

Refinement systems and Martin-Löf type theory

Paul-André Melliès

Institut de Recherche en Informatique Fondamentale (IRIF)
CNRS & Université Paris Diderot

Types, Homotopy Type Theory, Verification

Hausdorff Institute for Mathematics – 6 June 2018

What is a functor?



Two alternative and complementary ways to look at it:

- ▶ a category \mathcal{E} with infrastructure \mathcal{B} [shadows]
- ▶ a category \mathcal{B} with superstructure \mathcal{E} [refinements]

What is a functor?

$$\begin{array}{c} \mathcal{E} \\ \downarrow p \\ \mathcal{B} \end{array}$$

We write $R \sqsubset A$ and declare that

R is a refinement of A

when $p(R) = A$.

What is a functor?



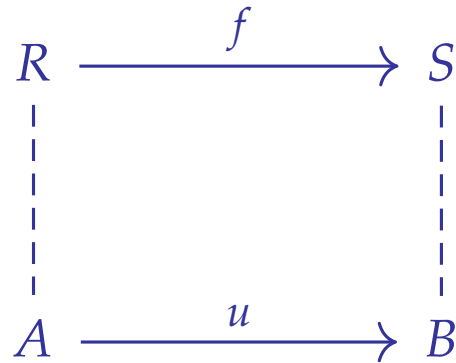
We write $f \sqsubset u$ and declare that

$f : R \rightarrow S$ is a refinement of $u : A \rightarrow B$

when $p(f) = u$.

Note that $R \sqsubset A$ and $S \sqsubset B$ in that case.

What is a functor?



We write $f \sqsubseteq u$, and declare that

$f : R \rightarrow S$ is a refinement of $u : A \rightarrow B$

when $p(f) = u$.

Note that $R \sqsubseteq A$ and $S \sqsubseteq B$ in that case.

Illustration

There is a functor

$$\Delta : \mathbf{MultiCat} \longrightarrow \mathbf{MonCat}$$

which transports every multicategory to a monoidal category.

Here, **MonCat** denotes the category of

monoidal categories and strict monoidal functors between them

Illustration

As a matter of fact, every multicategory \mathcal{M} comes with a map

$$\mathcal{M} \longrightarrow 1$$

where 1 denotes the terminal multicategory.

This map of multicategory is transported to a strict monoidal functor ev and thus Δ defines a functor

$$\Delta : \mathbf{MultiCat} \longrightarrow \mathbf{MonCat}/\Delta$$

where Δ denotes the category of finite ordinals and monotone maps.

Illustration

This functor

$$\Delta\mathcal{M} \longrightarrow \Delta 1$$

retains the structure of the original multicategory

Indeed, a map in the multicategory \mathcal{M}

$$A_1, \dots, A_n \longrightarrow B$$

is the same thing as a map in the category $\Delta\mathcal{M}$ refining the map

$$\bullet \quad \dots \quad \bullet \longrightarrow \bullet$$

from $[n]$ to $[1]$ in the category Δ .

Polarities in linear logic

The left introduction of the sum

$$\frac{A \vdash C \quad B \vdash C}{A \oplus B \vdash C}$$

is **invertible** in the sense that every proof

$$\frac{\pi}{A \oplus B \vdash C}$$

factors uniquely as

$$\frac{\frac{\pi_1}{A \vdash C} \quad \frac{\pi_2}{B \vdash C}}{A \oplus B \vdash C}$$

up to proof equality.

Polarities in linear logic

This is nicely reflected in the categorical semantics by the fact that

$$A \oplus B$$

denotes the categorical sum of A and B .

Polarities in linear logic

Similarly, the left introduction of the tensor product

$$\frac{A, B \vdash C}{A \otimes B \vdash C}$$

is **invertible** in the sense that every proof

$$\frac{\pi}{A \otimes B \vdash C}$$

factors uniquely as

$$\frac{\pi}{\frac{A, B \vdash C}{A \otimes B \vdash C}}$$

up to proof equality.

Polarities in linear logic

A longstanding question... for me at least :-)

What is the **categorical** counterpart of this basic phenomenon?

One origin of the notion of **refinement system** with Noam...

Hermida's universal point of view

A monoidal category is the same thing as a multicategory where maps

$$f : A, B \longrightarrow C$$

are **representable** in the sense that there exists a map

$$A, B \longrightarrow A \otimes B$$

for every A, B such that every bilinear map f factors uniquely as

$$A, B \longrightarrow A \otimes B \longrightarrow C$$

One also needs to ask that representability is **contextual**.

Reformulated in the functorial language

A monoidal category is the same thing as a multicategory

\mathcal{M}

whose associated strict monoidal functor

$$\Delta \mathcal{M} \longrightarrow \Delta$$

is a left Grothendieck fibration such that the tensor product

$$f_1 \otimes f_2 : A_1 \otimes A_2 \longrightarrow B_1 \otimes B_2$$

of two left cartesian maps

$$f_1 : A_1 \longrightarrow B_1 \qquad f_2 : A_2 \longrightarrow B_2$$

is left cartesian.

Left cartesian maps

A map $f : R \rightarrow S$ in \mathcal{E} is left cartesian above $u : A \rightarrow B$ in \mathcal{B} when the following property holds:

for every map $g : R \rightarrow T$

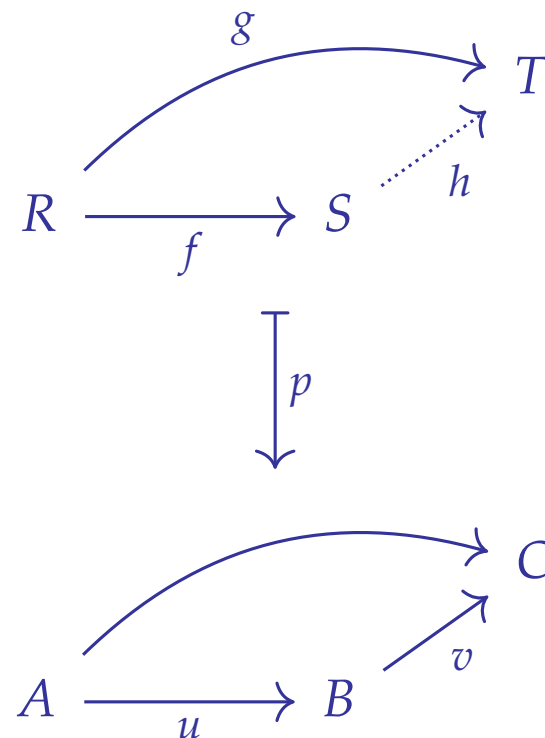
for every map $v : B \rightarrow C$
such that $g \sqsubset v \circ u$

there exists

a unique map $h : S \rightarrow T$

such that $h \circ f = g$

and $h \sqsubset v$.



Left Grothendieck fibrations

Definition. A functor

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

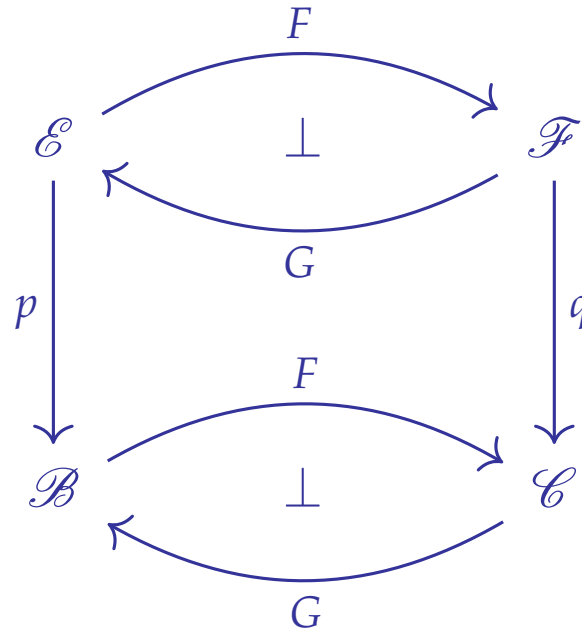
is a left Grothendieck fibration when there exists a left cartesian map

$$\begin{array}{ccc} R & \xrightarrow{f} & \text{push}_u(R) \\ & \downarrow p & \\ A & \xrightarrow{u} & B \end{array}$$

for every refinement $R \sqsubset A$ and every map $u : A \rightarrow B$.

Left cartesian maps are preserved by left adjoints

Suppose given two refinements systems related by an adjunction



Key fact: Left cartesian maps are preserved by left adjoints...

The Grothendieck construction

From indexed categories to right fibrations

Indexed categories

We suppose given a category \mathcal{B} .

Definition.

An indexed \mathcal{B} -category is a pseudo-functor

$$\mathcal{P} : \mathcal{B}^{op} \longrightarrow \mathbf{Cat}$$

which associates

- ▶ a category \mathcal{P}_B
- ▶ a functor $\mathcal{P}_u : \mathcal{P}_B \longrightarrow \mathcal{P}_A$

to every object B and to every map $u : A \longrightarrow B$ of the category \mathcal{B} .

The Grothendieck construction

The total category \mathcal{E} is constructed as follows:

- ▷ its objects are the pairs

$$(A, R)$$

consisting of an object $A \in \mathcal{B}$ and of an object $R \in \mathcal{P}(A)$,

- ▷ its maps

$$f : (A, R) \longrightarrow (B, S)$$

are the pairs $f = (u, h)$ consisting of two maps

$$\begin{array}{ll} u : A \longrightarrow B & \text{in the category } \mathcal{B} \\ h : R \longrightarrow \mathcal{P}_u(S) & \text{in the category } \mathcal{P}(A) \end{array}$$

The Grothendieck construction

Key observation:

The total category \mathcal{E} comes equipped with a functor

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

which transports every object $(A, R) \in \mathcal{E}$ to the object $A \in \mathcal{B}$.

Moreover, the fiber

$$\mathcal{E}_A = p^{-1}(A)$$

above the object $A \in \mathcal{B}$ coincides with the category \mathcal{P}_A .

The Grothendieck construction

Fundamental and well-known fact.

The Grothendieck construction “glues” together the categories \mathcal{P}_A 's into a right fibration

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

The construction induces an equivalence of categories

**the category of
indexed \mathcal{B} -categories**

\cong

**the category of
right fibrations over \mathcal{B}**

Right cartesian maps

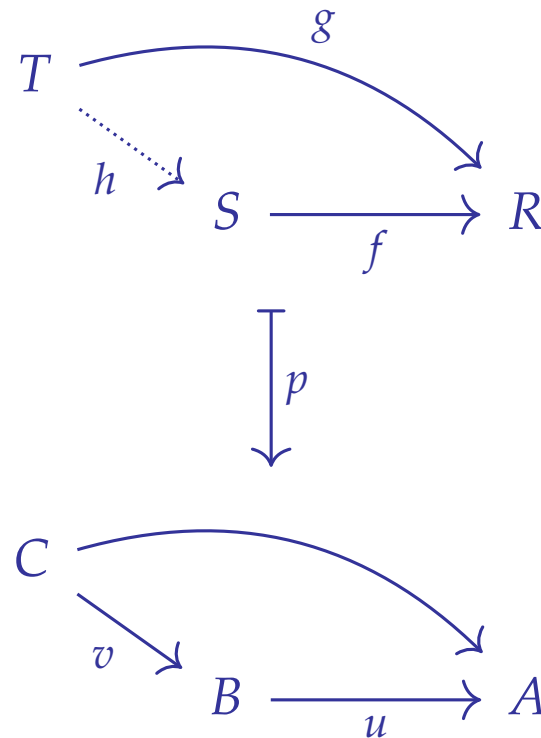
A map $f : S \rightarrow R$ in \mathcal{E} is right cartesian above $u : B \rightarrow A$ in \mathcal{B} when the following property holds:

for every map $g : T \rightarrow R$

for every map $v : C \rightarrow B$
such that $g \sqsubset u \circ v$

there exists

a unique map $h : T \rightarrow S$
such that $f \circ h = g$
and $h \sqsubset v$.



Right Grothendieck fibrations

Definition. A functor

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

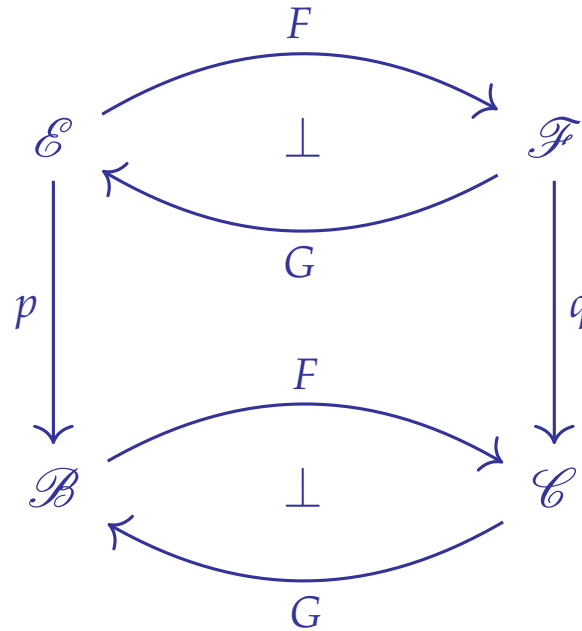
is a right Grothendieck fibration when there exists a right cartesian map

$$\begin{array}{ccc} \text{pull}_u(R) & \xrightarrow{f} & R \\ & \downarrow p & \\ B & \xrightarrow{u} & A \end{array}$$

for every refinement $R \sqsubset A$ and every map $u : A \rightarrow B$.

Right cartesian maps are preserved by right adjoints

Suppose given two refinements systems related by an adjunction



Dual fact: Right cartesian maps are preserved by right adjoints...

A Grothendieck construction for general functors

An idea by Jean Bénabou

Displayed categories

We suppose given a category \mathcal{B} .

Definition.

A displayed \mathcal{B} -category is a normal lax functor

$$\mathcal{P} : \mathcal{B}^{op} \longrightarrow \mathit{Dist}$$

which associates

- ▷ a category \mathcal{P}_A
- ▷ a distributor $\mathcal{P}_u : \mathcal{P}_A^{op} \times \mathcal{P}_B \longrightarrow \mathit{Set}$

to every object A and to every map $u : A \longrightarrow B$ of the category \mathcal{B} .

The Grothendieck construction

The total category \mathcal{E} is constructed as follows:

- ▷ its objects are the pairs

$$(A, R)$$

consisting of an object $A \in \mathcal{B}$ and of an object $R \in \mathcal{P}(A)$,

- ▷ its maps

$$f : (A, R) \longrightarrow (B, S)$$

are the pairs $f = (u, h)$ consisting of

a map	$u : A \longrightarrow B$	in the category	\mathcal{B}
an element	h	of the set	$\mathcal{P}_u(R, S)$

The Grothendieck construction

The fiber category \mathcal{P}_A has the refinements of A as objects

$$R \sqsubset A$$

and the refinements of $id_A : A \rightarrow A$ as maps

$$h : R \rightarrow S \sqsubset id_A : A \rightarrow A$$

while the distributor \mathcal{P}_u has its entries

$$\mathcal{P}_u(R, S)$$

defined as the sets of refinements of the map $u : A \rightarrow B$

$$h : R \rightarrow S \sqsubset u : A \rightarrow B$$

The Grothendieck construction

Fundamental and less-known fact.

The Grothendieck construction “glues” together the categories \mathcal{P}_A 's into a functor

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

The construction induces an equivalence of categories

**the category of
displayed \mathcal{B} -categories**

\cong

**the category of
general functors over \mathcal{B}**

Functors as type refinement systems

An idea developed with N. Zeilberger (2013)

Refinement systems

Basic idea: every functor can be seen as a proof system.

Definition. A typing judgement

$$P \xrightarrow[u]{} Q$$

is a triple consisting of two refinements

$$P \sqsubset A \quad Q \sqsubset B$$

and a map

$$A \xrightarrow{u} B$$

in the basis category \mathcal{B} .

Composition rule

The composition rule

$$\frac{P \xRightarrow{u} Q \quad Q \xRightarrow{v} R}{P \xRightarrow{u;v} R} ;$$

is the basic rule of the refinement system.

Illustration

For instance, the rule of "covariant subtyping"

$$\frac{P \xRightarrow{c} Q \quad Q \Rightarrow R}{P \xRightarrow{c} R}$$

is derivable from the derivation tree

$$\frac{\frac{P \xRightarrow{c} Q \quad Q \Rightarrow R}{P \xRightarrow{c;id} R} ;}{P \xRightarrow{c} R} \sim$$

where we use the equality $u;id = u$ for the \sim -rule.

Right cartesian maps in refinement systems

One requires the existence of a refinement

$$\frac{c : A \rightarrow B \quad Q \sqsubseteq B}{\mathbf{pull}_c Q \sqsubseteq A}$$

and of the left and right introduction rules:

$$\overline{\mathbf{pull}_c Q \Longrightarrow_c Q} \quad L\mathbf{pull}_c \quad \frac{P \Longrightarrow_{d;c} Q}{P \Longrightarrow_d \mathbf{pull}_c Q} \quad R\mathbf{pull}_c$$

Right cartesian maps in refinement systems

One requires in addition that the equations defined by the β -rule:

$$\frac{\frac{P \xrightarrow[\beta]{d;c} Q}{P \xrightarrow[d]{d} \mathbf{pull}_c Q} \text{Rpull}_c \quad \frac{\mathbf{pull}_c Q \xrightarrow[c]{d} Q}{\mathbf{pull}_c Q \xrightarrow[c]{d} Q} \text{Lpull}_c}{P \xrightarrow[d;c]{d} Q} ; \quad = \quad P \xrightarrow[\beta]{d;c} Q$$

and by the η -rule are satisfied:

$$P \xrightarrow[\eta]{d} \mathbf{pull}_c Q = \frac{\frac{P \xrightarrow[\eta]{d} \mathbf{pull}_c Q \quad \mathbf{pull}_c Q \xrightarrow[c]{d} Q}{P \xrightarrow[d;c]{d} Q} \text{Lpull}_c}{P \xrightarrow[d]{d} \mathbf{pull}_c Q} \text{Rpull}_c$$

Illustration: right adjoints preserve right cartesian maps

In order to establish the property, one builds two derivations

$$\frac{}{G[\mathbf{pull}_c Q] \xRightarrow{G[c]} G[Q]} \mathbf{Lpull}_{G[c]} \quad \frac{P \xRightarrow{d;G[c]} G[Q]}{P \xRightarrow{d} G[\mathbf{pull}_c Q]} \mathbf{Rpull}_{G[c]}$$

satisfying the β and η laws.

The construction is immediate for the left rule:

$$\frac{\frac{}{\mathbf{pull}_c Q \xRightarrow{c} Q} \mathbf{Lpull}_c}{G[\mathbf{pull}_c Q] \xRightarrow{G[c]} G[Q]} G$$

Illustration: right adjoints preserve right cartesian maps

The right rule is a little bit more involved to establish:

$$\begin{array}{c}
 \frac{P \xRightarrow[d;G[c]]{} G[Q]}{F[P] \xRightarrow[F[d];FG[c]]{} FG[Q]} \quad F \quad \frac{FG[Q] \xRightarrow{o} Q}{FG[Q] \xRightarrow{o} Q} \quad o}{\frac{F[P] \xRightarrow[F[d];FG[c];o]{} Q}{F[P] \xRightarrow[F[d];o;c]{} Q} \quad \sim_1} ; \\
 \frac{\frac{F[P] \xRightarrow[F[d];o]{} \mathbf{pull}_c Q}{F[P] \xRightarrow[F[d];o]{} \mathbf{pull}_c Q} \quad R\mathbf{pull}_c}{\frac{GF[P] \xRightarrow{GF[d];G[o]}{} G[\mathbf{pull}_c Q]}{GF[P] \xRightarrow{GF[d];G[o]}{} G[\mathbf{pull}_c Q]} \quad G} \quad \iota}{\frac{P \xRightarrow{\iota;GF[d];G[o]}{} G[\mathbf{pull}_c Q]}{P \xRightarrow{d}{} G[\mathbf{pull}_c Q]} \quad \sim_2} ;
 \end{array}$$

The bifibrational Day construction

Monoidal closed bifibrations at work

Grothendieck bifibrations

Definition. A functor

$$p : \mathcal{E} \longrightarrow \mathcal{B}$$

is a bifibration when it is a right fibration and a left fibration.

Remark:

A bifibration is the same thing as a fibration where every functor

$$\text{pull}_u : \mathcal{E}_B \longrightarrow \mathcal{E}_A$$

for $u : A \rightarrow B$ comes equipped with a left adjoint functor

$$\text{push}_u : \mathcal{E}_A \longrightarrow \mathcal{E}_B$$

The Day tensor product

Remarkable fact. Every presheaf category

$$[\mathcal{A}^{op}, Set]$$

associated to a monoidal category $(\mathcal{A}, \otimes, I)$ is monoidal closed.

The Day tensor product $\varphi \otimes_{Day} \psi$ is defined by the coend formula

$$a \mapsto \int^{a_1, a_2} \mathcal{A}(a, a_1 \otimes a_2) \times_{Set} \varphi(a_1) \times_{Set} \psi(a_2)$$

while the Day implication $\varphi \multimap_{Day} \psi$ is defined by the end formula

$$a_2 \mapsto \int_{a_1} \varphi(a_1) \Rightarrow_{Set} \psi(a_1 \otimes a_2)$$

The presheaf bifibration

Consider the category **Psh** whose objects are pairs

$$(\mathcal{A}, \varphi)$$

consisting of a category \mathcal{A} and of a contravariant presheaf

$$\varphi \in [\mathcal{A}^{op}, Set]$$

and whose maps

$$(F, \theta) : (\mathcal{A}, \varphi) \longrightarrow (\mathcal{B}, \psi)$$

are pairs consisting of a functor

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

and of a natural transformation

$$\theta : \varphi \Longrightarrow \psi \circ F^{op}$$

The presheaf bifibration

Well-known fact. The canonical functor

$$p : \mathbf{Psh} \longrightarrow \mathbf{Cat}$$

defines a bifibration.

The presheaf bifibration

Indeed, in the following situation

$$\begin{array}{ccc} \varphi & & \psi \\ \vdots & & \vdots \\ \mathcal{A} & \xrightarrow{F} & \mathcal{B} \end{array}$$

the pull and the push along the functor F are defined as

$$\text{pull}_F(\psi) = \psi \circ F^{op} : a \mapsto \psi(Fa)$$

$$\text{push}_F(\varphi) = \text{Lan}_F(\varphi) : b \mapsto \int^a \mathcal{B}(b, Fa) \times_{\text{Set}} \varphi(a)$$

A cartesian bifibration

Additional fact. The category **Psh** is cartesian and the functor

$$p : \mathbf{Psh} \longrightarrow \mathbf{Cat}$$

transports cartesian products of **Psh** into cartesian products of **Cat**.

Indeed, the cartesian product of two presheaves on \mathcal{A} and \mathcal{B}

$$(\mathcal{A}, \varphi) \times (\mathcal{B}, \psi)$$

is given by the presheaf on the category $\mathcal{A} \times \mathcal{B}$

$$(\mathcal{A} \times \mathcal{B}, \varphi \times \psi) : (a, b) \mapsto \varphi(a) \times_{\mathbf{Set}} \psi(b)$$

obtained by computing the pointwise cartesian product in *Set*.

A cartesian closed bifibration

Key observation [MZ-2013]

The category **Psh** is cartesian closed and the functor

$$p : \mathbf{Psh} \longrightarrow \mathbf{Cat}$$

preserves the cartesian closed structure.

Indeed, given two presheaves on categories \mathcal{A} and \mathcal{B}

$$(\mathcal{A}, \varphi) \Rightarrow (\mathcal{B}, \psi)$$

is defined as the presheaf on the category $\mathcal{A} \Rightarrow \mathcal{B}$

$$(\mathcal{A} \Rightarrow \mathcal{B}, \varphi \Rightarrow \psi) : F \mapsto \int_{a \in \mathcal{A}} \varphi(a) \Rightarrow_{\mathbf{Set}} \psi(Fa)$$

The bifibrational Day construction

Proposition [MZ-2013]

If $\mathcal{E} \rightarrow \mathcal{B}$ is a monoidal closed bifibration, then every monoid

$$(A, m : A \otimes A \rightarrow A, e : 1 \rightarrow A) \in \mathcal{B}$$

in the basis determines a monoidal closed structure on the fiber \mathcal{E}_A , where the tensor and implication are defined for all $R, S \sqsubset A$ by

$$\begin{aligned} R \otimes_A S &= \text{push}_m(R \otimes S) \\ R \multimap_A S &= \text{pull}_{\text{curry}(m)}(R \multimap S) \end{aligned}$$

and the tensor unit is defined by $1_A = \text{push}_e 1$.

In the case of the presheaf bifibration

The Day tensor product

As it should, the push formula defines the Day tensor product:

$$\text{push}_{\otimes}(\varphi \times \psi) : a \mapsto \int^{(a_1, a_2) \in \mathcal{A} \times \mathcal{A}} \mathcal{A}(a, a_1 \otimes a_2) \times_{\text{Set}} \varphi(a_1) \times_{\text{Set}} \psi(a_2)$$

In the case of the presheaf bifibration

The Day implication

Here, the recipe is to apply the end formula

$$\varphi \Rightarrow \psi \quad : \quad (F : \mathcal{A} \rightarrow \mathcal{A}) \quad \mapsto \quad \int_{a \in \mathcal{A}} \varphi(a) \Rightarrow_{\text{Set}} \psi(Fa)$$

to the left currfication functor

$$\text{curry}(\otimes)(a_2) \quad : \quad (a_1 \mapsto a_1 \otimes a_2) \quad : \quad \mathcal{A} \rightarrow \mathcal{A}$$

and then to pull back to obtain the expected presheaf

$$\text{pull}_{\text{curry}(\otimes)}(\varphi \Rightarrow \psi) \quad : \quad a_2 \mapsto \int_{a \in \mathcal{A}} \varphi(a) \Rightarrow_{\text{Set}} \psi(a \otimes a_2)$$

over the category \mathcal{A}

The bifibrational Day construction

Methodological point

It is possible to formulate the property in a purely proof-theoretic way.

The idea is to construct the three derivations

$$\frac{S_1 \longrightarrow T_1 \quad S_2 \longrightarrow T_2}{S_1 * S_2 \longrightarrow T_1 * T_2} M^* \quad \frac{S * T \longrightarrow U}{S \longrightarrow T -* U} R^* \quad \frac{}{(T -* U) * T \longrightarrow U} L^*$$

and establish that they satisfy the required equations

Note: here, we simply treat the case of magmas instead of monoids

The bifibrational Day construction

The β -equation

$$\frac{\frac{\frac{S * T \xrightarrow{\beta} U}{S \rightarrow T -* U} R^* \quad \overline{T \rightarrow T} I}{S * T \rightarrow (T -* U) * T} M^* \quad \overline{(T -* U) * T \rightarrow U} L^*}{S * T \rightarrow U} C \quad \sim \quad S * T \xrightarrow{\beta} U$$

and η -equation

$$S \xrightarrow{\eta} T -* U \quad \sim \quad \frac{\frac{\frac{S \rightarrow T -* U \quad \overline{T \rightarrow T} I}{S * T \rightarrow T -* U * T} M^* \quad \overline{(T -* U) * T \rightarrow U} L^*}{S * T \rightarrow U} R^*}{S \rightarrow T -* U} C$$

The three derivations

The three derivations are constructed in the following way:

$$\frac{\frac{S_1 \longrightarrow T_1 \quad S_2 \longrightarrow T_2}{S_1 \cdot S_2 \longrightarrow T_1 \cdot T_2} M \quad \frac{}{T_1 \cdot T_2 \xrightarrow{\circledast} T_1 * T_2} R^{\circledast}}{S_1 \cdot S_2 \xrightarrow{\circledast} T_1 * T_2} C$$

$$\frac{}{S_1 * S_2 \longrightarrow T_1 * T_2} L^{\circledast}$$

$$\frac{\frac{}{S \cdot T \xrightarrow{\circledast} S * T} R^{\circledast} \quad S * T \longrightarrow U}{S \cdot T \xrightarrow{\circledast} U} C$$

$$\frac{}{S \xrightarrow{\rho[\circledast]} \frac{U}{T}} R^{\circ-}$$

$$\frac{}{S \longrightarrow T -* U} R\rho[\circledast]^*$$

The three derivations

$$\begin{array}{c}
 \frac{}{T \dashv * U \xrightarrow[\rho[\otimes]]{U} \circlearrowleft T} L\rho[\otimes]^* \quad \frac{}{T \longrightarrow T} I \\
 \hline
 \frac{}{(T \dashv * U) \cdot T \xrightarrow[\rho[\otimes] \cdot -]{U} \circlearrowleft T} M \quad \frac{}{\circlearrowleft T \xrightarrow[\otimes]{} U} L^\circ \\
 \hline
 \frac{}{(T \dashv * U) \cdot T \xrightarrow[(\rho[\otimes] \cdot -); \otimes]{} U} C \\
 \hline
 \frac{}{(T \dashv * U) \cdot T \xrightarrow[\otimes]{} U} \sim \\
 \hline
 \frac{}{(T \dashv * U) * T \longrightarrow U} L^{\otimes}
 \end{array}$$