

# Part I

## Group radical

### Group radical

The **group radical** of a finite monoid  $M$  is the smallest submonoid  $D(M)$  of  $M$  containing the idempotents and closed under weak conjugation: if  $sts = s$  and  $d \in D(M)$ , then  $sdt, tds \in D(M)$ .

# Computation of the radical

Initialisation :  $D(M) = E(M)$

For each  $d$  in  $D(S)$

For each weakly conjugate pair  $(s, t)$   
add  $sdt$  and  $tds$  to  $D(S)$   
add  $D(S)d$  to  $D(S)$ .

Time complexity in  $O(|S|^3)$ .

# Part II

## Syntactic ordered monoid

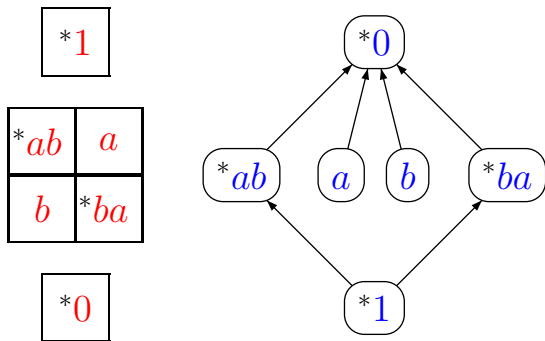
If  $P$  is a subset of a monoid  $M$ , the **syntactic preorder**  $\leq_P$  is defined on  $M$  by  $u \leq_P v$  iff, for all  $x, y \in M$ ,

$$xvy \in P \Rightarrow xuy \in P$$

Denote by  $\bar{P}$  the **complement** of  $P$ . Then  $u \not\leq_P v$  iff there exist  $x, y \in M$  such that

$$xuy \in \bar{P} \text{ and } xvy \in P$$

# The syntactic ordered monoid of $ab$ in $B_2^1$



# An algorithm for the syntactic preorder

Let  $G$  be the graph with  $M \times M$  as set of vertices and edges of the form  $(ua, va) \rightarrow (u, v)$  or  $(au, av) \rightarrow (u, v)$ .

We have seen that  $u \not\leq_P v$  iff there exist  $x, y \in M$  such that

$$xuy \in \bar{P} \text{ and } xvy \in P$$

Therefore,  $u \not\leq_P v$  iff the vertex  $(u, v)$  is reachable in  $G$  from some vertex of  $\bar{P} \times P$ .

## The algorithm (2)

(1) Label each vertex  $(u, v)$  as follows:

$$\begin{cases} (0, 1) & \text{if } u \notin P \text{ and } v \in P & [u \not\leq_P v] \\ (1, 0) & \text{if } u \in P \text{ and } v \notin P & [v \not\leq_P u] \\ (1, 1) & \text{otherwise} \end{cases}$$

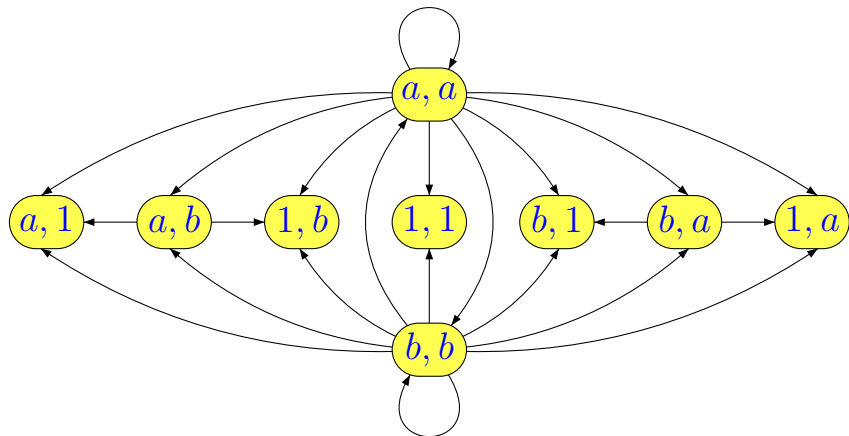
(2) Do a depth first search (starting from each vertex labeled by  $(0, 1)$ ) and set to 0 the first component of the label of all visited vertices.

# Constraint propagation

- (3) Do a **depth first search** (starting from each vertex labeled by  $(0, 0)$  or  $(1, 0)$ ) and set to  $0$  the **second** component of the label of all visited vertices.
- (4) The label of each vertex now encodes the **syntactic preorder** of  $P$  as follows:
- $$\left\{ \begin{array}{ll} (1, 1) & \text{if } u \sim_P v \\ (1, 0) & \text{if } u \leq_P v \\ (0, 1) & \text{if } v \leq_P u \\ (0, 0) & \text{if } u \text{ and } v \text{ are incomparable} \end{array} \right.$$

# Computation of the syntactic preorder

Let  $M = \{1, a, b\}$  with  $aa = ba = a$  and  $ab = bb = b$ . Let  $P = \{a\}$ .

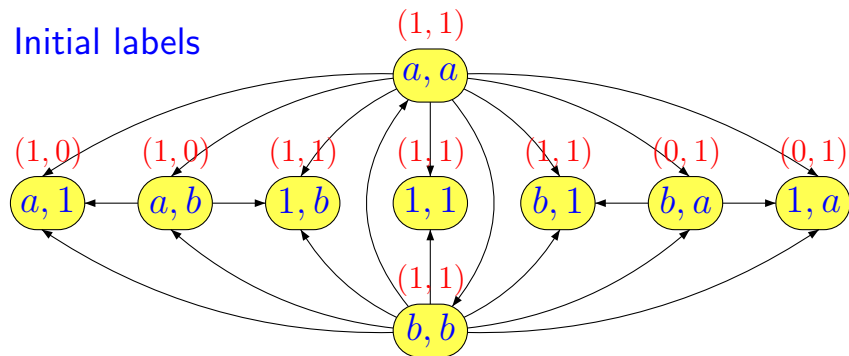




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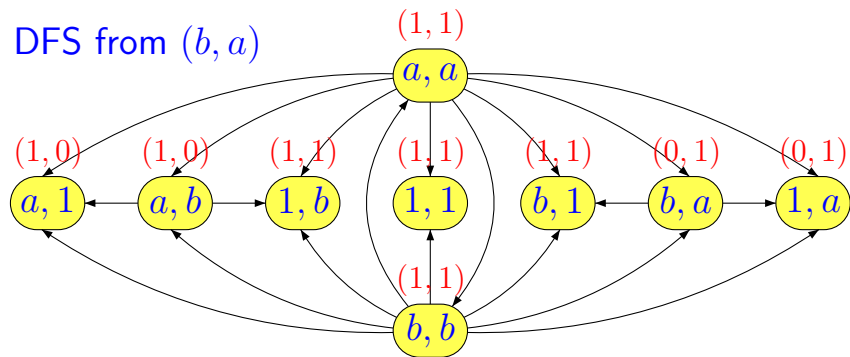
Initial labels



# Computation of the syntactic preorder

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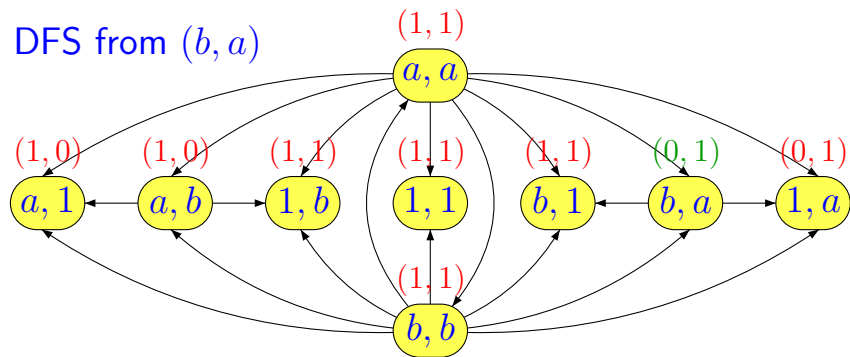
DFS from  $(b, a)$



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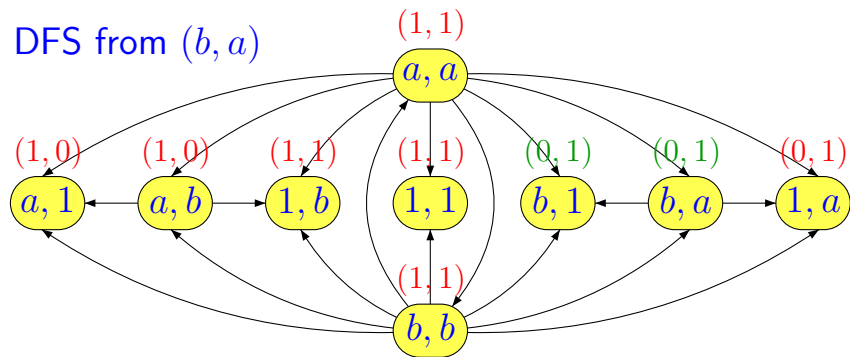
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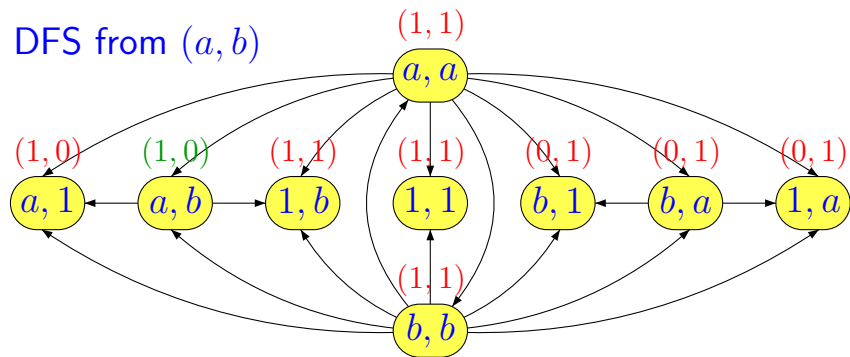
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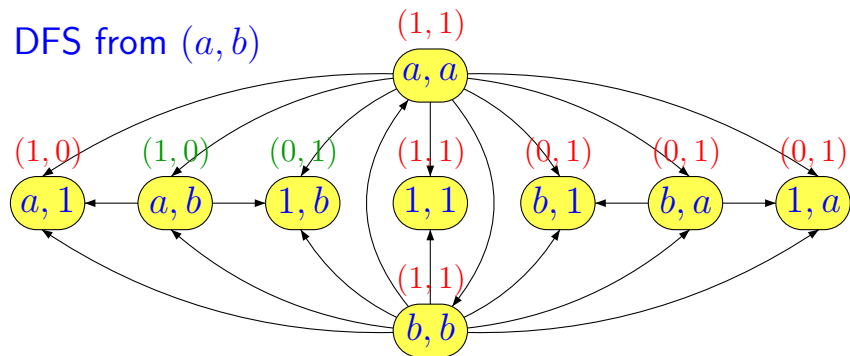
DFS from  $(a, b)$



# Computation of the syntactic preorder

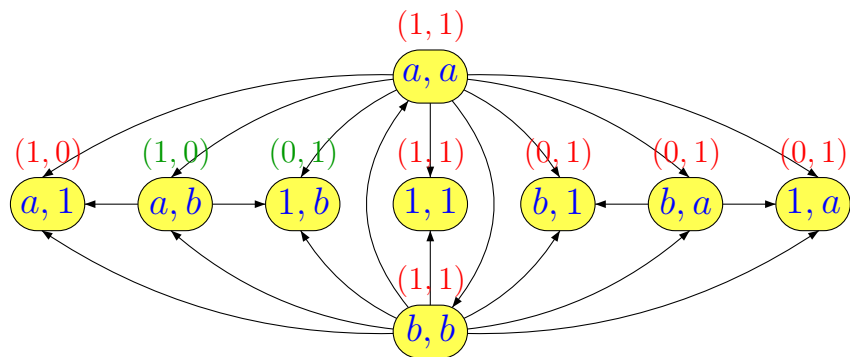
Let  $M = \{1, a, b\}$  with  $aa = ba = a$  and  $ab = bb = b$ . Let  $P = \{a\}$ .

DFS from  $(a, b)$



# Computation of the syntactic preorder

Let  $M = \{1, a, b\}$  with  $aa = ba = a$  and  $ab = bb = b$ . Let  $P = \{a\}$ .



Thus  $a \leq_P 1 \leq_P b$

# Complexity of the algorithm

The syntactic preorder can be computed in  $O(|A||M|^2)$  time and space.





# Aperiodicity

## Theorem (Cho-Huynh 1991)

Testing *aperiodicity* of a deterministic  $n$ -state automaton is *P-space complete*.

## Proposition

One can test in  $O(|A||S|)$ -time whether an  $A$ -generated finite semigroup  $S$  is *aperiodic*.

It suffices to test whether the  $\mathcal{H}$ -classes are trivial.



## Proposition

*One can test in  $O(|A||S|)$ -time whether an  $A$ -generated finite semigroup  $S$  is  $\mathcal{R}$ -trivial [ $\mathcal{L}$ -trivial,  $\mathcal{J}$ -trivial, commutative, idempotent, nilpotent, a group, a block-group].*

# Testing a set of identities

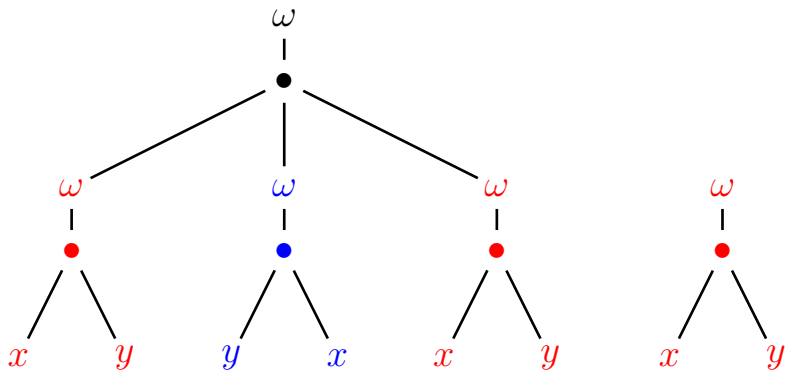
This is a **difficult problem** for several reasons:

- It may happen that testing whether a **set of identities** is satisfied is **much easier** than testing whether any of the **individual identities** is satisfied.
- Identities for finite semigroups are **profinite identities**. The operations  $x^\omega$  and  $x^{\omega-1}$  are frequently needed, but other operators might be needed.
- There might be some tricky **tree pattern-matching problems** to solve.



# Tree pattern-matching problems

A simple example: the variety **DS** is defined by the identity  $((xy)^\omega(yx)^\omega(xy)^\omega)^\omega = (xy)^\omega$



# Semigroup theory might help...

## Proposition

*One can test in  $O(|A||S|)$ -time whether an  $A$ -generated finite semigroup  $S$  belongs to **DS**.*

Indeed, a semigroup belongs to **DS** iff every regular  $\mathcal{D}$ -class is **union of groups**. Therefore, it suffices to test whether the number of regular  $\mathcal{H}$ -classes is equal to the number of idempotents.



# Part III

## New directions

A **stamp** is a morphism from a finitely generated free monoid onto a finite monoid. An **ordered stamp** is a stamp onto an ordered monoid.

$$\varphi : A^* \rightarrow M$$

# Stable subsemigroup

Let  $\varphi : A^* \rightarrow M$  be a stamp and let  $Z = \varphi(A)$ .  
Then  $Z$  belongs to the monoid  $\mathcal{P}(M)$  of subsets of  $M$ .

Since  $\mathcal{P}(M)$  is finite,  $Z$  has an idempotent power.  
The **stability index** of  $\varphi$  is the least positive integer such that  $\varphi(A^s) = \varphi(A^{2s})$ .

The set  $\varphi(A^s)$  is a subsemigroup of  $M$  called the **stable semigroup** of  $\varphi$  and the monoid  $\varphi(A^s) \cup \{1\}$  is called the **stable monoid** of  $\varphi$ .

**Theorem** (McNaughton-Paper 1971, Schützenberger 1965)

A language is **FO**[<]-definable iff its syntactic semigroup is *aperiodic*.

**Theorem** (Barrington, Compton, Straubing, Thérien 1992)

A language is **FO**[< + MOD]-definable iff the *stable semigroup* of its syntactic stamp is *aperiodic*.



# A bit of logic

To each nonempty word  $u$  is associated a structure

$$\mathcal{M}_u = (\{1, 2, \dots, |u|\}, <, (\mathbf{a})_{a \in A})$$

where  $\mathbf{a}$  is interpreted as the set of integers  $i$  such that the  $i$ -th letter of  $u$  is an  $a$ , and  $<$  as the usual order on integers.

If  $u = abbaab$ , then  $\text{Dom}(u) = \{1, 2, 3, 4, 5, 6\}$ ,  
 $\mathbf{a} = \{1, 4, 5\}$  and  $\mathbf{b} = \{2, 3, 6\}$ .

# Modular predicates

Let  $d > 0$  and  $r \in \mathbb{Z}/d\mathbb{Z}$ . We define two new symbols (the **modular symbols**):

- The **unary** symbol  $\text{MOD}_r^d$ :

$$\text{MOD}_r^d(n) = \{i < n \mid i \bmod d = r\}$$

- A **constant** symbol  $m$  for the last position in a word

# Fragments of first order logic

**FO**[ $<$ ] denotes the set of **first order** formulas in the signature  $\{<, (\mathbf{a})_{a \in A}\}$ .

**FO**[ $< + \text{MOD}$ ] denotes the logic obtained by adjoining all **modular symbols**.

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$\mathbf{FO}[\lt + \mathbf{MOD}]$  denotes the logic obtained by adjoining all **modular symbols**.

$\Sigma_1$  denotes the set of **existential formulas**:

$$\exists x_1 \cdots \exists x_n \varphi(x_1, \dots, x_n)$$

where  $\varphi$  is quantifier-free.

$\mathbf{BS}_1$  denotes the set of **Boolean combinations** of  $\Sigma_1$ -formulas.



## Some examples

The formula  $\exists x \mathbf{a}x$  is interpreted as:

*There exists an integer  $x$  such that, in  $u$ ,  
the letter in position  $x$  is an  $a$ .*

This defines the language  $A^*aA^*$ .

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The formula  $\exists x \exists y (x < y) \wedge \mathbf{ax} \wedge \mathbf{by}$  defines the language  $A^*aA^*bA^*$ .

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The formula  $\exists x \exists y (x < y) \wedge \mathbf{ax} \wedge \mathbf{by}$  defines the language  $A^*aA^*bA^*$ .

The formula  $\exists x \forall y (x < y) \vee (x = y) \wedge \mathbf{ax}$  defines the language  $aA^*$ .

# Simple languages

A **simple** language is a language of the form

$$A^d a_1 A^d a_2 A^d \cdots a_k A^d$$

where  $d > 0$ ,  $k \geq 0$  and  $a_1, a_2, \dots, a_k \in A$ .

A **modular simple** language is a language of the form

$$(A^d)^* a_1 (A^d)^* a_2 (A^d)^* \cdots a_k (A^d)^*$$

where  $d > 0$ ,  $k \geq 0$  and  $a_1, a_2, \dots, a_k \in A$ .



# Logical description of simple languages

The language  $A^*a_1A^*a_2A^*\cdots a_kA^*$  can be defined by the  $\Sigma_1$ -formula

$$\exists x_1 \dots \exists x_k (x_1 < \dots < x_k) \wedge (\mathbf{a}_1x_1 \wedge \dots \wedge \mathbf{a}_kx_k)$$

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$$\exists x_1 \dots \exists x_k (x_1 < \dots < x_k) \wedge (\mathbf{a}_1x_1 \wedge \dots \wedge \mathbf{a}_kx_k) \wedge (\text{MOD}_0^d x_1 \wedge \text{MOD}_1^d x_2 \wedge \dots \wedge \text{MOD}_{k-1}^d x_k \wedge \text{MOD}_{k-1}^d m)$$

Theorem (McNaughton-Paper 1971, Schützenberger 1965)

A language is **FO**[<]-definable iff its syntactic semigroup is *aperiodic*.

Theorem (Barrington, Compton, Straubing, Thérien 1992)

A language is **FO**[< + MOD]-definable iff the *stable semigroup* of its syntactic stamp is *aperiodic*.

# Existential formulas ( $\Sigma_1$ )

## Proposition

*A language is definable in  $\Sigma_1[<]$  iff it is a finite union of simple languages.*

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*A language is definable in  $\Sigma_1[< + \text{MOD}]$  iff it is a finite union of modular simple languages.*



# Algebraic characterization

**Theorem** (Thomas 1982, Perrin-Pin 1986)

*A language is definable in  $\Sigma_1[<]$  iff its ordered syntactic monoid satisfies the identity  $x \leq 1$ .*

**Theorem** (Chaubard, Pin, Straubing 2006)

*A language is definable in  $\Sigma_1[< + \text{MOD}]$  iff the **stable ordered monoid** of its ordered syntactic stamp satisfies the identity  $x \leq 1$ .*



# $lm$ -morphisms

A morphism  $f : A^* \rightarrow B^*$  is **length-multiplying** ( $lm$  for short) if there exists an integer  $k$  such that the image of each letter of  $A$  is a word of  $B^k$ .

For instance, if  $A = \{a, b\}$  and  $B = \{a, b, c\}$ , the morphism defined by  $\varphi(a) = abca$  and  $\varphi(b) = cbba$  is **length-multiplying**.

# $lm$ -identities

Let  $u, v$  be two words on the alphabet  $B$ . A morphism  $\varphi : A^* \rightarrow M$  satisfies the  $lm$ -identity  $u = v$  if, for every  $lm$ -morphism  $f : B^* \rightarrow A^*$ ,  $\varphi \circ f(u) = \varphi \circ f(v)$ .

For instance,  $\varphi : A^* \rightarrow M$  satisfies the  $lm$ -identity  $xyx = xy$  if for any pair of words of the same length  $x, y$  of  $A^*$ ,  $\varphi(xyx) = \varphi(xy)$ .

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If  $M$  is ordered, we say that  $\varphi$  satisfies the  $lm$ -identity  $u \leq v$  if, for every  $lm$ -morphism  $f : B^* \rightarrow A^*$ ,  $\varphi \circ f(u) \leq \varphi \circ f(v)$ .



# Characterization by $lm$ -identities

**Theorem** (Thomas 1982, Perrin-Pin 1986)

*A language is definable in  $\Sigma_1[<]$  iff its ordered syntactic monoid satisfies the identity  $x \leq 1$ .*

**Theorem** (Chaubard, Pin, Straubing 2006)

*A language is definable in  $\Sigma_1[< + \text{MOD}]$  iff its ordered syntactic stamp satisfies the  $lm$ -identities  $x^{\omega-1}y \leq 1$  and  $yx^{\omega-1} \leq 1$ .*

# Boolean combination of existential formulas

## Theorem (Thomas 1982)

*A language is definable in  $\mathcal{B}\Sigma_1[<]$  iff it is a Boolean combination of simple languages.*

## Theorem (Chaubard, Pin, Straubing 2006)

*A language is definable in  $\mathcal{B}\Sigma_1[< + \text{MOD}]$  iff it is a Boolean combination of modular simple languages.*

# Algebraic characterization

Theorem (Simon 1972, Thomas 1982)

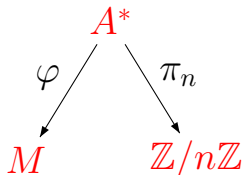
A language is definable in  $\mathcal{BS}\Sigma_1[<]$  iff its syntactic monoid is  $\mathcal{J}$ -trivial.

Theorem (Chaubard, Pin, Straubing 2006)

A language is a *Boolean combination* of modular simple languages iff its syntactic stamp belongs to the *Im-variety*  $\mathbf{J} * \mathbf{MOD}$ .

# Derived category of a stamp $\varphi : A^* \rightarrow M$

Let  $\pi_n(u) = |u| \bmod n$ .



Let  $C_n(\varphi)$  be the category whose **objects** are elements of  $\mathbb{Z}/n\mathbb{Z}$  and whose **arrows** from  $i$  to  $j$  are the triples  $(i, m, j)$  where  $j - i \in \pi_n(\varphi^{-1}(m))$ .

**Composition** is given by

$$(i, m_1, j)(j, m_2, k) = (i, m_1 m_2, k).$$

# A decidable characterization

## Theorem (Chaubard, Pin, Straubing 2006)




Let  $\varphi$  be a stamp of stability index  $s$ . Then  $\varphi$  belongs to **J \* MOD** iff  $C_s(\varphi)$  is in  $g\mathbf{J}$ .

No characterization by *lm*-identities is known at the moment.

## What would be useful in GAP 4...

- Define **stamps** as a basic object.
- Compute **stable semigroups** and monoids of stamps.
- Test for **length-preserving** and **length-multiplying identities**.
- Compute **derived categories**

# References I

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-  **Semigroupe**, C programme, available at <http://www.liafa.jussieu.fr/~jep/Logiciels/Semigroupe/semigroupe.html>
-  L. CHAUBARD, J.-E. PIN AND H. STRAUBING, First order formulas with modular predicates, in *Proceedings of LICS'06*, 2006.