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CIRCULAR $(4 - \epsilon)$ -COLORING OF SOME CLASSES OF SIGNED GRAPHS*

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Abstract. A circular *r*-coloring of a signed graph (G, σ) is an assignment ϕ of points of a circle *C_r* of circumference *r* to the vertices of (G, σ) such that for each positive edge *uv* of (G, σ) the distance of $\phi(u)$ from $\phi(v)$ is at least 1 and for each negative edge *uv* the distance of $\phi(u)$ from the antipode of $\phi(v)$ is at least 1. The circular chromatic number of (G, σ) , denoted $\chi_c(G, \sigma)$, is the infimum of *r* such that (G, σ) admits a circular *r*-coloring.

10 This notion is recently defined by Naserasr, Wang, and Zhu who, among other results, proved 11 that for any signed *d*-degenerate simple graph \hat{G} we have $\chi_c(\hat{G}) \leq 2\lfloor \frac{d}{2} \rfloor + 2$. For $d \geq 3$, examples 12 of signed *d*-degenerate simple graphs of circular chromatic number $2\lfloor \frac{d}{2} \rfloor + 2$ are provided. But for 13 d = 2 only examples of signed 2-degenerate simple graphs of circular chromatic number arbitrarily 14 close to 4 are given, noting that these examples are also signed bipartite planar graphs.

In this work we first observe the following restatement of the 4-color theorem: If (G, σ) is a signed bipartite planar simple graph where vertices of one part are all of degree 2, then $\chi_c(G, \sigma) \leq \frac{16}{5}$. Motivated by this observation, we provide an improved upper bound of $4 - \frac{2}{\lfloor \frac{n+1}{2} \rfloor}$ for the circular chromatic number of a signed 2-degenerate simple graph on n vertices and an improved upper bound of $4 - \frac{4}{\lfloor \frac{n+2}{2} \rfloor}$ for the circular chromatic number of a signed bipartite planar simple graph on nvertices. We then show that each of the bounds is tight for any value of $n \geq 2$.

21 Key words. Signed graph, circular coloring, planar graph, 4-color theorem

22 MSC codes. 05C15, 05C22

1. Introduction. A signed graph (G, σ) is a graph G together with a signature 23 σ which assigns to each edge of G one of the two signs, either positive (+) or negative 24 (-). Here $\{+, -\}$ is viewed as a multiplicative group. For simplicity we may use G 25to denote a signed graph based on a graph G. A key notion in the study of signed 26 graphs is the notion of *switching*, which is to multiply the signs of all the edges of an 27edge-cut by a –. Two signatures on a same graph are said to be *equivalent* if one is 28a switching of the other. The sign of a closed walk W of (G, σ) is the product of the 29signs of all edges of W, counting multiplicity. Note that sign of a closed walk, and 30 in particular a cycle, is invariant under switching. One of the earliest theorems on 31 signed graphs is the following.

THEOREM 1.1. [10] Two signatures σ_1 and σ_2 on G are equivalent if and only if each cycle C of G has a same sign in (G, σ_1) and (G, σ_2) .

35 The study of coloring and homomorphisms of signed graphs has gained recent

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attention for various reasons, in particular because it provides a frame for a better 36 37 connection between minor theory and graph coloring. A homomorphism of a signed graph (G, σ) to a signed graph (H, σ) is a mapping of vertices and edges of G (re-38 spectively) to the vertices and edges of H which preserves adjacencies, incidences, 39 and signs of closed walks. As an application of Theorem 1.1, one can show that this 40 definition is equivalent to finding an equivalent signature σ' of σ and a mapping of 41 vertices and edges of G to the vertices and edges of H (respectively) which preserves 42 adjacencies, incidences and signs of edges with respect to σ' and π . 43

When G and H are simple graphs, then the edge mapping is implied from the 44 vertex mapping. This is mostly the case in this work. The *core* of a signed graph 45 (G,σ) is the smallest subgraph of it to which (G,σ) admits a homomorphism. For a 46proof that core is well defined and unique up to a switch-isomorphism we refer to [7]. 47 In the theory of homomorphisms of signed graphs two restrictions stand out: 48 I. restriction to signed graphs (G, -) where all edges are negative, II. restriction 49to signed bipartite graphs. In the former class of signed graphs, the existence of 50a homomorphism between two members is solely based on a homomorphism of the underlying graphs. Thus the theory of homomorphisms restricted to this subclass is the theory of homomorphisms of graphs. However, we note that as signed graphs, 53 even this restricted case provides a much stronger connection to the minor theory. 54The restriction to the class of signed bipartite graphs is a focus of this work. It is shown in [6, 7] that the restriction of the theory to this subclass is already at least as 56 rich as the study of graph homomorphisms. The following construction is the key for 58 this observation.

DEFINITION 1.2. Given a graph G, the signed (bipartite) graph S(G) is the signed graph obtained from G by replacing each edge of G with a pair of paths of length 2 and assigning a signature such that the resulting 4-cycle is negative.

62 It is then shown in [6] that:

⁶³ THEOREM 1.3. Given graphs G and H there exists a homomorphism of G to H ⁶⁴ if and only if S(G) admits a homomorphism to S(H).

In this work we consider the recent definition of the circular chromatic number of signed graph as defined in [9], noting that a similar definition was given earlier in [1] but that the two parameters behave differently with respect to fine details. The difference of the two definitions can be reduced down to the difference of the definitions of an antipodal pair. We take the most standard definition where the two ends of a diameter form an antipodal pair.

DEFINITION 1.4. Given a real number r and signed graph (G, σ) , a mapping φ of the vertices of G to a circle of circumference r is said to be a circular r-coloring of (G, σ) if for each positive edge uv of (G, σ) , the distance between $\varphi(u)$ and $\varphi(v)$ is at least 1 and for each negative edge uv of (G, σ) , the distance between $\varphi(u)$ and the antipode of $\varphi(v)$ is at least 1. The circular chromatic number of a signed graph (G, σ) is defined as

 $\chi_c(G,\sigma) = \inf\{r \ge 1 : (G,\sigma) \text{ admits a circular } r\text{-coloring}\}.$

In practice we view a circle of circumference r to be the set [0, r). This leads to the following equivalent definition of circular r-coloring of a signed graph as follows.

73 DEFINITION 1.5. A circular r-coloring of a signed graph (G, σ) is a mapping f: 74 $V(G) \rightarrow [0, r)$ such that for each positive edge $uv, 1 \leq |f(u) - f(v)| \leq r - 1$ and for 75 each negative edge uv, either $|f(u) - f(v)| \leq \frac{r}{2} - 1$ or $|f(u) - f(v)| \geq \frac{r}{2} + 1$. One of the first theorems in the study of circular coloring is the notion of *tight cycle* defined as follows. Given a signed graph (G, σ) and a circular *r*-coloring φ of it, a cycle $C = v_1 v_2 \cdots v_k$ is said to be a *tight cycle* if for each positive edge $v_i v_{i+1}$ we have $\varphi(v_{i+1}) - \varphi(v_i) = 1$ and for each negative edge $v_i v_{i+1}$ we have $\varphi(v_{i+1}) - \varphi(v_i) = \frac{r}{2} + 1$. Here the additions on indices of vertices are taken modulo k and the subtractions of the values of φ are taken modulo r using [0, r) as the reference set.

The following theorem then is essential for proving that the circular chromatic number of any (finite) signed graph is a rational number and that it is computable.

THEOREM 1.6. [9] Given a signed graph (G, σ) , we have $\chi_c(G, \sigma) = r$ if and only if the following two conditions are satisfied: (G, σ) admits a circular r-coloring, and in every circular r-coloring φ of (G, σ) , there is a tight cycle with respect to φ .

COROLLARY 1.7. [9] Any signed graph (G, σ) which is not a forest has a cycle with s positive edges and t negative edges such that

$$\chi_c(G,\sigma) = \frac{2(s+t)}{2a+t}$$

for some integer a. In particular, $\chi_c(G,\sigma) = \frac{p}{q}$ for some $p \leq 2|V(G)|$.

It follows from the definition that every signed bipartite graph (not necessarily simple) is circular 4-colorable. Simply assign 0 to vertices of one part and 1 to the vertices of the other part. However, this should not mislead to underestimating the study of circular chromatic number of signed bipartite graphs. Since S(G) preserves the homomorphism properties of G, it is natural to expect that it can be used to determine the circular chromatic number of G. This has indeed been proved to be the case in [9].

PROPOSITION 1.8. Given a simple graph G, we have $\chi_c(S(G)) = 4 - \frac{4}{\chi_c(G)+1}$.

96 Observe that if G is a planar graph then so is S(G). Furthermore, S(G) is a 97 bipartite graph in which vertices of one part are all of degree 2. Let SPB_2 be the 98 class of signed bipartite planar simple graphs in which one partite set has maximum 99 degree of at most 2. It is clear that for each planar graph G, S(G) is in SPB_2 and 100 that core of each signed bipartite graph in SPB_2 is a subgraph of S(G) for some 101 planar graph G. We note, furthermore, that SPB_2 is included in the class of signed 102 bipartite planar 2-degenerate graphs.

103 Combining these observations with Proposition 1.8, we have the following refor-104 mulation of the 4-color theorem.

105 THEOREM 1.9 (4-color theorem restated). Every signed graph in SPB_2 admits a 106 circular $\frac{16}{5}$ -coloring.

107 This then naturally leads to two questions, each based on dropping one of the 108 conditions.

109 PROBLEM 1.1. What is the best upper bound on the circular chromatic number of 110 signed 2-degenerate simple graphs?

111 PROBLEM 1.2. What is the best upper bound on the circular chromatic number of 112 signed bipartite planar simple graphs?

In [9] it is shown that the answer for both questions is 4. Furthermore, a sequence of signed bipartite 2-degenerate graphs is built whose circular chromatic number tends to 4. It is then left as open problem whether one can build an example reaching the exact bound of 4. 117 Let $C_{<4}$ be the class of signed graphs of circular chromatic number strictly smaller 118 than 4. The questions then are equivalent to ask: 1. Does $C_{<4}$ contain the class of 119 all signed 2-degenerate simple graphs? 2. Does $C_{<4}$ contain the class of all signed 120 bipartite planar simple graphs?

121 In this work we answer these questions. In fact, using the number of vertices as 122 a parameter, we provide an improved upper bound for each of the two problems and 123 we show that our bounds are tight. More precisely, we prove the followings.

124 THEOREM 1.10. If (G, σ) is a signed 2-degenerate simple graph on n vertices, then 125 $\chi_c(G, \sigma) \leq 4 - \frac{2}{\lfloor \frac{n+1}{2} \rfloor}$. Moreover, this upper bound is tight for each value of $n \geq 2$.

126 THEOREM 1.11. If (G, σ) is a signed bipartite planar simple graph on n vertices, 127 then $\chi_c(G, \sigma) \leq 4 - \frac{4}{\lfloor \frac{n+2}{2} \rfloor}$. Moreover, this upper bound is tight for each value of 128 $n \geq 2$.

The paper is organized as follows. In the next section we prove Theorem 1.10. In section 3 we present two graph operations each of which is $C_{<4}$ -closed. Using this, in section 4, we prove Theorem 1.11. Finally in the last section we mention some related problems.

2. Signed 2-degenerate simple graphs. In this section, we first prove the following theorem which, in particular, implies that circular chromatic number of any signed 2-degenerate simple graph is strictly smaller than 4. Then using the notion of tight cycle and Corollary 1.7, we will conclude Theorem 1.10.

137 THEOREM 2.1. Let \hat{G} be a signed simple graph with a vertex w of degree 2. If the 138 signed graph $\hat{G} - w$ has circular chromatic number strictly less than 4, then \hat{G} also 139 has circular chromatic number strictly less than 4.

140 *Proof.* Let \hat{G} be a minimum counterexample to the theorem. Then it follows 141 immediately that the underlying graph G is connected and has no vertex of degree 1. 142 Let u and v be the two neighbors of w. Since circular chromatic number is invariant 143 under switching, and without loss of generality, we may assume both uw and vw are 144 positive edges in \hat{G} .

145 Let $\hat{G}' = \hat{G} - w$ and let ϵ be a positive real number smaller than 2, such that \hat{G}' 146 admits a circular $(4 - \epsilon)$ -coloring. Let C be the circle of circumference $4 - \epsilon$.

147 By rotational symmetries of the circle we can assume that $\varphi(u) = 0$. Then 148 considering symmetries along the diameters of the circle, in particular the one that 149 contains 0, we may assume $\varphi(v) \ge 2 - \frac{\epsilon}{2}$. Furthermore, we may assume $\varphi(v) < 2$ as 150 otherwise we can complete φ to a coloring of \hat{G} simply by setting $\varphi(w) = 1$. 151 Our aim is to present a circular $(4 - \frac{\epsilon}{4})$ -coloring ψ of \hat{G} . To this end, first we do

151 Our aim is to present a circular $(4 - \frac{\epsilon}{4})$ -coloring ψ of \hat{G} . To this end, first we do 152 a uniform scaling of the circle C to a circle C' with the factor of $\gamma = \frac{4-\frac{\epsilon}{2}}{4-\epsilon}$. This leads 153 to a circular $(4 - \frac{\epsilon}{2})$ -coloring $\varphi' : V(\hat{G}') \to [0, 4 - \frac{\epsilon}{2})$ where $\varphi'(x) = \gamma \cdot \varphi(x)$.

The mapping φ' has the property that for a positive edge xy the points $\varphi'(x)$ and $\varphi'(y)$ are at distance (on C') at least γ (which is $1 + \frac{\epsilon}{8-2\epsilon}$) and that the same holds for the distance between $\varphi'(x)$ and the antipode of $\varphi'(y)$ whenever xy is a negative edge. Observe that $\varphi'(u) = 0$ and $\varphi'(v) \ge 2 - \frac{\epsilon}{4}$.

158 Next we introduce a circular $(4 - \frac{\epsilon}{4})$ -coloring of \hat{G}' by inserting an interval of length 159 $\frac{\epsilon}{4}$ inside C' to obtain a circle C'' of circumference $4 - \frac{\epsilon}{4}$. Assuming this interval is 160 inserted at point $1 - \frac{\epsilon}{8}$ of C', the new coloring ψ of \hat{G}' is defined as follows.

$$\psi(x) = \begin{cases} \varphi'(x), & \text{if } \varphi(x) < 1 - \frac{\epsilon}{8}, \\ \varphi'(x) + \frac{\epsilon}{4}, & \text{if } \varphi(x) \ge 1 - \frac{\epsilon}{8}. \end{cases}$$

We need to verify that ψ is a circular coloring of \hat{G}' . For a positive edge xy, it's 161 immediate to see that the distance of $\psi(x)$ and $\psi(y)$ is at least 1, because in changing 162C' to C'' the distance between two points does not decrease. For a negative edge xy, 163 we note that since the diameter of the circle is changed, the antipode of each point is 164shifted by $\frac{\epsilon}{8}$. To be more precise, if a is a point of circle C' with a_1 as its antipode, 165and a' and a'_1 are the images of these points at C'' after inserting an interval of length 166 $\frac{\epsilon}{4}$, the antipode of a' on C'' is at distance $\frac{\epsilon}{8}$ from a'_1 (see Figure 1a and Figure 1b). 167 Since in C' the distance between $\varphi'(x)$ and the antipode of $\varphi'(y)$ is at least $1 + \frac{\epsilon}{8-2\epsilon}$, 168 even after this shift of $\frac{\epsilon}{8}$ the distance between $\psi(x)$ and the antipode of $\psi(y)$ is at 169least 1 and, therefore, ψ is a circular $\left(4 - \frac{\epsilon}{4}\right)$ -coloring. 170



Fig. 1: Circles with given circumferences

Finally, as $\psi(u) = 0$ and $\psi(v) \ge 2$, we may complete the circular $(4 - \frac{\epsilon}{4})$ -coloring $\psi(v) = 1$.

173 We observe that in this proof for two vertices x and y of $\hat{G} - w$ if we have 174 $\varphi(x) = \varphi(y)$, then we have $\psi(x) = \psi(y)$.

From the statement of this theorem, it follows immediately that every signed 2degenerate simple graph admits a $(4 - \epsilon)$ -coloring for some positive real number ϵ . Next we use the notion of tight cycle to give a precise upper bound in terms of the number of vertices.

179 THEOREM 2.2. For any signed 2-degenerate simple graph (G, σ) on n vertices, we 180 have:

181 • For each odd value of
$$n$$
, $\chi_c(G, \sigma) \le 4 - \frac{4}{n+1}$
182 • For each even value of n , $\chi_c(G, \sigma) \le 4 - \frac{4}{n}$.

183 Proof. As stated in Corollary 1.7, we know that $\chi_c(G, \sigma) = \frac{p}{q}$ where p is twice the 184 length of a cycle in G. Thus p is an even integer satisfying $p \leq 2n$. Since $\chi_c(G, \sigma) < 4$ 185 we have $\frac{p}{q} < 4$, in other words, p < 4q. As p and q are integers, and moreover p is an 186 even integer, we have $p \leq 4q-2$. Therefore, $\chi_c(G,\sigma) \leq \frac{4q-2}{q} = 4 - \frac{2}{q}$. On the other 187 hand $\chi_c(G,\sigma) \leq \frac{2n}{q}$.

For a fixed *n*, the sequence $(\frac{2n}{q})_{q \in \mathbb{N}}$ is decreasing, whereas the sequence $(4-\frac{2}{q})_{q \in \mathbb{N}}$ is increasing. It is easy to check that

$$\max_{q \in \mathbb{N}} \min\left\{\frac{2n}{q}, 4 - \frac{2}{q}\right\} = \begin{cases} 4 - \frac{4}{n+1} & \text{for } q = \frac{n+1}{2} \text{ if } n \text{ is odd,} \\ 4 - \frac{4}{n} & \text{for } q = \frac{n}{2} \text{ if } n \text{ is even.} \end{cases}$$

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191 Next we show that the bound in Theorem 2.2 is tight for each value of $n \ge 2$. We 192 construct a sequence of signed 2-degenerate simple graphs Ω_i reaching the bound for 193 n = 2i + 1. For even values of n, it is enough to add an isolated vertex to Ω_i .

Let $\Omega_1 = (K_3, +)$, that is the complete graph on three vertices with all edges 194being positive. Let v_1, v_2, v_3 be its vertices. Starting with Ω_1 , we define the sequence 195 Ω_i of signed graphs as follows. Given Ω_i on vertices $v_1, v_2, \ldots, v_{2i+1}$, we first add a 196vertex v_{2i+2} which a copy of v_{2i+1} , i.e., it sees each of the two neighbors of v_{2i+1} with 197 198edges of the same sign. Then we add a new vertex v_{2i+3} which is joined to v_{2i+1} and v_{2i+2} , to one with a negative edge and to the other with a positive edge. Observe 199that Ω_i has 2i + 1 vertices and is 2-degenerate. The elements Ω_2, Ω_3 and Ω_4 of the 200sequence are illustrated in Figure 2a, Figure 2b, Figure 2c respectively. 201



Fig. 2: Signed graphs Ω_i

PROPOSITION 2.3. Given a signed graph Ω_i as defined above, we have

$$\chi_c(\Omega_i) = 4 - \frac{4}{|V(\Omega_i)| + 1}$$

202 Proof. We prove by induction a slightly stronger claim. Let $r_i = 4 - \frac{2}{i+1}$. We 203 claim that $\chi_c(\Omega_i) = r_i$ and, moreover, in any circular r_i -coloring of Ω_i the tight cycle 204 is a Hamiltonian cycle.

The case i = 1 of this claim is immediate. That $\chi_c(\Omega_i) \leq r_i$ follows from Theorem 2.2. To show that $\chi_c(\Omega_i) \geq r_i$, it is enough to show that Ω_i is not r_{i-1} -colorable, because there are no rational numbers between r_{i-1} and r_i with a numerator at most 2(2i+1). To this end, and toward a contradiction, assume ψ is a circular r_{i-1} -coloring of Ω_i . We claim that $\psi(v_{2i-1}) = \psi(v_{2i})$. That is because ψ is also a circular r_{i-1} coloring of Ω_{i-1} , and in any such a coloring the tight cycle (of Ω_{i-1}) is a Hamilton 211 cycle. As v_{2i-1} is of degree 2 in Ω_{i-1} and v_{2i} is a copy of v_{2i-1} , we must have 212 $\psi(v_{2i-1}) = \psi(v_{2i})$. But then to complete the circular r_{i-1} -coloring to v_{2i+1} we must 213 have a point on the circle which is at distance at least 1 from both $\psi(v_{2i-1})$ and its 214 antipode. But that is only possible if the circumference of circle used for coloring is 215 at least 4. Thus $\chi_c(\Omega_i) = \frac{4i+2}{i+1}$. We then observe that gcd(4i+2,i+1) = 1 when *i* is 216 even and gcd(4i+2,i+1) = 2 when *i* is odd. Hence any tight cycle of Ω_i in a circular 217 $\frac{4i+2}{i+1}$ -coloring is a Hamilton cycle, completing the proof.

3. $C_{<4}$ -closed operations. Recall that $C_{<4}$ is the class of signed graphs of circular chromatic number strictly smaller than 4. In this section, we present two graph operations that preserve membership in this class.

We first observe that Theorem 2.1 could also be viewed as an operation that preserves membership in this class: For each $(G, \sigma) \in \mathcal{C}_{\leq 4}$ and any pair of distinct vertices x and y of (G, σ) , if we add a vertex u and join it to x and y with edges of arbitrary signs, then the resulting signed graph is also in $\mathcal{C}_{\leq 4}$.

A slight modification and generalization of this one is based on the following notation. Let (G, σ) be a signed graph and let u be a vertex of (G, σ) . We define $F_u(G, \sigma)$ to be the signed graph obtained from (G, σ) by contracting all the edges incident with u and keeping signs of all other edges as it is. One could easily observe that for (switching) equivalent signatures σ and σ' , the signed graphs $F_u(G, \sigma)$ and $F_u(G, \sigma')$ might not be switching equivalent. The claim of next theorem is that the inverse operation of F_u is a $C_{\leq 4}$ -closed operation.

THEOREM 3.1. Given a signed graph (G, σ) and a vertex u of (G, σ) , if $\chi_c(F_u(G, \sigma)) < 4$, then $\chi_c(G, \sigma) < 4$.

As $F_u(G,\sigma)$ and $F_u(G,\sigma')$ might not be switching equivalent even if σ and σ' 234are switching equivalent, in applying this theorem it is important to choose a suitable 235 signature (switching equivalent to σ). In particular, if two neighbors of u, say x and 236 y, are adjacent with a positive edge, then $F_u(G,\sigma)$ will have a positive loop and so 237 its circular chromatic number is ∞ . Similarly, if two neighbors of u have another 238common neighbor v which sees one with a positive edge and the other with a negative 239240 edge, then $F_u(G,\sigma)$ has a digon (that is the signed graph on two vertices adjacent with two edges, one positive and the other negative) and hence does not belong to 241242 $\mathcal{C}_{<4}$.

The proof of this theorem is quite similar to the proof of Theorem 2.1. We consider a circular $(4 - \epsilon)$ -coloring of $F_u(G, \sigma)$. Then we consider a corresponding coloring on $(G - u, \sigma)$ noting that all neighbors of u are colored with a same color. We then modify the coloring as in the proof of Theorem 2.1 to find a color for u. We leave the details to the reader.

Next we define an edge-operation which also preserves membership in $C_{<4}$. Let \hat{G} be a signed graph with a positive edge uv. We define $F_{uv}(\hat{G})$ to be the signed graph obtained from \hat{G} as follows. First we add a copy u' of u, that is to say for every neighbor w of u we join u' to w with an edge which is of the same sign as uw. Similarly, we add a copy v' of v. Then we add two more vertices x and y with the following connections: xu, yv as positive edges and xu', yv', and xy as negative edges. See Figure 3.

With similar techniques to the proof of Theorem 2.1 (and Theorem 3.1), we prove that $C_{<4}$ is closed under the operation F_{uv} .

THEOREM 3.2. Given a signed graph \hat{G} and a positive edge uv of \hat{G} , if $\chi_c(\hat{G}) < 4$, then $\chi_c(F_{uv}(\hat{G})) < 4$.



Fig. 3: The operation F_{uv}

259 Proof. Let φ be a circular $(4 - \epsilon)$ -coloring of \hat{G} for a positive real number ϵ . We 260 assume, without loss of generality, that $\varphi(u) = 0$ and $1 \leq \varphi(v) \leq 2 - \frac{\epsilon}{2}$. To prove 261 the theorem, we modify φ to a circular $(4 - \frac{\epsilon}{4})$ -coloring ψ of \hat{G} in such a way that we 262 can extend it to a circular $(4 - \frac{\epsilon}{4})$ -coloring of $F_{uv}(\hat{G})$. On \hat{G} , the coloring ψ is defined 263 as in the proof of Theorem 2.1. With $\gamma = \frac{4 - \frac{\epsilon}{2}}{4 - \epsilon}$, recall that ψ is defined on V(G) as 264 follows:

$$\psi(z) = \begin{cases} \gamma \cdot \varphi(z), & \text{if } \gamma \cdot \varphi(z) < 1 - \frac{\epsilon}{8}, \\ \gamma \cdot \varphi(z) + \frac{\epsilon}{4}, & \text{otherwise.} \end{cases}$$

The coloring ψ is extended to a coloring of $F_{uv}(\hat{G})$ as follows:

$$\psi(u') = \frac{\epsilon}{8}, \ \psi(v') = \gamma \cdot \varphi(v) + \frac{\epsilon}{8}, \ \psi(x) = 1, \ \text{and} \ \psi(y) = \gamma \cdot \varphi(v) + \frac{\epsilon}{4} - 1.$$

What remains to prove is that the conditions of the circular coloring are verified for edges incident with the new vertices: u', v', x, and y. By the definition of ψ , the five edges incident with x or y satisfy the conditions of circular coloring. It remains to verify the condition for edges incident with u' and v' but not incident with x or y. We first consider the edges incident with u'. Recall that u' is a copy of u. Let wbe a neighbor u in \hat{G} . Based on the sign of wu' we consider two cases.

We need to show that the distance between $\psi(w)$ and $\psi(u')$ is at least 1. 272Using the definition of circular coloring based on the circle, we consider both 273clockwise and anticlockwise distances on the circle. The anticlockwise path 274of the circle from u' to w passes through u and since u and w are already 275proved to be at distance at least 1, the anticlockwise distance from $\psi(u')$ to 276 $\psi(w)$ is larger than 1. For the clockwise direction, since uw is a positive edge 277we have $\varphi(w) \geq 1$. Thus, by the definition of ψ , we have $\psi(w) = \gamma \cdot \varphi(w) + \frac{\epsilon}{4}$ 278 whereas $\psi(u') = \frac{\epsilon}{8}$. Therefore, the clockwise distance of $\psi(u')$ and $\psi(w)$ is 279larger than the clockwise distance of $\varphi(u) = 0$ and $\gamma \cdot \varphi(w)$ which is at least 2801. 281

• wu' is a negative edge.

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In circular $(4 - \epsilon)$ -coloring φ the distance of $\varphi(u)$ and $\varphi(w)$ is at most $1 - \frac{\epsilon}{2}$. Again we consider two possibilities depending on if the distance is obtained in clockwise direction starting from 0 or anticlockwise. For clockwise direction, we observe that $\gamma \cdot \varphi(w) < 1 - \frac{\epsilon}{8}$. Thus in defining ψ the distance of $\psi(u)$ and $\psi(w)$ remains the same as the distance of $\gamma \cdot \varphi(u)$ and $\gamma \cdot \varphi(w)$, and the distance of $\psi(u')$ to $\psi(w)$ is actually shorter. If the distance of $\varphi(w)$ and $\varphi(u)$ is obtained on anticlockwise direction starting from 0, then this distance is at 290 most $1 - \frac{\epsilon}{2}$. Therefore, the distance of $\gamma \cdot \varphi(w)$ and $\gamma \cdot \varphi(u)$ is at most $(1 - \frac{\epsilon}{2})\gamma$ 291 which is strictly smaller than $1 - \frac{\epsilon}{4}$. As $\psi(u') = \frac{\epsilon}{8}$, the distance between 292 $\psi(w)$ and $\psi(u')$ remains strictly smaller than $1 - \frac{\epsilon}{8}$, thus the negative edge 293 wu' satisfies the condition.

We now consider the edges incident with v'. Observe that since $1 \leq \varphi(v) \leq 2 - \frac{\epsilon}{2}$, we have $\gamma \leq \gamma \cdot \varphi(v) \leq \gamma \cdot (2 - \frac{\epsilon}{2})$. By the definition of ψ , and because $\gamma \cdot \varphi(v) \geq 1 - \frac{\epsilon}{8}$, we have that

$$\gamma + \frac{\epsilon}{8} \le \psi(v') = \gamma \cdot \varphi(v) + \frac{\epsilon}{8} \le \gamma(2 - \frac{\epsilon}{2}) + \frac{\epsilon}{8} = 2 - \frac{\epsilon}{8}.$$

294 As v' is a copy of v, based on the sign of wv we consider two cases.

• wv' is a positive edge.

We need to show that the distance between $\psi(w)$ and $\psi(v')$ is at least 1. Since wv is a positive edge, it implies two possibilities: (1) $\varphi(w) \in [0, 1 - \frac{\epsilon}{2}]$, (2) $\varphi(w) \in [2, 4 - \epsilon]$. For case (1), as $\gamma \cdot \varphi(w) < 1 - \frac{\epsilon}{8}$, then $\psi(w) = \gamma \cdot \varphi(w)$ and thus the distance between $\psi(w)$ and $\psi(v')$ is larger than $\gamma + \frac{\epsilon}{8}$. For case (2), $\psi(w) = \gamma \cdot \varphi(w) + \frac{\epsilon}{4}$. Thus the distance between $\psi(w)$ and $\psi(v')$ is at least $1 + \frac{\epsilon}{8-2\epsilon} + \frac{\epsilon}{8}$, hence strictly larger than 1.

wv' is a negative edge.

As wv is a negative edge in \hat{G} , in any circular $(4 - \epsilon)$ -coloring φ , the distance 303 of $\varphi(v)$ and $\varphi(w)$ is at most $1 - \frac{\epsilon}{2}$ and then the distance of $\gamma \cdot \varphi(v)$ and $\gamma \cdot \varphi(w)$ 304 is at most $\gamma(1-\frac{\epsilon}{2}) < 1-\frac{\epsilon}{4}$. Also, we have that $\frac{\epsilon}{2} \leq \varphi(w) \leq 3-\epsilon$. By the 305 definition of ψ , if $\gamma \cdot \varphi(w) \ge 1 - \frac{\epsilon}{8}$, then $\psi(w) = \gamma \cdot \varphi(w) + \frac{\epsilon}{4}$ and thus the 306 distance between $\psi(w)$ and $\psi(v')$ is at most $\gamma(1-\frac{\epsilon}{2})+\frac{\epsilon}{8}<1-\frac{\epsilon}{8}$. It remains 307 to show that if $\gamma \cdot \varphi(w) < 1 - \frac{\epsilon}{8}$, then the distance between $\psi(w)$ and $\psi(v')$ is 308 smaller than $1 - \frac{\epsilon}{8}$. In this case, $\psi(w) = \gamma \cdot \varphi(w)$. Therefore, compared with 309 the distance between $\gamma \cdot \varphi(w)$ and $\gamma \cdot \varphi(v)$, the distance between $\psi(w)$ and 310 $\psi(v')$ is increased by $\frac{\epsilon}{8}$, therefore, it is at most $\gamma(1-\frac{\epsilon}{2})+\frac{\epsilon}{8}<1-\frac{\epsilon}{8}$. 311

4. Signed bipartite planar simple graphs. In this section, we would like to prove Theorem 1.11. As in section 2, we will first show that the circular chromatic number of any signed bipartite planar simple graph is strictly smaller than 4. Then we use the notion of tight cycle to get an improved upper bound. Finally, we show that this upper bound is tight.

To this end, we will work with a minimum counterexample. One of properties of a minimum counterexample follows from the following folding lemma of [5]. We recall that a plane graph or a signed plane graph is a (signed) planar graph together an embedding on the plane. For a plane graph, a *separating l*-cycle is an *l*-cycle which is not a face.

LEMMA 4.1 (Bipartite folding lemma). Let G be a signed bipartite plane graph and let 2k be the length of its shortest negative cycle. Let F be a face whose boundary is not a negative cycle of length 2k. Then there are vertices v_{i-1}, v_i, v_{i+1} , consecutive in the cyclic order of the boundary of F, such that identifying v_{i-1} and v_{i+1} , after a possible switching at one of the two vertices, yields a signed bipartite plane graph whose shortest negative cycle is still of length 2k.

We observe that by applying this lemma repeatedly we get a homomorphic image of \hat{G} which is also a signed bipartite plane graph in which every facial cycle is a negative cycle of length exactly 2k. Furthermore, as an extension of the handshake lemma, one observes in a 2-connected signed plane graph, the sign of a cycle is the product of the signs of all the faces that it bounds. In particular when all faces are negative, the sign of a cycle is determined by the parity of the number of faces it bounds.

THEOREM 4.2. For any signed bipartite planar simple graph (G, σ) , we have $\chi_c(G, \sigma) <$ 336 4.

Proof. Assume that (G, σ) is a minimum counterexample, i.e., for no $\epsilon > 0$, (G, σ) admits a circular $(4 - \epsilon)$ -coloring, and |V(G)| is minimized.

The minimality of (G, σ) , together with the bipartite folding lemma, implies that every facial cycle of (G, σ) , in any planar embedding of G, is a negative 4-cycle. From here on, we will consider (G, σ) together with a planar embedding. Moreover, since any subgraph of (G, σ) is also a signed bipartite planar simple graph and in $\mathcal{C}_{<4}$ (by the minimality of (G, σ)), it follows from Theorem 2.1 that $\delta(G) \geq 3$.

We proceed by proving some structural properties of (G, σ) in the form of claims.

Claim 1. Every vertex of even degree in (G, σ) must be in a separating 4-cycle.

Assume to the contrary that a vertex u is of even degree and it is in no separating 4-cycle. Let C be the boundary of the face in $(G - u, \sigma)$ which contains u. This cycle C in the embedding of (G, σ) bounds d(u) faces, each of which is a negative 4-cycle. As d(u) is even, C is a positive cycle. Since switching does not affect the circular chromatic number, we may assume σ is a signature in which all the edges of C are positive.

Let (G', σ') be the signed graph obtained from (G, σ) by the following operations. First we contract all the edges incident with u. Then for each set of parallel edges of the same sign resulted from the contraction, we delete all but one. We observe that, as u is in no separating 4-cycle, (G', σ') has no digon. Thus (G', σ') is a signed simple graph. Furthermore, it is a signed bipartite planar simple graph which has less vertices than (G, σ) . Thus it admits a circular $(4 - \epsilon)$ -coloring for some positive ϵ . But then Theorem 3.1 implies that $\chi_c(G, \sigma) < 4$.

Claim 2. For every pair of adjacent vertices each of an odd degree in (G, σ) , at least one is in a separating 4-cycle.

The proof of this claim is similar to the previous one. Towards the contradiction, 361 let x and y be two adjacent vertices of odd degrees, neither of which is in a separating 3624-cycle. We consider the facial cycle C which is obtained after deleting x and y, 363 and once again conclude that C must be a positive cycle as it must bound an even 364number of (negative) faces in (G, σ) . Without loss of generality, we assume that σ 365 assigns positive signs to all the edges of C and that $\sigma(xy) = -$. Let x_1, x_2, \ldots, x_ℓ be 366 the neighbors of x distinct from y in the cyclic order of the embedding and, similarly, 367 let $y_k, y_{k-1}, \ldots, y_1$ be the neighbors of y, distinct from x, in the cyclic order (see 368 369 Figure 4). Thus x_1y_1 and $x_\ell y_k$ are both edges of C and hence both are positive. We have two assertions on the neighborhood of x and y. 370

The first is that x_1y_1 and $x_\ell y_k$ are the only edges connecting some x_i to some y_j . That is because any other connection x_iy_j would create a separating 4-cycle $xx_iy_jy_j$ but we have assumed (towards a contradiction) that x and y are in no such a 4cycle. The second is that $\sigma(xx_1) = \sigma(yy_1)$ and that $\sigma(xx_\ell) = \sigma(yy_k)$. To see that $\sigma(xx_1) = \sigma(yy_1)$, we consider the face $xx_1y_1y_j$. We already know that xy is a negative edge and that x_1y_1 is a positive edge. For this face to be a negative 4-cycle then we must have $\sigma(xx_1) = \sigma(yy_1)$. That $\sigma(xx_\ell) = \sigma(yy_k)$ follows from the same argument by considering the face $xx_\ell y_k y_j$.

To complete the proof of the claim, we consider two signed graphs. One is the signed graph \hat{G}' built from (G, σ) as follows. First we delete the edge xy. Next we



Fig. 4: $\{x, y\}$ -neighborhood

contract all the remaining edges incident with x (respectively, y) and denote the new vertex by u (resp. v). Finally, for each set of parallel edges of the same sign, we delete all but one. We observe that \hat{G}' is a signed bipartite planar simple graph with no digon because x and y are in no separating 4-cycle. We note furthermore that in \hat{G}' the vertex u is connected to the vertex v with a positive edge (resulted from x_1y_1 and $x_\ell y_k$). By the minimality of (G, σ) , we conclude that $\chi_c(\hat{G}') < 4$.

The other signed graph, \hat{G}'' , is obtained from (G, σ) as follows. The positive 387 neighbors of x (respectively, y) are identified into a new vertex u (resp. v). The 388 negative neighbors of x distinct from y (respectively, negative neighbors of y distinct 389 from x) are identified into a new vertex u' (resp. v'). As before, among a set of parallel 390 edges of the same sign we delete all but one. We note that \hat{G}'' is not necessarily 391 planar anymore. It follows from the discussion on the neighborhood of x and y that 392 in \hat{G}'' there is no edge connecting u' to v and, similarly, no edge connecting u to v'. 393 Moreover, u is connected to v only with a positive edge and u' is connected to v' only 394 with a positive edge. 395

Overall we observe that \hat{G}'' is a (proper) subgraph of $F_{uv}(\hat{G}')$. It follows from Theorem 3.2 that $F_{uv}(\hat{G}')$ and, therefore, \hat{G}'' is in $\mathcal{C}_{<4}$, but \hat{G}'' is a homomorphic image of (G, σ) which implies $\chi_c(G, \sigma) \leq \chi_c(\hat{G}'')$.

399 **Claim 3.** The underlying graph G of \hat{G} has no separating 4-cycle.

Towards a contradiction, assume that there is a separating 4-cycle and let C be a 400separating 4-cycle with the minimum number of vertices inside. Let v_1, v_2, v_3 , and v_4 401 be the four vertices of C in this cyclic order. Let u be a vertex inside C. As (G, σ) is 402 bipartite, u can be adjacent to at most two vertices of C. Since (G, σ) has minimum 403 degree at least 3, u must have a neighbor, say v, which is not on C and thus inside 404 C. By Claim 1 and Claim 2, at least one of u or v, say u, is in a separating 4-cycle, 405denoted C_u . Since C contains the minimum number of vertices inside, C_u cannot be 406 all inside C. Thus u is adjacent to two vertices of C. Noting that G is bipartite, and 407 408 by symmetry, we may assume v_1 and v_3 are adjacent to u. Then of the two 4-cycles $uv_1v_2v_3$ and $uv_1v_4v_3$ one contains v and thus is a separating 4-cycle with less vertices 409inside than C. This contradicts the choice of C and, hence, proves the claim. 410

To complete the proof of the theorem, we observe that, by Claims 1 and 3, all vertices must be of odd degree, and, by Claim 2, no two of them can be adjacent, but

then G has no edge and any mapping to the points of any circle is circular coloring, 413 a contradiction with our choice of (G, σ) . П 414

Next, using the notion of tight cycle, we improve the bound of Theorem 4.2. We 415 provide a concrete bound in terms of the number of vertices and then show that this 416 improved bound is tight. 417

THEOREM 4.3. For any signed bipartite planar simple graph (G, σ) on n vertices. 418 419we have:

• For each odd value of n, $\chi_c(G, \sigma) \le 4 - \frac{8}{n+1}$. • For each even value of n, $\chi_c(G, \sigma) \le 4 - \frac{8}{n+2}$. Moreover, these bounds are tight for each value of $n \ge 2$. 420

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Proof. As stated in Corollary 1.7, we know that $\chi_c(G,\sigma) = \frac{p}{q}$ where p is twice 423 the length of a cycle in G. As G is a bipartite graph, the length of each cycle is even. 424 Thus p = 4k for some positive integer k such that $2k \leq n$. By Theorem 4.2, we have 425 $\chi_c(G,\sigma) = \frac{p}{q} < 4$, in other words, 4k < 4q. As k and q are integers, we have $k+1 \le q$. 426Hence, $\chi_c(\vec{G}, \sigma) \leq \frac{4k}{k+1} = 4 - \frac{4}{k+1}$. The upper bounds claimed in the theorem then follows by noting that $n \geq 2k$ and that $n \geq 2k + 1$ when n is odd. 427 428

To prove that the bounds are tight, for n = 2i, we need to build an example Γ_i^* . 429Then by adding an isolated vertex to Γ_i^* , we get an example that works for n = 2i + 1. 430For $i \geq 2$, the signed graph Γ_i^* is built from the signed graph Ω_{i-1} of Figure 2 by 431 subdividing the edge v_1v_2 once, and assigning a positive sign to one of the resulting 432 edges and a negative sign to the other. In Figure 5 switching equivalent versions of 433 Γ_4^* and Γ_5^* are presented. The equivalence of the signatures follows from the fact that 434 in both presentations all facial cycles are negative 4-cycles. 435



Fig. 5: Signed graphs Γ_i^*

In the following we shall prove that $\chi_c(\Gamma_i^*) = 4 - \frac{4}{i+1}$. Since Γ_i^* is a signed bipartite 436 planar simple graph on 2i vertices, by the first part of the proof, $\chi_c(\Gamma_i^*) \leq 4 - \frac{4}{i+1}$. It remains to show that $\chi_c(\Gamma_i^*) \geq 4 - \frac{4}{i+1}$. To prove this we use induction on i and a stronger fact that in every circular $\frac{4i}{i+1}$ -coloring of Γ_i^* every tight cycle is a Hamilton cycle. The added claim follows from the fact that Γ_i^* has only 2i vertices: If i is even, 437 438 439440then 2i and i + 1 are relatively prime and thus, by Corollary 1.7, the only possibility 441for the length of a tight cycle is 2i; If i is odd, say i = 2j + 1, then $\chi_c(\Gamma_i^*) = \frac{2(2j+1)}{i+1}$. 442 Noting that 2j + 1 and j + 1 are relatively prime, it follows from Corollary 1.7 that 443 the length of a tight cycle is a multiple of 2j + 1. However, as the underlying graph is 444 bipartite, there is no odd cycle, and, hence, the length of a tight cycle is 4i + 2 = 2i. 445

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We now apply the induction on *i*. That $\chi_c(\Gamma_1^*) \ge 4 - \frac{4}{1+1} = 2$ is immediate. Assume that $\chi_c(\Gamma_i^*) \ge 4 - \frac{4}{i+1}$ (thus $\chi_c(\Gamma_i^*) = 4 - \frac{4}{i+1}$). Considering Γ_{i+1}^* , as it contains Γ_i^* as a subgraph, we have $\chi_c(\Gamma_{i+1}^*) \ge 4 - \frac{4}{i+1}$. Applying the tight cycle argument then we know that $\chi_c(\Gamma_{i+1}^*) \in \{\frac{4i}{i+1}, \frac{4i+4}{i+2}\}$. However, $\chi_c(\Gamma_{i+1}^*) = \frac{4i}{i+1}$ is not possible, because, considering the tight Hamilton cycle of Γ_i^* (with respect to a circular $\frac{4i}{i+1}$ -coloring), in any circular $\frac{4i}{i+1}$ -coloring of Γ_{i+1}^* , the vertex v_{2i} must be mapped to the antipode of the image of v_{2i-1} (possibly after a switching), and then v_{2i+1} must be at distance at least 1 from both of these two images, which is not possible because the circumference of the circle is strictly less than 4. We are done. \Box

We note that Γ_i^* is the core of the signed graph Γ_i defined in [9]. Moreover, the formula for the circular chromatic number of Γ_{2k}^* is implicit in Corollary 46 of [9].

5. Discussion and Questions. In this work we have observed that bounding the circular chromatic number of a very restricted families of signed graphs can capture some of the most motivating problems in graph theory such as the 4-color theorem.

Then by strengthening some results from [9] we provided improved bounds for two families of signed graphs: signed 2-degenerate simple graphs and signed bipartite planar simple graphs.

We note that some of the well-known problems in circular coloring of graphs fit into this study by viewing a graph G as a signed graph (G, +) where all edges are positive. In particular, providing the best possible bound for the circular chromatic number of planar graphs of a given odd girth is one of main questions in graph theory which captures the 4-color theorem, the Grötzsch theorem, and the Jaeger-Zhang conjecture.

469 Here we mention a few new questions that are based on the notion of the circular470 coloring of signed graphs.

471 QUESTION 1. Given a signed planar simple graph \hat{G} , does there exist an $\epsilon = \epsilon(\hat{G})$ 472 such that \hat{G} admits a circular $(6 - \epsilon)$ -coloring?

First example of a signed planar simple graph whose circular chromatic number is larger than 4 is given in [2]. An example of signed planar simple graph whose circular chromatic number is $\frac{14}{3}$ is given in [9]. The upper bound of 6 follows from the fact that planar simple graphs are 5-degenerate. The existence of any signed planar simple graph with circular chromatic number larger than $\frac{14}{3}$ is an open problem.

478 Restricted on the class of signed bipartite planar graphs and with an added neg-479 ative girth condition (that is the length of a shortest negative cycle), we have the 480 following question.

481 QUESTION 2. Given a signed bipartite planar graph \hat{G} of negative girth 6, does 482 there exist an $\epsilon = \epsilon(\hat{G})$ such that \hat{G} admits a circular $(3 - \epsilon)$ -coloring?

That every signed bipartite planar graph of negative girth at least 6 admits a circular 3-coloring is recently proved in [8], noting that this proof uses the 4-color theorem and some extensions of it. On the other hand, the best example of signed bipartite planar graph of negative girth 6 we know has circular chromatic number $\frac{14}{5}$. It remains an open problem to build such signed graphs of circular chromatic number between $\frac{14}{5}$ and 3.

We should mention that a negative answer to Question 2 would imply a negative answer to Question 1. Let $T_2(G, \sigma)$ be a signed graph obtained from (G, σ) by subdividing each edge uv once and then assign a signature in such a way that the sign of the corresponding uv-path is $-\sigma(uv)$. Viewing positive and negative paths of length 2 as \mathcal{I}_{-} and \mathcal{I}_{+} (respectively), and applying Lemma 41 of [9] we have

$$\chi_c(T_2(G,\sigma)) = \frac{4\chi_c(G,\sigma)}{2 + \chi_c(G,\sigma)}.$$

For signed bipartite planar graphs of negative girth at least 8, the upper bound of $\frac{8}{3}$ for their circular chromatic numbers is proved in [4]. For signed bipartite planar graphs of negative girth $2k, k \ge 5$, the best current bound follows from recent results of [3].

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