

# S-adic Subshifts and Finite Topological Rank Minimal Systems

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February 1, 2021

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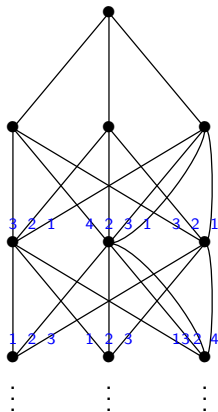
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**Theorem (Herman-Putnam-Skau (92))**

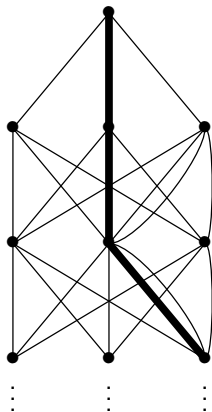
*Any minimal Cantor system  $(X, T)$  is conjugate to a properly ordered **Bratteli-Vershik system**.*

# Bratteli-Vershik system



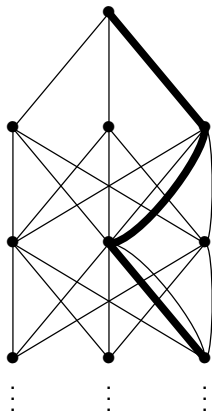
- Local order of edges at each vertex ( $\theta_n$ ).
- **Proper order**: all the min/max edges of level  $n$  have the same extremity at level  $n - 1$

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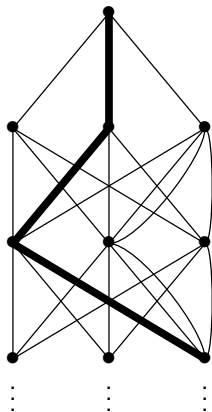
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 $T$  maps path to the next one  
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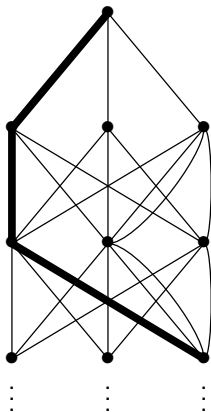
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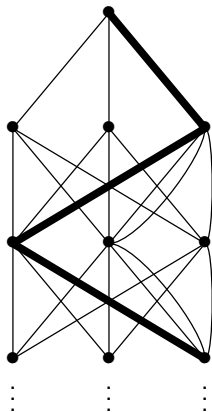


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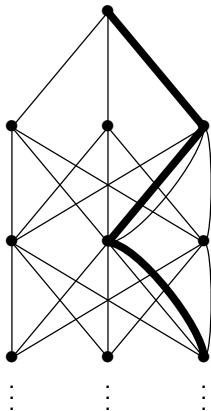


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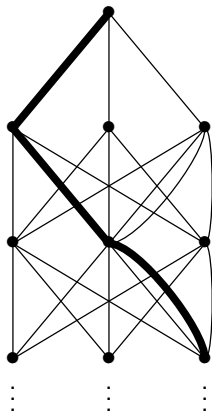


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# Finite Topological Rank Minimal Systems

## Definition

*A minimal Cantor system  $(X, T)$  conjugate to a Bratteli-Vershik system with a uniformly bounded number of vertices per level is said of **finite (topological) rank**.*

The **rank** of  $(X, T)$  is the smallest bound on the number of vertices among all the BV-representations.

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## Examples:

- odometer
- Sturmian subshift
- coding of minimal Interval Exchange Transformation
- substitutive subshift, linearly recurrent subshift,...

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The rank of the system bounds:

- the number of ergodic invariant probability measure  
see Bezugly, Kwiatkowski, Medynets, Solomyak (13)
- the rational rank of the dimension group  
Giordano, Putnam, Skau, Handelman, Hosseini
- the rational rank of the continuous spectrum of the system  
Bressaud, Durand, Maass

**Q.** : Provide a practical characterization of finite rank minimal systems.

A combinatorial characterization of expansive finite rank minimal systems

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## Theorem (DDMP (20))

*A minimal subshift  $(X, T)$  has a finite rank if and only if the following limit is finite*

$$\lim_{n \rightarrow +\infty} \inf_{\substack{\mathcal{W} \subset \cup_{k \geq n} \mathcal{L}_k(X) \\ \mathcal{W} \text{ is recognizable in } X}} |\mathcal{W}|.$$

$\mathcal{L}_k(X)$ : set of words of length  $k$  in  $X$

Recognizability results Mossé (92), Karhumäki (02),  
Berthé-Steiner-Thuswaldner-Yassawi (19)

## Corollary

*A minimal subshift  $(X, T)$  with a non-superlinear complexity, i.e.  $\liminf_{n \rightarrow \infty} p_X(n)/n < +\infty$ , has a finite rank.*

where  $p_X(n) = |\mathcal{L}_n(X)|$ .

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Converse is false.

The proof use deconnectability properties of the Rauzy graphs.  
See [Ferenczi 96](#), [Monteil](#).

Return words of special words form a recognizable family.



# Definition of relative recognizability, similar [BSTY19]

$\mathcal{A}, \mathcal{B}$  finite alphabets,  $\tau: \mathcal{A}^* \rightarrow \mathcal{B}^*$  a non-erasing morphism,  
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- $X$  denotes the subshift generated by  $\tau(Y)$ ;
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 $x = T^k \tau(y)$  and  $0 \leq k < |\tau(y_0)|$  (**centered representation**).

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$$x = T_{\tau}(\dots ab.aabaababa\dots)$$

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[BSTY19]: When moreover  $X$  is an aperiodic subshift, there is a  $R > 0$  s.t. if  $y, y' \in Y$ ,  $0 \leq k < |\tau(y_0)|$ ,  $0 \leq k' < |\tau(y'_0)|$

$$T^k \tau(y)|_{(-R, R)} = T^{k'} \tau(y')|_{(-R, R)}$$

then  $y_0 = y'_0$  and  $k = k'$ .



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A finite set  $\mathcal{W} \subset \mathcal{B}^*$  is **recognizable in a subshift**  $X$  if there are a morphism  $\tau: \mathcal{A}^* \rightarrow \mathcal{B}^*$  and a subshift  $Y \subset \mathcal{A}^{\mathbb{Z}}$ , s.t.

- $\tau$  is recognizable in  $Y$ ;
- $X$  is the subshift generated by  $\tau(Y)$ ;
- $\tau(\mathcal{A}) = \mathcal{W}$ .

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# Relations with S-adic subshifts

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A **positive morphism**  $\tau: \mathcal{A}^* \rightarrow \mathcal{B}^*$  is a morphism such that any letters  $a \in \mathcal{A}$ ,  $b \in \mathcal{B}$ ,  $b$  appears in  $\tau(a)$ .

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A **primitive S-adic** subshift is the orbit closure for the shift action of points of the form

$$\lim_{n \rightarrow +\infty} \tau_0 \circ \cdots \circ \tau_n(a_n^\infty),$$

for a fixed sequence of morphisms  $(\tau_n: \mathcal{A}_{n+1}^* \rightarrow \mathcal{A}_n^*)_n$ , s.t.

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**Theorem (Espinoza, Golestani-Hosseini 20)**

*Let  $(X, T)$  be a minimal aperiodic subshift.*

*The system  $(X, T)$  is of finite rank  $\Leftrightarrow$  it is conjugate to a primitive S-adic subshift with  $\liminf_n |\mathcal{A}_n| < +\infty$ .*

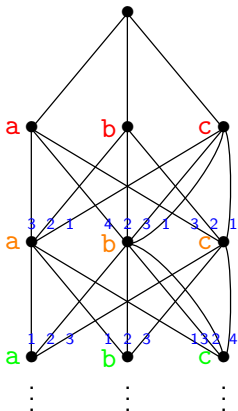
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Durand-Leroy 12  $\Rightarrow$

# ⇒ Strategy of proof



- Local order of edges at each vertex ( $\theta_n$ ).

$$\tau_1: a \mapsto cba$$

$$b \mapsto cbca$$

$$c \mapsto cba$$

$$\tau_2: a \mapsto abc$$

$$b \mapsto abc$$

$$c \mapsto abbc$$

BV conjugate to the S-adic system  
given by  $(\tau_n)_{n \geq 1}$

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## Theorem (Espinoza, Golestani-Hosseini 20)

*Let  $(X, T)$  be a minimal Cantor system of finite rank. Then any minimal Cantor system  $(Y, S)$  factor of  $(X, T)$  is of finite rank.*

# More rigidity results

## Proposition (DDMP 20)

*A minimal Cantor system of rank 2 has only one asymptotic component.*

An **asymptotic component** is a set of all the orbits containing asymptotics points (i.e. points  $x \neq y$  s.t.  $x_{(-\infty,0)} = y_{(-\infty,0)}$ )

**Ex:** the Prouhet-Thue-Morse subshift is of rank at least 3.

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**Espinoza Maass 20**: For a minimal Cantor subshift of finite rank  $\text{Aut}(X, T)/\langle T \rangle$  is finite

- Classical examples of finite rank system (I.E.T, Substitutive,...) have sublinear complexity :  $p_x(n) \in \mathcal{O}(n)$ .

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S-adic system has sublinear complexity with morphisms of the form  $\tau: \mathcal{A} \rightarrow \{b_1, \dots, b_p\}^*$

$$\forall a \in \mathcal{A}, \quad \tau(a) = b_1^{\ell_1(a)} \dots b_p^{\ell_p(a)}$$

for some  $\ell_1(a), \dots, \ell_p(a) \in \mathbb{N}$ .

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For any subexponential function  $\varphi: \mathbb{N} \rightarrow \mathbb{R}$  (i.e  $\limsup_n \varphi(n)/\alpha^n = 0, \forall \alpha > 1$ ), there exists  $S$ -adic subshift  $(X, T)$  on 2-letters alphabet s.t.

$$\limsup_n p_X(n)/\varphi(n) > 0.$$

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*Let  $(X, T)$  be a Toeplitz subshift. Is it true that  $(X, S)$  has a finite topological rank  $\Leftrightarrow$  the complexity of  $X$  is non-superlinear?*

# Open questions

Is the topological rank computable (for effective S-adic)?

## Question

*For a finite rank S-adic, does there exists  $d = d(\text{rank})$  s.t.*

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## Question

*Let  $(X, T)$  be a finite rank subshift. Can  $(X, T)$  be mixing for an invariant measure  $\mu$ ?*