

A Faithful and Quantitative Notion of Distant Reduction for Generalized Applications

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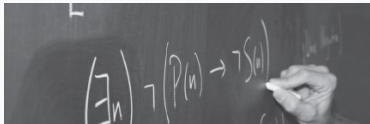
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The Curry-Howard Correspondance

Logic	Programming
Propositions	Types
Proofs	Programs
Cut elimination	Evaluation



```
function check(n)
{ // check if the number n is a prime
  var factor; // if the checked number is not a prime, this is its first factor
  var c;
  factor = 0;
  // try to divide the checked number by all numbers till its square root
  for (c=2; c <= Math.sqrt(n); c++)
  {
    if (n%c == 0) // is n divisible by c ?
    { factor = c; break; }
  }
  return (factor);
} // end of check function

function communicate()
{ // communicate with the user
  var i; // i is the checked number
  var factor; // if the checked number is not a prime, this is its first factor
  i = document.primeTest.number.value; // get the checked number
  // is it a valid input?
  if ((isNaN(i)) || (i <= 0) || (Math.floor(i) != i))
  { alert ("The checked object should be a whole positive number") ;
  }
  else
  {
    factor = check (i);
    if (factor == 0)
    { alert (i + " is a prime") ;
    }
    else
    { alert (i + " is not a prime, " + i + "=" + factor + "X" + i/factor) ;
    }
  }
} // end of communicate function
```

Natural Deduction and the λ -calculus

$$\frac{}{\Gamma, A \vdash A} (\text{AX}) \qquad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} (\rightarrow_i)$$
$$\frac{\Gamma \vdash A \rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} (\rightarrow_e)$$

Natural Deduction and the λ -calculus

$$\frac{}{\Gamma, x:A \vdash x:A} (\text{AX}) \qquad \frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \lambda x.t:A \rightarrow B} (\rightarrow_i)$$

$$\frac{\Gamma \vdash t:A \rightarrow B \quad \Gamma \vdash u:A}{\Gamma \vdash tu:B} (\rightarrow_e)$$

(Terms) $t, u ::= x \mid \lambda x.t \mid tu$

Curry-Howard is a Fundamental Isomorphism

Logic	Programming
Intuitionistic natural deduction (ND)	λ -calculus
Classical logic	Control operators
Classical Sequent Calculus	$\bar{\lambda}\mu\tilde{\mu}$
ND with generalized elimination ¹	ΛJ ²
...	...

¹Tennant/von Plato

²Joachimski and Matthes

Typing Generalized Applications

$$\begin{array}{c} \frac{}{\Gamma, A \vdash A} \text{ (AX)} \qquad \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} \text{ } (\rightarrow_i) \\[2ex] \frac{\Gamma \vdash A \rightarrow B \qquad \Gamma \vdash A \qquad \Gamma, B \vdash C}{\Gamma \vdash C} \text{ } (\rightarrow_e) \end{array}$$

Typing Generalized Applications

$$\frac{}{\Gamma, x:A \vdash x:A} \text{ (AX)}$$

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \lambda x.t : A \rightarrow B} (\rightarrow_i)$$

$$\frac{\Gamma \vdash t:A \rightarrow B \quad \Gamma \vdash u:A \quad \Gamma, y:B \vdash r:C}{\Gamma \vdash t(u, y.r):C} (\rightarrow_e)$$

(Terms) $t, u, r ::= x \mid \lambda x.t \mid t(u, y.r)$

Intuition

$t(u, y.r) \rightsquigarrow \text{let } y = tu \text{ in } r$

The Calculus with Generalized Applications ΛJ

Joachimski and Matthes (2000) introduced the calculus ΛJ :

- Strong proof-theoretical foundations.
- A fresh look on applications.
- Ties to the sequent calculus and to the theory of explicit substitutions.

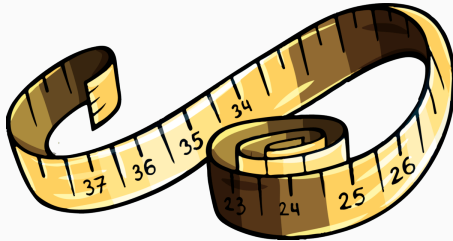
Qualitative Semantics

- Does a given term t **normalize**?
- Given two terms, do they have the **same normalization behavior** (are they observationally equivalent)?

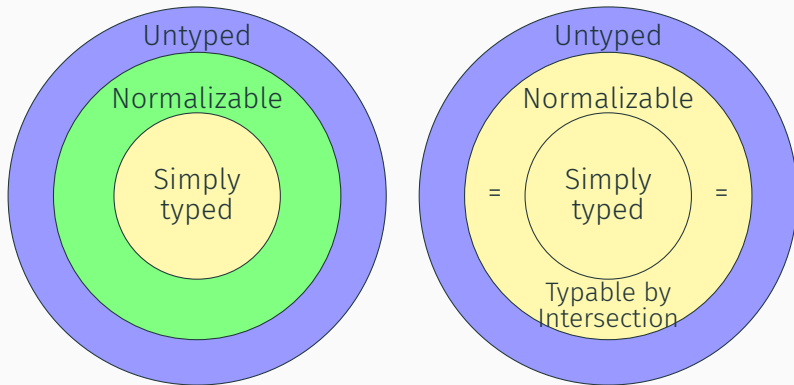


Quantitative Semantics

- Which is the **reduction length** of a term t to normal form?
- Do two terms reach a normal form with the **same reduction length**?



Intersection types (Coppo, Dezani)



Theorem (Logical characterization)

$Normalizable \iff typable.$

Quantitative Types (Gardner, De Carvalho)

Non-idempotent intersection (or **quantitative**) types:

- Are sensitive to **reduction length**.
- Enable **combinatorial proofs** of normalization.



$$\frac{\Gamma; x : [\sigma_1, \dots, \sigma_n] \vdash t : \tau}{\Gamma \vdash \lambda x. t : [\sigma_1, \dots, \sigma_n] \rightarrow \tau}$$

Our Main Contribution

We revisit the operational semantics of ΛJ ,
based on a **quantitative approach**.

Operational Semantics of ΛJ : Computation

The calculus ΛJ is based on a computational rule β_j and a permutation rule π .

Reduction in the λ -calculus: $(\lambda x.t)u \rightarrow_\beta \{u/x\}t$.

Definition (Rule β_j)

$$(\lambda x.t)(u, y.r) \rightarrow_{\beta_j} \{\{u/x\}t/y\}r$$

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Intuition

let $y = (\lambda x.t)u$ in r

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The β_j -rule generalizes β :

$$\begin{array}{ccc} ((\lambda x.t)u)^{\star} & \xrightarrow{\beta} & (\{u/x\}t)^{\star} \\ \parallel & & \parallel \\ (\lambda x.t^{\star})(u^{\star}, y.y) & \xrightarrow{\beta_j} \{\{u^{\star}/x\}t^{\star}/y\}y & = \{u^{\star}/x\}t^{\star} \end{array}$$

Operational Semantics of ΛJ : Permutation

Definition (Rule π)

$$t(u, x.r)(u', y.r') \rightarrow_{\pi} t(u, x.r(u', y.r'))$$

All (generalized) applications π -reduce to the shape $x(u, y.r)$ or $(\lambda x.t)(u, y.r)$.

Example

$$((xu_1)u_2)^{\star} = (x(u_1, y.y))(u_2, z.z) \rightarrow_{\pi} x(u_1, y.y(u_2, z.z))$$

Rule π Unblocks Computations

Some β_j -reductions are **stuck** without rule π .

$$z(u_1, y_1. \lambda x. x)(u_2, y_2. y_2) \not\rightarrow_{\beta_j}$$



Solution

$$\begin{aligned} z(u_1, y_1. \lambda x. x)(u_2, y_2. y_2) &\rightarrow_{\pi} z(u_1, y_1. (\lambda x. x)(u_2, y_2. y_2)) \\ &\rightarrow_{\beta_j} z(u_1, y_1. u_2) \end{aligned}$$

Quantitative System $\cap J$

(Types) $\sigma, \tau ::= a, b, c, \dots \mid \mathcal{M} \rightarrow \sigma$

(Multiset Types) $\mathcal{M}, \mathcal{N} ::= [\sigma_i]_{i \in I}$ where I is a finite set

$$\frac{}{x : [\sigma] \vdash x : \sigma} \qquad \frac{\Gamma; x : \mathcal{M} \vdash t : \sigma}{\Gamma \vdash \lambda x. t : \mathcal{M} \rightarrow \sigma}$$

$$\frac{\Gamma \vdash t : [\mathcal{M}_i \rightarrow \tau_i]_{i \in I} \quad \Delta \vdash u : \sqcup_{i \in I} \mathcal{M}_i \quad \Lambda; x : [\tau_i]_{i \in I} \vdash r : \sigma}{\Gamma \uplus \Delta \uplus \Lambda \vdash t(u, x.r) : \sigma}$$

$$\frac{\Gamma \vdash t : \sigma}{\Gamma \vdash t : []} \qquad \frac{(\Gamma_i \vdash t : \sigma_i)_{i \in I} \quad I \neq \emptyset}{\uplus_{i \in I} \Gamma_i \vdash t : [\sigma_i]_{i \in I}}$$

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Rule π is Not Sound Quantitatively

Two crucial properties are **quantitative subject reduction** (QSR) and **expansion** (QSE).

Definition (Quantitative Subject Reduction)

If $\Gamma \vdash^n t : \tau$ and $t \rightarrow t'$, then $\Gamma \vdash^{n'} t' : \tau$ with $n > n'$.

Definition (Quantitative Subject Expansion)

If $\Gamma \vdash^{n'} t' : \tau$ and $t \rightarrow t'$, then $\Gamma \vdash^n t : \tau$ with $n > n'$.

Quantitative subject reduction fails for rule π . 

Towards a Solution

Consider another permutation rule:

$$t(u, y.\lambda x.r) \rightarrow_{p_2} \lambda x.t(u, y.r)$$

Example (Unblocking)

$$\begin{aligned} z(u_1, y.\lambda x.x)(u_2, y_2.y_2) &\rightarrow_{p_2} (\lambda x.z(u_1, y.x))(u_2, y_2.y_2) \\ &\rightarrow_{\beta_j} z(u_1, y.u_2) \end{aligned}$$

Quantitative subject reduction ✓

Quantitative subject expansion ✓

The Solution: Permuting Only When Needed

Idea: use the permutation rule p_2 only to unblock β_j -redexes.

- $x(u_1, y.\lambda x.x)(u_2, z.z) \rightarrow_{p_2} (\lambda x.x(u_1, y.x))(u_2, z.z)$ ✓
- $x_1(u_1, y.\lambda x.z) \rightarrow_{p_2} \lambda x.x_1(u_1, y.z)$ ✗

Rule p_2 is directly included in a **unique** computational rule:

(Distant Rule) $\mathbf{D}\langle\lambda x.t\rangle(u, y.r) \mapsto_{d\beta} \{\mathbf{D}\langle\{u/x\}t\rangle/y\}r$
(Distant Contexts) $\mathbf{D} ::= \diamond \mid t(u, x.\mathbf{D})$

Example

$x(u_1, y.\lambda x.x)(u_2, z.z) \rightarrow_{d\beta} x(u_1, y.u_2) \quad \mathbf{D} = x(u_1, y.\diamond)$

Permutations Do Not Contribute to Computation

Applying rule p_2 **does not change** the size of derivations, unlike β_j .



Distance Reflects the Type System

We define a variant λJ using only the rule $d\beta$.

$$\mathbf{D}\langle\lambda x.t\rangle(u, y.r) \mapsto_{d\beta} \{\mathbf{D}\langle\{u/x\}t\rangle/y\}r$$

Rule $d\beta$ gives a single computational step, combining logical cut-elimination with a permutation step.



Comparing the Distant Rules

To get an intuition on why rule π is quantitatively rejected, compare the two following possible distant rules:

(Based on p_2) $\mathbf{D}\langle\lambda x.t\rangle(u, y.r) \rightarrow \{\mathbf{D}\langle\{u/x\}t\rangle/y\}r$
CBN-like rule: duplication or easure of \mathbf{D}

(Based on π) $\mathbf{D}\langle\lambda x.t\rangle(u, y.r) \rightarrow \mathbf{D}\langle\{\{u/x\}t/y\}r\rangle$
CBV-like rule: sharing of \mathbf{D}

Quantitative Types for Generalized Applications

The quantitative type system $\cap J$ is sound and complete for λJ .

Theorem (Qualitative)

t is *typable* in $\cap J \iff t$ is *normalizable* in λJ .

Theorem (Quantitative)

t is typable with a *derivation of size n* \iff
 t reaches a normal form in a *maximum of n steps*.

Preservation of Strong Normalization of the λ -calculus

Using **typability**:

Theorem

- t is normalizable in $\lambda J \iff t^\circ$ is normalizable in λ .
- t is normalizable in $\lambda \iff t^\star$ is normalizable in λJ .

Faithfulness of λJ w.r.t. ΛJ

The variant λJ is **faithful** to the original ΛJ :

Theorem

t is normalizable in ΛJ

\iff *t is typable in $\cap J$*

\iff *t is normalizable in λJ .*



Conclusions

- There are **different ways** to unblock stuck redexes of generalized applications.
- Among them, p_2 has a **CBN behavior** adapted for a CBN quantitative type system.
- Distance enables to do only the necessary permutations and **focus on computation**.

- **Solvability** of λJ (operational and logical identification of semantically “meaningful” terms).
- **Tight typings** and a quantitative relationship to the λ -calculus.
- An operational and quantitative **study of ΛJ_m** , an interpretation of the intuitionistic sequent calculus.