Bernoulli Convolutions and Measures on the Spectra of Algebraic Integers

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January 2021

Given a real number β > 1 and an alphabet A the spectrum of β is the set

$$X_{\mathcal{A}}(eta) := \left\{ \sum_{i=0}^n a_i eta^i : n \in \mathbb{N}, a_i \in \mathcal{A}
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- Today's Questions: What can we say about the measures

$$\mu_n(x) := \mathbb{P}\left(\sum_{i=0}^n a_i\beta^i - \sum_{i=0}^n b_i\beta^i = x\right)$$

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$$\begin{array}{ll} X_{\mathcal{A}}(\beta) & := & \left\{ \sum_{i=1}^n a_i \beta^{(n-i)} : n \in \mathbb{N}, a_i \in \mathcal{A} \right\} \\ & = & \left\{ T_{a_n} \circ \cdots T_{a_1}(0) : n \in \mathbb{N}, a_i \in \mathcal{A} \right\} \end{array}$$

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• Let ϕ be the golden mean, $\phi^2 = \phi + 1$.

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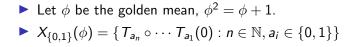
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 X_{0,1}(φ) = {T_{a_n} ∘ · · · T_{a₁}(0) : n ∈ N, a_i ∈ {0,1}}

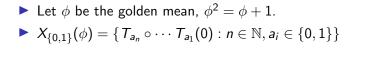
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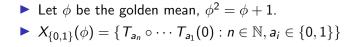
















• Gaps of size 1 and $\phi - 1$.

 Gap sequence ABAABABAABA... generated by Fibonacci substitution

 $A \rightarrow AB, B \rightarrow A$

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▶ Using the fact that $\phi^2 = \phi + 1$, we can represent multiplying by ϕ as an action on \mathbb{Z}^2 . Let $\begin{pmatrix} z_1 \\ z_0 \end{pmatrix} \sim z_1 \phi + z_0$.

$$\phi(z_1\phi+z_0)=z_1\phi^2+z_0\phi=(z_1+z_0)\phi+z_1\sim \left(\begin{array}{cc}1&1\\1&0\end{array}\right)\left(\begin{array}{cc}z_1\\z_0\end{array}\right)$$

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$$T_i : \mathbb{R} \to \mathbb{R}, \ T_i(x) = \phi x + i \text{ has companion } \tilde{T}_i : \mathbb{Z}^2 \to \mathbb{Z}^2.$$

$$\tilde{T}_{i}\left(\begin{array}{c}z_{1}\\z_{0}\end{array}\right)=\left(\begin{array}{c}1&1\\1&0\end{array}\right)\left(\begin{array}{c}z_{1}\\z_{0}\end{array}\right)+\left(\begin{array}{c}0\\i\end{array}\right)$$

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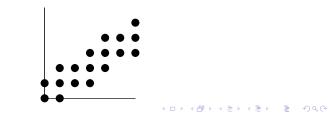
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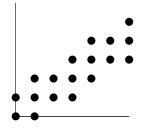


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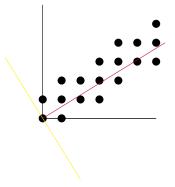
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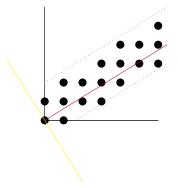




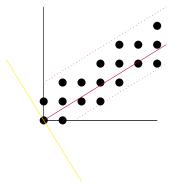
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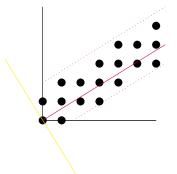


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• It makes sense to diagonalise the matrix $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$. Think of the maps \tilde{T}_i in terms of their action on coordinates in terms of eigenvalues.

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Not so hard to see that we can never escape the strip between the two dotted purple lines. Slightly harder - every lattice point (z₁, z₀) between the two dotted lines can be reached:

$$\left(\begin{array}{c} z_1\\ z_0 \end{array}\right) = \tilde{T}_{a_1} \circ \cdots \circ \tilde{T}_{a_n} \left(\begin{array}{c} 0\\ 0 \end{array}\right).$$



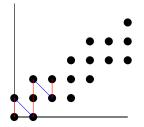
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red moves add 1 \sim (0,1), blue moves add β – 1 \sim (1, –1).

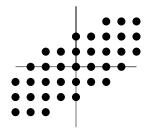


red moves add $1 \sim (0,1),$ blue moves add $\beta - 1 \sim (1,-1).$

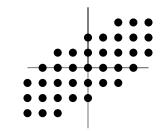


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Including digit -1



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We can move through the spectrum

Lemma

Let the map G on $X_{\{-1,0,1\}}(\phi) imes (-\phi^2,\phi^2)$ be given by

$$G(x,y) = \begin{cases} (x+2\phi-3, y-\frac{2}{\phi}-3) & y \in [\phi, \phi^2) \\ (x+\phi-1, y-\frac{1}{\phi}-1) & y \in (0,\phi) \\ (x+2-\phi, y+2+\frac{1}{\phi}) & y \in (-\phi^2, 0] \end{cases}$$

Then if x is the nth element to the right of 0 in $X_{\{-1,0,1\}}(\phi)$ and x_c is the corresponding point in the contracting direction we have that

Today's Questions: What can we say about the measures

$$\mu_n(x) := \mathbb{P}\left(\sum_{i=0}^n a_i \beta^{n-i} - \sum_{i=0}^n b_i \beta^{n-i} = x\right)$$

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•
$$a_i - b_i \in \{-1, 0, 1\}$$
 with probability $(\frac{1}{4}, \frac{1}{2}, \frac{1}{4})$.

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$$\mu_n(x) = \mathbb{P}(T_{c_n} \circ \cdots T_{c_1}(0) = x)$$

where $c_i \in \{-1, 0, 1\}$ w.p. $(\frac{1}{4}, \frac{1}{2}, \frac{1}{4})$

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where each a_i , b_i picked independently from $\{0, 1\}$ with probability $(\frac{1}{2}, \frac{1}{2})$.

a_i − *b_i* ∈ {−1, 0, 1} with probability (¹/₄, ¹/₂, ¹/₄).
 So

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μ_n(x) supported on X_{-1,0,1}(β). Maps T_i(x) = βx + i expand, so we have a finite recurrent bit near 0 and a dissipative bit.

•
$$\phi = \frac{1+\sqrt{5}}{2}$$
, $a_1, \dots, a_n, b_1, \dots, b_n$ i.i.d. with probability $(\frac{1}{2}, \frac{1}{2})$.

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There exist $\theta > 1$ and a function $f : X_{\{-1,0,1\}}(\phi) \to \mathbb{R}^+$ such that

$$\lim_{n\to\infty}\theta^n \mathbb{P}\left\{\sum_{i=0}^n a_i\phi^i - \sum_{i=0}^n b_i\phi^i = x\right\} = f(x).$$

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Here θ and f are easily computable, θ is the max eigenvalue of a finite matrix.

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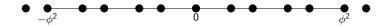
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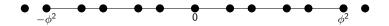
φ can be replaced by any algebraic integer β ∈ (1, 2) for which all of the other Galois conjugates β_i have |β_i| ≠ 1.

Let
$$T_i(x) = \phi x + i$$
.
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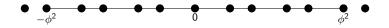
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► There is a recurrent piece (for the dynamics of T₀, T₋₁, T₁) between -φ² and φ².

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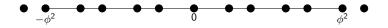
- ► There is a recurrent piece (for the dynamics of T₀, T₋₁, T₁) between -φ² and φ².
- For x ∈ X_{−1,0,1}(φ), x outside of the recurrent interval, n large, any orbit piece

$$x = T_{c_n} \circ \cdots \circ T_{c_1}(0)$$

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spends a long time in the recurrent piece before spending a bounded (indep of n) amount of time reaching x.

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So

$$\mu_n(x) := \mathbb{P}\left(x = T_{c_n} \circ \cdots \circ T_{c_1}(0)\right)$$

decays like θ^n where θ is the max eigenvalue of the matrix encoding the dynamics on the recurrent piece.

Let μ be the infinite, locally finite measure on $X_{\{-1,0,1\}}(\beta)$ given by

$$\mu = \sum_{x \in X_{\{-1,0,1\}}(\beta)} f(x) \delta_x.$$

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Goal for next section: We can move through $X_{\{-1,0,1\}}(\beta)$ using an odometer map. Can we do something similar for the measure?

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Lemma

If $x=\sum_{i=1}^n c_i\beta^{n-i}$ and $x=\sum_{i=1}^n d_i\beta^{n-i},\ c_i,d_i\in\{-1,0,1\}$ then for all m< n

$$\sum_{i=1}^{m} (c_i - d_i) \beta^{m-i} \in \left(X_{\{-2,-1,0,1,2\}}(\beta) \cap \left(\frac{-2}{\beta - 1}, \frac{2}{\beta - 1}\right) \right)$$

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which is a finite set.

Let μ be the infinite, locally finite measure on $X_{\{-1,0,1\}}(\beta)$ given by

$$\mu=\sum_{x\in X_{\{-1,0,1\}}(\beta)}f(x)\delta_x.$$

We defined μ using simple dynamics, count all paths from 0 to x under T_i by drawing a very large matrix which includes them all.

Idea: If we know one path from 0 to x then we can find all others. Different codes coding x must stay close to each other.

Lemma

If $x=\sum_{i=1}^n c_i\beta^{n-i}$ and $x=\sum_{i=1}^n d_i\beta^{n-i},\ c_i,d_i\in\{-1,0,1\}$ then for all m< n

$$\sum_{i=1}^{m} (c_i - d_i) \beta^{m-i} \in \left(X_{\{-2,-1,0,1,2\}}(\beta) \cap \left(\frac{-2}{\beta - 1}, \frac{2}{\beta - 1}\right) \right)$$

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which is a finite set.

Now fix an $x \in X_{\{-1,0,1\}}(\beta)$ and fix a code $c_1 \cdots c_n \in \{-1,0,1\}^n$ such that $x = \sum_{i=1}^n c_i \phi^{n-i}$.

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$$\sum_{i=1}^n (c_i - d_i)\phi^{n-i} = 0.$$

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$$\sum_{i=1}^n (c_i-d_i)\phi^{n-i}=0.$$

 $(c_i - d_i) \in \{-2, -1, 0, 1, 2\}.$

$$\sum_{i=1}^n (c_i - d_i)\phi^{n-i} = 0.$$

 $(c_i - d_i) \in \{-2, -1, 0, 1, 2\}.$ Count paths

$$T_{e_n}\circ\cdots T_{e_1}(0)=0,$$

 $e_i = c_i - d_i$.

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 $e_i = c_i - d_i$. Such paths move around a finite set, dynamics studied by a finite transition matrix.

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If we have a fixed choice of $c_1 \cdots c_n$, this places restrictions on $c_i - d_i : d_i \in \{-1, 0, 1\}.$

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$$\mu_n(x) = (100\cdots)A_{c_1}\cdots A_{c_r}$$

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Moreover, this vector encodes information on mass of all $x' \in X_{\{-1,0,1\}}(\beta)$ which are close enough to x.

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$$\psi(x) := \frac{\mu_n(x')}{\mu_n(x)} = \frac{((100\cdots)A_{c_1}\cdots A_{c_n})_1}{((100\cdots)A_{c_1}\cdots A_{c_n})_2}$$

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Strictly positive matrices contract projective space.

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Strictly positive matrices contract projective space. Our matrices aren't strictly positive, but we mess around a bit. For $x \in \mathcal{X}_{\{-1,0,1\}}(\phi)$ let $x_c \in [0,1]$ be the corresponding point in the contracting window and x' be the nearest neighbour of x.

Theorem [Batsis, K.] There exists a function $\psi : [0,1] \to \mathbb{R}$, continuous except on a set of Hausdorff dimension < 1, such that $\frac{\mu(x')}{\mu(x)} = \psi(x_c)$.

A Concrete Example

Theorem (Batsis, K.)

Let the map F on $X_{\{-1,0,1\}}(\phi) imes (-\phi^2,\phi^2) imes \mathbb{R}$ be given by

$$F(x, y, z) = \begin{cases} (x + 2\phi - 3, y - \frac{2}{\phi} - 3, z + \psi(y)) & y \in [\phi, \phi^2) \\ (x + \phi - 1, y - \frac{1}{\phi} - 1, z + \psi(y)) & y \in (0, \phi) \\ (x + 2 - \phi, y + 2 + \frac{1}{\phi}, z + \psi(y)) & y \in (-\phi^2, 0] \end{cases}$$

Then if x is the nth element to the right of 0 in $X_{\{-1,0,1\}}(\phi)$ we have that

$$(x, x_c, \ln(\mu(x))) = F^n(0, 0, 0).$$

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A Concrete Example

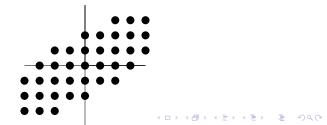
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Given β ∈ (1,2) the Bernoulli convolution ν_β is the weak* limit of the measures ν_{β,n} given by

$$\nu_{\beta_n} = \frac{1}{2^n} \sum_{\mathbf{a}_1 \cdots \mathbf{a}_n \in \{0,1\}^n} \delta_{\sum_{i=1}^n \mathbf{a}_i \beta^{-i}}$$

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Bernoulli convolutions are self-similar measures

$$\nu_{\beta} = \frac{1}{2} \left(\nu_{\beta} \circ T_0 + \nu_{\beta} \circ T_1 \right)$$

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Have dimension < 1 when β is Pisot, dimension 1 when β ∈ (1, 2) is non-algebraic (Varju 2020). Absolutely continuous for Leb almost every β ∈ (1, 2) (Solomyak 95).

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- Have dimension < 1 when β is Pisot, dimension 1 when β ∈ (1,2) is non-algebraic (Varju 2020). Absolutely continuous for Leb almost every β ∈ (1,2) (Solomyak 95).
- Very few specific examples of absolutely continuous Bernoulli convolutions, due to Garsia (1950s) and Varju (2020).

If ν_{β} is absolutely continuous then the density h_{β} also satisfies a self-similarity relation.

$$h_{\beta}(x) = \frac{\beta}{2}(h_{\beta}(T_0(x)) + h_{\beta}(T_1(x))).$$

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In fact the absolute continuity of ν_{β} is equivalent to the existence of an L^1 function satisfying the above.

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In fact the absolute continuity of ν_{β} is equivalent to the existence of an L^1 function satisfying the above.

Theorem (Batsis, K.)

Suppose there exists a constant C that the total number \mathcal{N}_n of words $a_1 \cdots a_n, b_1 \cdots b_n \in \{0, 1\}^n$ satisfying

$$|\sum_{i=1}^n (a_i-b_i)\beta^{n-i}| < \frac{1}{\beta-1}$$

satisfies

$$\mathcal{N}_n < C\left(\frac{4}{\beta}\right)^n$$

for all $n \in \mathbb{N}$. Then ν_{β} is absolutely continuous.

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for all $n \in \mathbb{N}$. Then ν_{β} is absolutely continuous.

Goal: Let $\beta \in (1,2)$ be an algebraic unit, non-Pisot, no Galois conjugates of absolute value one. Use the cut and project structure of the sets $X_A(\beta)$ to study pairs of words $a_1, \dots a_n, b_1, \dots b_n$ as above. Use the measures μ_n to count them.