

Inductive Construction in NuprlType Theory using Bar Induction

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This talk is about why we have added **Brouwer's Bar Induction** and how it answers the first question.

The talk tomorrow proposes an answer to the second question and shows how we can define the CTT14 types, including the partial types, from a few very **basic type constructors**.

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Non-canonical terms include (lazy) application, $t_1 t_2$, (eager) “call-by-value”, $\text{let } x := t_1 \text{ in } t_2$, and general recursion, $\text{fix}(t)$, as well as “spread”, “decide”, arithmetic operators, and others.

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The proposition “ t has a value” is defined using approx and call-by-value: $\text{halts}(t) \triangleq \exists x. t \leq x$

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Equality: $a =_T b$

Dependent function: $a:A \rightarrow B[a]$

Dependent product: $a:A \times B[a]$

Disjoint union: $A + B$

Universe: $\mathbb{U}_i \quad i = 0, 1, 2, \dots$

Subtype: $A \sqsubseteq B$

Quotient: $T // E$

Intersection: $\bigcap_{a:A} .B[a]$

More Nuprl Types

Kopylov, Nogin (2006) Image: $\mathbf{image}(T, f)$

Subset: $\{a : A \mid B[a]\} \triangleq \mathbf{image}(a:A \times B[a], \pi_1)$

squash: $\downarrow P \triangleq \{a : \mathbf{Unit} \mid P\}$

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Allen's PER semantics (extended by Smith, Crary, et.al.) defines an inductive construction of universes closed under all of these type constructors. (Defined in Coq by V. Rahli & A. Anand, ITP 2014)

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The needed **induction principle follows** from Brouwer's **Bar Induction**.

Intersection Types and Corecursive Types

All the co-recursive types we need can be constructed using intersection and induction on \mathbb{N}

$$\text{Top} \triangleq \bigcap_{a:\text{Void}} \text{Void}$$

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$$\text{corec}(G) = \bigcap_{n:\mathbb{N}} \mathbf{fix}(\lambda P. \lambda n. \text{if } n = 0 \text{ then Top }) n \\ \text{else } G(P(n-1))$$

$$\text{i.e. } \bigcap_{n:\mathbb{N}} G^n(\text{Top})$$

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Aside: $\bigcap_{x:T} P(x)$ is “uniform” all quantifier, $\forall[x:T]. P(x)$.

We showed completeness for intuitionistic minimal logic:

$$\vdash_{IML} \phi \Leftrightarrow \forall[M]. M \models \phi.$$

Algebraic Datatypes

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The definition of $list(T)$ in Nuprl is now $\{L : colist(T) \mid \text{halts}(length(L))\}$

where

$colist(T) \triangleq \text{corec}(\lambda L. \text{Unit} \cup T \times L)$

W-types and parameterized families of W-types

We want to construct least fixedpoints

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$$\begin{aligned} pW(p.A[p]; p, a.B[p, a]; p, a, b.C[p, a, b]) \equiv \\ \lambda p. a:A[p] \times (b:B[p, a] \rightarrow pW(C[p.a.b])) \end{aligned}$$

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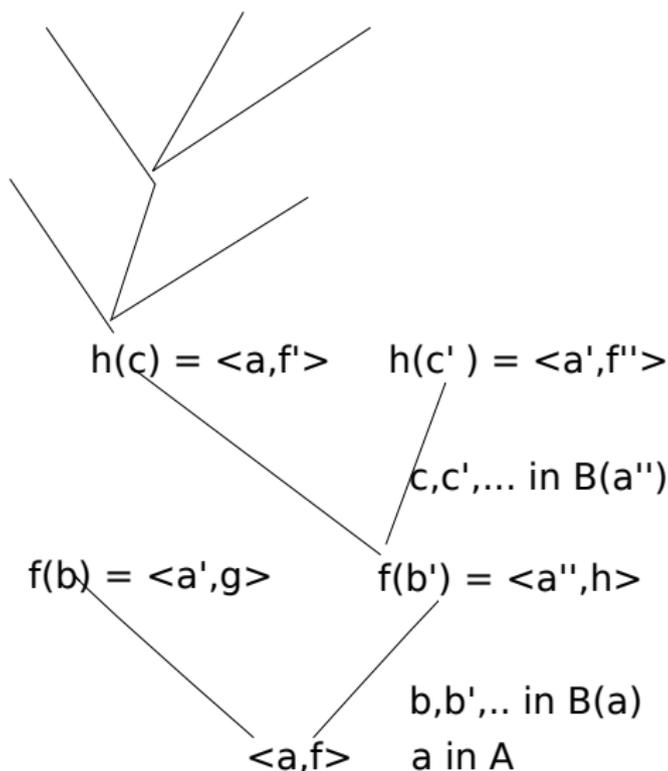
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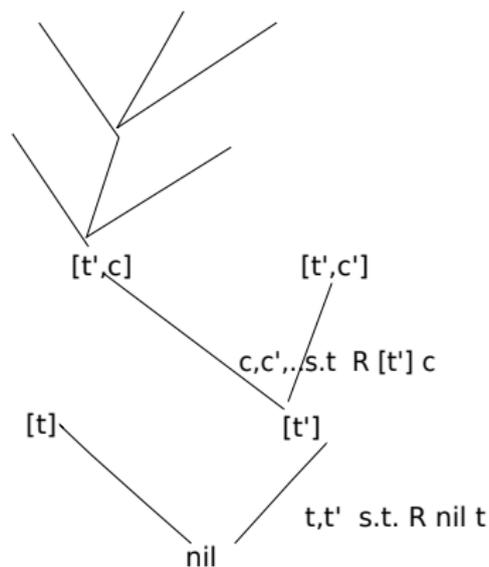
Basic idea: $W = \{w : \text{co-}W \mid \text{paths starting at } w \text{ are finite}\}$

W-type picture



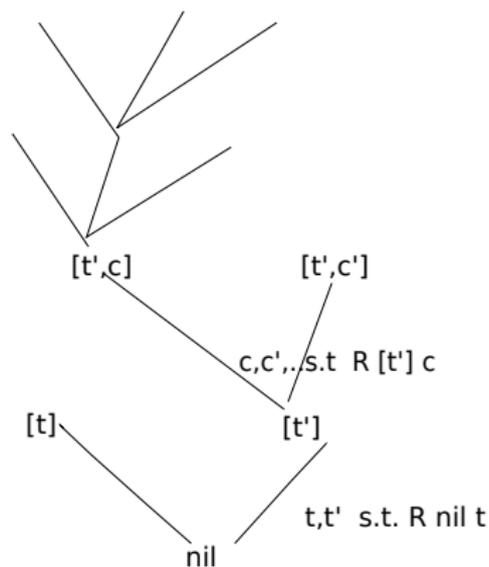
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Bar Induction in pictures



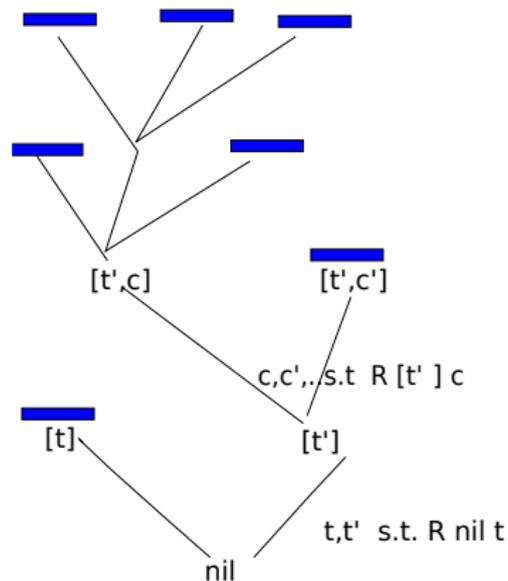
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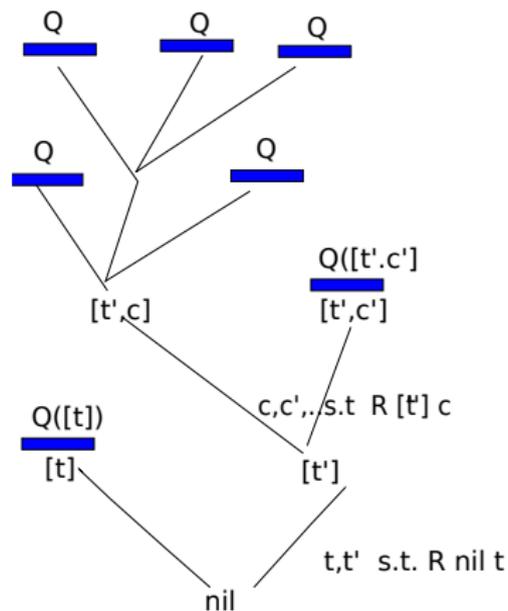
R is the spread law. **If (1) every path is barred.**

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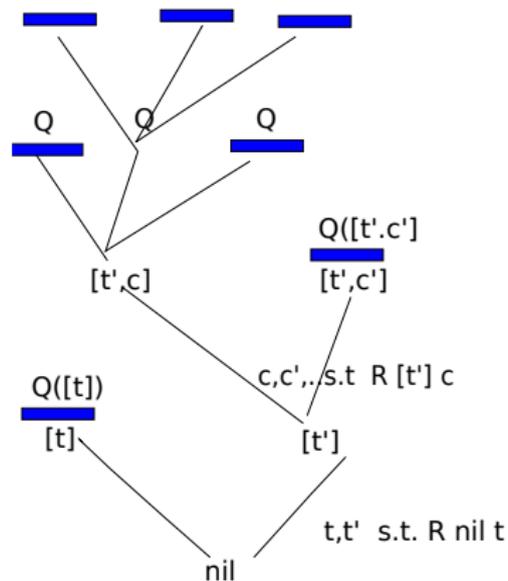
And if Base case: $B(s) \Rightarrow Q(s)$

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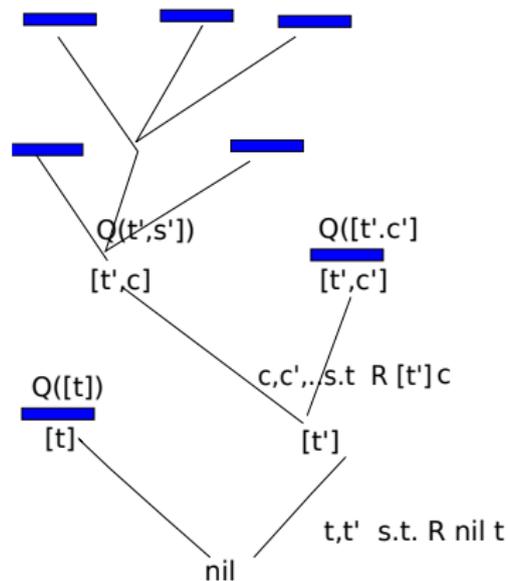
and if Induction step: $(\forall t. R(s, t) \Rightarrow Q(s \oplus t)) \Rightarrow Q(s)$

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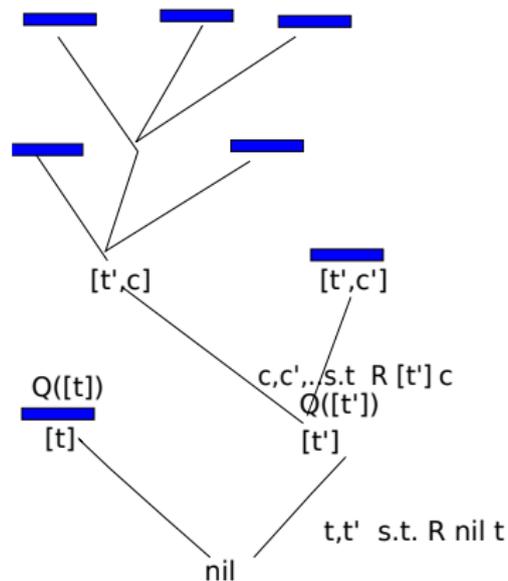
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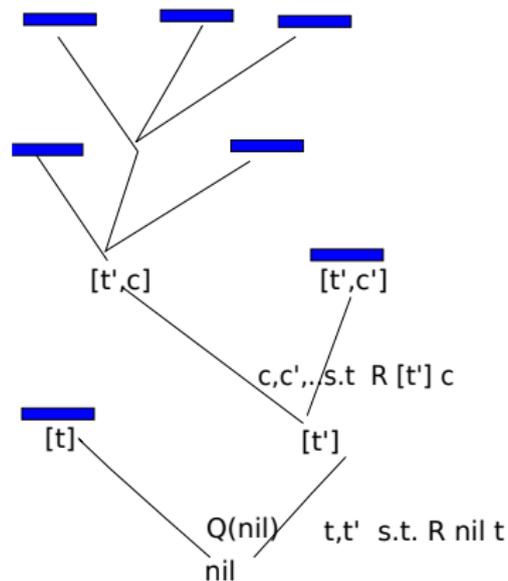
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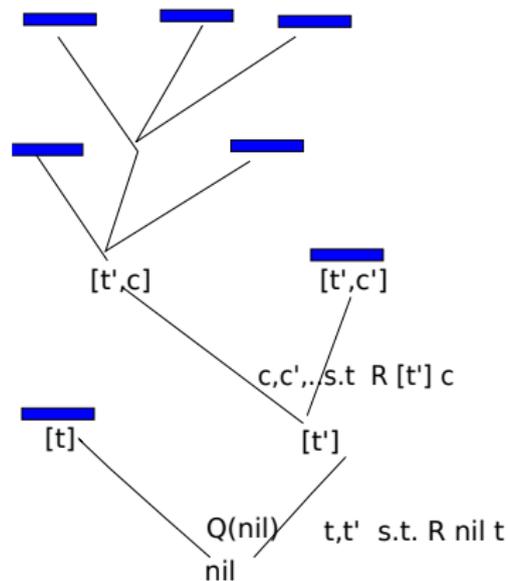


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Then: $Q(\text{nil})$

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A relation $R \in k:\mathbb{N} \rightarrow V_k(T) \rightarrow T \rightarrow \mathbb{P}$ is a "spread law" and s is consistent, $\text{con}(R, k, s)$, if $\forall i < k. R(i, s, s(i))$. A function $f \in \mathbb{N} \rightarrow T$ is a *path*, $\text{Path}(R, f)$, if $\forall i. R(i, f, f(i))$.

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Bar Induction works “toward the root” from the hypothesis $\text{ind}(R, T, Q, k, s) \triangleq \forall t:\{t : T \mid R(k, s, t)\}. Q(k + 1, s \oplus t)$

Bar Induction Rule

$$\frac{\begin{array}{l} H \vdash T \in \text{Type} \quad H, k:\mathbb{N}, s:V_k(T), t:T \vdash R(k, s, t) \in \text{Type} \\ H, k:\mathbb{N}, s:V_k(T), \text{con}(R, k, s) \vdash B(k, s) \vee \neg B(k, s) \\ H, f:\mathbb{N} \rightarrow T, \text{Path}(R, f) \vdash \downarrow \exists n:\mathbb{N}. B(n, f) \\ H, k:\mathbb{N}, s:V_k(T), \text{con}(R, k, s), B(k, s) \vdash Q(k, s) \\ H, k:\mathbb{N}, s:V_k(T), \text{con}(R, k, s), \text{ind}(R, T, Q, k, s) \vdash Q(k, s) \end{array}}{H \vdash Q(0, z)}$$

The first two premises prove that R is a spread law. The next two premises prove that B is a decidable bar on the spread. The fifth and sixth premises are the base and induction steps of the proof by bar induction for the term $Q(0, z)$.

The construction

Let $cW = \text{co-}W(A, a.B[a])$

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So, we define

$$W \triangleq \{w : cW \mid \text{every path } g \text{ starting at } w \text{ is barred}\}$$

The result

The induction principle $\text{Ind}(W, P)$ for W is

$$(\forall a:A. \forall f:B[a] \rightarrow W.$$
$$(\forall b:B[a]. P(f(b))) \Rightarrow P(\langle a, f \rangle) \Rightarrow (\forall w:W. P(w))$$

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We use the Bar Induction Rule to prove that

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(suitably generalized for the more general case of the parameterized family $\rho W(A, B, C)$)

Primitive Inductive types not needed

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So, induction on \mathbb{N} and Bar Induction are the only induction principles we need.

Further Reading

S.C. Kleene and R. E. Vesley, Foundations of Intuitionistic Mathematics. 1966 (breakthrough document that inspired Martin-Lof, and others)

Stuart F. Allen, A Non-Type-Theoretic Semantics for Type-Theoretic Language. 1987

Karl Crary, Type-Theoretic Methodology for Practical Programming Languages. 1998

Scott F Smith, Partial Objects in Type Theory. 1989

Constable & Smith. Computational Foundations of Basic Recursive function Theory. 1993

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