

Eulerian polynomials and generalizations

Arthur Nunge

IRIF

Mach 2019

Eulerian polynomials
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Noncommutative analog
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Generalized Eulerian polynomials
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Noncommutative analog
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•

1			
1	1		
1	4	1	
1	11	11	1

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 & 1 & & \\
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- $$\mathcal{A}_3(t) = t\mathbb{G}_{123} + t^2\left(\mathbb{G}_{132} + \mathbb{G}_{213} + \mathbb{G}_{231} + \mathbb{G}_{312}\right) + t^3\mathbb{G}_{321}$$

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- $$\alpha_n(t, q) = \sum_{\sigma \in \mathfrak{P}_n} t^{\text{des}(\sigma)} q^{\text{seg}(\sigma)}$$

Historical definition (1749)

In an attempt to compute the evaluation of the zeta function on negative integers, Euler defined the polynomials $A_n(t)$ as follow :

$$\frac{A_n(t)}{(1-t)^{n+1}} = \sum_{k \geq 0} (k+1)^n t^k.$$

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- $A_5(t) = 1 + 26t + 66t^2 + 26t^3 + t^4.$

Descents of a permutation

A position i of a permutation σ is a descent if $\sigma_i > \sigma_{i+1}$. We denote by $\text{des}(\sigma)$ the number of descents of σ .

For $\sigma = 514798263$, the descents are $\text{Des}(\sigma) = \{1, 5, 6, 8\}$

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For any n and $k < n$, define

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$$A_n(t) = \sum_{k=0}^{n-1} A(n, k) t^k = \sum_{\sigma \in \mathfrak{S}_n} t^{\text{des}(\sigma)}.$$

Some results about Eulerian numbers and polynomials

- We have

$$\sum_{n \geq 0} A_n(t) \frac{x^n}{n!} = \frac{(1-t)e^{x(1-t)}}{1-te^{x(1-t)}}.$$

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How to prove these ?

Proposition

For any $k < n$, we have

$$A(n, k) = (n - k)A(n - 1, k - 1) + (k + 1)A(n - 1, k).$$

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$$A(n, k) = (n - k)A(n - 1, k - 1) + (k + 1)A(n - 1, k).$$

Corollary

Let G denotes $A_n(t)$ generating function, it satisfies the following differential equation

$$(1 - tx) \frac{\partial}{\partial x} G(t, x) - t(1 - t) \frac{\partial}{\partial t} G(t, x) - G(t, x) = 0.$$

Algebraic study of the Eulerian polynomials

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$$\mathbb{G}_\sigma \cdot \mathbb{G}_\tau = \sum_{\mu \in \sigma * \tau} \mathbb{G}_\mu,$$

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There are two possibilities, we consider the one where we concatenate σ and τ and consider all the possibilities to create a permutation. For example,

$$\mathbb{G}_{312} \cdot \mathbb{G}_{21} = \mathbb{G}_{31254} + \mathbb{G}_{41253} + \mathbb{G}_{41352} + \mathbb{G}_{42351} + \mathbb{G}_{51243} + \cdots + \mathbb{G}_{53421}$$

Noncommutative analog

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Using ϕ we have $\phi(\mathcal{A}_n(t)) = tA_n(t)\frac{x^n}{n!}.$

$$\begin{aligned}\mathcal{A}_3(t) &= t\mathbb{G}_{123} + t^2 \left(\textcolor{red}{\mathbb{G}}_{132} + \textcolor{blue}{\mathbb{G}}_{213} + \textcolor{red}{\mathbb{G}}_{231} + \textcolor{blue}{\mathbb{G}}_{312} \right) + t^3 \mathbb{G}_{321} \\ &= t\mathbf{R}_3 + t^2 \left(\textcolor{red}{\mathbf{R}}_{21} + \textcolor{blue}{\mathbf{R}}_{12} \right) + t^3 \mathbf{R}_{111}\end{aligned}$$

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And more generally,

$$\mathcal{A}_n(t) = \sum_{I \models n} t^{\ell(I)} \mathbf{R}_I$$

where $\mathbf{R}$ is the ribbon basis of the noncommutative symmetric functions algebra (**Sym**) and the sum goes over all composition of size n (sequences of integers of sum n).

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We use the complete basis $\mathbf{S}$ of **Sym** with

$$\mathbf{R}_I = \sum_{I \succeq J} (-1)^{\ell(I) - \ell(J)} \mathbf{S}^J.$$

For example,

$$\mathbf{R}_{121} = \mathbf{S}^{121} - \mathbf{S}^{13} - \mathbf{S}^{31} + \mathbf{S}_4.$$

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Then,

$$\mathcal{A}_3(t) = t(1-t)^2 \mathbf{S}_3 + t^2(1-t) \left(\mathbf{S}^{12} + \mathbf{S}^{21} \right) + t^3 \mathbf{S}^{111}.$$

We have

$$\begin{aligned}\mathcal{A}_n(t) &= \sum_{I \models n} t^{\ell(I)} (1-t)^{n-\ell(I)} \mathbf{s}^I; \\ &= \sum_{r=1}^n t^r (1-t)^{n-r} \sum_{\substack{I \models n \\ \ell(I)=r}} \mathbf{s}^I.\end{aligned}$$

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To apply ϕ , we use the fact that $\mathbf{S}$ is a multiplicative basis. For example,

$$\mathbf{S}^{312} = \mathbf{S}^{31} \cdot \mathbf{S}_2 = \mathbf{S}_3 \cdot \mathbf{S}^{12} = \mathbf{S}_3 \cdot \mathbf{S}_1 \cdot \mathbf{S}_2.$$

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Moreover, $\phi(S_k) = \frac{x^k}{k!}$ so $\phi\left(\sum_{\substack{I \models n \\ \ell(I)=r}} \mathbf{s}^I\right) = r! S(n, r) \frac{x^n}{n!}.$

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$$t\mathcal{A}_n(t) = \sum_{r=1}^n t^r (1-t)^{n-r} r! S(n, r).$$

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If we consider the generating function of the $(1-t)^{-n} \mathcal{A}_n$, we obtain

$$\sum_{n \geq 0} \frac{\mathcal{A}_n}{(1-t)^n} = \sum_{r \geq 0} \left(\frac{t}{1-t} \right)^r (\mathbf{s}_1 + \mathbf{s}_2 + \mathbf{s}_3 + \cdots)^r.$$

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We use the fact that

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Then applying ϕ to the previous equation we obtain

$$1 + \sum_{n \geq 0} \frac{t \mathcal{A}_n(t)}{(1-t)^n} \frac{x^n}{n!} = \frac{1-t}{1-te^x}$$

Segmented permutations

A segmented permutation is a permutation where each values may be separated by bars. We denote by $\mathfrak{P}_n$ the set of segmented permutations of size n . For example, $\sigma = 52|7138|46 \in \mathfrak{P}_8$.

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In size 3

123	1 23	12 3	1 2 3
132	1 32	13 2	1 3 2
213	2 13	21 3	2 1 3
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In general, there are $2^{n-1}n!$ segmented permutations of size n .

Some statistics on segmented permutation

- A position i of a permutation σ is a segmentation if there is a bar between σ_i and σ_{i+1} . We denote by $\text{seg}(\sigma)$ the number of descents of σ . For $\sigma = 52|7138|46$ the segmentations are $\{2, 6\}$ so $\text{seg}(\sigma) = 2$.

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For $\sigma = 52|7138|46$ the descents are $\{1, 3\}$ so $\text{des}(\sigma) = 2$

Eulerian numbers on segmented permutations

We define the following numbers:

$$T(n, k) = \#\{\sigma \in \mathfrak{P}_n \mid \text{des}(\sigma) = k\}$$

$n \backslash k$	0	1	2	3	4
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Note that the numbers on the first column are the ordered Bell numbers (or Fubini numbers). We have the recurrence relation

$$T(n, k) = (n - k)T(n - 1, k - 1) + (n + 1)T(n - 1, k) + (k + 1)T(n - 1, k + 1).$$

Eulerian numbers on segmented permutations

We define the following numbers:

$$T(n, k) = \#\{\sigma \in \mathfrak{P}_n \mid \text{des}(\sigma) = k\}$$

$n \backslash k$	0	1	2	3	4
0	1				
1	1				
2	3	1			
3	13	10	1		
4	75	91	25	1	
5	541	896	426	56	1

Note that the numbers on the first column are the ordered Bell numbers (or Fubini numbers). We have the recurrence relation

$$T(n, k) = (n - k)T(n - 1, k - 1) + (n + 1)T(n - 1, k) + (k + 1)T(n - 1, k + 1).$$

We also have

$$T(n, n - k - 1) = \#\{\sigma \in \mathfrak{P}_n \mid \text{des}(\sigma) + \text{seg}(\sigma) = k\}.$$

Generalized Eulerian numbers with two parameters

We also consider the following refinement

$$K(n, i, j) = \#\{\sigma \in \mathfrak{P}_n \mid \text{des}(\sigma) = i, \text{seg}(\sigma) = j\}$$

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$$\begin{aligned}
 K(n, i, j) = & (i + j + 1) \left[K(n - 1, i, j) + K(n - 1, i, j - 1) \right] \\
 & + (n - i - j) \left[K(n - 1, i - 1, j) + K(n - 1, i - 1, j - 1) \right].
 \end{aligned}$$

Generalized Eulerian polynomials

Define our polynomials as

$$\alpha_n(t, q) = \sum_{\sigma \in \mathfrak{P}_n} t^{\text{des}(\sigma)} q^{\text{seg}(\sigma)}.$$

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Some specialization of the variables give the following properties

$$\left\{ \begin{array}{l} \alpha_n(t, 0) = A_n(t) \\ \alpha_n(0, q) = B_n(q) \\ \alpha_n(1, 1) = 2^{n-1} n! \\ \alpha_n(-1, 1) = 2^{n-1} \\ \alpha_n(2, 1) = A050352 \\ \alpha_n(2, 2) = A050351 \end{array} \right.$$

Generating Function

We define the generating function of the generalized Eulerian polynomials as follows:

$$F(t, q, x) = \sum_{n \geq 0} \alpha_n(t, q) \frac{x^n}{n!}.$$

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The generating function satisfies the following differential equation:

$$(tq - 2q - 1)F(t, q, x) + (1 - tqx - tx) \frac{\partial}{\partial x} F(t, q, x) - (t - t^2)(q + 1) \frac{\partial}{\partial t} F(t, q, x) - (1 - t)(q^2 + q) \frac{\partial}{\partial q} F(t, q, x) = -2q + tq.$$

Theorem (N., 2018+)

We have the following expression of the generating function:

$$F(t, q, x) = 1 + \frac{e^{x(1-t)} - 1}{1 + q - (t + q)e^{x(1-t)}}.$$

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- Use a noncommutative analog.

Some properties of the generalized Eulerian polynomials

- For any $n \geq 0$ we have

$$\frac{\alpha_n(t, 1)}{(1-t)^{n+1}} = \sum_{k \geq 0} k^n \frac{(1+t)^{k-1}}{2^{k+1}}.$$

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- Worpitzky's identity: for any positive integers r , k , and n ,

$$\binom{k+r-1}{r} \Delta^{r+1}((k-1)^n) = \sum_{i=0}^{k-1} \binom{n+k-i}{n-1} K(n, i, r),$$

where $\Delta(k^n) = (k+1)^n - k^n$.

Eulerian polynomials

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Noncommutative analog

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Generalized Eulerian polynomials

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FQSym (permutations)



Sym (compositions)

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We have the morphism $\phi(\mathbf{G}_\sigma) = \frac{x^n}{2^{n-1}n!}$ for any $\sigma \in \mathfrak{P}_n$.

Noncommutative analog

For any $n \geq 0$, define

$$\widetilde{\mathcal{A}}_n(t) = \sum_{\sigma \in \mathfrak{P}_n} t^{\text{des}(\sigma)+1} q^{\text{seg}(\sigma)} \mathbf{G}_\sigma.$$

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$$\begin{aligned} \widetilde{\mathcal{A}}_n(t) &= \sum_{I \Vdash n} t^{\text{des}(I)} q^{\text{seg}(I)} R_I \\ &= (1-t)^n \sum_{I \Vdash n} \left(\frac{t}{1-t} \right)^{\text{des}(I)} \left(\frac{q-t}{1-t} \right)^{\text{seg}(I)} S_I \end{aligned}$$

Eulerian polynomials

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Noncommutative analog

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Generalized Eulerian polynomials

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- algebraic understanding of $\widetilde{\mathcal{A}}_n(t)$.

Merci de votre attention !