The Spirit of Node Replication

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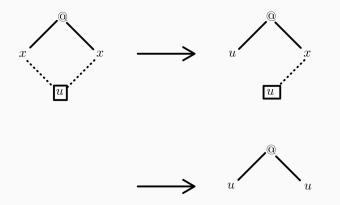
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Introduction

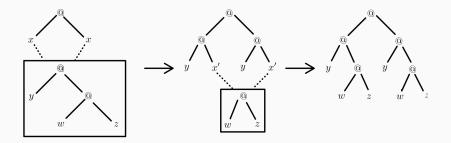
Full substitution



Partial substitution



Atomic substitution



Node Replication

Replication of λ -terms node by node, *i.e.* constructor by constructor.

Idea: Sharing avoids useless work.

Node replication is fine-grained, so that it gives precise control over what stays shared and what is replicated.

Sharing graphs implement node replication

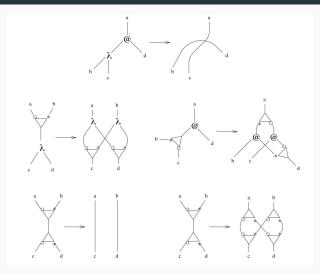


Figure 1: Reduction of sharing graphs (Asperti, Guerrini: The Optimal Implementation of Functional Programming Languages)

Definition (Optimality (Lévy))

A strategy is optimal if it reaches a normal form in the same number of steps as the shortest possible reduction sequence in the usual λ -calculus without sharing.

Sharing graphs implement (strong) Lévy-optimal reduction.

- Explicit substitutions are one of the tools used to implement sharing in the $\lambda\mbox{-}calculus.$
- Advantages: simple syntax, intuitive semantics, close relation to concrete implementations and abstract machines...

Terms: $x \mid \lambda x.t \mid tu \mid t[x \setminus u].$

We want to relate explicit substitutions and node replication.

Reduction of a redex to an explicit substitution is implemented by a **B**-step.

$$(\lambda x.t)u \to_{\mathsf{B}} t[x \backslash u]$$

Notice that explicit substitutions can block potential redexes: $(\lambda y.t)[x \setminus u]s$ can't be fired with the **B**-rule. Permutation rules can be used to move substitutions (avoiding capture), *e.g.*:

 $t[x \backslash u]s \to_\pi (ts)[x \backslash u].$

Example

 $(\lambda y.t)[x \backslash u]s \rightarrow_{\pi} ((\lambda y.t)s)[x \backslash u] \rightarrow_{\pi} t[y \backslash s][x \backslash u]$

Permutation rules do not hold computational content, rather structural one. With distance, we keep only computationally relevant rules.

distant B (dB) rule

 $\mathsf{L}\langle (\lambda x.t)\rangle u \to_{\mathsf{dB}} \mathsf{L}\langle t[x \backslash u]\rangle.$

Example

$$\begin{split} &(\lambda y.t)[x\backslash u]s \rightarrow_{\pi} ((\lambda y.t)s)[x\backslash u] \rightarrow_{\pi} t[y\backslash s][x\backslash u] \text{ becomes} \\ &(\lambda y.t)[x\backslash u]s \rightarrow_{\mathsf{dB}} t[y\backslash s][x\backslash u]. \end{split}$$

Reduction with distance is strongly related with reduction in a graphical formalism.

We can convenientely handle different kinds of substitutions thanks to explicit substitutions and distance.

- 1. Full substitution: $t[x \setminus u] \rightarrow t\{x \setminus u\}$.
- 2. Partial substitution: $C\langle\!\langle x \rangle\!\rangle [x \backslash u] \to C\langle\!\langle t \rangle\!\rangle [x \backslash u]$.

Call-by-name (CBN) can replicate redexes ($I \triangleq \lambda z.z$).

$$(\lambda x.xx)(II) \rightarrow_{\beta} (II)(II) \rightarrow_{\beta} I(II) \rightarrow_{\beta} II \rightarrow_{\beta} I$$

By sharing the argument after reduction, call-by-need (CBNeed) avoids duplication of work:

The amount of sharing in usual call-by-need is not sufficient to avoid all useless work.

II has no bound occurences of *z*: it is a (maximal) free expression.

Free expressions: subexpressions not on the path between the binders and the corresponding free occurences of the variables.

In the previous example, the abstraction must be duplicated in order to execute the β -reduction. But *II* can stay shared because it is a free expression.

$$\begin{array}{lll} (\lambda x.xx)(\lambda z.z(II)) & \rightarrow_{\mathsf{dB}} & (xx)[x \backslash \lambda z.z(II)] \\ & \rightarrow & ((\lambda z.zy)x)[x \backslash \lambda z.zy][y \backslash II] \end{array}$$

 $\lambda z.z \Box$ is called the skeleton of the abstraction $\lambda z.z(II)$.

This optimization of call-by-need which keeps MFEs shared before duplicating an abstraction is called fully lazy sharing. With this level of sharing, we reach optimality à la Lévy in the *weak* setting. Gundersen, Heijltjes and Parigot's atomic λ -calculus (λ a) is an extended λ -calculus implementing node replication through a variant of explicit substitutions.

It is a Curry-Howard interpretation of the deep inference logical formalism.

They argue that their calculus implements full laziness.

Problem: the atomic λ -calculus implements explicit contraction and weakening of variables.

Example

The explicit substitutions are of the shape: $[x_1, \ldots, x_n \setminus t]$.

This makes the syntax and semantics of the calculus fairly complicated...

We want to keep only the mechanism of node replication.

The $\lambda R\mbox{-}calculus:$ a calculus focusing on node replication

Two weak strategies

Call-by-name Fully lazy call-by-need

Quantitative types, a tool for observational equivalence

The λR -calculus: a calculus focusing on node replication

$$\begin{array}{lll} (\lambda x.xx)(y(wz)) & \rightarrow_{\mathsf{dB}} & (xx)[x \backslash y@(wz)] \\ & \rightarrow_{\mathsf{app}} & ((x_1@x_2)(x_1@x_2))[x_1 \backslash y][x_2 \backslash wz] \\ & \rightarrow_{\mathsf{sub}} & ((yx_2)(yx_2))[x_2 \backslash w@z] \\ & \rightarrow_{\mathsf{app}} & (y(x_3@x_4))(y(x_3@x_4))[x_3 \backslash w][x_4 \backslash z] \\ & \rightarrow_{\mathsf{sub}} & (y(x_3z))(y(x_3z))[x_3 \backslash w] \\ & \rightarrow_{\mathsf{sub}} & (y(wz))(y(wz)) \end{array}$$

$$\begin{array}{lll} (\lambda x.xx)(\lambda y.y) & \rightarrow_{\mathsf{dB}} & (xx)[x \backslash \lambda y.y] \\ & \rightarrow_{\mathsf{dist}} & (xx)[x \backslash \lambda y.z[z \backslash y]] \\ & \rightarrow_{\mathsf{sub}} & (xx)[x \backslash \lambda y.y] \\ & \rightarrow_{\mathsf{abs}} & (\lambda y.y)(\lambda y.y) \end{array}$$

We add two constructors to the λ -calculus: explicit substitutions and distributors.

Terms
$$t, u, s ::= x \mid \lambda x.t \mid tu \mid t[x \setminus u] \mid t[x \setminus \lambda y.u]$$

We call explicit cut a constructor which is either an explicit substitution or a distributor, and we write it $[x \triangleleft u]$. Pure terms (p, q) are terms without explicit cuts.

List contexts $L ::= \Box \mid L[x \lhd u]$

In dist, we suppose $u \rightarrow_{\pi}^{*} \mathsf{L}\langle p \rangle$ and $y \notin \mathsf{fv}(\mathsf{L})$.

We define an unfolding t^{\downarrow} of cuts, such that $(t[x \triangleleft u])^{\downarrow} = t^{\downarrow} \{x \setminus u^{\downarrow}\}.$

Lemma (Simulation to the λ -calculus)

$$\cdot \ t \to_{\mathsf{s}} u \implies t^{\downarrow} = u^{\downarrow}.$$

$$\cdot t \to_{\mathsf{dB}} u \implies t^{\downarrow} \to_{\beta}^{*} u^{\downarrow}.$$

Lemma (Simulation from the λ -calculus)

$$p \rightarrow_{\beta} q \implies p \rightarrow_{\mathsf{dB}} \rightarrow^+_{\mathsf{s}} q.$$

 λ a simulates the λ -calculus and vice-versa. Thus, taking the λ -calculus as an intermediate language gives a simulation between λR and λa . The calculus is confluent.

The proof is simple and relies on simulation in the λ -calculus, and on the termination of \rightarrow_s .

We define a combinatorial tool to prove termination of \rightarrow_s .

Definition (Level of a variable)

Maximal number of explicit substitutions one has to go through to reach the variable.

Example

$$t = x[x \backslash z[y \backslash w]][w \backslash w']$$

1.
$$lv_z(t) = 1$$
,

2.
$$lv_{w'}(t) = 3$$
,

3.
$$lv_y(t) = 0$$
 because $y \notin fv(t)$.

The level of a variable decreases when using rule var.

Example In $t = (yy)[y \setminus x][x \setminus z] \rightarrow_{var} (xx)[x \setminus z] = u$, $lv_z(t) = 2 > 1 = lv_z(u)$.

We can naturally extend the notion of level to terms and constructors in order to account for other s-rules.

Example

In $t = (yy)[y \setminus \lambda z.xz]$, the level of $\lambda z.xz$ and of the abstraction and application constructors is $1 = lv_y(yy) + 1$.

The level of an application decreases when using rule **app**.

Example

$$\begin{split} & \ln t = (yy)[y \backslash x @z] \rightarrow_{\mathsf{app}} ((y_1 @y_2)(y_1 @y_2))[y_1 \backslash x][y_2 \backslash z], \\ & \mathbf{lv}_{@}(t) = 2 > 1 = \mathbf{lv}_{@}(u). \end{split}$$

The level of an abstraction decreases when using rule **abs**.

Example

$$\begin{split} & \ln t = (yy)[y \backslash \lambda z.z] \to_{\mathsf{app}} (yy)[y \backslash \lambda z.x[x \backslash z]], \\ & \mathrm{lv}_{\lambda z}(t) = 2 > 1 = \mathrm{lv}_{\lambda z}(u). \end{split}$$

Two weak strategies

Calculi Non-deterministic rewriting relations. Strategies Implement a specific deterministic evaluation. The atomic λ-calculus is only studied as a calculus, no strategy is formalised.

We give two weak strategies (no reduction under abstraction) to argue for the following statements.

CBN Node replication implements standard evaluation strategies.

FLNeed Node replication is a tool of choice for implementing full laziness.

No explicit cuts under abstractions (except distributors). Invariant of the reduction, and true when starting from a λ -term.

Simplifies the semantics of the calculus and avoids π -rules: the distributors are of the shape $[x \setminus \lambda y.L\langle p \rangle]$. CBN is not deterministic, but it is diamond.

Call-by-name in the λ -calculus is also known as weak head reduction $\rightarrow_{whr}.$

Lemma (Simulation)

$$\cdot \ t \to_{\mathsf{name}} u \implies t^{\downarrow} \to_{\mathsf{whr}}^* u^{\downarrow}$$

$$\cdot \ p \to_{\mathsf{whr}} q \implies p \to_{\mathsf{name}}^+ q.$$

Remember: full laziness splits an abstraction into its skeleton and its MFEs.

A skeleton is a term with a finite number of holes in place of the MFEs.

Examples

- · $t = \lambda z.z(II)$. Skeleton: $\lambda z.z\Box$. Multiset of MFEs: [II].
- · $t = \lambda z.(II)z(II)$. Skeleton: $\lambda z.\Box z\Box$. MFEs: [II, II].
- · $t = \lambda y . \lambda z . (yw) z$. Skeleton: $\lambda y . \lambda z . (y\Box) z$. MFEs: [w].

$$\begin{array}{lll} (\lambda x.xx)(\lambda z.z(II)) & \rightarrow_{\mathsf{dB}} & (xx)[x \backslash \lambda z.z(II)] \\ & \rightarrow_{\mathsf{dist}} & (xx)[x \backslash \lambda z.y[y \backslash z(II)]] \\ & \rightarrow_{\mathsf{app}} & (xx)[x \backslash \lambda z.(y_1y_2)[y_1 \backslash z][y_2 \backslash II]] \\ & \rightarrow_{\mathsf{var}} & (xx)[x \backslash \lambda z.(zy_2)[y_2 \backslash II]] \end{array}$$

There must be no free occurence of z inside a cut in the distributor.

Before replicating the value $\lambda y.p$ in $t[x \setminus \lambda y.p]$, we must:

- 1. Apply rule **dist** to create a distributor.
- 2. Use **s**-rules on the cuts in which *y* is free to get the skeleton.

We want to assemble all these steps into one, for a more elegant presentation. We will define a function \downarrow_{st} such that

 $\lambda y.z[z\backslash p]\Downarrow_{\texttt{st}}\lambda y.\mathsf{L}\langle p'\rangle,$

where L is a list of explicit substitutions containing the MFEs, linked to the skeleton *p*' by fresh variables in the holes.

Example

 $\lambda z.x[x \backslash (II)z(II)] \Downarrow_{\texttt{st}} \lambda z.(x_1 z x_2)[x_1 \backslash II][x_2 \backslash II]$

 \Downarrow_{st} is defined on terms of the shape $\lambda y.L\langle p \rangle$. We use s-rules, parametrized by the binding variable we are considering (*e.g. y*). This reduction relation is confluent and terminating so that the function \Downarrow_{st} is well-defined. Let the abstraction to duplicate be $\lambda y.\lambda z.(yt)z$, where t is an MFE. Its skeleton is $\lambda y.\lambda z.(y\Box)z$.

$$\begin{array}{lll} \lambda y.x[x \backslash \lambda z.(yt)z] & \rightarrow_{\texttt{dist}}^{y} & \lambda y.x[x \backslash \lambda z.w[w \backslash (yt)z]] \\ & \rightarrow_{\texttt{app}}^{z} & \lambda y.x[x \backslash \lambda z.(w_{1}w_{2})[w_{1} \backslash yt][w_{2} \backslash z]] \\ & \rightarrow_{\texttt{var}}^{z} & \lambda y.x[x \backslash \lambda z.(w_{1}z)[w_{1} \backslash yt]] \\ & \rightarrow_{\texttt{abs}}^{y} & \lambda y.(\lambda z.w_{1}z)[w_{1} \backslash yt] \\ & \rightarrow_{\texttt{app}}^{y} & \lambda y.(\lambda z.(x_{1}x_{2})z)[x_{1} \backslash y][x_{2} \backslash t] \\ & \rightarrow_{\texttt{var}}^{y} & \lambda y.(\lambda z.(yx_{2})z)[x_{2} \backslash t] \end{array}$$

The innermost abstraction is treated first.

$$\begin{array}{lll} \mathsf{L}\langle\lambda x.p\rangle u &\mapsto_{\mathsf{dB}} & \mathsf{L}\langle p[x\backslash u]\rangle \\ \mathsf{N}\langle\!\langle x\rangle\!\rangle [x\backslash \mathsf{L}_1\langle\lambda y.p\rangle] &\mapsto_{\mathsf{spl}} & \mathsf{L}_1\langle\mathsf{L}_2\langle\mathsf{N}\langle\!\langle x\rangle\!\rangle [x\backslash\!\backslash \lambda y.p']\rangle\rangle \\ \mathsf{N}\langle\!\langle x\rangle\!\rangle [x\backslash\!\backslash v] &\mapsto_{\mathsf{sub}} & \mathsf{N}\langle\!\langle v\rangle\!\rangle [x\backslash\!\backslash v] \end{array}$$

Where in rule \mapsto_{spl} , $\lambda y.z[z \setminus p] \Downarrow_{st} \lambda y.L_2 \langle p' \rangle$

Note that the substitution is partial, as usual in call-by-need: only the needed occurence is duplicated.

$$\begin{split} (\lambda x.xx)(\lambda y.\lambda z.(yt)z) & \to_{\mathsf{dB}} & (xx)[x \backslash \lambda y.\lambda z.(yt)z] \\ & \to_{\mathsf{spl}} & (xx)[x \backslash \lambda y.\lambda z.(yw)z][w \backslash t] \\ & \to_{\mathsf{sub}} & ((\lambda y.\lambda z.(yw)z)x)[w \backslash t] \end{split}$$

Quantitative types, a tool for observational equivalence

$\frac{\Gamma \vdash t : \tau \qquad \Delta \vdash t : \sigma}{\Gamma + \Delta \vdash t : \tau \land \sigma}$

Intersection types have a strong denotational flavor: typability and normalisation coincide.

We define a unique type system \mathcal{V} for both strategies. In both strategies we prove (for $x \in \{name, flneed\}$):

Lemma

 $t \text{ is } \mathbf{x}$ -normalisable $\iff t \text{ is typable in } \mathcal{V}$.

Proof. t x-normalisable $\implies t$ typable.

- 1. Show that normal forms are typable.
- 2. Show subject expansion: if there is a type derivation of $\Gamma \vdash t_1 : \tau$ in \mathcal{V} and a term $t_0 \rightarrow_{\mathsf{X}} t_1$, then there is a type derivation of $\Gamma \vdash t_0 : \tau$ in \mathcal{V} .

Proof. t typable $\implies t$ x-normalisable.

- 1. Show subject reduction: if there is a type derivation of $\Gamma \vdash t_0 : \tau$ in \mathcal{V} and $t_0 \rightarrow_{\mathsf{X}} t_1$, then there is a type derivation of $\Gamma \vdash t_1 : \tau$ in \mathcal{V} .
- 2. Show that a reduction of typed terms terminate.

Second step of the proof: we use non-idempotent intersection (*a.k.a* quantitatives) types.

$$\frac{\Gamma \vdash t: \tau \quad \Delta \vdash t: \tau}{\Gamma + \Delta \vdash t: [\tau, \tau]}$$

Non-idempotence gives quantitative information and a combinatorial proof of termination.

Using quantitative types, we can generally find a measure D (Φ) on type derivations, such that:

Lemma (Weighted subject reduction)

Let $t_0 \to t_1$ such that there exists $\Phi \rhd \Gamma \vdash t_0 : \tau$. Then there exists $\Psi \rhd \Gamma \vdash t_1 : \tau$ such that $\mathsf{D}(\Phi) > \mathsf{D}(\Psi)$.

Which measure should we take?

dB decreases the size of the derivation

$$\frac{\frac{}{x:[a] \vdash x:a} (ax)}{\vdash \lambda x.x:[a] \rightarrow a} (abs) \qquad \frac{}{\vdash \lambda y.z:a} (ans) (ans)}{\vdash \lambda y.z:[a]} (ans) (have) ($$

dB decreases the size of the derivation

$$\frac{\frac{1}{x:[a] \vdash x:a} (ax)}{\vdash \lambda x.x:[a] \rightarrow a} (abs) \qquad \frac{\frac{1}{\vdash \lambda y.z:a} (ans)}{\vdash \lambda y.z:[a]} (many)}{\vdash (\lambda x.x)(\lambda y.z):a} (app)$$

$$\rightarrow_{\mathsf{dB}} \frac{\frac{}{x:[\mathtt{a}] \vdash x:\mathtt{a}} (\mathtt{ax})}{\vdash x[x \setminus \lambda y.z]:\mathtt{a}} \frac{\frac{}{\vdash \lambda y.z:\mathtt{a}} (\mathtt{ans})}{\vdash \lambda y.z:[\mathtt{a}]} (\mathtt{cut})$$

Taking the size of the proof does not work: in rules **app** and **dist** we add new **fresh variables** which make the proof grow by a number of axiom rules.

$$\begin{array}{lll} t[x \backslash \mathsf{L} \langle uv \rangle] & \mapsto_{\mathsf{app}} & \mathsf{L} \langle t\{x \backslash yz\}[y \backslash u][z \backslash v] \rangle \\ t[x \backslash \mathsf{L} \langle \lambda y. u \rangle] & \mapsto_{\mathsf{dist}} & \mathsf{L} \langle t[x \backslash \lambda y. z[z \backslash u]] \rangle \end{array}$$

Idea: we weight the constructors with their levels. The level of a constructor decreases with **s**, and so does the measure.

We define the size of a derivation $|\Phi|$ by the number of (abs), (ans) and (app) rules.

Definition (Measure)

 $\mathsf{D}\left(\Phi\right)=\left(l,m,n\right)$ (ordered lexicographically), where:

- · $l = |\Phi|$,
- $\cdot \, m$ is the size of Φ weighted by the levels,
- \cdot *n* is the number of **ax** rules.

We consider the following reduction.

$$\begin{array}{rcl} (\lambda x.x)(yz) & \rightarrow_{\mathsf{dB}} & x[x \backslash yz] \\ & \rightarrow_{\mathsf{app}} & (x_1 x_2)[x_1 \backslash y][x_2 \backslash z] \\ & \rightarrow_{\mathsf{sub}} & (y x_2)[x_2 \backslash z] \\ & \rightarrow_{\mathsf{sub}} & yz \end{array}$$

$$\begin{array}{l} \operatorname{Let} \sigma = [\tau] \to \tau. \\ \\ \\ \\ \\ \hline \frac{x : [\tau] \vdash x : \tau}{\vdash \lambda x. x : \sigma} \text{ (ax)} & \frac{\overline{y : [\sigma] \vdash y : \sigma} \text{ (ax)} \frac{z : [\tau] \vdash z : \tau}{z : [\tau] \vdash yz : \tau} \text{ (app)} \\ \\ \hline y : [\sigma], z : [\tau] \vdash yz : \tau} \text{ (many)} \\ \hline y : [\sigma], z : [\tau] \vdash yz : [\tau] \text{ (many)} \\ \hline p_1 \rhd y : [\sigma], z : [\tau] \vdash (\lambda x. x) (yz) : \tau \text{ (app)} \end{array}$$

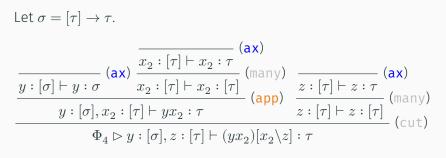
 $\mathsf{D}\left(\Phi_{1}\right)=\left(\mathbf{3},\mathbf{3},\mathbf{3}\right)$

 $\begin{array}{c} \operatorname{Let} \sigma = [\tau] \rightarrow \tau. \\ \\ \\ \underline{w: [\tau] \vdash x: \tau} \quad (\operatorname{ax}) \quad & \frac{ \underbrace{y: [\sigma] \vdash y: \sigma}_{y: [\sigma], z: [\tau] \vdash yz: \tau}_{y: [\sigma], z: [\tau] \vdash yz: \tau} \quad (\operatorname{app})_{y: [\sigma], z: [\tau] \vdash yz: [\tau]}_{y: [\sigma], z: [\tau] \vdash yz: [\tau]} \quad (\operatorname{many})_{y: [\sigma], z: [\tau] \vdash x[x \backslash yz]: \tau} \end{array} \end{array}$

 $\mathsf{D}\left(\Phi_{2}\right)=\left(\mathbf{1},2,\mathbf{3}\right)$

Example

$$\begin{split} & \operatorname{Let} \sigma = [\tau] \to \tau. \\ & \frac{\overline{y:[\sigma] \vdash y:\sigma}}{y:[\sigma] \vdash y:[\sigma]} (\operatorname{many}) \\ & \frac{\overline{x_1:[\sigma], z:[\tau] \vdash (x_1 x_2) [x_1 \setminus y]:\tau}}{\Phi_3 \rhd y:[\sigma], z:[\tau] \vdash (x_1 x_2) [x_1 \setminus y] [x_2 \setminus z]:\tau} (\operatorname{many}) \\ & \frac{\overline{x_1:[\sigma] \vdash x_1:\sigma}}{\Phi_{x_1 x_2} \rhd x_1:[\sigma], x_2:[\tau] \vdash x_2:\tau} (\operatorname{many}) \\ & \frac{\overline{x_1:[\sigma] \vdash x_1:\sigma}}{\Phi_{x_1 x_2} \rhd x_1:[\sigma], x_2:[\tau] \vdash x_1 x_2:\tau} (\operatorname{many}) \\ & \operatorname{D} (\Phi_3) = (1, 1, 4) \end{split}$$



 $\mathsf{D}\left(\Phi_{4}\right)=\left(\mathbf{1},\mathbf{1},\mathbf{3}\right)$

Let
$$\sigma = [\tau] \to \tau$$
.

$$\frac{\overline{y:[\sigma] \vdash y:\sigma}}{y:[\sigma], z:[\tau] \vdash yz:\tau} (ax) \xrightarrow{\overline{z:[\tau] \vdash z:\tau}} (ax) (app)$$

 $\mathsf{D}\left(\Phi_{5}\right)=(\mathbf{1},\mathbf{1},\mathbf{2})$

Lemma (restatement)

 $t \text{ is } \mathbf{x}\text{-normalisable} \iff t \text{ is typable in } \mathcal{V}.$

Observational equivalence is a trivial corollary.

Definition (Observational equivalence)

 $t \equiv_{\mathbf{x}} u$ if and only if for any C, C $\langle t \rangle$ terminates in $\mathbf{x} \iff C \langle u \rangle$ terminates in \mathbf{x} .

Theorem

 $t \equiv_{\mathsf{name}} u \iff t \equiv_{\mathsf{flneed}} u.$

Conclusion

To sum up, we:

- Defined a new calculus of explicit substitutions inspired by λa which achieves node replication;
- Gave two weak strategies CBN and FLNeed;
- Studied the strategies by means of non-idempotent intersection types and show observational equivalence.

Future works could be:

- Defining a calculus based on spine duplication; or a classical version of the calculus.
- Relate FLNeed to other strategies of full laziness in the literature.
- Defining a type system giving exact bounds.

Thank you for your attention!