

Simplicial Models: from global states to local states, and what lies in-between

Dagstuhl Seminar

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Tuesday 4 July, 2023

Introduction

Example 1 (Card Game): Consider four cards, 1,2,3,4, and three agents, a, b, c . We deal one card to each agent, and keep the remaining card hidden.

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- The global states, a.k.a. **possible worlds**, are all the possible distributions of the cards:

$$W = \{123, 124, 132, 134, 142, 143, 213, 214, 231, 234, \dots\}$$

Worlds and Views (1/3)

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- The local state, a.k.a. **view**, of an agent a is the card that this agent holds:

$$\text{views}_a = \{1\perp\perp, 2\perp\perp, 3\perp\perp, 4\perp\perp\} \qquad \text{views}_b = \{\perp 1\perp, \perp 2\perp, \perp 3\perp, \perp 4\perp\}$$

$$\text{views}_c = \{\perp\perp 1, \perp\perp 2, \perp\perp 3, \perp\perp 4\}$$

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- The **possible worlds** are all the possible combinations of clean/dirty:

$$W = \{000, 001, 010, 011, 100, 101, 110, 111\}$$

- The **views** of a child are the states of the other two children:

$$\text{views}_a = \{\perp 00, \perp 01, \perp 10, \perp 11\} \qquad \text{views}_b = \{0 \perp 0, 0 \perp 1, 1 \perp 0, 1 \perp 1\}$$

$$\text{views}_c = \{00 \perp, 01 \perp, 10 \perp, 11 \perp\}$$

Key idea: Worlds and views can be defined from one another!

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Views → **Worlds:** a world is a set of **compatible** views.

- **Ex 1:** the world 123 is composed of three views: $1\perp\perp$, $\perp 2\perp$ and $\perp\perp 3$.
- **Ex 2:** the world 010 is composed of three views: $\perp 10$, $0\perp 0$ and $01\perp$.

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- Ex 2: the world 010 is composed of three views: $\perp 10$, $0\perp 0$ and $01\perp$.

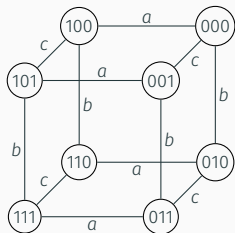
Worlds → Views: a view is a set of **indistinguishable** worlds.

- Ex 1: the a -view $2\perp\perp$ corresponds to the set of worlds $\{213, 214, 231, 234, 241, 243\}$.
- Ex 2: the b -view $1\perp 0$ corresponds to the set of worlds $\{100, 110\}$.

Kripke Models vs Simplicial Models

Kripke models:

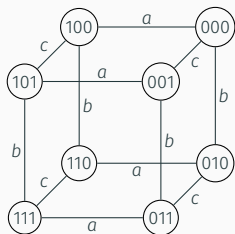
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Kripke Models vs Simplicial Models

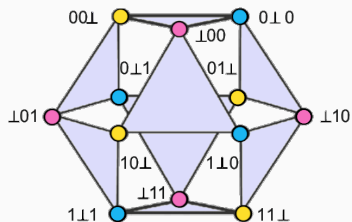
Kripke models:

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Simplicial models:

- explicit views
- implicit worlds



- **Part I – Pure Simplicial Models**
 1. Reminders on simplicial complexes
 2. Definition and semantics of simplicial models
 3. Equivalence with Kripke models
- **Part II – The ins and outs of Simplicial Models**
 4. Variants of simplicial models
 5. Applications to distributed computing
 6. Links between logic and topology

Pure Simplicial Models

Crash course on Simplicial Complexes

Definition

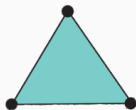
An n -simplex is the convex hull of $n+1$ affinely independent points in \mathbb{R}^{n+1} .



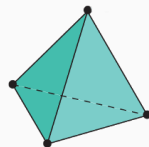
0



1



2



3

Crash course on Simplicial Complexes

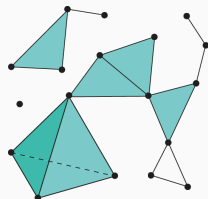
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An n -simplex is the convex hull of $n + 1$ affinely independent points in \mathbb{R}^{n+1} .

Definition

An (abstract) simplicial complex is a pair (V, S) where:

- V is a set of **vertices**
- $S \subseteq 2^V$ is a downward-closed family of subsets of V , called **simplexes**



Epistemic Logic with Distributed Knowledge

Let Ag be a finite set of **agents** and $Prop$ a set of **atomic propositions**.

Syntax:

$$\varphi ::= p \mid \neg\varphi \mid \varphi \wedge \varphi \mid D_B \varphi \qquad p \in Prop, B \subseteq Ag$$

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$D_B \varphi$: “There is **distributed knowledge** among B that φ is true”.

The usual knowledge operator, $K_a \varphi$, can be defined by: $K_a \varphi := D_{\{a\}} \varphi$.

For example, typically: $K_a \varphi \wedge K_b (\varphi \Rightarrow \psi) \Longrightarrow D_{\{a,b\}} \psi$

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Usually (in Kripke models), one defines the group indistinguishability relation $\sim_B = \bigcap_{a \in B} \sim_a$

Chromatic Simplicial Complexes

Definition

A **chromatic simplicial complex** is given by (V, S, χ) where:

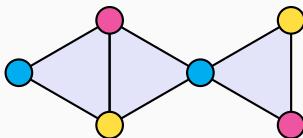
- (V, S) is a simplicial complex,
- $\chi: V \rightarrow \text{Ag}$ is a *coloring* map,

such that every simplex $X \in S$ has all vertices of distinct colors.

A **facet** is a simplex that is maximal w.r.t. inclusion.

A simplicial complex is **pure** if all facets have the same dimension.

Example: a pure chromatic simplicial complex of dimension 2.



Pure Simplicial Models

Assume the number of agents is $|Ag| = n + 1$.

Definition (Pure Simplicial Model)

A **pure simplicial model** is given by $\mathcal{C} = (V, S, \chi, \ell)$ where:

- (V, S, χ) is a pure chromatic simplicial complex of dimension n .
- $\ell: \text{Facets}(\mathcal{C}) \rightarrow 2^{\text{Prop}}$ assigns to each facet of \mathcal{C} a set of atomic propositions.

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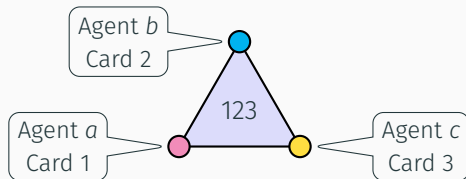
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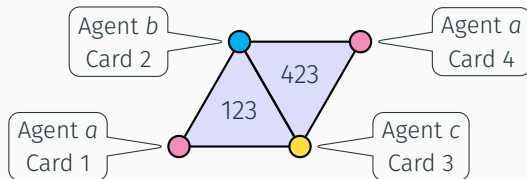
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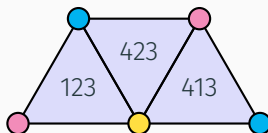
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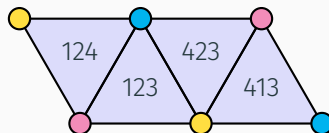
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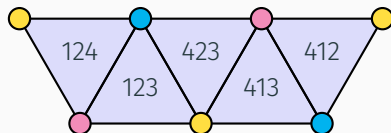
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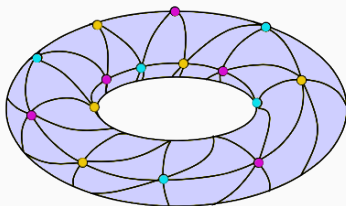
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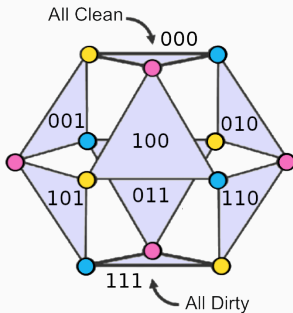
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Example 2: the “Muddy Children” puzzle

Three children called **a**, **b**, and **c** are either clean (0) or dirty (1). They can see the other two children, but not themselves.



We define the **satisfaction relation** $\mathcal{C}, X \models \varphi$, where:

- \mathcal{C} is a simplicial model,
- $X \in \text{Facet}(\mathcal{C})$ is a **world** of \mathcal{C} ,
- φ is an epistemic logic formula.

Semantics of simplicial models

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By induction on φ :

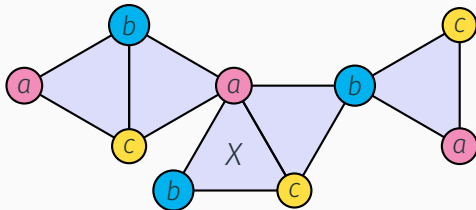
$\mathcal{C}, X \models p$	iff	$p \in l(X)$
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$\mathcal{C}, X \models K_a \varphi$	iff	$\mathcal{C}, Y \models \varphi$ for all $Y \in \text{Facet}(\mathcal{C})$ such that $a \in \chi(X \cap Y)$
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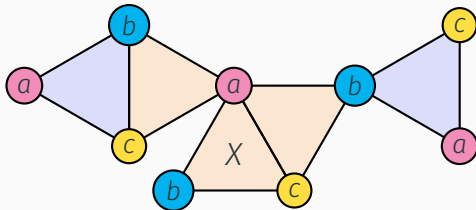
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X and Y share an a-colored vertex

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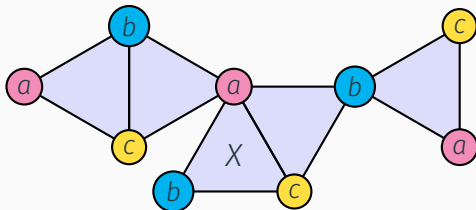


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Example 2: $\mathcal{C}, X \models D_{\{a,c\}} \varphi$ iff $\mathcal{C}, Y \models \varphi$ for which Y ?



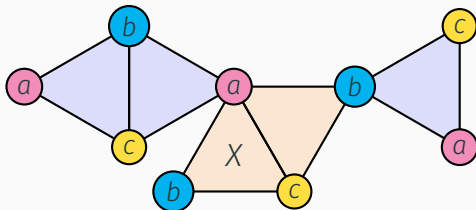
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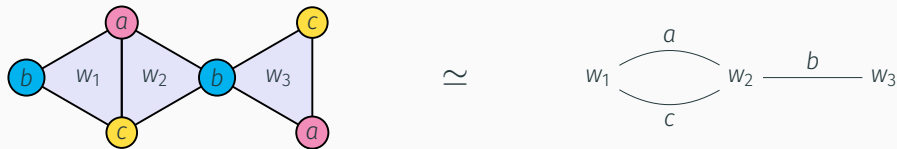


Equivalence with Kripke models

Theorem (Goubault, L., Rajsbaum (2018, 2021))

The category of pure simplicial models is equivalent to the one of proper Kripke models.

Example: with three agents, $Ag = \{a, b, c\}$,

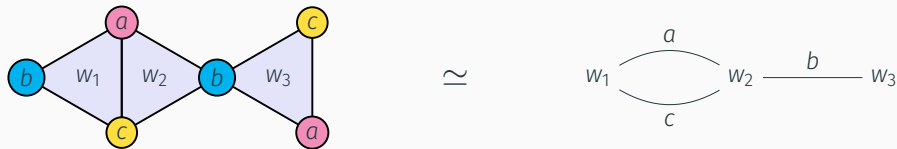


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Corollary (Conservation of satisfiability)

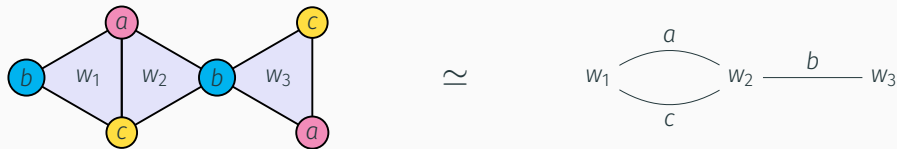
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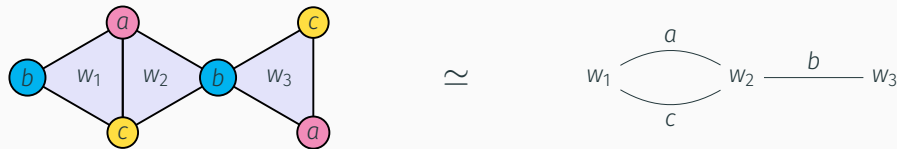
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Equivalence with Kripke models

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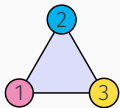
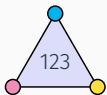
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Variants of Simplicial Models

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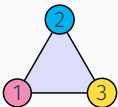
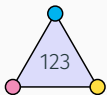
(1) Atomic propositions on the **worlds** vs. **vertices**.



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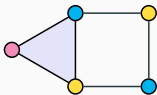
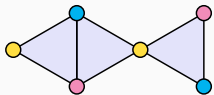
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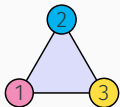
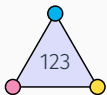
(2) **Pure** vs. **impure** simplicial complexes.



- van Ditmarsch (WoLLIC'21)
- van Ditmarsch, Kuznets, Randrianomentsoa (2022)
- Goubault, L., Rajsbaum (STACS'22)

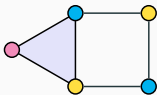
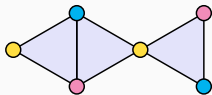
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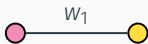
- Goubault, L, Rajsbaum (GandALF'18)

(2) **Pure** vs. **impure** simplicial complexes.



- van Ditmarsch (WoLLIC'21)
- van Ditmarsch, Kuznets, Randrianomentsoa (2022)
- Goubault, L, Rajsbaum (STACS'22)

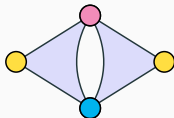
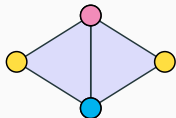
(3) The worlds are **facets** vs. **simplexes**.



- van Ditmarsch, Goubault, L, Rajsbaum (IACAP'21)

What can we do differently? (2/2)

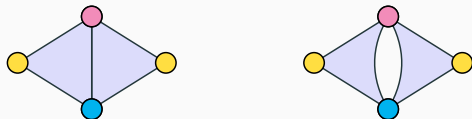
(4) Use **Simplicial complexes** vs. **(Semi)-simplicial sets**.



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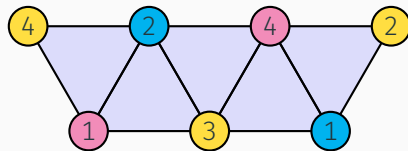
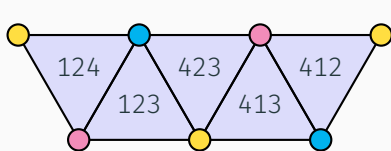
(5) Have several copies of the same world
(a.k.a. **non-proper** models).



- Goubault, Kniazev, L., Rajsbaum (LICS'23)

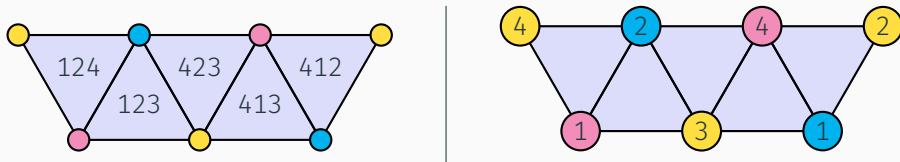
Labelling the worlds vs Labelling the vertices

Example: recall the torus example with cards 1,2,3,4 and agents **a**, **b**, **c**.



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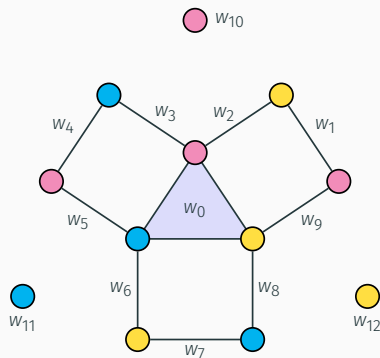
Consequence: **Axiom of Locality**, for every atomic proposition $p \in \text{Prop}$.

$$\text{Loc}_p : \bigvee_{a \in \text{Ag}} K_a p \vee K_a \neg p$$

Impure simplicial models

Impure simplicial complexes.

- Common in distributed computing.
- They model systems with **crashes**.
- Related to **nonrigid sets** of agents [FHMV'95].

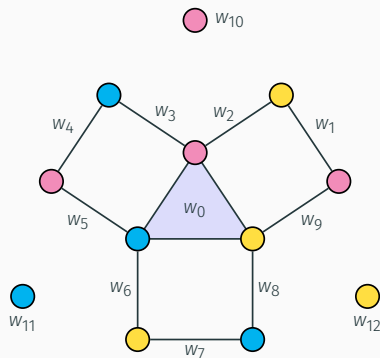


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Why don't we just decide that dead agents are in a special "crashed" state?



Two approaches for impure simplicial models

The “two-valued” approach.

[Goubault, L., Rajsbaum, Kniazev]

- Trust the equivalence with Kripke models
- Keep the usual semantics of normal modal logics
- We lose Axiom T: $\not\models K_a \varphi \Rightarrow \varphi$

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[van Ditmarsch, Kuznets, Randrianomentsoa]

- Define $\mathcal{C}, w \boxtimes \varphi$: “ φ is well-defined”
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Can we find $\ulcorner \varphi \urcorner$ such that $\mathcal{C}, w \models_3 \varphi \iff \mathcal{C}, w \models_2 \ulcorner \varphi \urcorner$? \longrightarrow Ask Roman Kniazev!

Two-valued approach – Toy example

Recall the definition of the satisfaction relation:

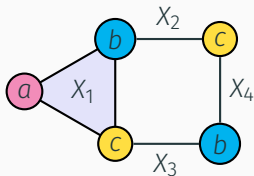
$$\mathcal{C}, X \models K_a \varphi \quad \text{iff} \quad \mathcal{C}, Y \models \varphi \quad \text{for all } Y \in \text{Facet}(\mathcal{C}) \quad \text{such that} \quad a \in \chi(X \cap Y)$$

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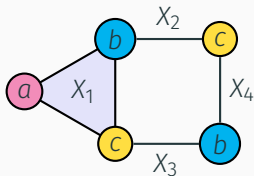


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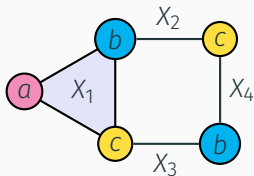
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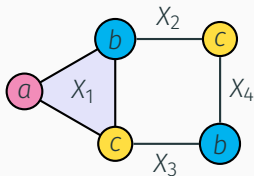
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Definability of “alive” and “dead”

Define the following formulas, for an agent $a \in \text{Ag}$:

$$\text{dead}(a) := K_a \text{ false} \qquad \text{alive}(a) := \neg \text{dead}(a)$$

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Example: these formulas are valid in all impure simplicial models

- Dead agents know everything: $\models \text{dead}(a) \implies K_a \varphi$.
- Alive agents know they are alive: $\models \text{alive}(a) \implies K_a \text{alive}(a)$.
- Alive agents satisfy Axiom **T**: $\models \text{alive}(a) \implies (K_a \varphi \implies \varphi)$.

An equivalent class of Kripke models

Definition (Partial Equivalence Relations)

A **partial equivalence relation** $R \subseteq X \times X$ is a relation that is symmetric and transitive. Equivalently: there exists $Y \subseteq X$ such that $R \subseteq Y \times Y$ is an equivalence relation on Y .

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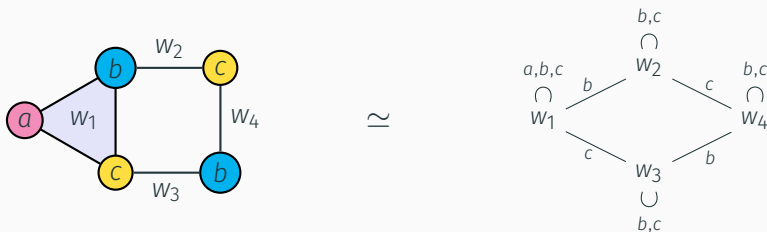
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Theorem (Goubault, L., Rajsbaum (2022))

Impure simplicial models are equivalent to proper Kripke models over PERs.

Example:



Epistemic Covering Models

With each new variant, one usually asks two fundamental questions:

1. Find an equivalent class of Kripke models.

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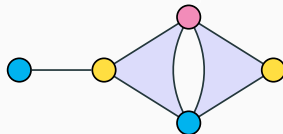
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Our contribution:

- We define a very general class of simplicial models called **epistemic coverings**.
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Properties of coverings \iff Properties of Kripke models \iff Axioms of the logic.
- This solves questions 1 and 2 for all the corresponding sub-classes of models!

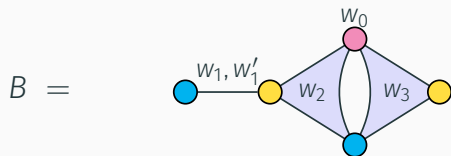
New features of Epistemic Coverings

(1) Models are based on **semi-simplicial sets**, generalizing simplicial complexes.

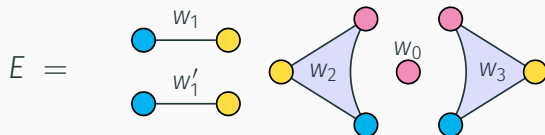


New features of Epistemic Coverings

- (1) Models are based on **semi-simplicial sets**, generalizing simplicial complexes.



- (2) Models are equipped with a **discrete covering** E ,



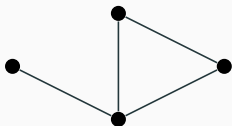
and a map $p: E \rightarrow B$, tagging which simplexes are worlds.

Crash course on Semi-Simplicial Sets (1/3)

In Dimension 1:

1-dimensional simplicial complexes
a.k.a. **simple (undirected) graphs**

(V, E) where $E \subseteq \{\{v, v'\} \mid v \neq v' \in V\}$



1-dimensional semi-simplicial sets
a.k.a. **(directed) graphs**

$$V \begin{array}{c} \xleftarrow{t} \\ \xrightarrow{s} \end{array} E$$



Crash course on Semi-Simplicial Sets (2/3)

Definition

A **semi-simplicial set** is given by a sequence of sets $(S_n)_{n \in \mathbb{N}}$, together with face maps $d_i^n : S_n \rightarrow S_{n-1}$ for every $n \in \mathbb{N}$ and $0 \leq i \leq n$,

$$\begin{array}{ccccccc} S_0 & \xleftarrow{d_0} & S_1 & \xleftarrow{d_0} & S_2 & \xleftarrow{d_0} & S_3 & \dots \\ & \xleftarrow{d_1} & & \xleftarrow{d_1} & & \xleftarrow{d_1} & & \\ & \xleftarrow{d_2} & & \xleftarrow{d_2} & & \xleftarrow{d_2} & & \\ & & & \xleftarrow{d_3} & & \xleftarrow{d_3} & & \end{array}$$

satisfying the *simplicial identities*: for all $i < j$, $d_i \circ d_j = d_{j-1} \circ d_i$.

Examples: on the board.

Crash course on Semi-Simplicial Sets (3/3)

Now we add colors to the vertices:

Definition

A **chromatic semi-simplicial set** colored by Ag is given by:

- a set S_A for every $A \subseteq Ag$,
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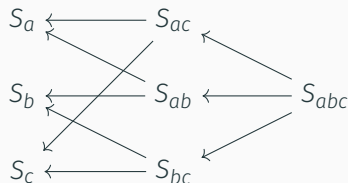
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Example: for $Ag = \{a, b, c\}$,



Crash course on Semi-Simplicial Sets (References)

The original definition dates back to:

- Samuel Eilenberg, Joseph A. Zilber
Semi-simplicial complexes and singular homology, Annals of Mathematics 51:3 (1950)

Introductory papers:

- [Greg Friedman](#), *An elementary illustrated introduction to simplicial sets*, Rocky Mountain J. Math. 42(2): 353-423 (2012) ([arXiv:0809.4221](#), [doi:10.1216/RMJ-2012-42-2-353](#))
- [Emily Riehl](#), *A leisurely introduction to simplicial sets*, 2008, 14 pages ([pdf](#)).
- [Francis Sergeraert](#), *Introduction to Combinatorial Homotopy Theory*, July 7, 2013, [pdf](#).
- Christian Rüschoff, *Simplicial Sets*, Lecture Notes 2017 ([pdf](#), [pdf](#))

A tentative example about crypto

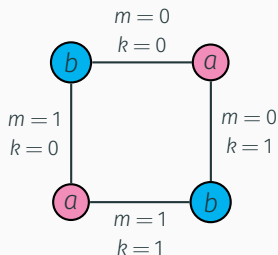
Example (Secret Sharing): two agents a, b use a 1-bit One-Time Pad protocol.

- Agent a holds an encrypted message $m \in \{0, 1\}$.
- Agent b holds the encryption key $k \in \{0, 1\}$.
- The secret is obtained by $s = (m + k) \bmod 2$.

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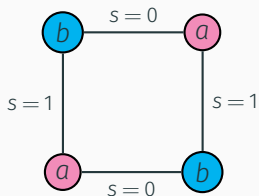
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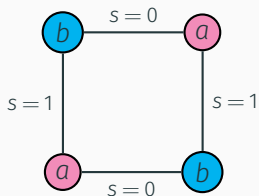
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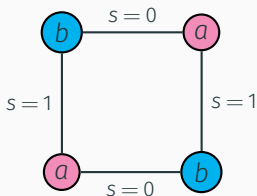
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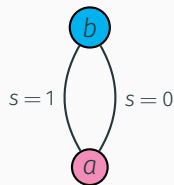
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So what does that mean?

- Semi-simplicial sets are not interesting... :(
- or: Semi-simplicial sets can help produce smaller models.
- or: Semi-simplicial sets can help to abstract away implementation details.
- or: We need a stronger logic that can “see” the difference between them.

An equivalent class of Kripke models

Definition (Kripke pseudo-models with PERs)

A **Kripke pseudo-model** is given by $M = \langle W, \sim, L \rangle$ where:

- W is a set of worlds,
- For every $B \subseteq \text{Ag}$, \sim_B is a partial equivalence relation on W ,
- $L: W \rightarrow 2^{\text{Prop}}$ is a valuation.

such that:

- for all $B' \subseteq B$, $W \sim_B W' \implies W \sim_{B'} W'$
- for all $B, B' \subseteq \text{Ag}$, $(W \sim_B W \wedge W \sim_{B'} W) \implies W \sim_{B \cup B'} W$

Theorem (Goubault, Kniazev, L., Rajsbaum (2023))

Epistemic Covering models are equivalent to Kripke pseudo-models.

The many sub-classes of Epistemic Coverings

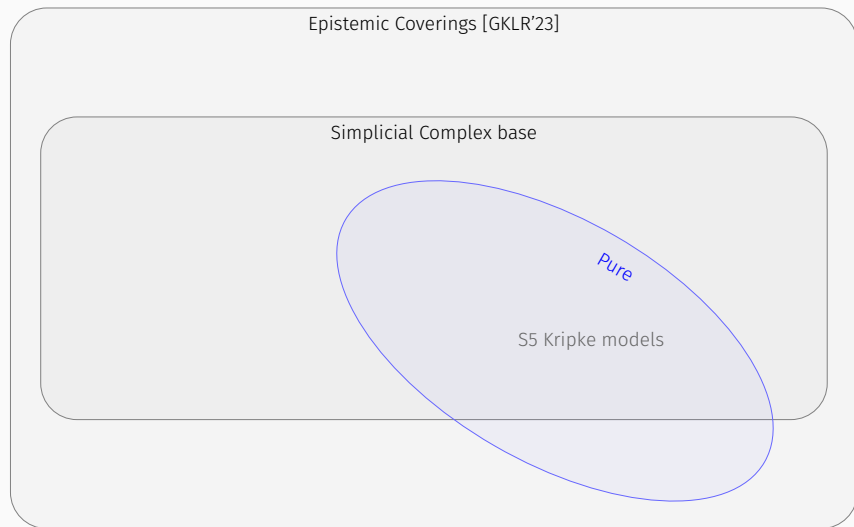
Epistemic Coverings [GKLR'23]

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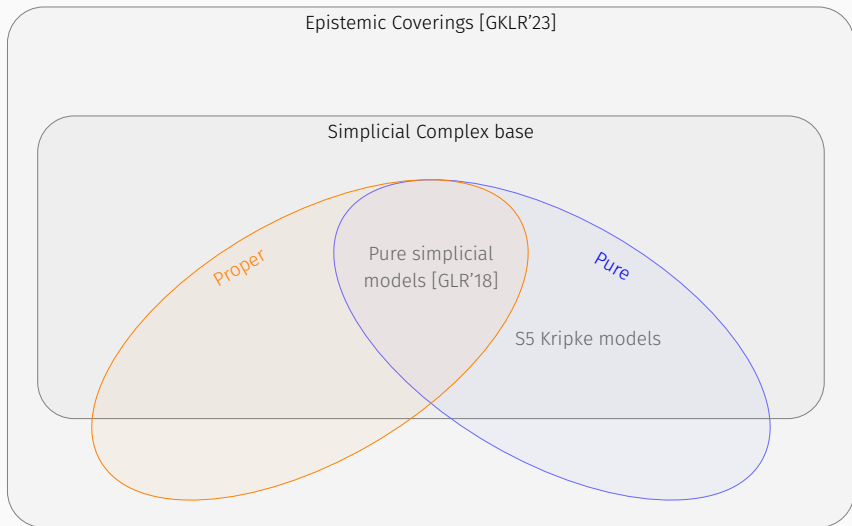
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Simplicial Complex base

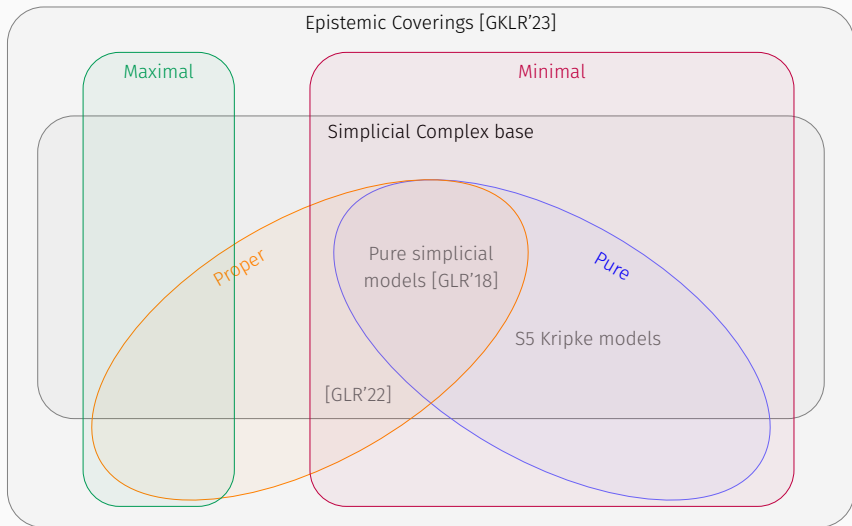
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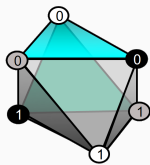


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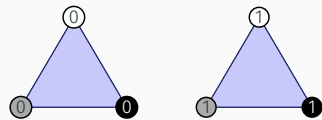
Applications to Distributed Computing

Topological characterization of task solvability (Herlihy et al.)

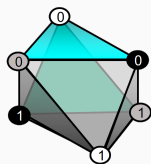


Input complex

Topological characterization of task solvability (Herlihy et al.)



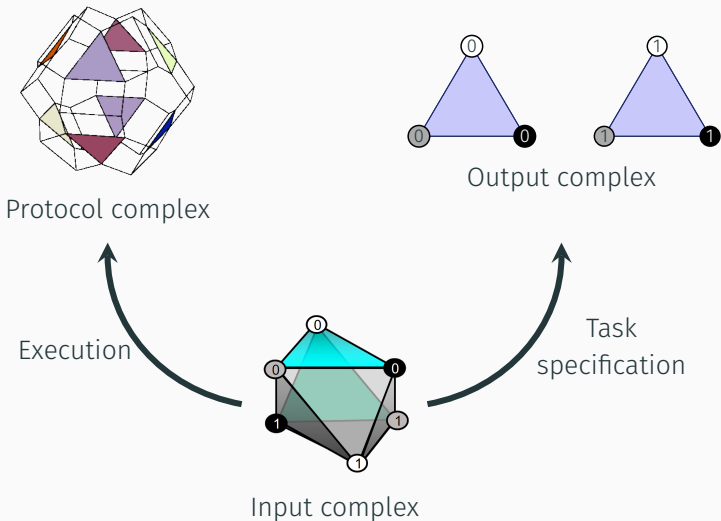
Output complex



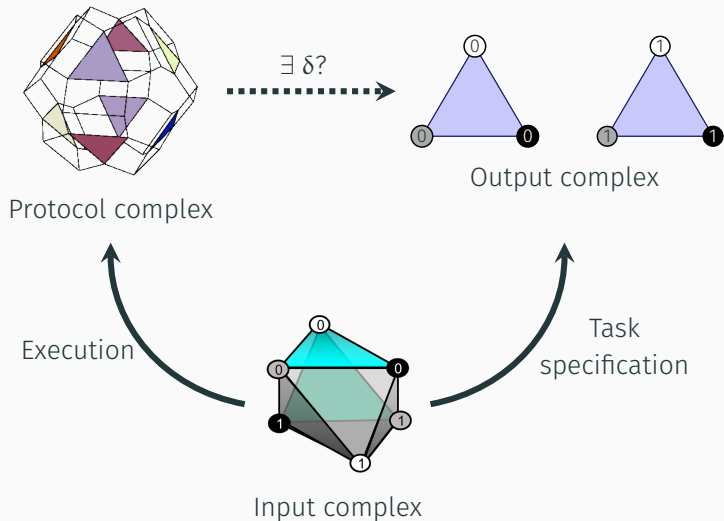
Input complex



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Epistemic proofs of impossibility

Idea: find a **logical obstruction** to the existence of the simplicial map δ .

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Lemma (Knowledge Gain)

Let $\delta: \mathcal{C} \rightarrow \mathcal{C}'$ be a morphism of simplicial models, and let φ be a positive formula.

Then:

$$\mathcal{C}', \delta(X) \models \varphi \quad \text{implies} \quad \mathcal{C}, X \models \varphi$$

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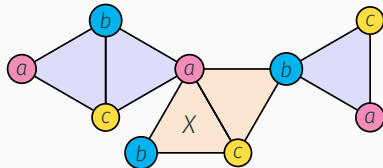
Recipe for impossibility proofs:

- Assume by contradiction that $\delta : \mathcal{P} \rightarrow \mathcal{O}$ exists.
- Choose a suitable formula φ such that:
- φ is true everywhere in the output model
- φ is false somewhere in the protocol model

Links between Knowledge and Topology

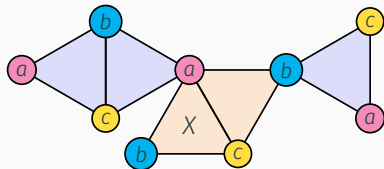
Distributed knowledge = Higher-dimensional connectivity

Recall: $\mathcal{C}, X \models D_{\{a,c\}}\varphi$



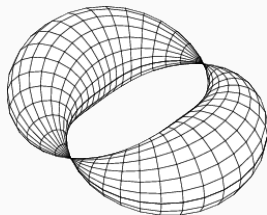
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With **Common Distributed Knowledge**, we can explore the 2-connected component:

$CD_{\beta}\varphi$, where $\beta = \{\{a_1, a_2\} \mid a_1 \neq a_2 \in Ag\}$



(Fig. by Richard Cushman)

Theorem

Every simplicial model \mathcal{C} is bisimilar to its *unravelled model* $U(\mathcal{C})$.

Consequences?

- $U(\mathcal{C})$ has a very poor topological structure (infinite tree).
- No hope to see features like holes and loops without a radically new logic.

Conclusion

Key messages:

- Kripke models have a hidden higher-dimensional structure
- Distributed knowledge = higher-dimensional connectivity
- Simplicial models can be generalized beyond the usual **S5** Kripke models
- Lots of research directions!

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Thanks!