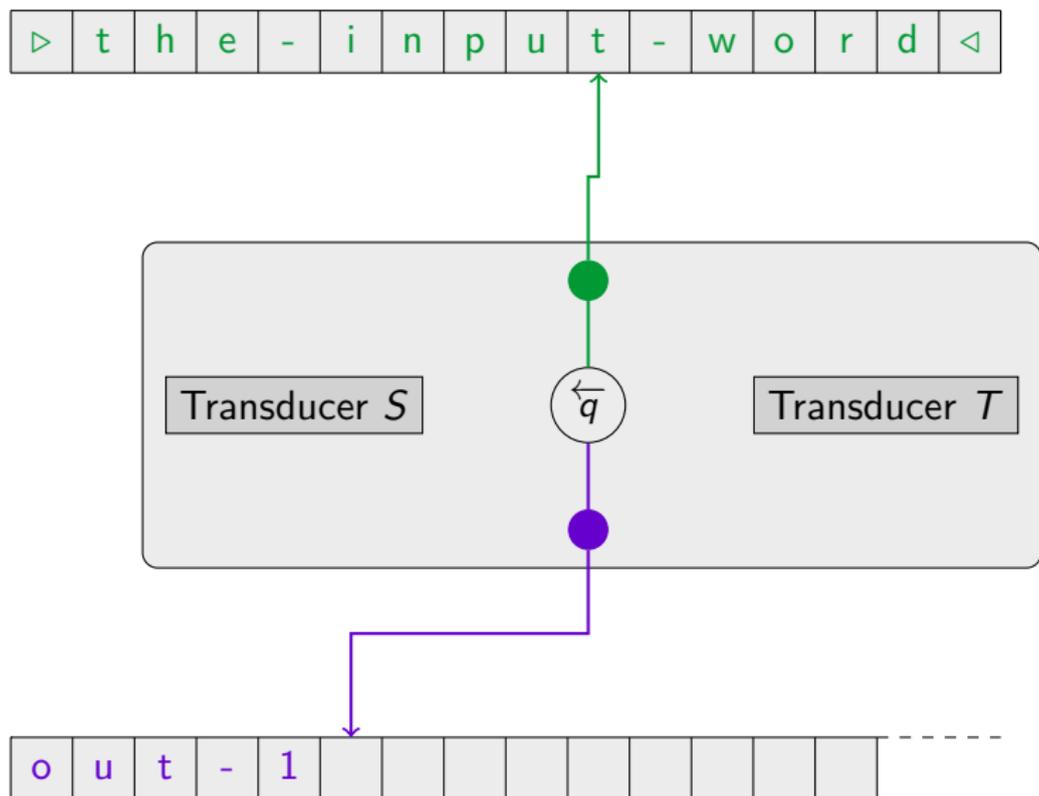
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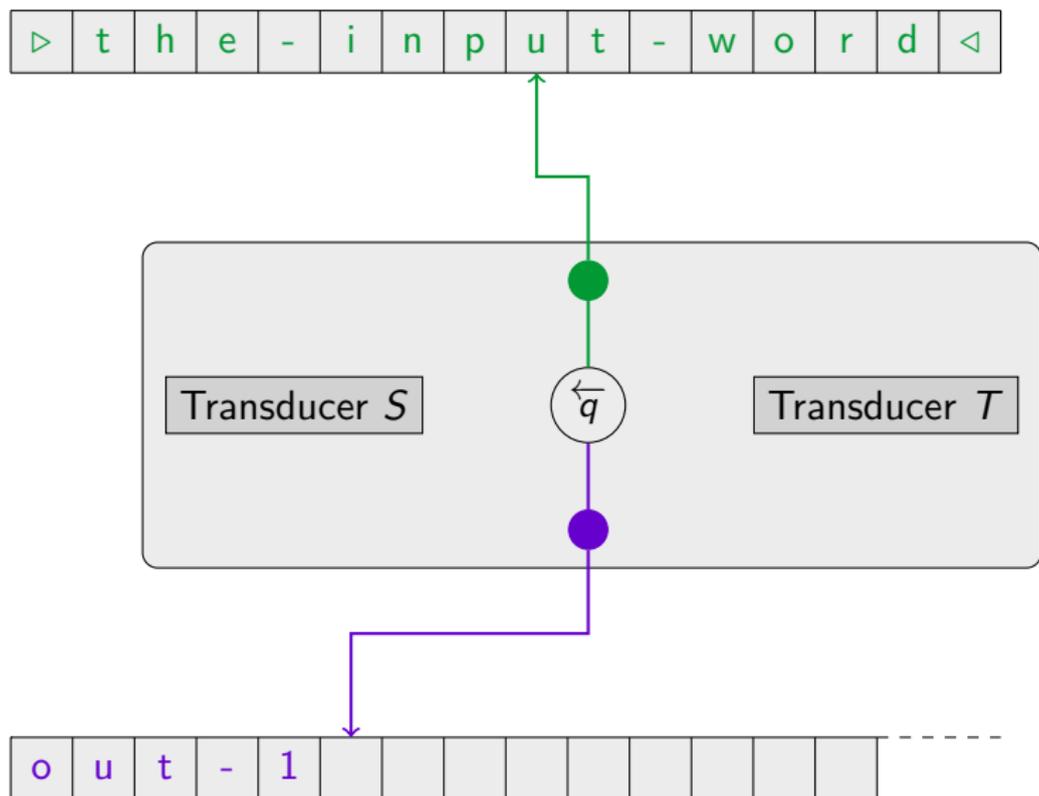
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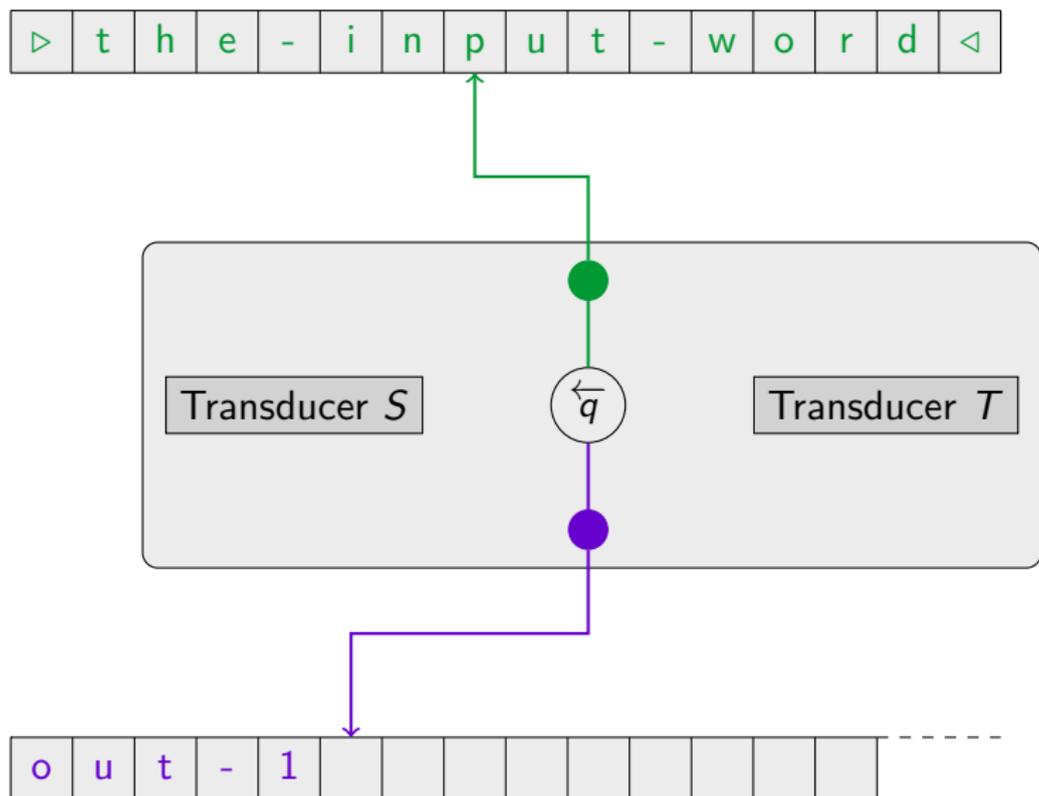
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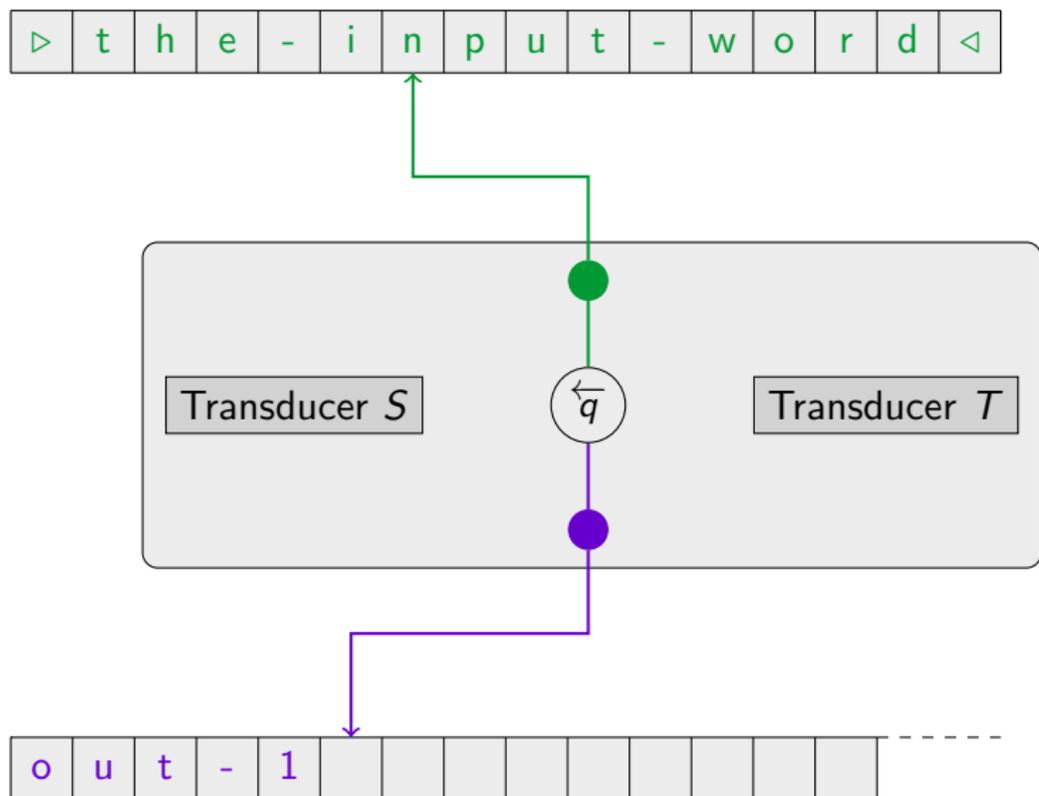
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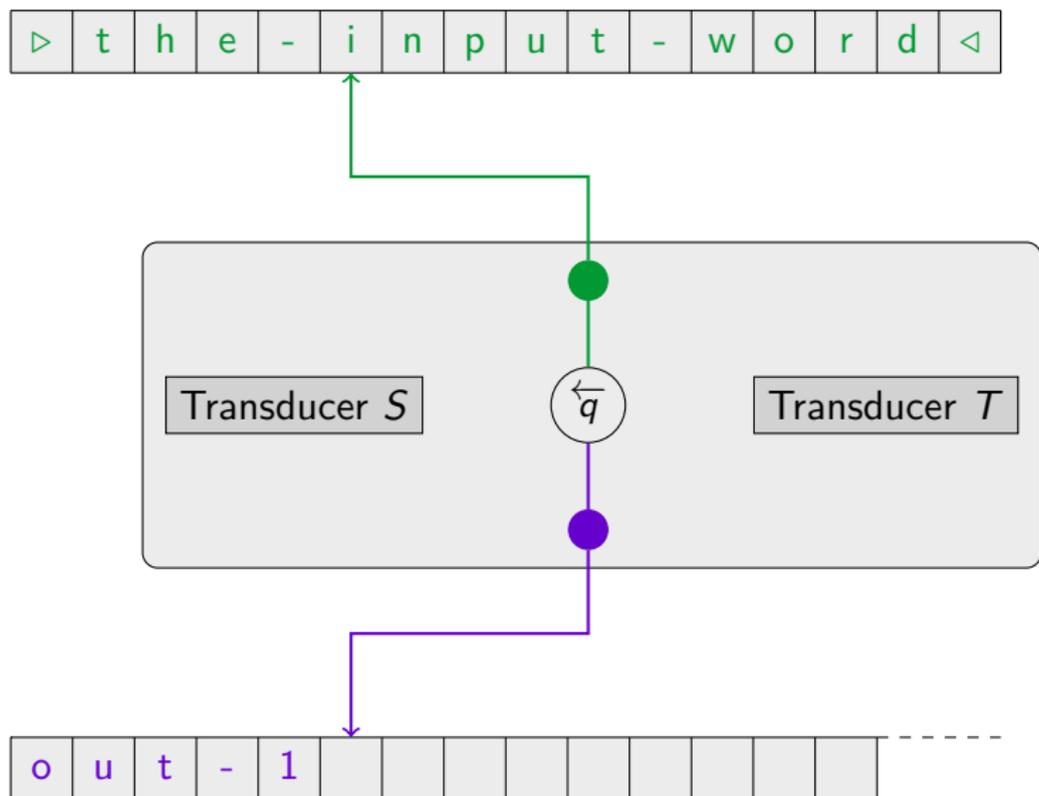
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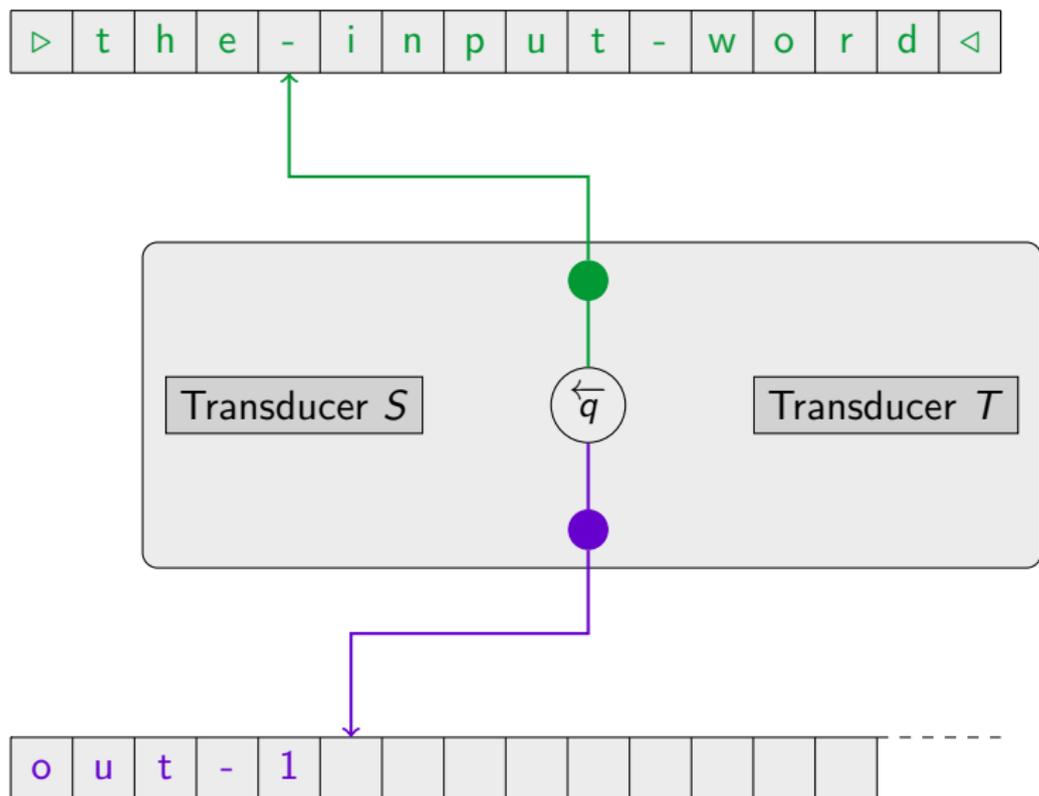
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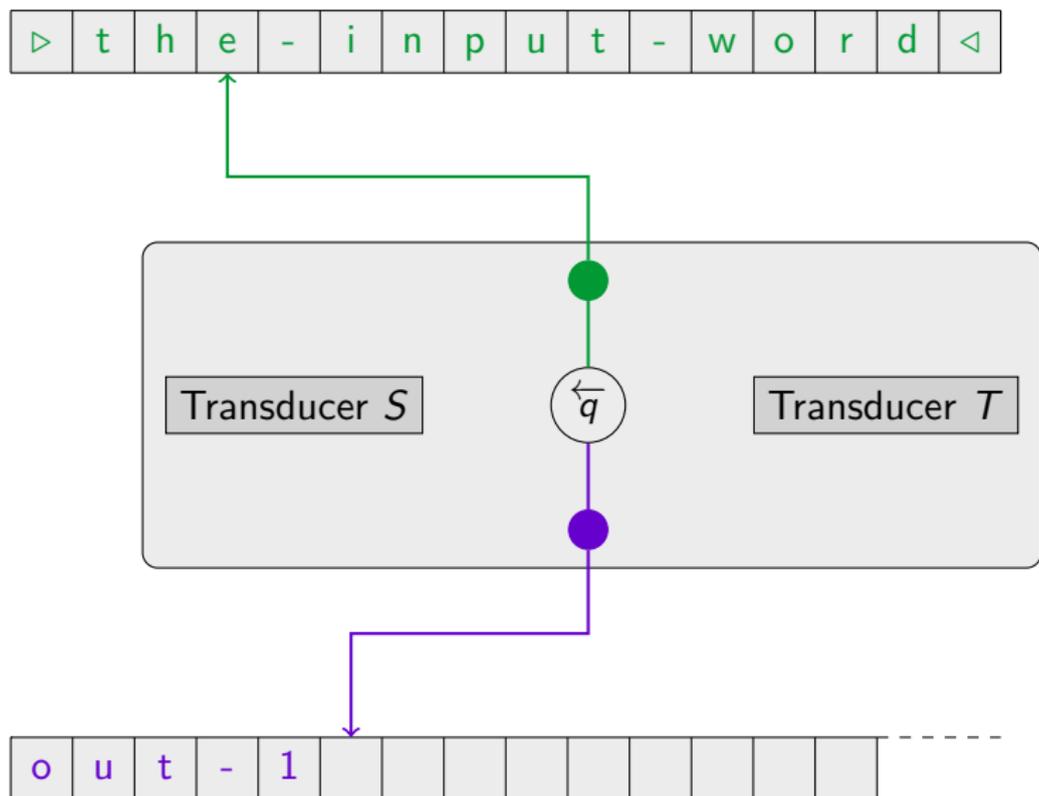
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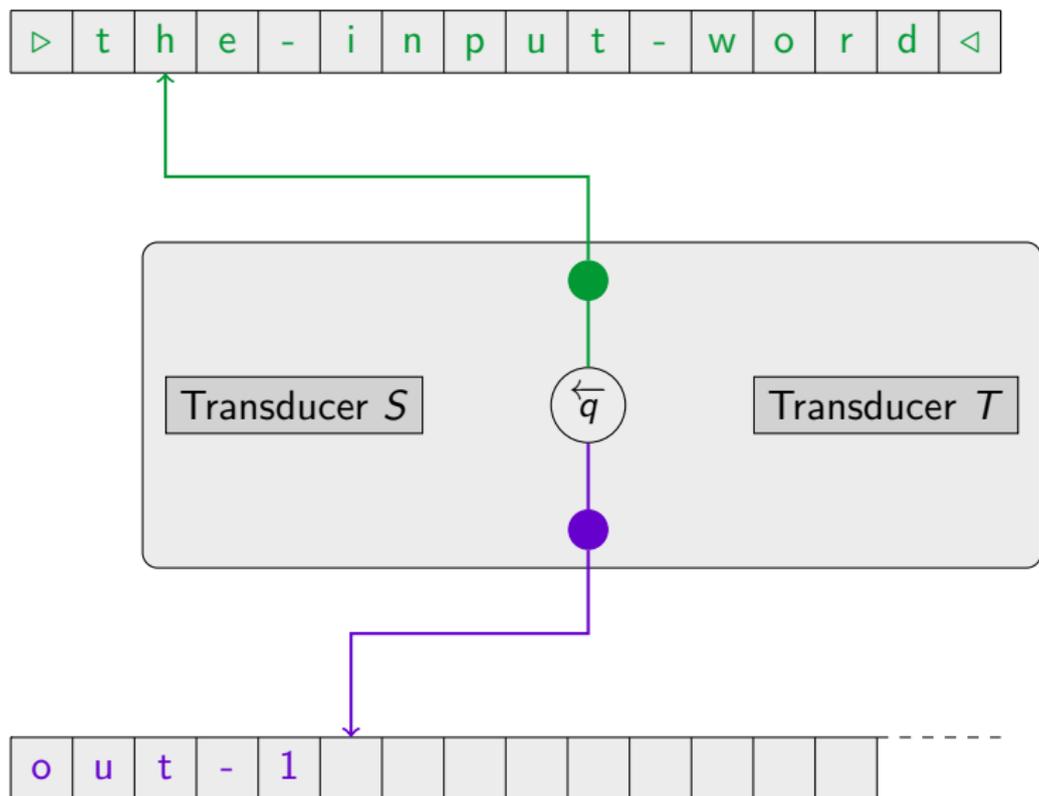
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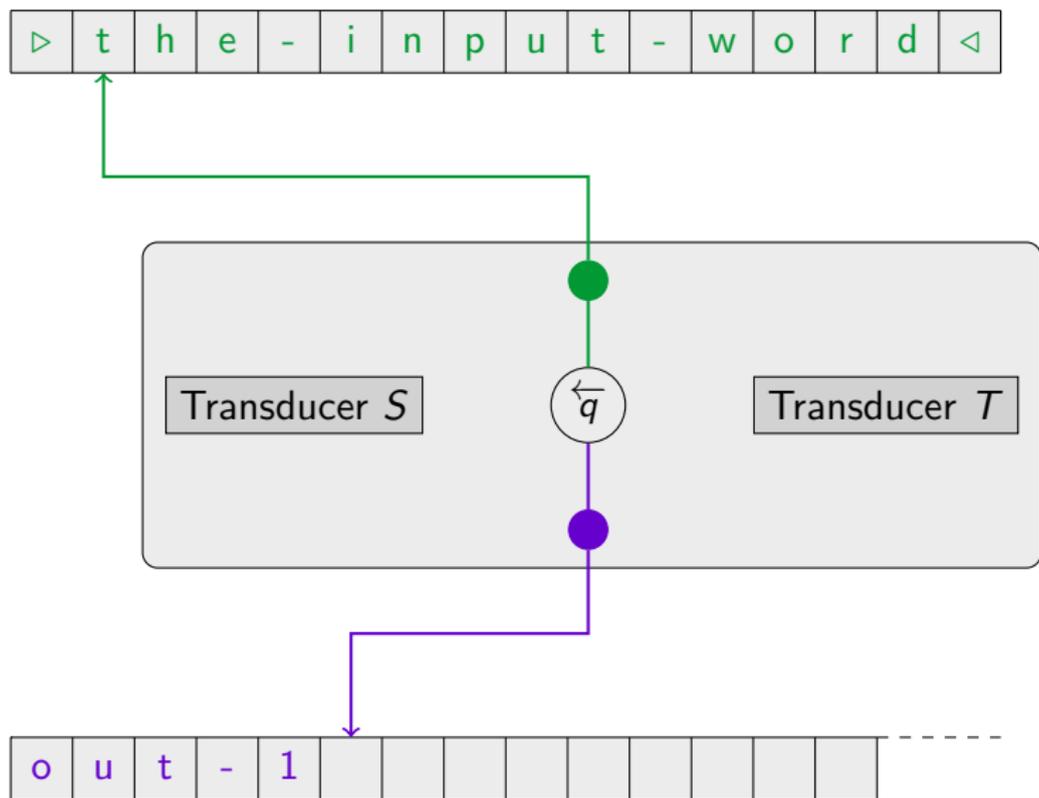
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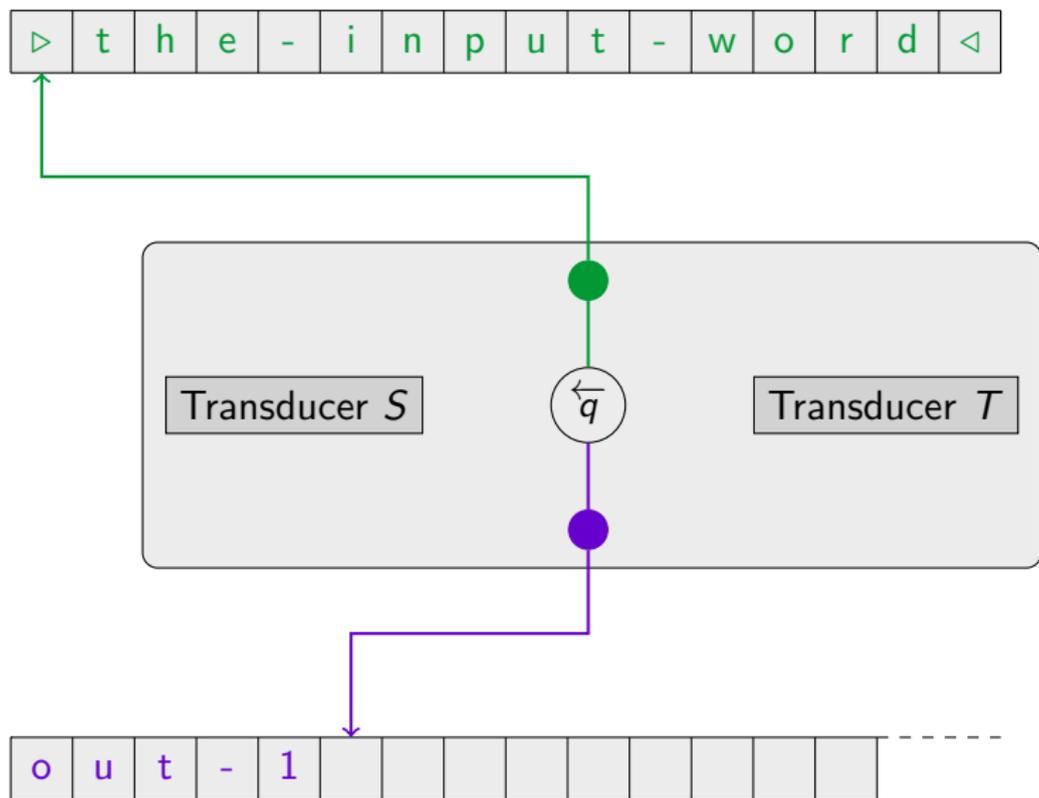
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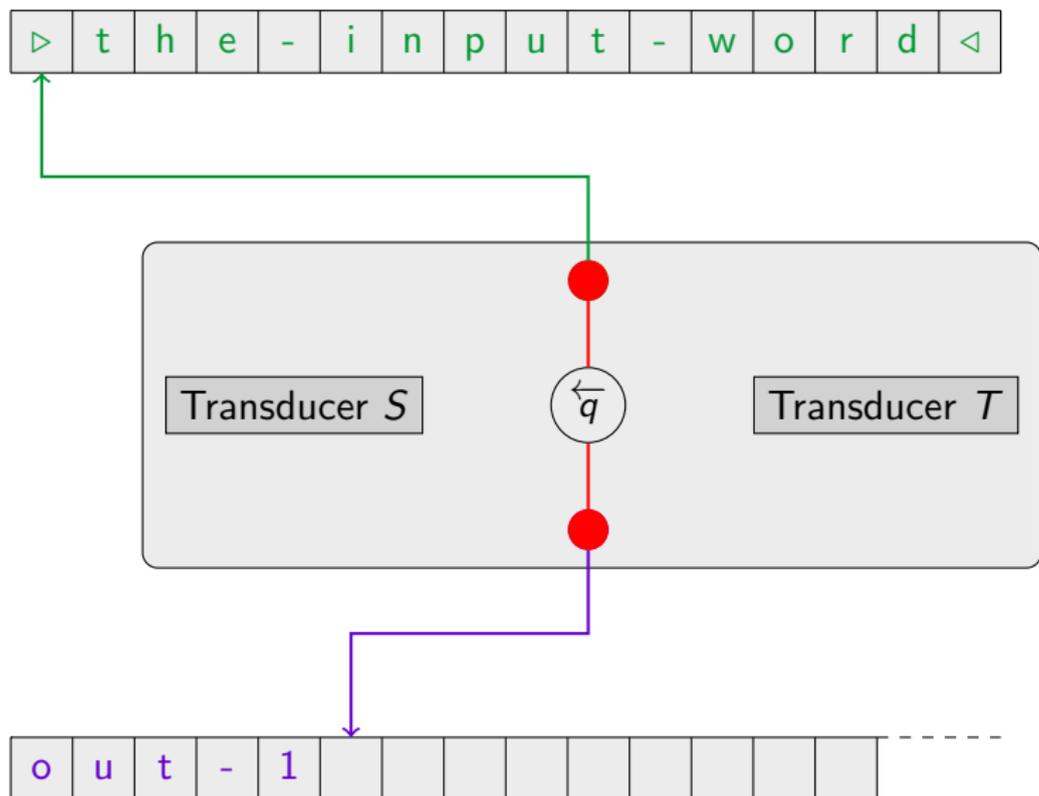
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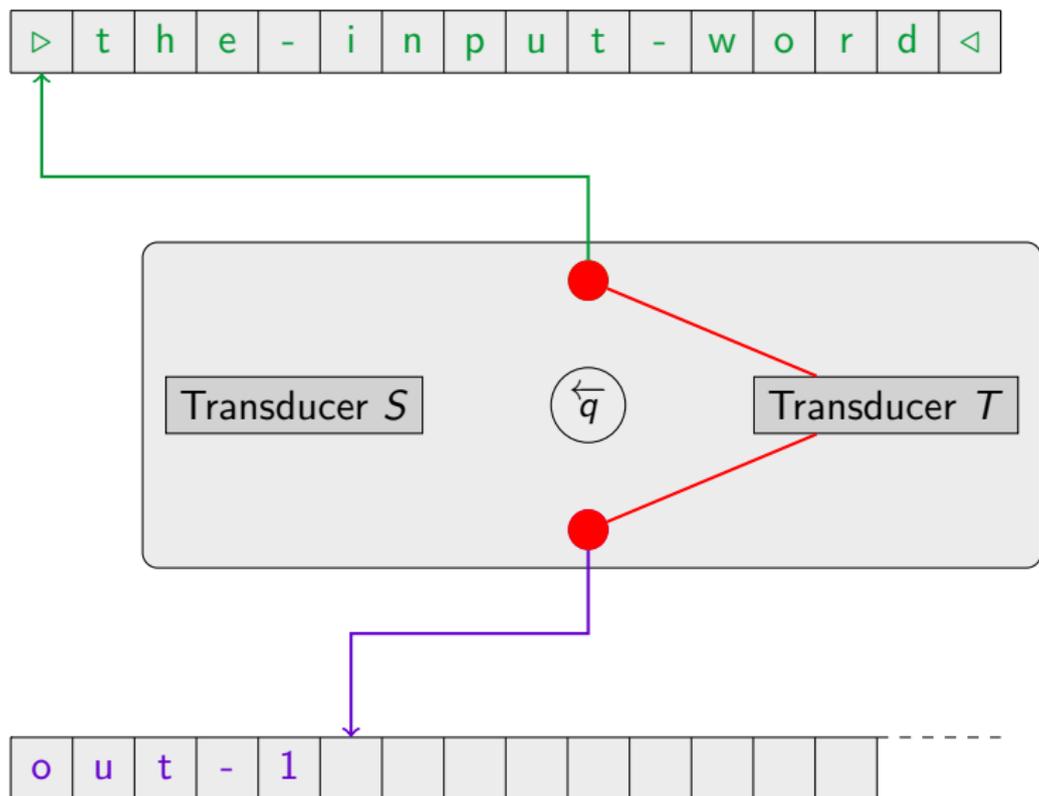
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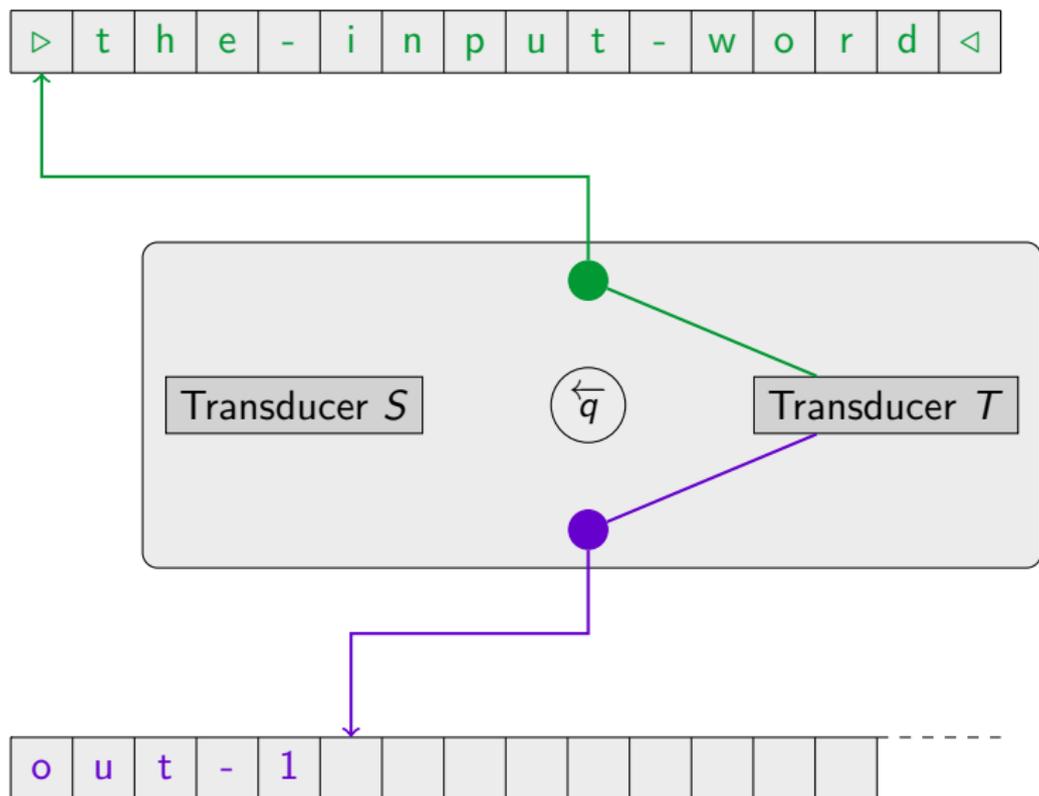
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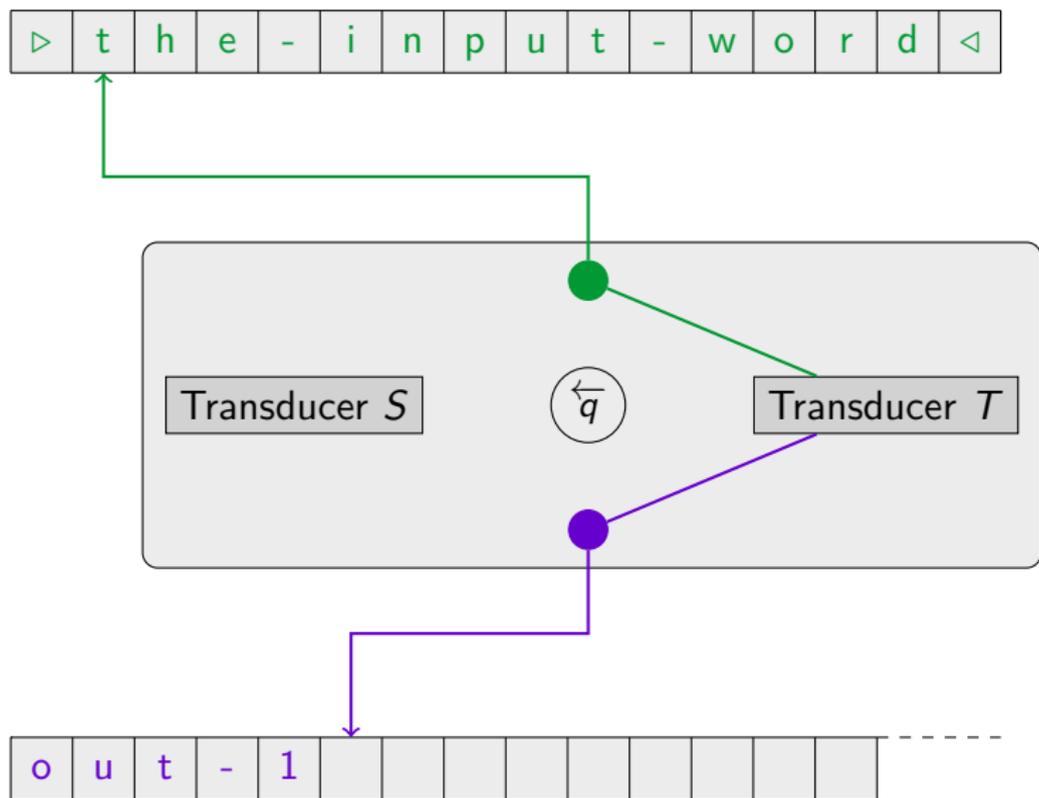
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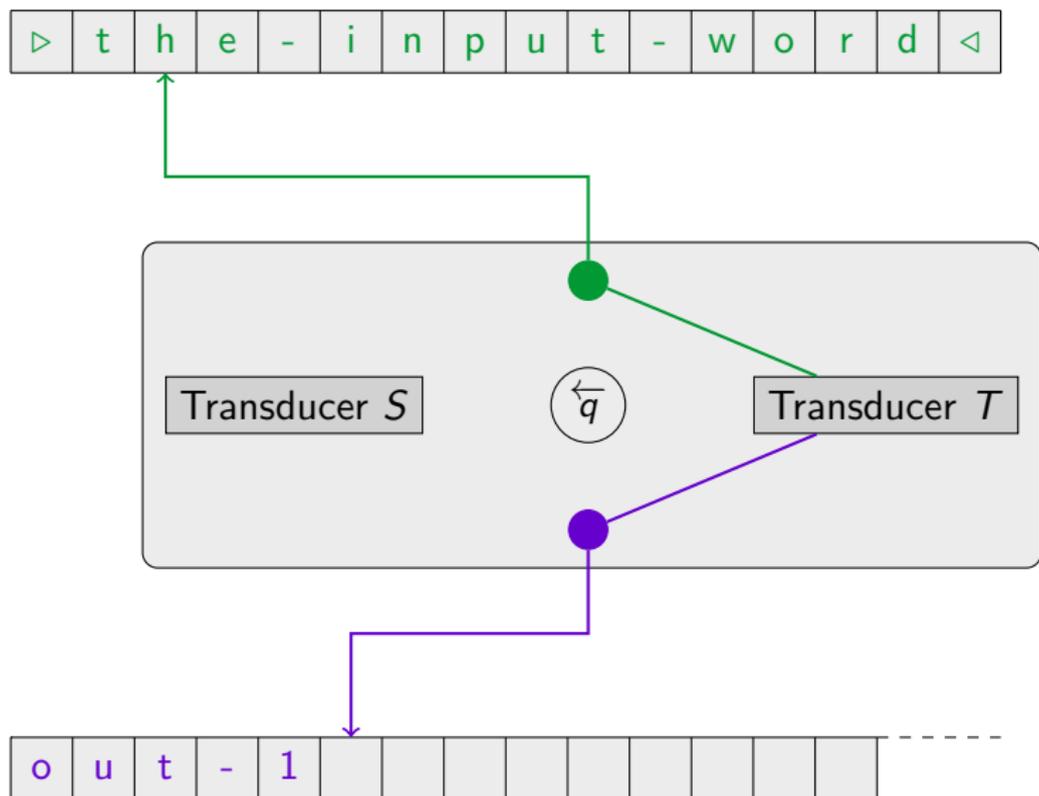
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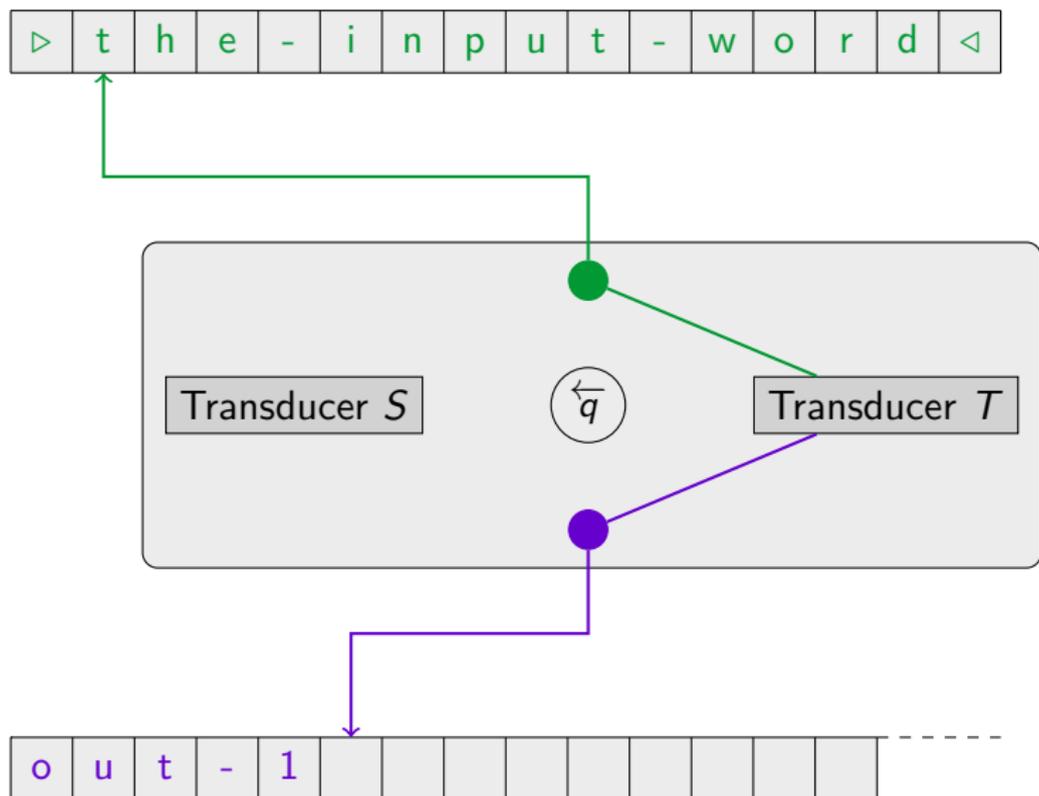
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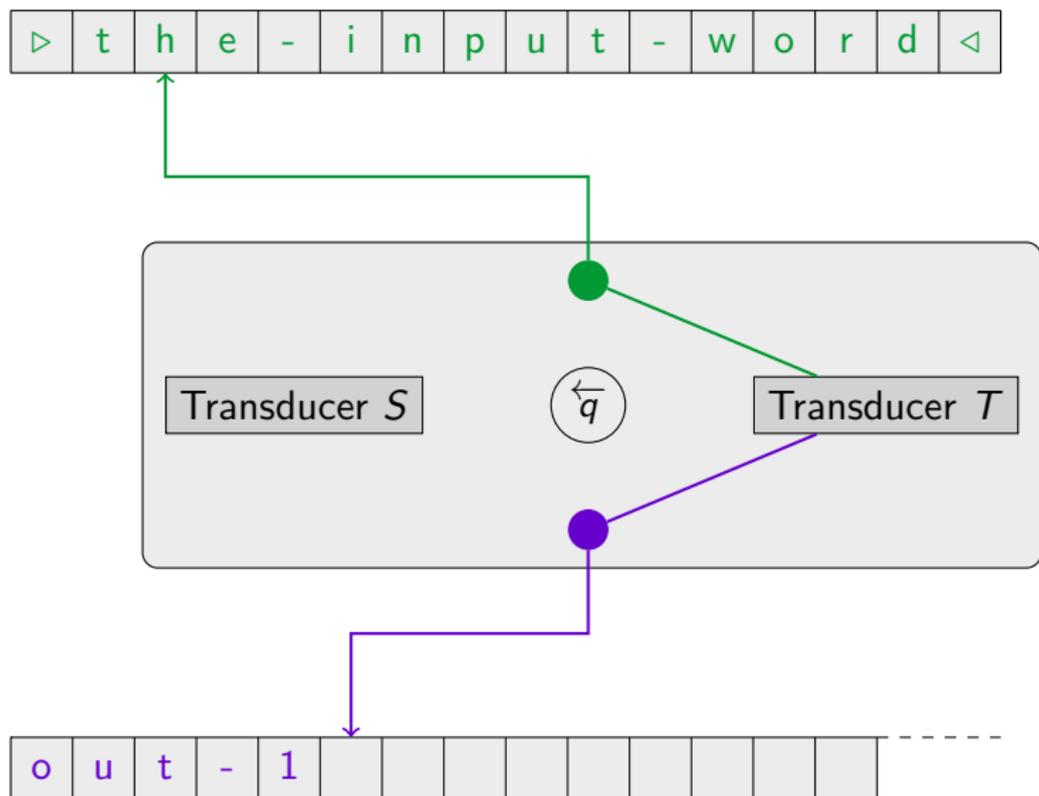
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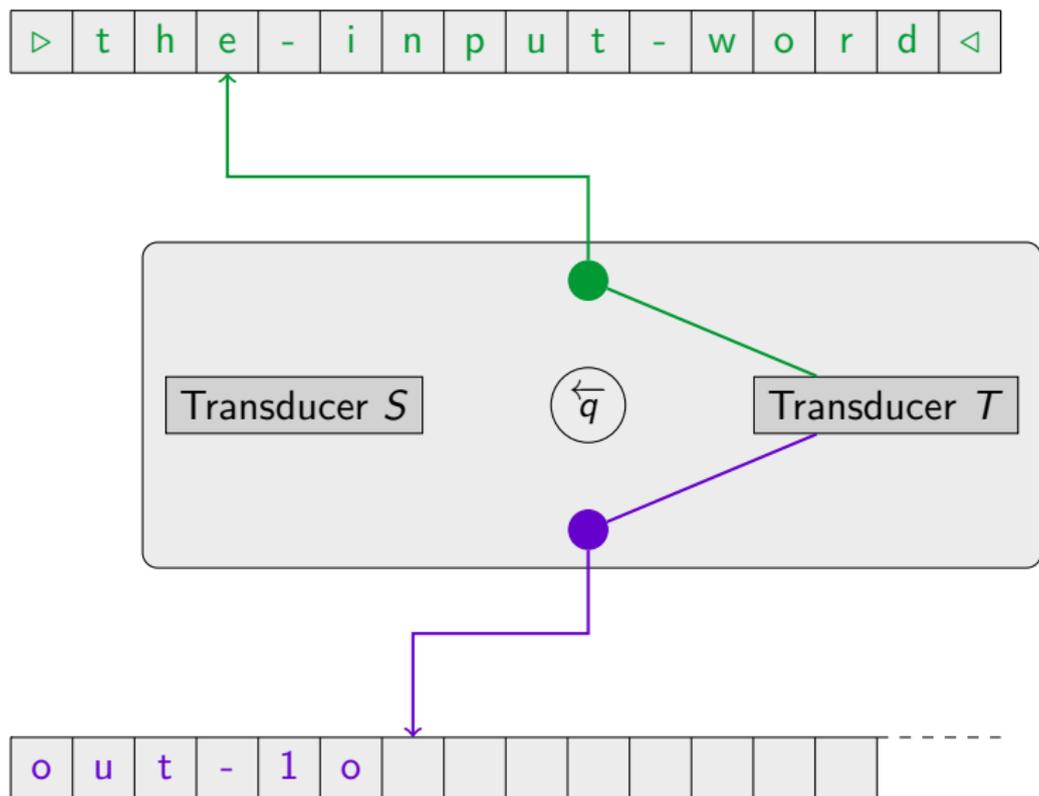
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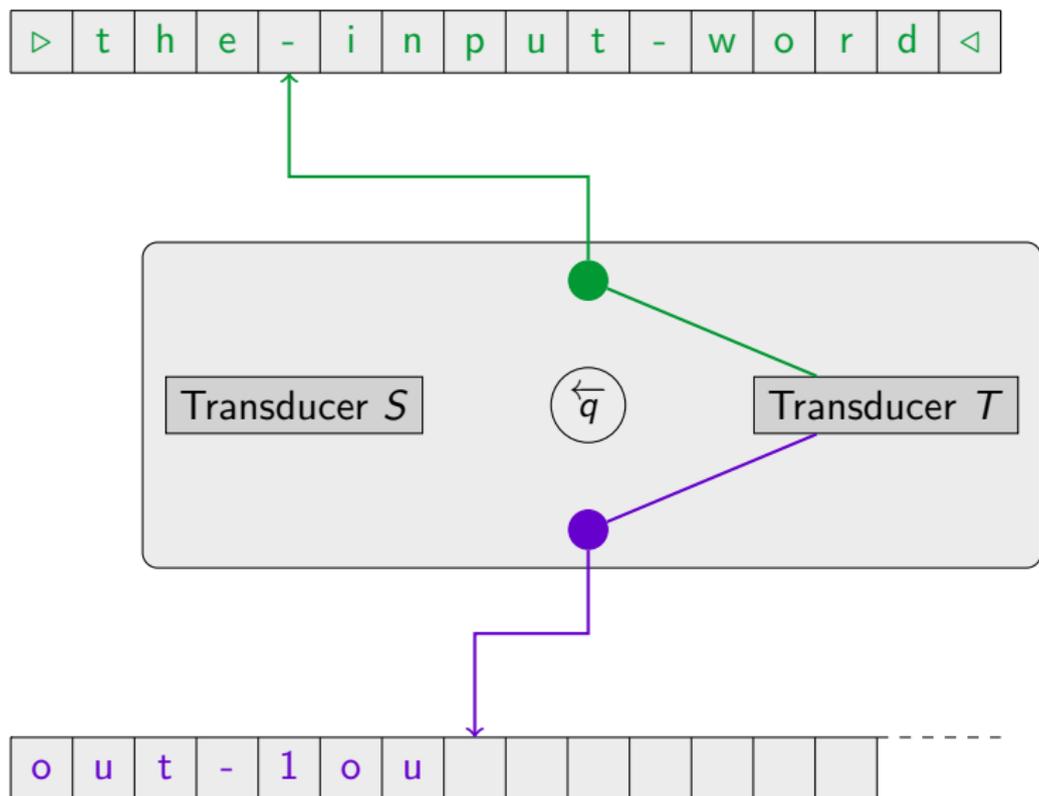
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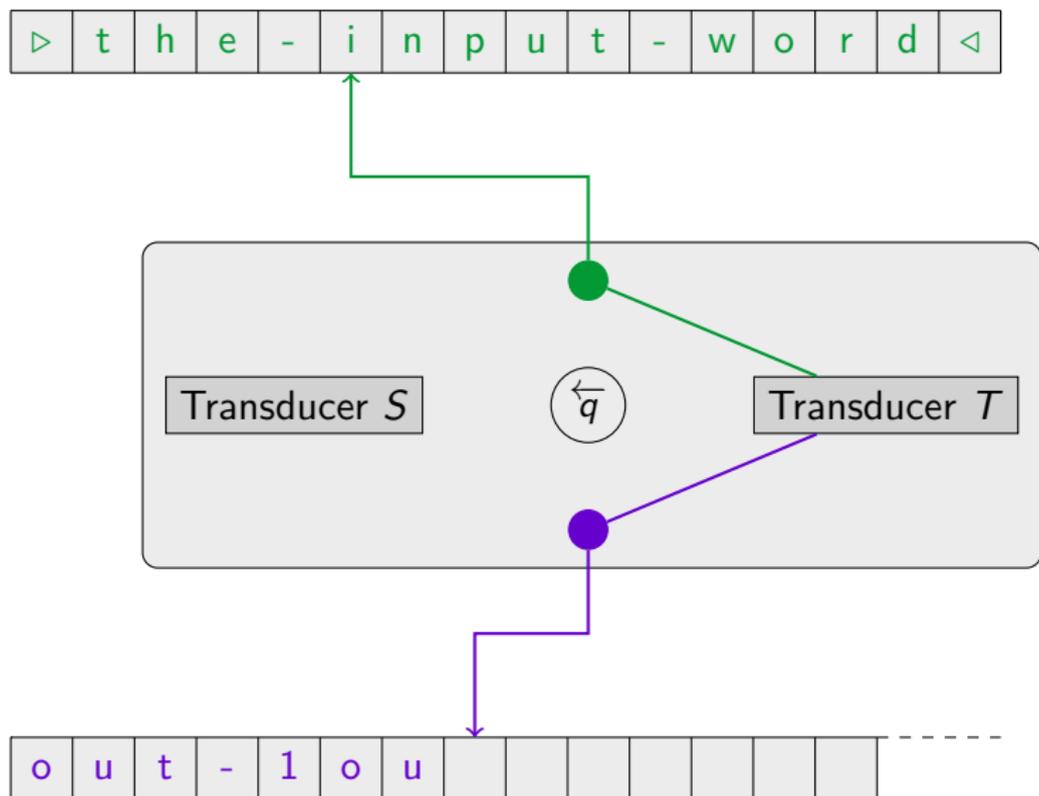
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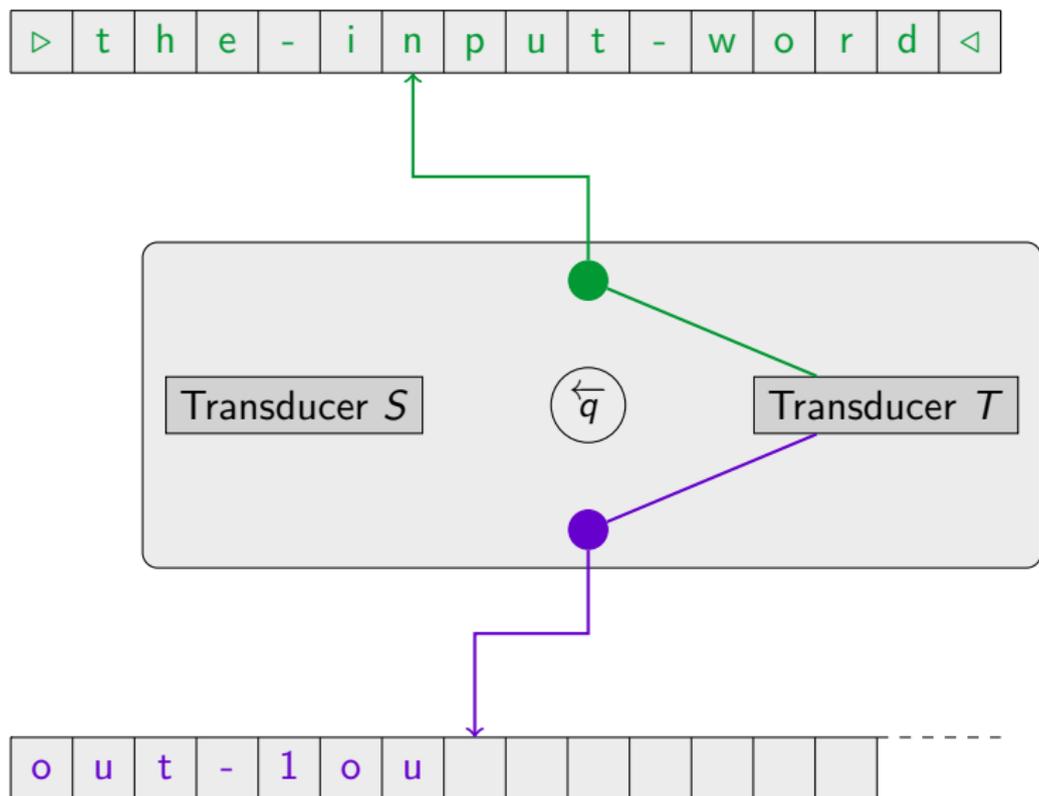
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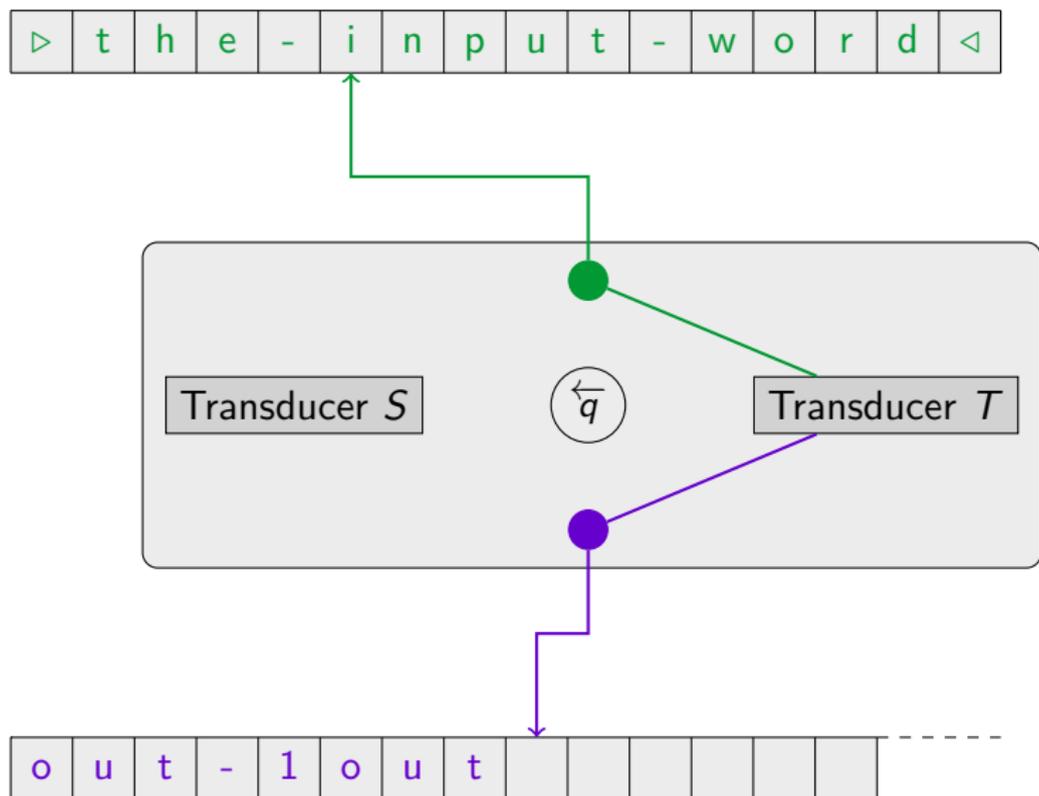
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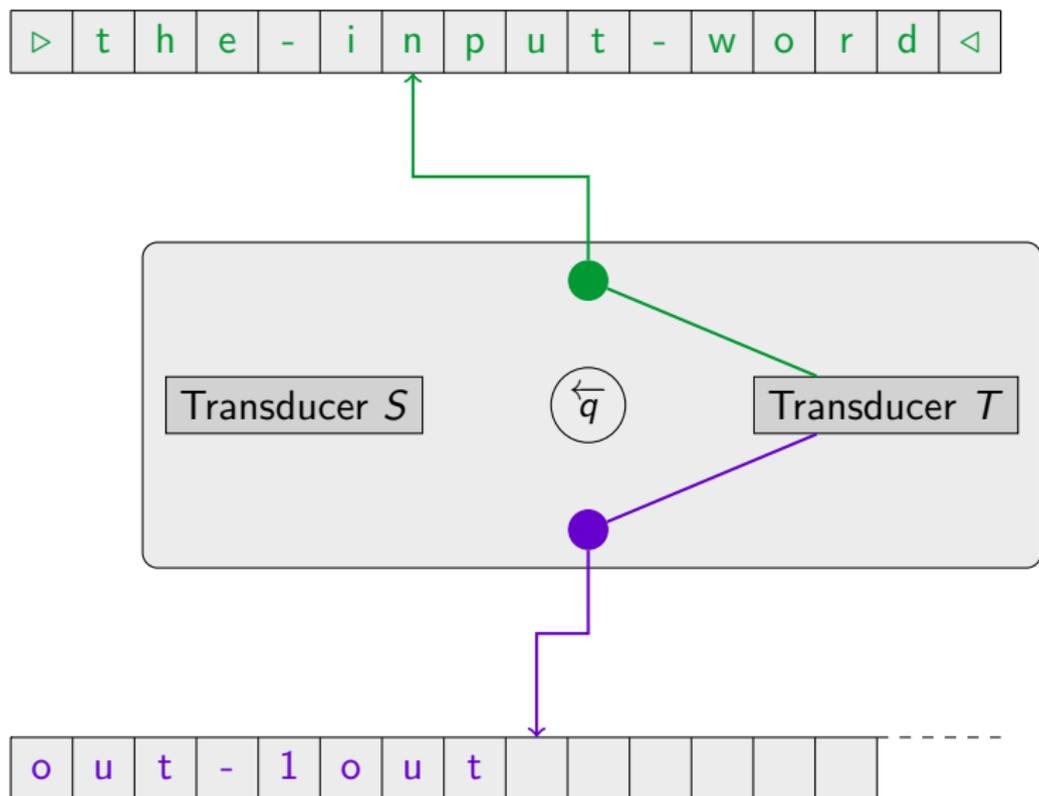
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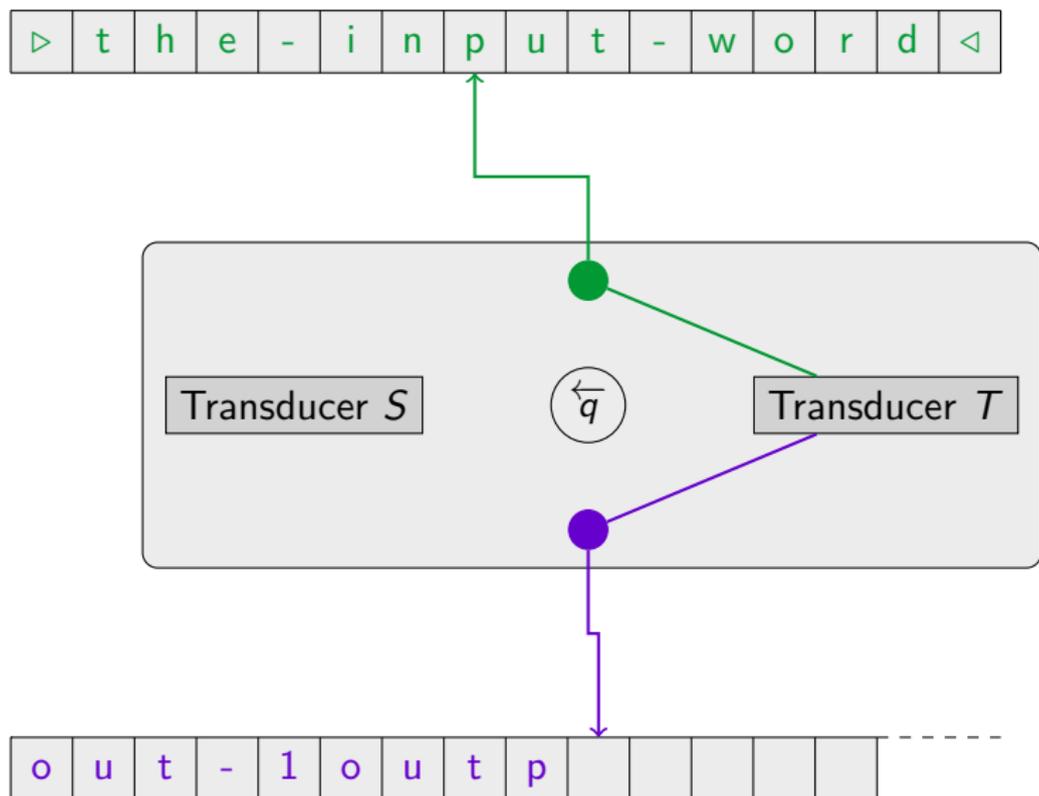
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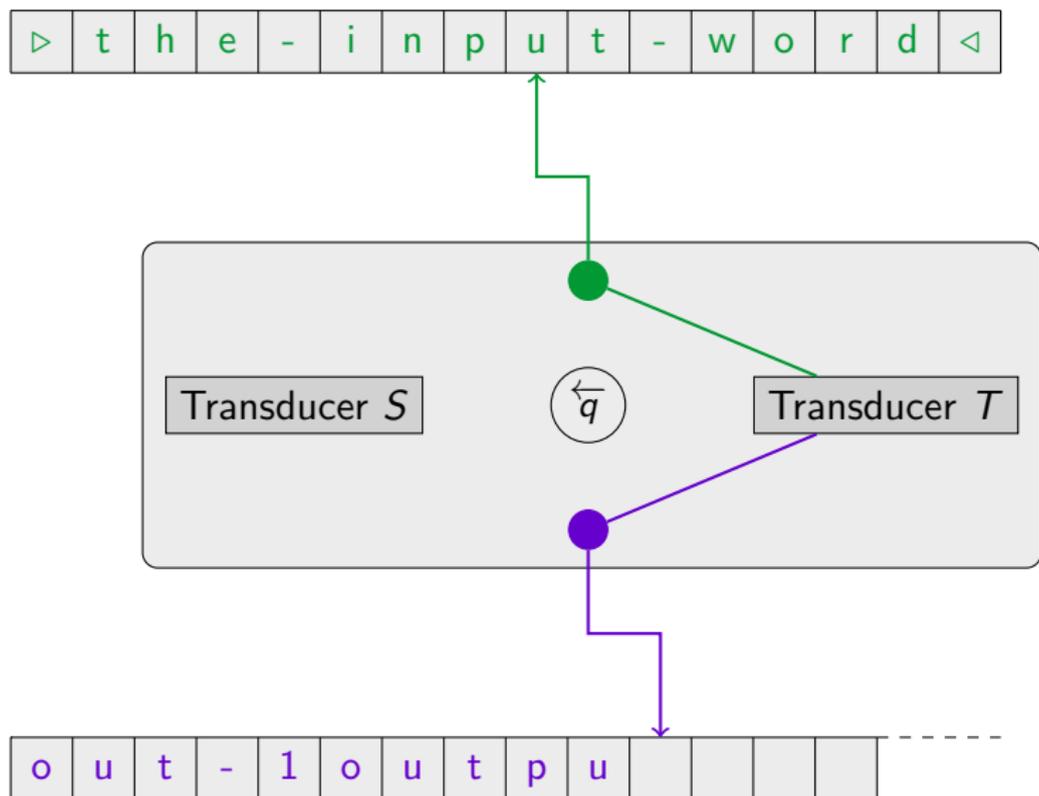
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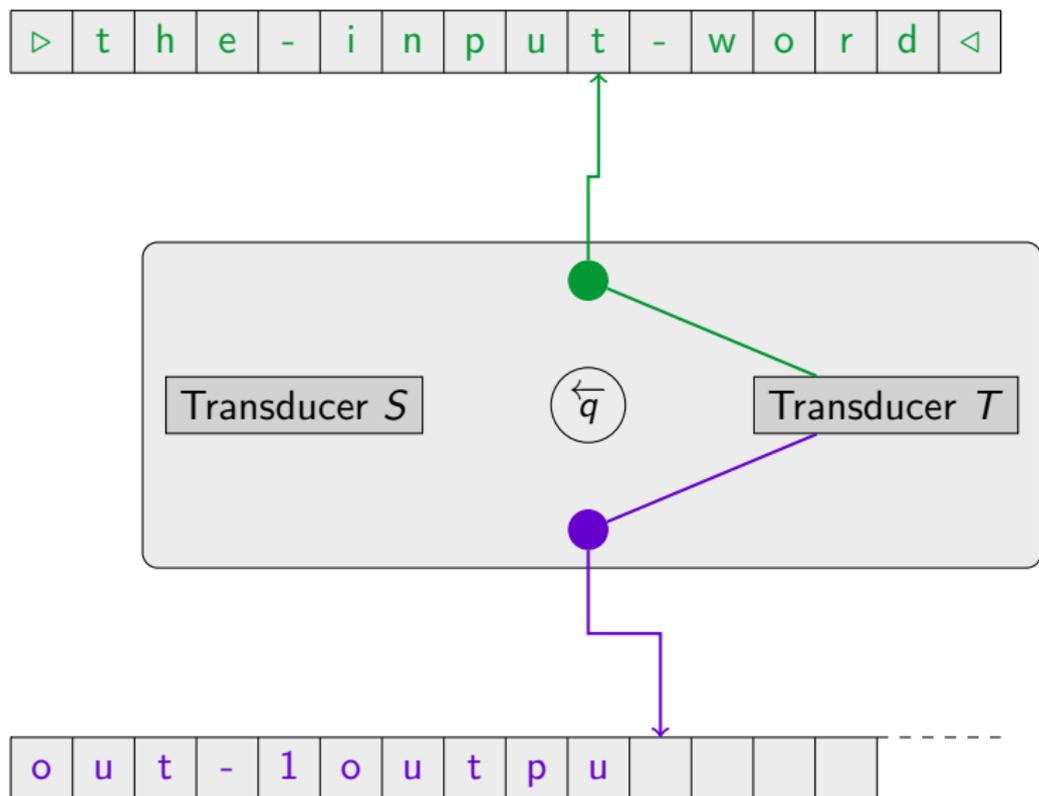
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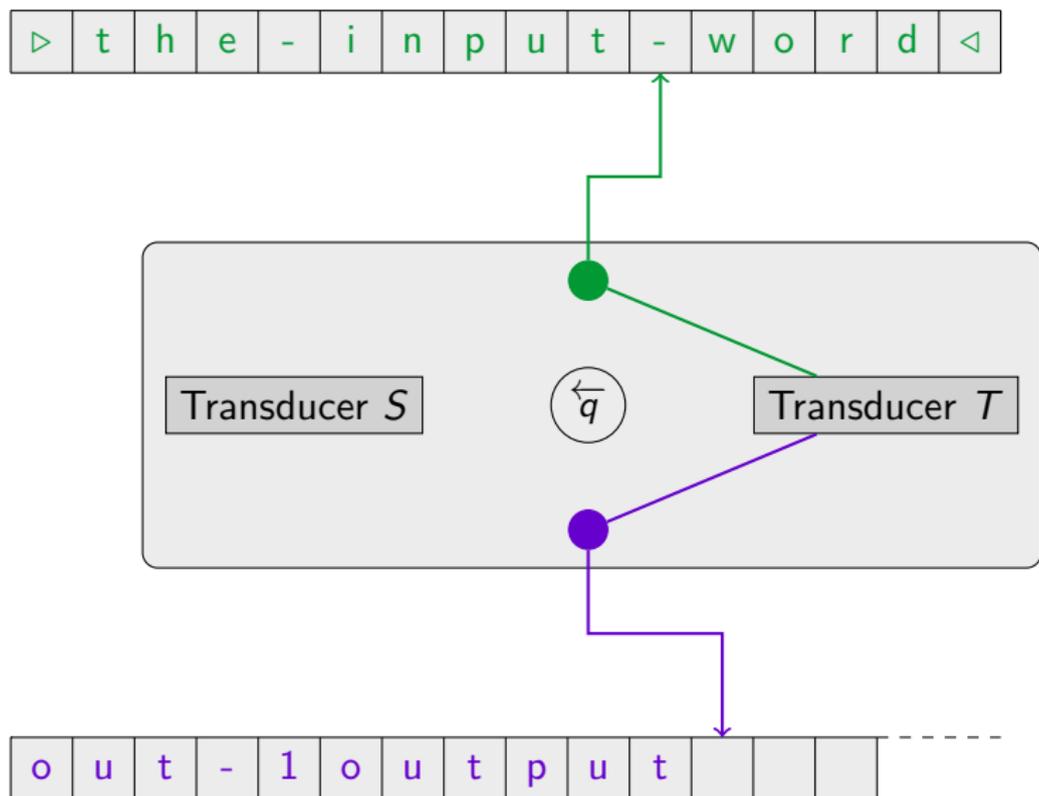
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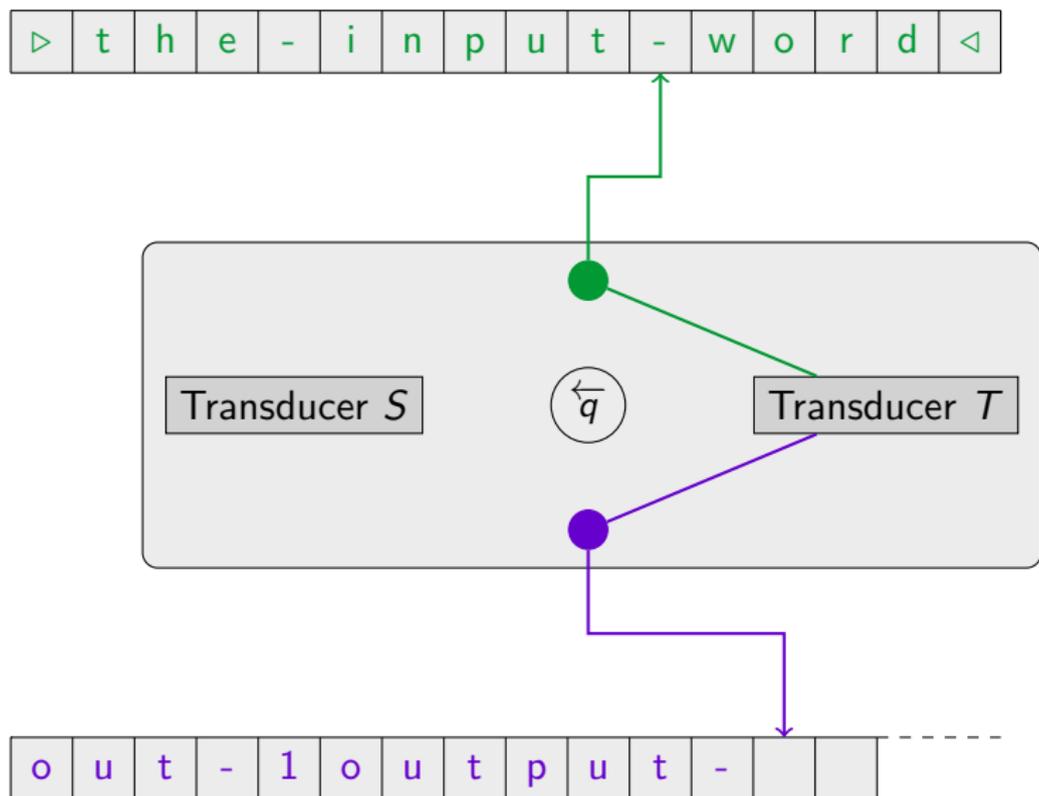
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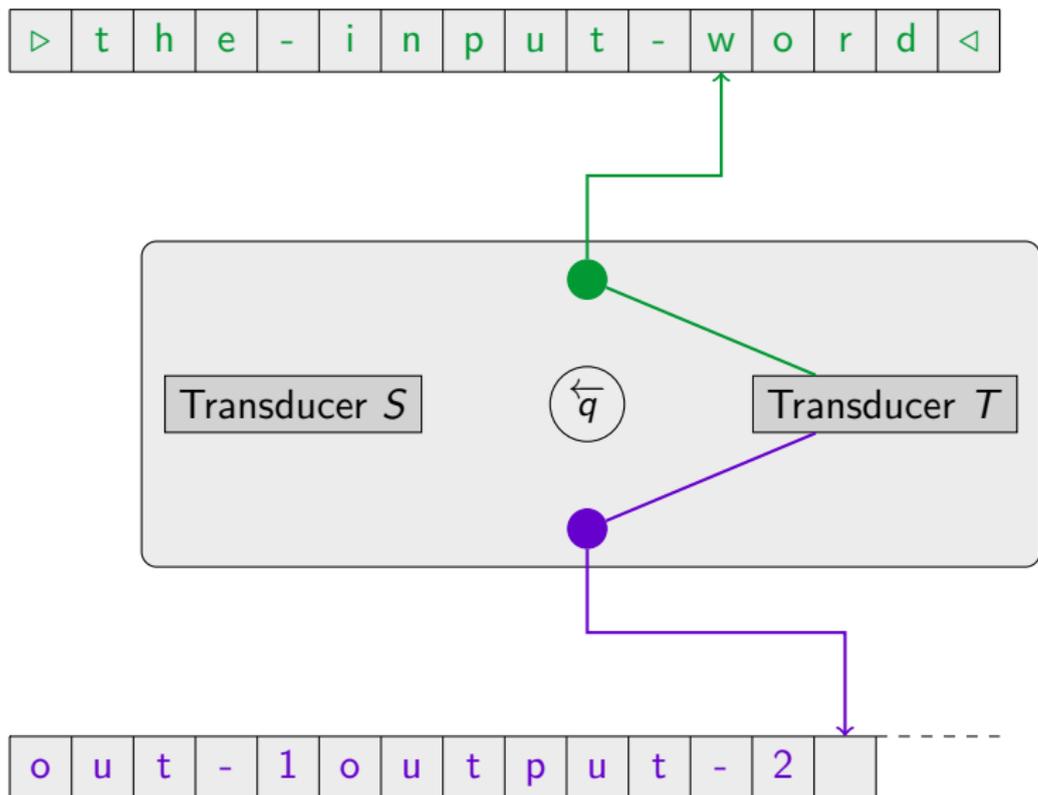
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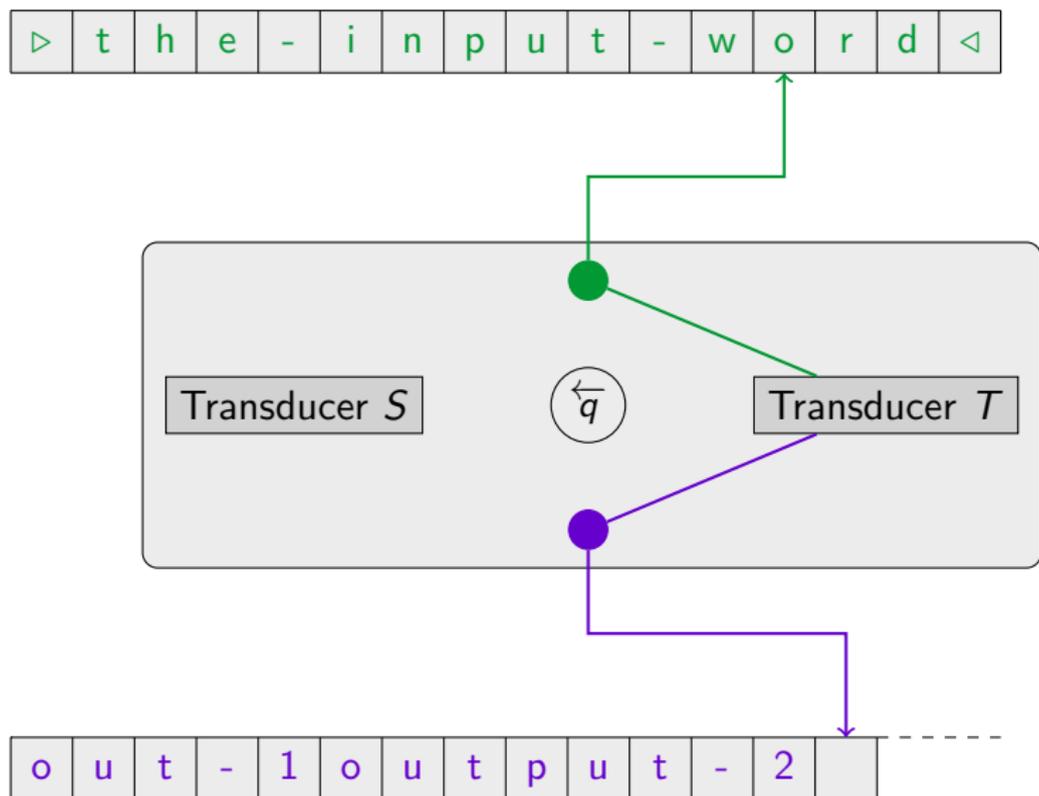
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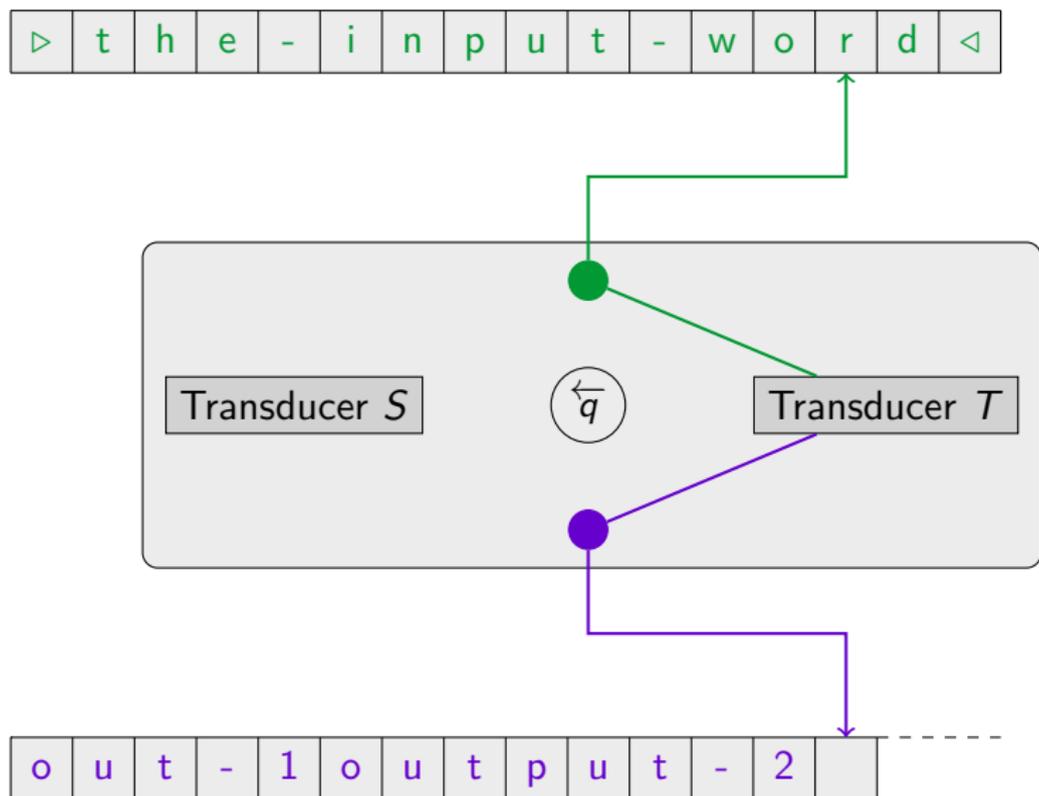
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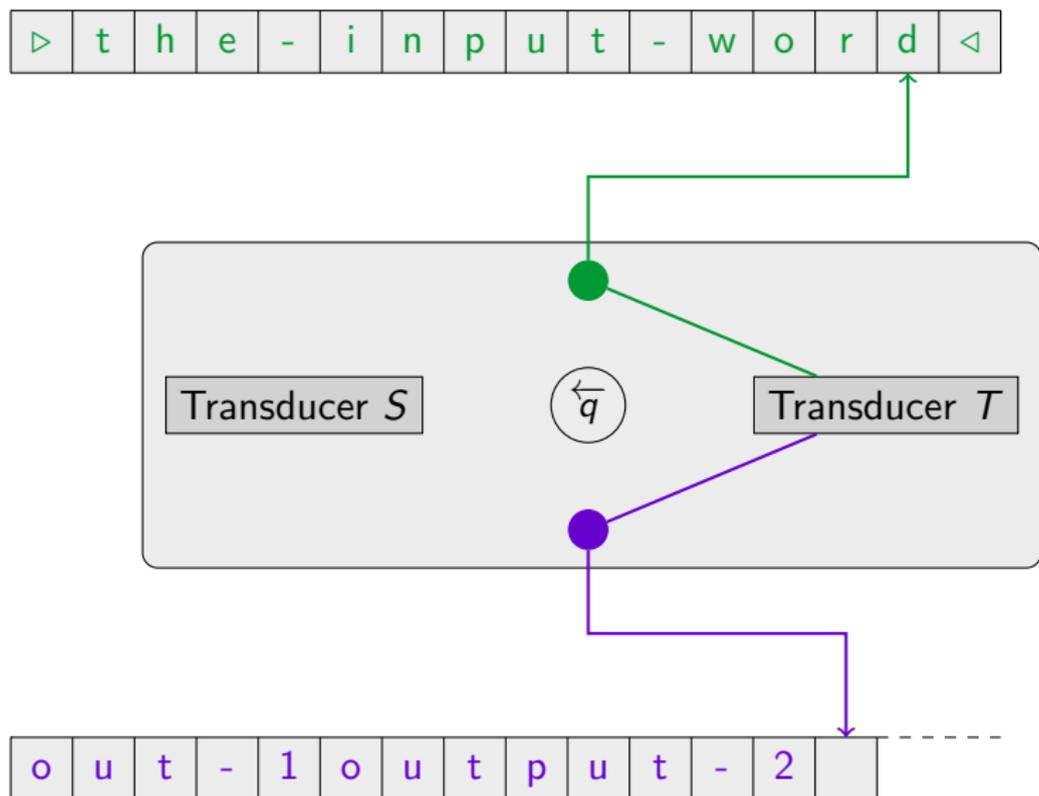
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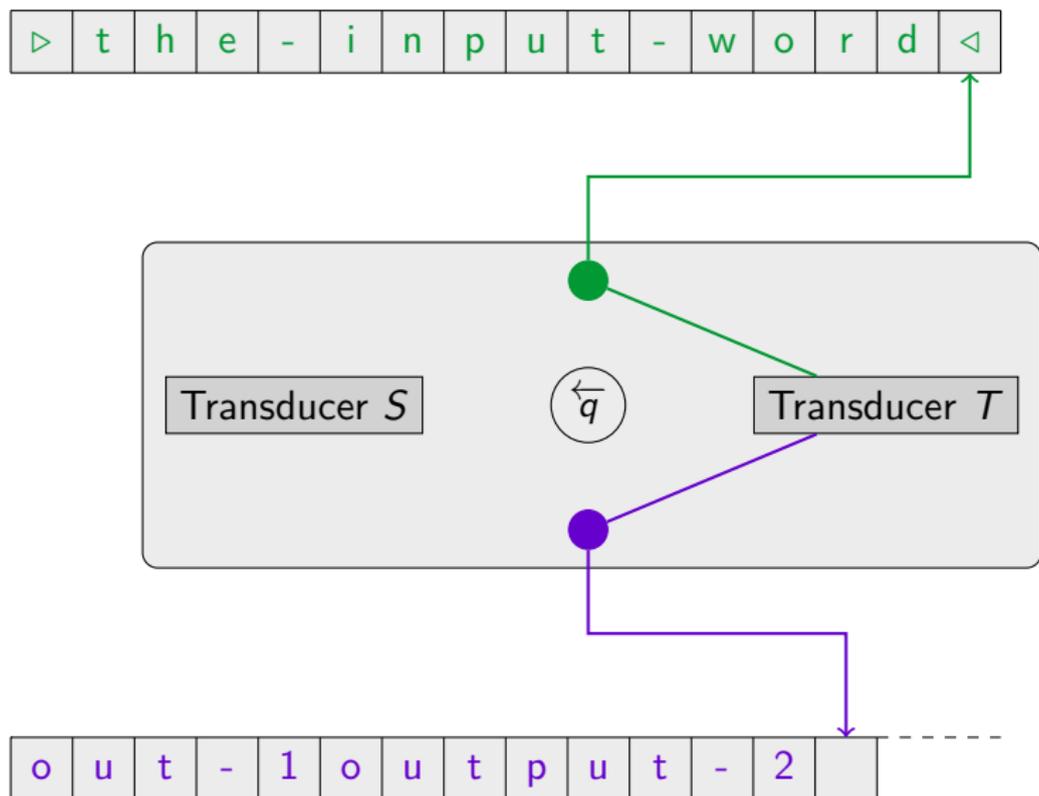
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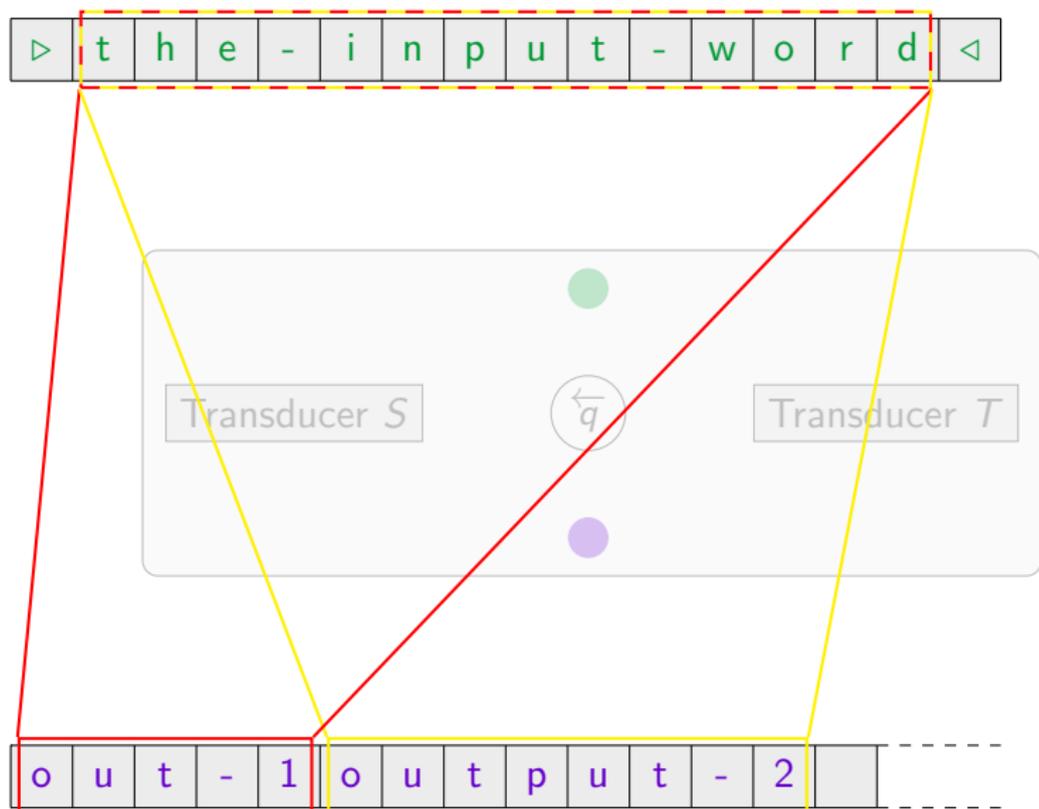
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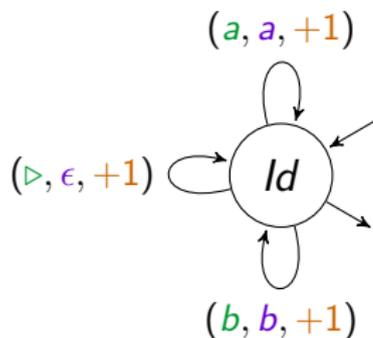
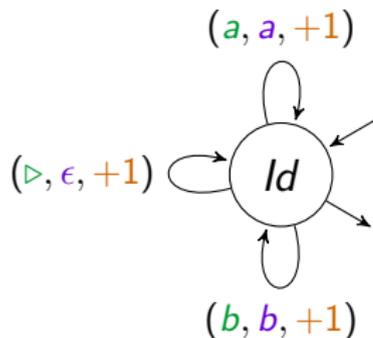
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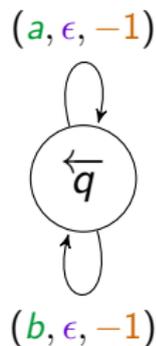
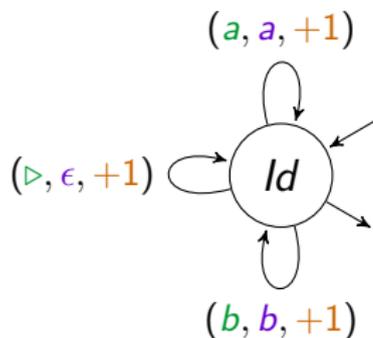
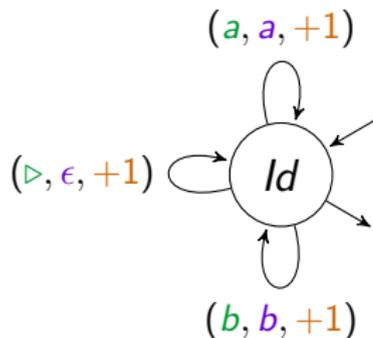
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$$\Sigma = \Gamma = \{a, b\}$$



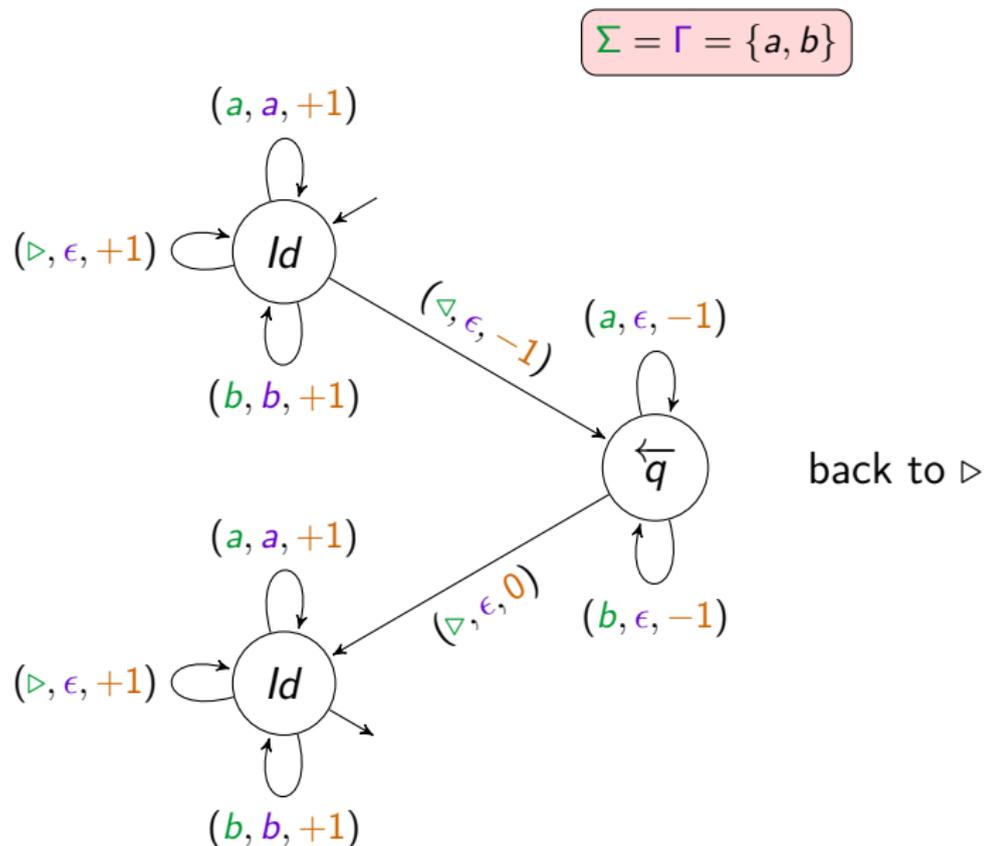
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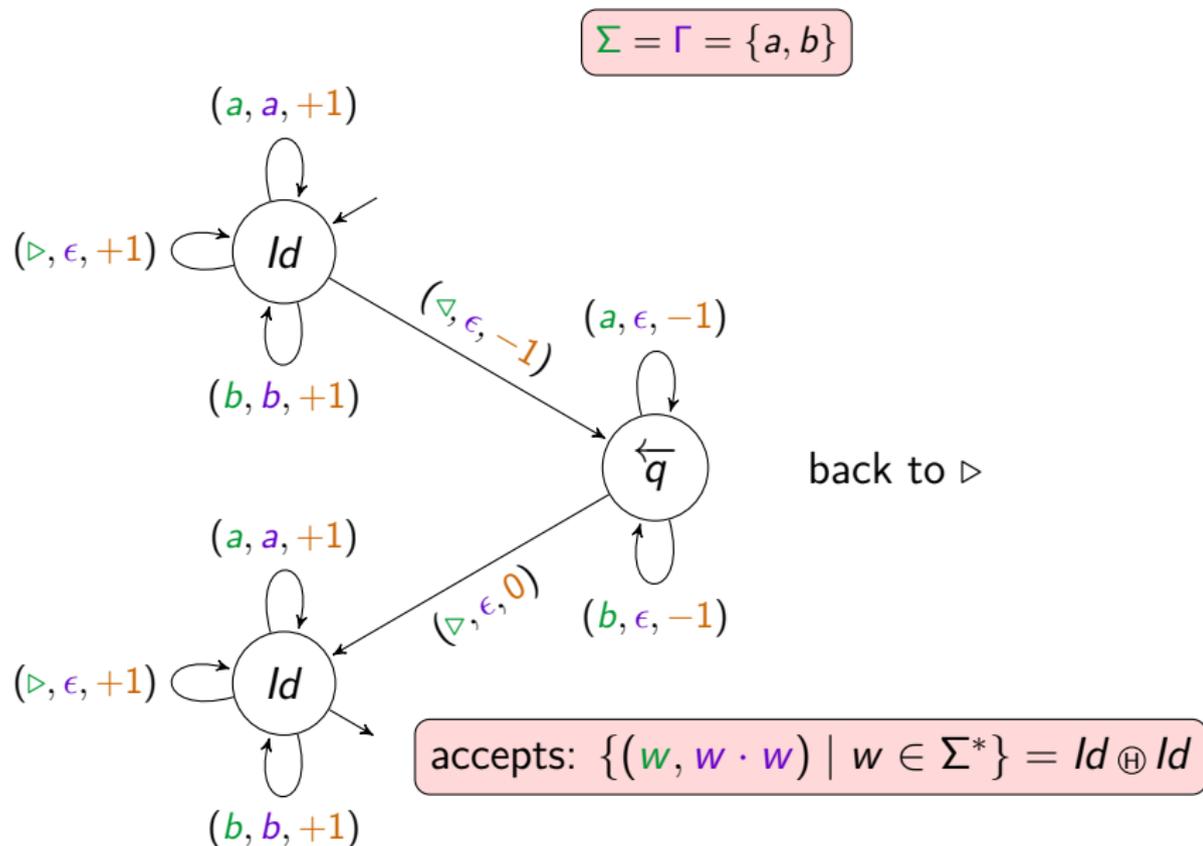


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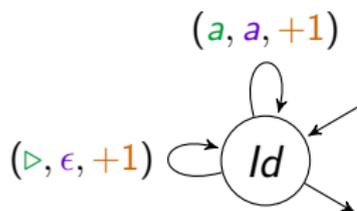


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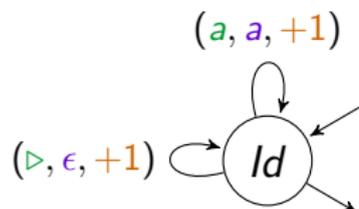
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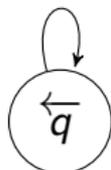


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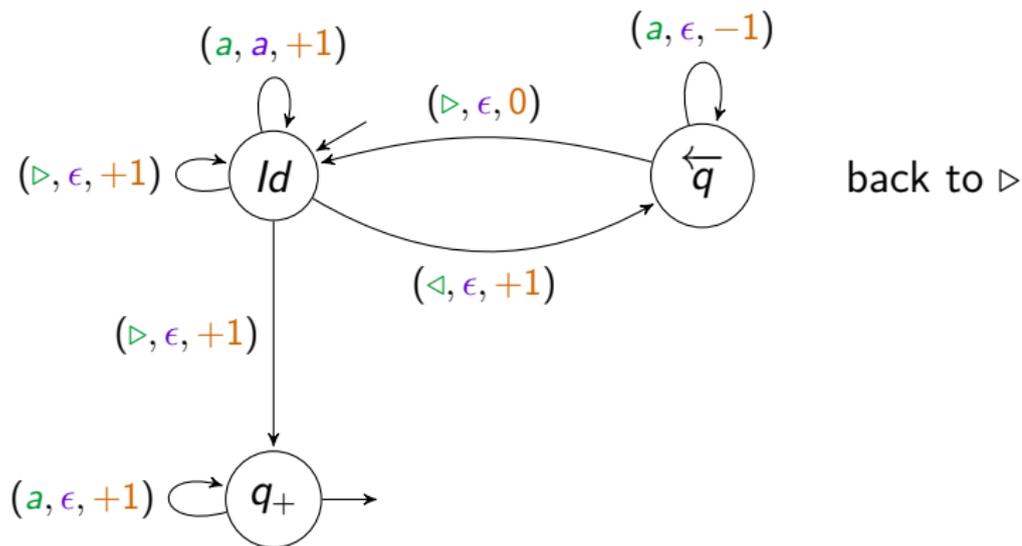
$$(a, \epsilon, -1)$$



back to \triangleright

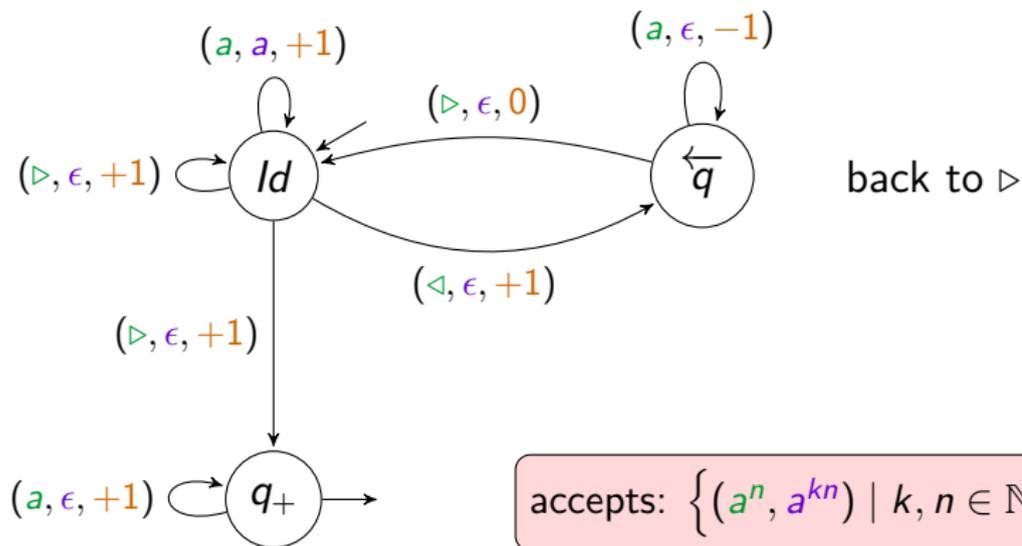
Hadamard operations are natural for two-way transducers

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Hadamard-rational

Definition

$s \in \mathcal{P}(\Gamma^*) \langle \langle \Sigma^* \rangle \rangle$ is H-Rat if

$$s = \sum_i \alpha_i \oplus \beta_i^{\text{H}^*}$$

where the sum is **finite** and α_i s and β_i s are **rational**.

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$$\text{Rat} \subsetneq \text{H-Rat}$$

Lemma

If Γ^* is commutative,

then H-Rat is **closed** under **finite sum**, **H-product** and **H-star**.

Main Result

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Theorem

Unary **two-way** transducers accepts exactly **H-Rat** series.

Analogy with Probabilistic Automata

Theorem (Anselmo, Bertoni, 1994)

Acceptation probability of two-way finite automata is of the form:

$$\tau(w) = \alpha(w) \times \frac{1}{\beta(w)}$$

where α and β are rational series of $\mathbb{Q}\langle\langle \Sigma^ \rangle\rangle$.*

Known results

Theorem (Engelfriet, Hoogeboom)

Two-way transducers ***versus*** ***MSO logic***

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<i>Two-way transducers</i>	<i>versus</i>	<i>MSO logic</i>
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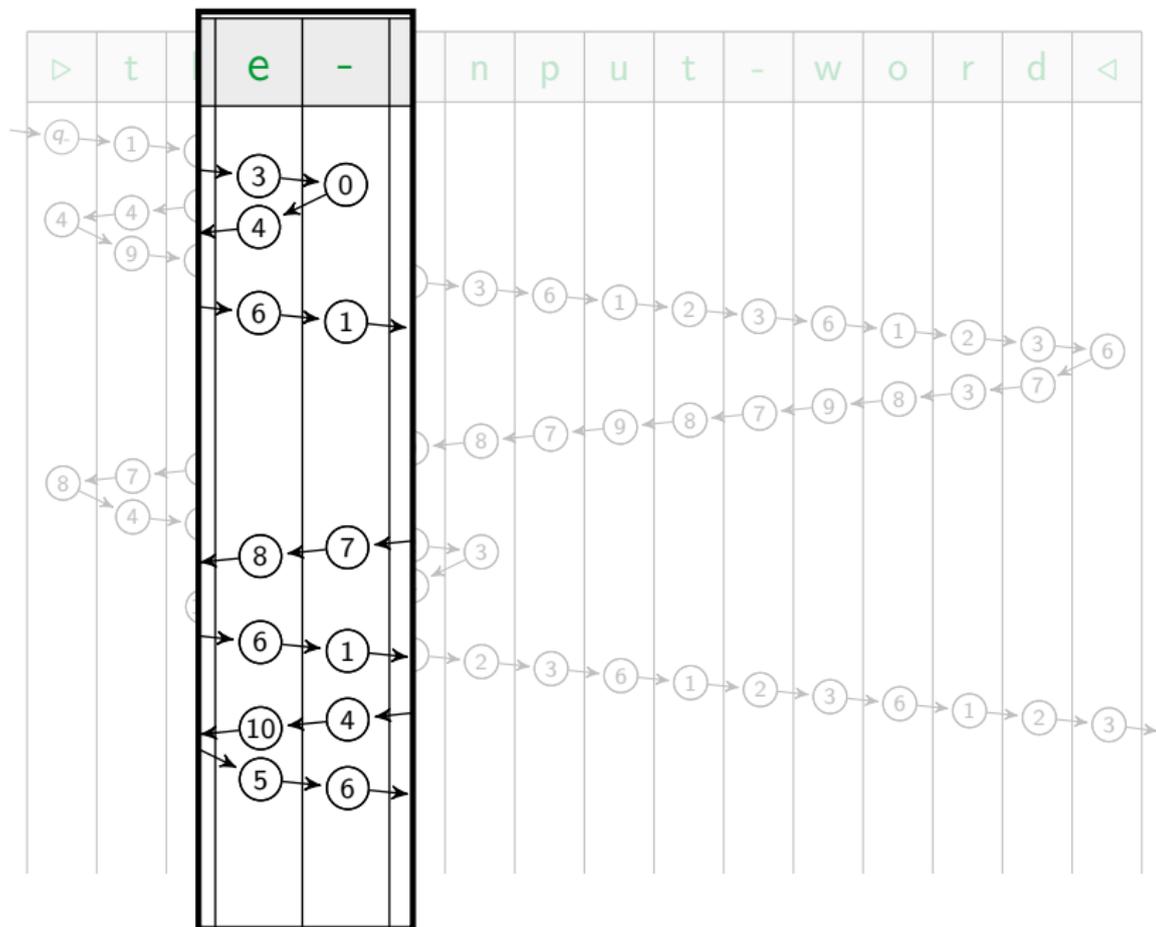
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unary alphabets?

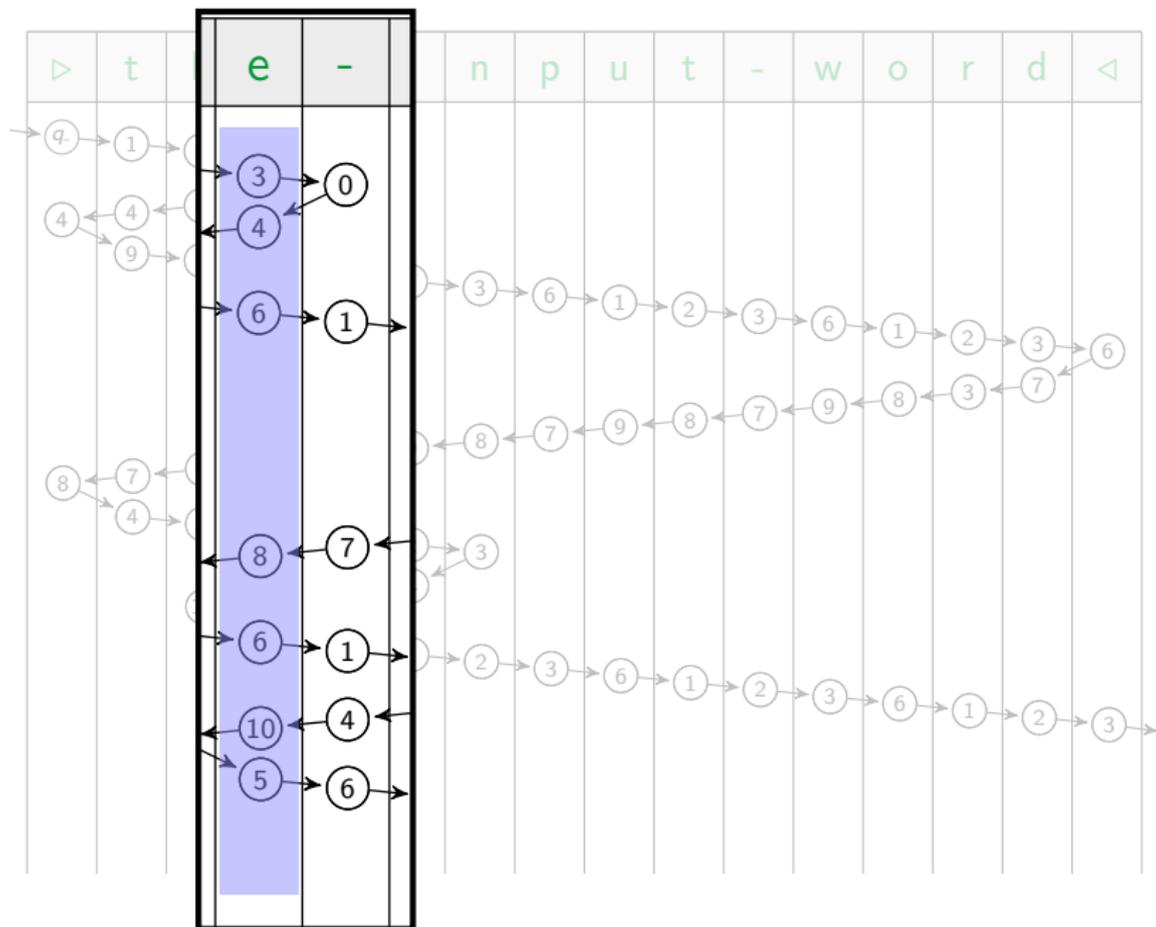
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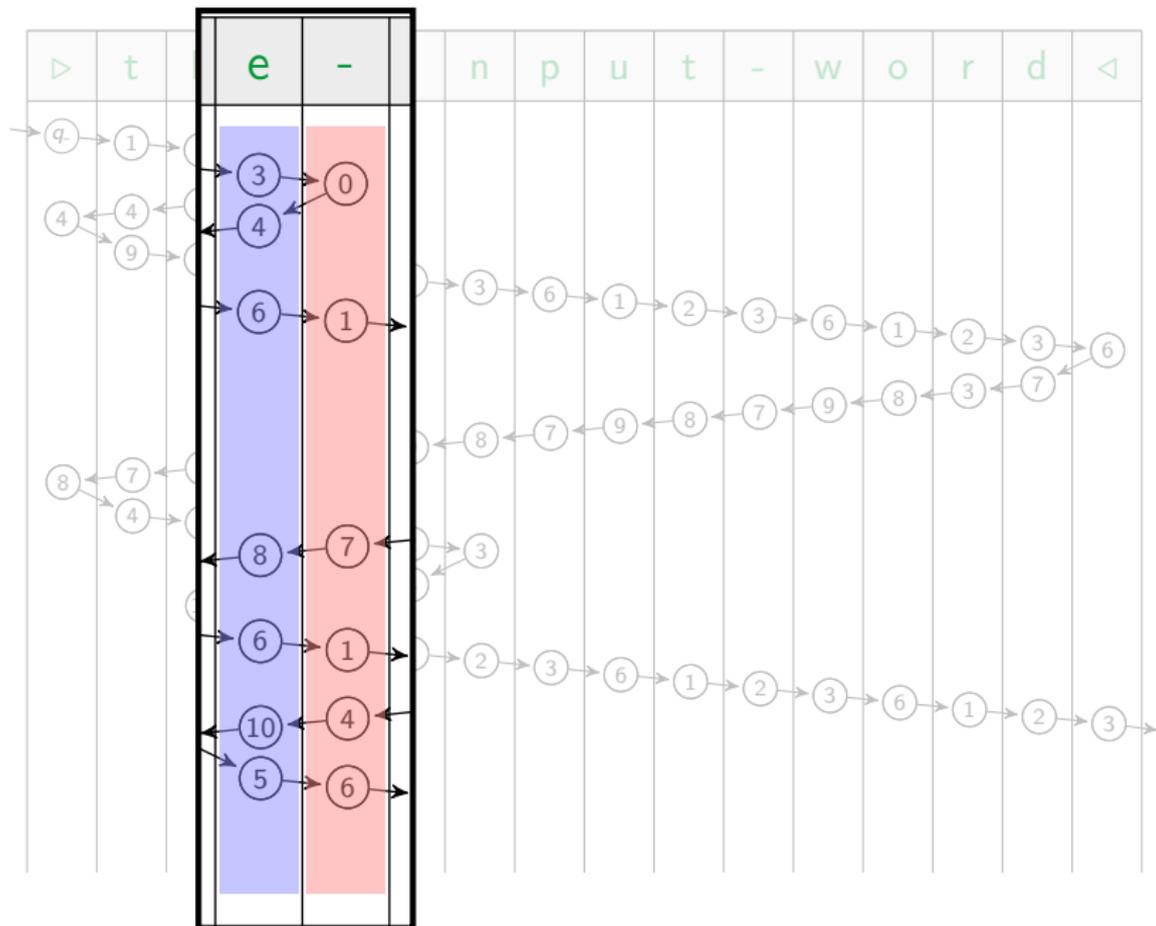
Crossing sequences. . .



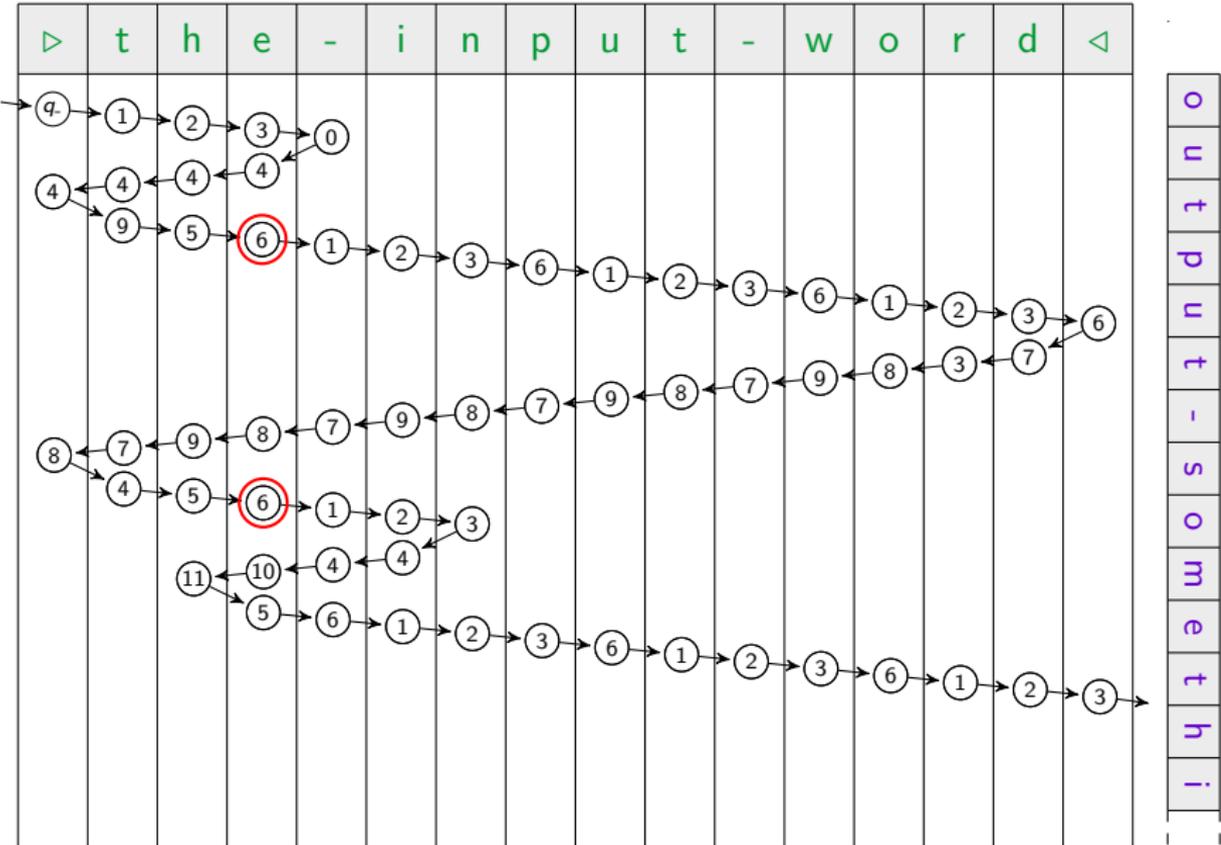
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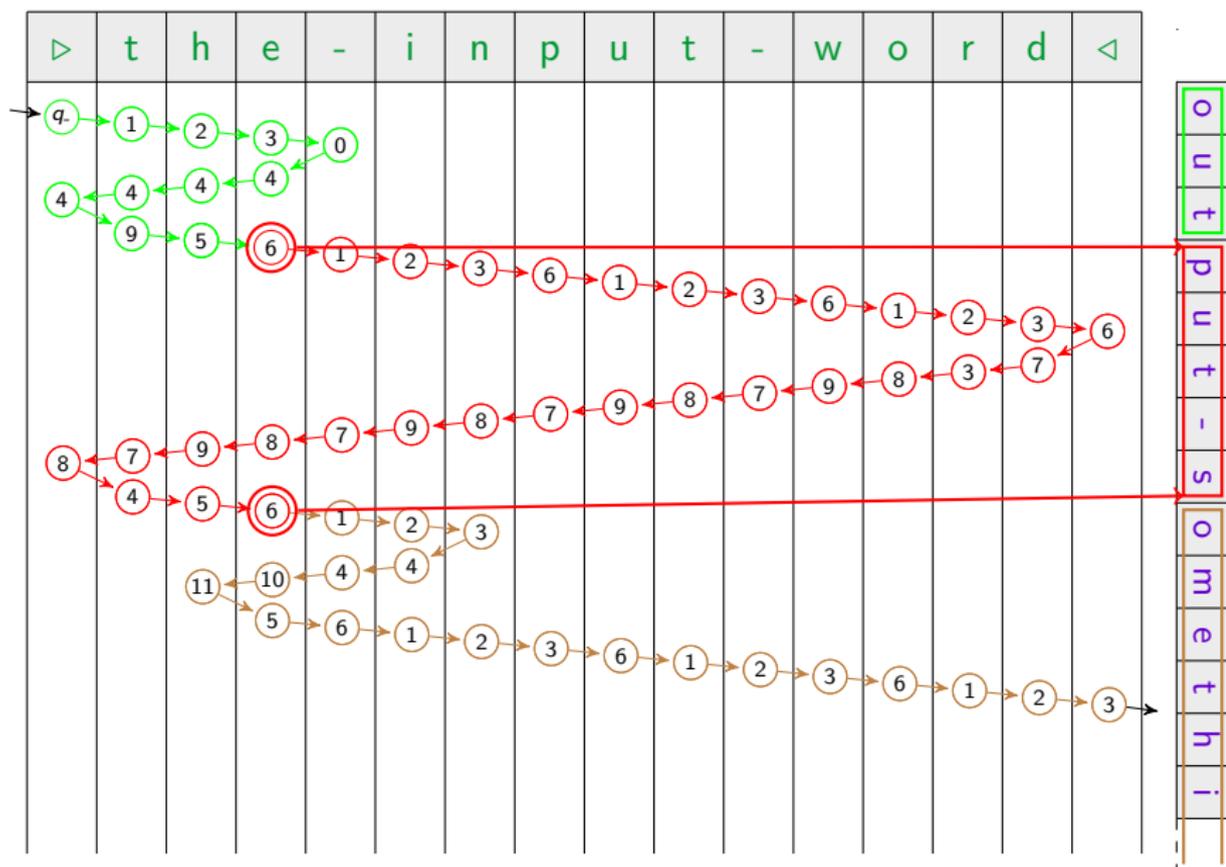
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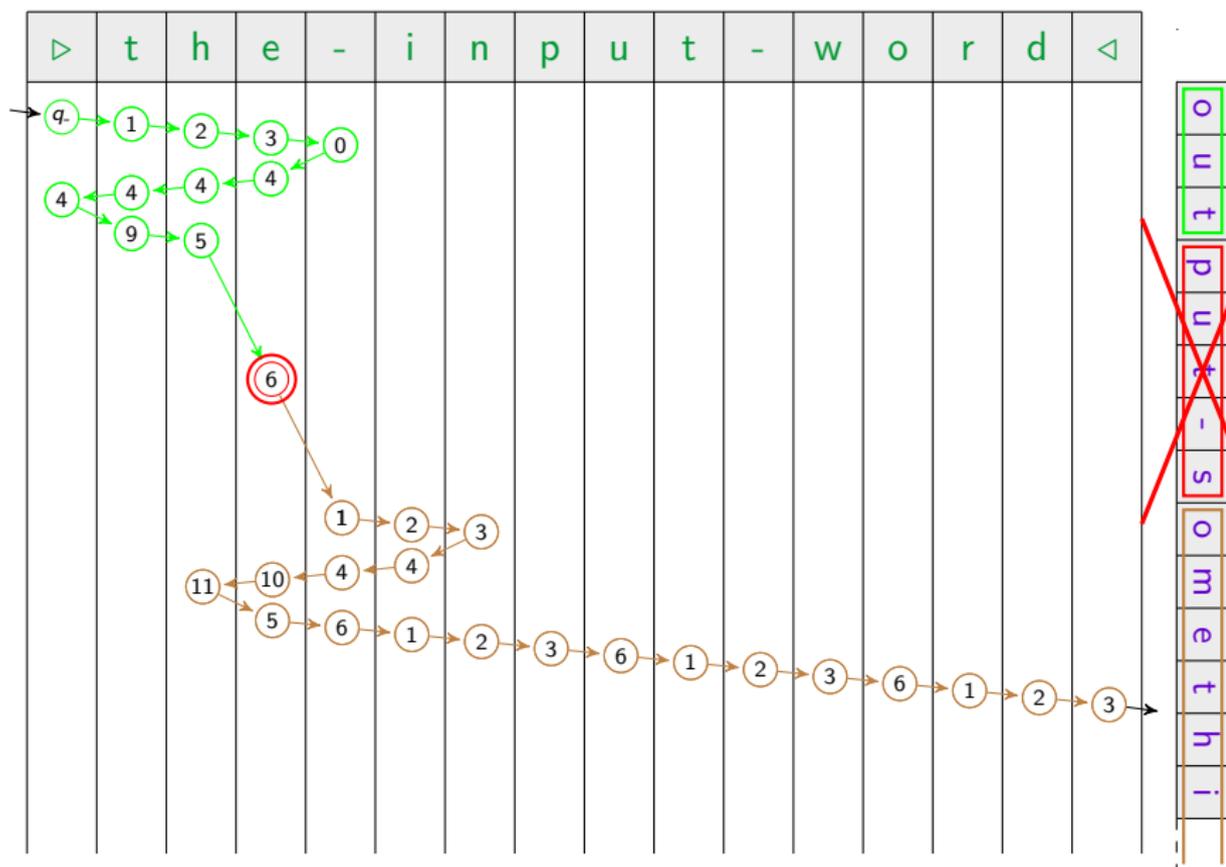
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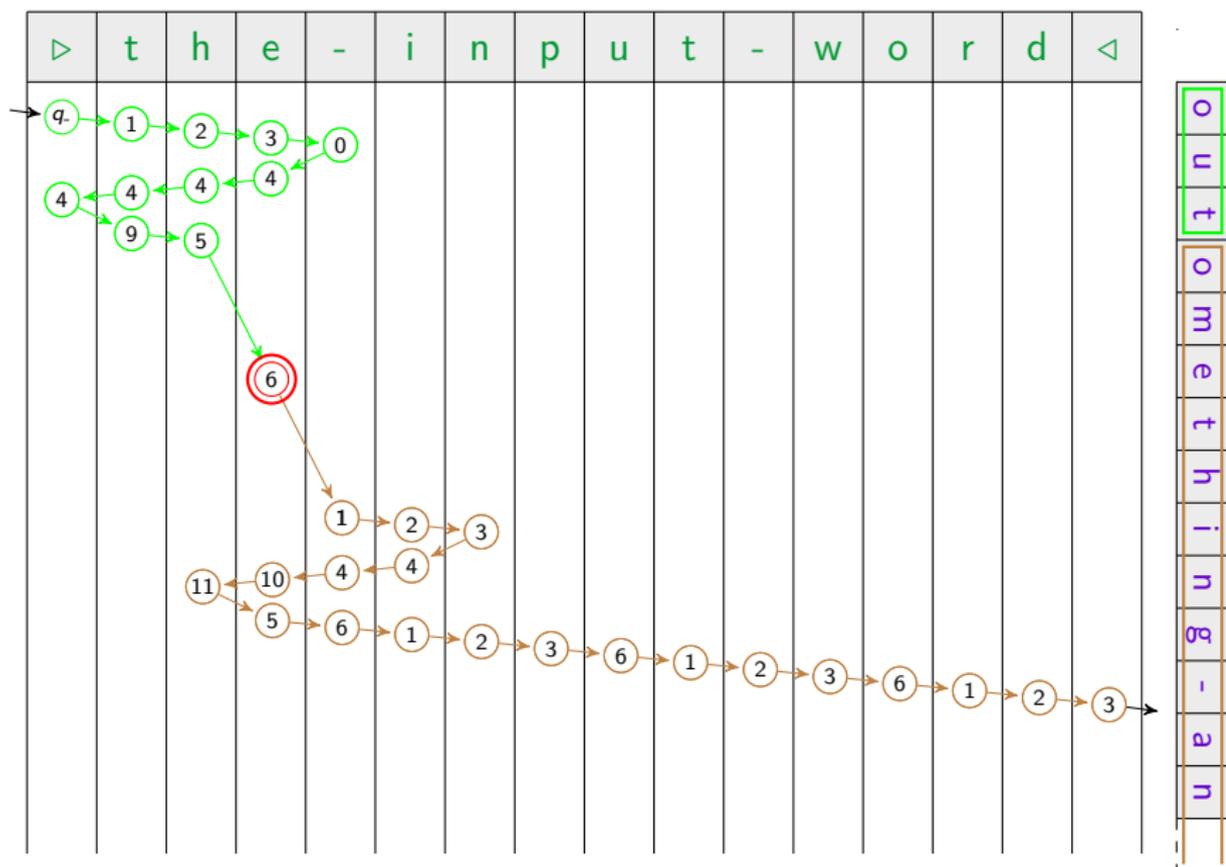
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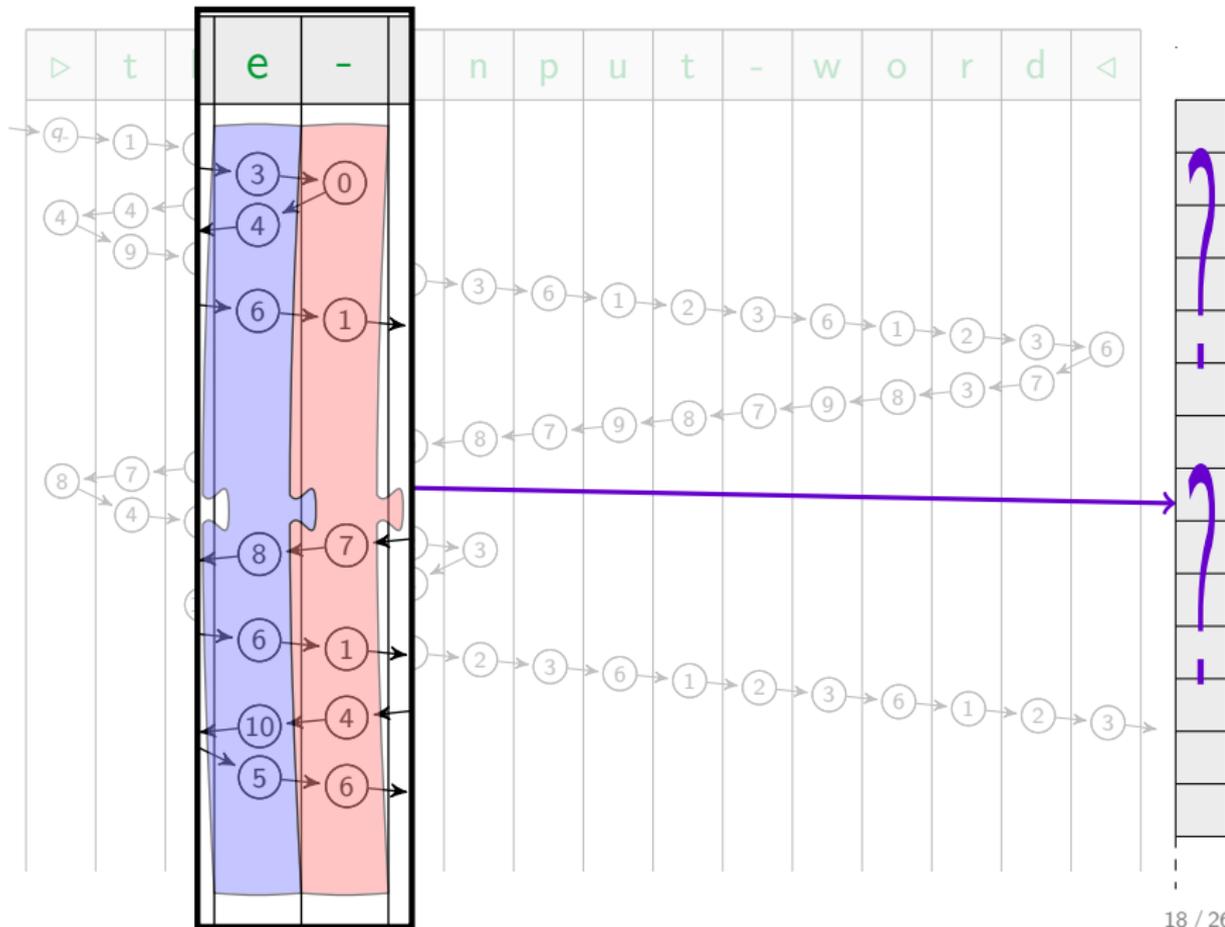
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Get around the problems. . .

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Particular transducers

Γ^* is commutative

Theorem

For any *deterministic* or *functional* transducer
there exists an *equivalent one-way* transducer.

Particular transducers

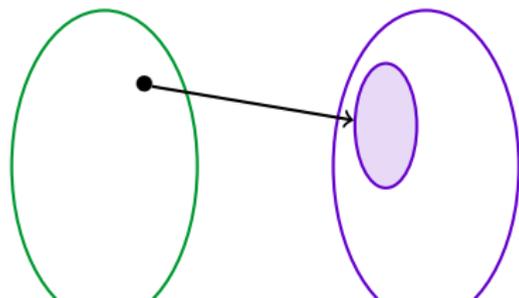
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For any transducer accepting a relation R ,
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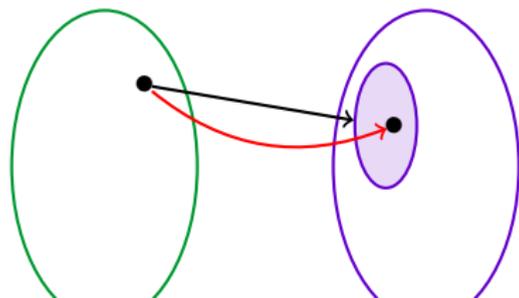
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$$\Sigma = \{a\}$$

Lemma

Central loops of a two-way transducer produce finitely many rational output languages.

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We can take into account central loops in one-way simulation.

Get around the problems. . .

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- ▶ Consider only **loop-free** runs,
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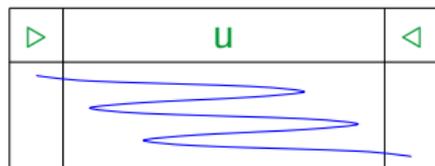
Get around the problems. . .

$$\Sigma = \{a\}$$

- ▶ Consider particular parts of run: **hits** (from **border** to **border**)
- ▶ Consider the case $\Gamma = \{a\}$, or **parikh-equivalence**.

One-way simulation of loop-free hits

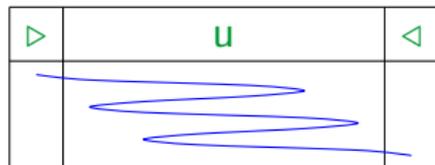
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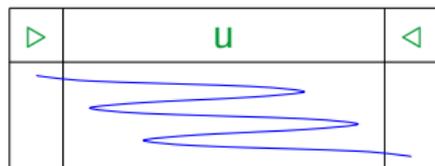
- ▶ reading u



One-way simulation of loop-free hits

Hit: a border to border run

- ▶ reading u
- ▶ outputting v
- ▶ no visit to **endmarkers**



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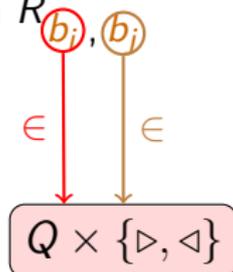
define a relation R_{b_i, b_j}

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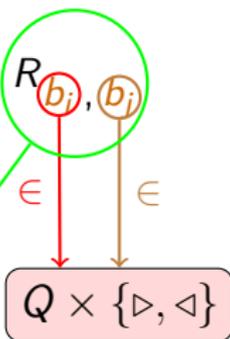


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$$HIT = \begin{pmatrix} R_{0,0} & R_{0,1} & \cdot & \cdot & \cdot & R_{0,2} \\ R_{1,0} & R_{1,1} & \cdot & \cdot & \cdot & R_{1,2} \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ R_{k,0} & R_{k,1} & \cdot & \cdot & \cdot & R_{k,k} \end{pmatrix}$$

The matrix is annotated with a brown bracket above it labeled $2|Q|$ and a red bracket to its right labeled $2|Q|$. A green circle highlights the element $R_{i,j}$ in the matrix, with a green arrow pointing from the relation R in the diagram above to this element.

One-way simulation of loop-free hits

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Rational

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The matrix is annotated with a horizontal brace above the first two rows labeled $2|Q|$ and a vertical brace to the right of the last two rows labeled $2|Q|$. A green circle highlights the element $R_{i,j}$ in the third row, fourth column, with an arrow pointing to it from the text "define a relation R_{b_i, b_j} ".

Composition of hits

Given:

- ▶ a b_0 to b_x hit over u producing v_0 ;
- ▶ and a b_x to b_1 hit over u producing v_1

we may compose them into a b_0 to b_1 run over u producing $v_0 v_1$.

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double-hit relations are:

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triple-hit relations are:

$$R_{b_0, b_1}^{(3)} = \bigcup_{b_{x_1}, b_{x_2} \in Q \times \{\triangleright, \triangleleft\}} R_{b_0, b_{x_1}} \oplus R_{b_{x_1}, b_{x_2}} \oplus R_{b_{x_2}, b_1}$$

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multi-hit relations are:

$$R_{b_0, b_1}^{(\text{H}^*)} = \bigcup_{n \in \mathbb{N}} \bigcup_{b_{x_1}, \dots, b_{x_n}} R_{b_0, b_{x_1}} \oplus \dots \oplus R_{b_{x_n}, b_1}$$

coefficient (b_0, b_1) of HIT^{H^*} .

Accepting runs

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R is in $H\text{-Rat}$.

(by closure properties of $H\text{-Rat}$)

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Grazie infinite.