

# Master MPRI — Local cycles and dynamical properties of Boolean networks: Proofs and exercises

Paul Ruet

January 5, 2017

## 1 Proofs

**1 Proposition.**  $\Gamma$  is a bijection between maps from  $\mathbb{F}_2^n$  to  $\mathbb{F}_2^n$  and partial orientations of the hypercube  $\mathbb{F}_2^n$ .

Map  $f \mapsto$  orientation: edge  $x \rightarrow x + e_i$  when  $f_i(x) \neq x_i$ . Orientation  $\Gamma \mapsto$  map  $f(x) = x + e_I$ , where  $(x \rightarrow x + e_i)_{i \in I} =$  edges leaving  $x$ .

**2 Remark.** Variant of Leibniz rule:  $\partial_i(\varphi\psi) + \partial_i\varphi\partial_i\psi = \varphi\partial_i\psi + \psi\partial_i\varphi$ .

$$\begin{aligned} \partial_i(\varphi\psi)(x) + \partial_i\varphi(x)\partial_i\psi(x) &= \varphi(x)\psi(x) + \varphi(x + e^i)\psi(x + e^i) \\ &\quad + (\varphi(x) + \varphi(x + e^i))(\psi(x) + \psi(x + e^i)) \\ &= \varphi(x)\psi(x + e^i) + \psi(x)\varphi(x + e^i) \\ \varphi(x)\partial_i\psi(x) + \psi(x)\partial_i\varphi(x) &= \varphi(x)(\psi(x) + \psi(x + e^i)) + \psi(x)(\varphi(x) + \varphi(x + e^i)) \\ &= \varphi(x)\psi(x + e^i) + \psi(x)\varphi(x + e^i). \end{aligned}$$

**3 Lemma.** If  $f$  has  $\geq 2$  attractors, then for some subcube  $\kappa$ ,  $f|_{\kappa}$  has  $\geq 2$  fixed points.

Let  $A$  and  $B$  be any two attractors of  $\Gamma(f)$  and  $(a, b) \in A \times B$  be any pair such that  $d(a, b)$  is minimal: then  $a$  and  $b$  are fixed points of  $f|_{[a, b]}$ .

**4 Proposition** (Naldi, Remy, Thieffry, Chaouiya). Assume  $\mathcal{G}(f)$  has no loop on  $n$ . Fixed points are preserved by reduction and expansion:  $x$  is a fixed point of  $f'$  if and only if  $x'$  is a fixed point of  $f$ . Attractive cycles are preserved by reduction:  $\pi$  maps attractive cycles of  $f$  to attractive cycles of  $f'$ .

For any  $x \in \mathbb{F}_2^{n-1}$ , let  $x^\bullet = (x, f_n(x, -)) \in \mathbb{F}_2^n$ . Then

$$f(x^\bullet) = (f'(x), f_n(x, -)),$$

because  $f_n(x^\bullet) = f_n(x, f_n(x, -)) = f_n(x, -)$ . Moreover,  $\pi(x^\bullet) = x$ , where  $\pi : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^{n-1}$  is the projection. Now,  $f'(x) = x$  if and only if  $f_i(x, f_n(x, -)) = f'_i(x) = x_i$  for all  $i < n$ , if and only if  $f_i(x^\bullet) = x_i^\bullet$  for all  $i < n$ , if and only if  $f(x^\bullet) = x^\bullet$ , since  $f_n(x^\bullet) = f_n(x, -) = x_n^\bullet$ .

If  $\theta = (\dots, y, y + e^i, \dots)$  is an attractive cycle of  $f$ ,  $f(y) = y + e^i$ . If  $i = n$ ,  $\pi(y) = \pi(y + e^i)$ . Otherwise, since  $\theta$  is attractive,  $f_n(y) = y_n$  and  $\pi(y)^\bullet = y$ . Hence, letting  $x = \pi(y)$ , we have

$$f'(\pi(y)) = f'(x) = \pi(f(x^\bullet)) = \pi(f(y)) = \pi(y + e^i) = \pi(y) + e^i.$$

Therefore  $\pi(\theta)$  is an attractive cycle of  $\Gamma(f')$ , and for every  $x \in \pi(\theta)$ ,  $x^\bullet \in \theta$ .

**5 Theorem** (Remy, Mossé, Chaouiya, Thieffry). *If  $\mathcal{G}(f)(x)$  is independent of  $x$  and consists in a Hamiltonian positive (resp. negative) cycle, then  $f$  has 2 fixed points and no cyclic attractor (resp.  $f$  has no fixed point, and a unique attractor which is an attractive cycle).*

If  $\mathcal{G}(f)(x) = \mathcal{G}(f)$  is a Hamiltonian positive cycle, we may assume w.l.o.g. that  $\mathcal{G}(f) = (1, 2, \dots, n, 1)$ . The sign of the edge from  $i$  to  $i + 1 \pmod n$  is  $(-1)^{\varepsilon_i}$  with  $\varepsilon_i \in \{0, 1\}$ , so that  $f_{k+1}(x) = x_k + \varepsilon_k$ , where indices are taken modulo  $n$ . Since  $\sum_{i=1}^n \varepsilon_i = 0$ , the point  $a$  defined by

$$a_k = \sum_{i=1}^{k-1} \varepsilon_i \quad \text{for } k = 1, \dots, n,$$

and its antipode  $\bar{a}$  are fixed points of  $f$ . Let  $K(x)$  be the set of  $k$  such that  $x_k + x_{k+1} \neq \varepsilon_k$ . Then  $a + x = e^{K(x)}$  and  $d(x, a)$  is the cardinality of  $K$ . If  $x$  is different from  $a$  and  $\bar{a}$ ,  $K(x) \neq \emptyset$  and  $K(x) \neq \{1, \dots, n\}$ : for any  $k \in K$ ,  $f_{k+1}(x) = x_k + \varepsilon_k \neq x_{k+1}$ ,  $\Gamma(f)$  has an edge from  $x$  to  $x + e^k$ , and  $K(x + e^k)$  has cardinality smaller than that of  $K(x)$ . Hence  $\Gamma(f)$  has a trajectory from  $x$  to  $a$ , and in particular,  $\Gamma(f)$  has no cyclic attractor.

If  $\mathcal{G}(f)(x) = \mathcal{G}(f)$  is a Hamiltonian negative cycle, with the same notations, we have  $\sum_{i=1}^n \varepsilon_i = 1$ . Thus:

$$1 = \sum_{i=1}^n f_{i+1}(x) + x_i = \sum_{i=1}^n f_i(x) + x_i,$$

so that  $f$  has no fixed point. The desired attractive cycle is

$$\theta = (a, a + e^1, a + e^1 + e^2, \dots, \bar{a}, \bar{a} + e^1, \bar{a} + e^1 + e^2, \dots, a).$$

If  $x = a + e^I \notin \theta$ , then for any  $i \in I$  such that  $i - 1 \notin I$ , where indices are taken modulo  $n$ , we have  $f_i(x) \neq x_i$  and  $\Gamma(f)$  has a trajectory from  $x$  to  $x + e^i$ . Therefore  $\Gamma(f)$  has a trajectory from  $x$  to some  $a + e^1 + \dots + e^k \in \theta$ , with  $\{1, \dots, k\} \subseteq I$ .

**6 Lemma.** *1. An orbit of Boolean networks contains a network with no fixed point if and only if it contains a network with  $\geq 2$  fixed points.*

*2. The set of networks such that each subnetwork  $f|_\kappa$  has a unique fixed point is closed under translation.*

1. It suffices to observe that if  $f$  has no fixed point,  $f + \text{id}$  is a non bijective map from a finite set to itself, hence it is not injective: therefore, for some  $z$ , there exist distinct points  $x, y$  such that  $(f + \text{id})(x) = (f + \text{id})(y) = z$ , and  $f + z$  has two fixed points. On the other hand, if  $f$  has two fixed points,  $f + \text{id}$  is not bijective, hence not surjective and does not take some value  $z$ : then  $f + z$  has no fixed point.

2. Let  $F_n$  be the set of maps  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  such that for each subcube  $\kappa$ ,  $f|_{\kappa}$  has a unique fixed point. Since the group of translations is generated by translations by basis vectors  $e_i$ , it suffices to prove, by induction on  $n$ , that for any  $f \in F_n$  and  $i \in \{1, \dots, n\}$ ,  $f + e_i \in F_n$ .

- For  $n = 1$ , the maps with a unique fixed point are the constant maps, and constant maps are closed under translation.
- If  $n > 1$ , let  $f \in F_n$ ,  $i \in \{1, \dots, n\}$  and  $g = f + e_i$ . Let  $\kappa_0$  and  $\kappa_1$  be the  $(n - 1)$ -dimensional subcubes defined respectively by  $x_i = 0$  and  $x_i = 1$ . By induction hypothesis,  $g|_{\kappa_0}$  has a unique fixed point  $x$  and  $g|_{\kappa_1}$  has a unique fixed point  $y$ . On the other hand, since  $\mathbb{F}_2^n = \kappa_0 \cup \kappa_1$ ,  $f$  has a unique fixed point, which needs to be either  $x$  or  $y$ , say it is  $x$ . Then  $f(y) = y + e_i$  and  $g(y) = y$ . Moreover,  $f(x) = x$ , hence  $g(x) \neq x$ , and we may conclude that  $y$  is the unique fixed point of  $g$ , hence that  $g \in F_n$ .

**7 Proposition.**  *$f$  is hereditarily ufp if and only if  $f + \text{id}$  is hereditarily bijective.*

If for each subcube  $\kappa$ ,  $(f + \text{id})|_{\kappa}$  is bijective, then  $(f + \text{id})|_{\kappa}$  takes exactly once the value 0, and clearly, all the  $f|_{\kappa}$  have a unique fixed point.

On the other hand, in order to prove that for any  $n \geq 1$  and any  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$ , if  $f$  is hereditarily ufp, then  $f + \text{id}$  is hereditarily bijective, it suffices to prove that for any  $n$  and  $f$ , if  $f|_{\kappa}$  has a unique fixed point for each subcube  $\kappa$ , then  $f + \text{id}$  is bijective: this is because the hypothesis is closed under restriction. Assume this is wrong, so that there exists some  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  such that  $f + \text{id}$  is not bijective while  $f|_{\kappa}$  has a unique fixed point for each subcube  $\kappa$ . Since  $f + \text{id}$  is not bijective, the preimage  $(f + \text{id})^{-1}(z)$  of some  $z$  is not a singleton, hence  $(f + z + \text{id})^{-1}(0)$  is not a singleton and  $f + z$  does not have a unique fixed point. But by Lemma 6, this contradicts the hypothesis on  $f$ , because  $f$  and  $f + z$  are in the same orbit under translation.

**8 Corollary.** *If  $f + \text{id}$  is hereditarily bijective, then  $\Gamma(f)$  is collapsing.*

Under the hypothesis of the corollary, by Proposition 7, for each subcube  $\kappa$ ,  $f|_{\kappa}$  has a unique fixed point. Lemma 3 then ensures that this fixed point is the only attractor of  $\Gamma(f)$ . Let now  $x$  be the unique fixed point of  $f$  and let  $Y$  be the set of points  $y \in \mathbb{F}_2^n$  such that  $\Gamma(f)$  has no direct trajectory from  $y$  to  $x$ . Assume for a contradiction that  $\Gamma(f)$  is not directly terminating. This implies  $Y \neq \emptyset$  and we may choose  $y \in Y$  such that  $d(x, y)$  is minimal: then  $x$  and  $y$  are fixed points for  $f|_{[x, y]}$ , and since  $y \neq x$ , it follows from Lemma that  $(f + \text{id})|_{[x, y]}$  is not bijective.

**9 Theorem (R.).** *If  $f + \text{id}$  is not bijective, then there exist two different points  $x, y \in \mathbb{F}_2^n$  such that  $\mathcal{G}(f)(x)$  and  $\mathcal{G}(f)(y)$  have a cycle.*

To prove this theorem, first observe that as a non bijective map from a finite set to itself,  $f + \text{id}$  is not injective: some point  $z \in \mathbb{F}_2^n$  has a preimage of cardinality at least 2 under  $f + \text{id}$ . Consider the partially ordered set  $E_z$  of subcubes  $\kappa$ , ordered by inclusion, such that  $\pi_{\kappa}(z)$  has a preimage of cardinality at least 2 under  $(f + \text{id})|_{\kappa}$ . By hypothesis,  $E_z \neq \emptyset$ . Let  $\kappa$  be a minimal subcube of  $E_z$ , and let  $x, y \in \kappa$  be distinct points mapped by  $(f + \text{id})|_{\kappa}$  to  $z|_{\kappa}$ . Since  $\kappa$  is minimal in  $E_z$ ,  $x$  and  $y$  are antipodes in  $\kappa$ , i.e.,  $\kappa = [x, y]$ . Recall that  $v(x, y)$  denotes the subset  $I \subseteq \{1, \dots, n\}$  such that  $x + y = e_I$ .

- If  $v(x, y)$  is a singleton  $\{i\}$ , then  $y = x + e_i$  and  $(f + \text{id})_i(x) = (f + \text{id})_i(y)$ , as a consequence  $\partial_i f_i(x) = f_i(x) + f_i(x + e_i) = \partial_i f_i(x + e_i) = 1$ , hence  $\mathcal{G}(f)(x)$  and  $\mathcal{G}(f)(y)$  have an edge from  $i$  to itself.
- If on the other hand  $d(x, y) \geq 2$ , for any  $i \in v(x, y)$ , let  $\lambda_i$  be the subcube  $[x + e_i, y]$ , which is smaller than  $\kappa$ : then  $(f + \text{id})|_{\lambda_i}(x + e_i) \neq \pi_{\lambda_i}(z)$ , since otherwise  $\lambda_i$  would have two different points  $x + e_i$  and  $y$  mapped by  $(f + \text{id})|_{\lambda_i}$  to  $\pi_{\lambda_i}(z)$ , and  $\lambda_i$  would belong to  $E_z$ , contradicting minimality. Therefore, for any  $i \in v(x, y)$ ,  $(f + \text{id})|_{\lambda_i}(x + e_i) \neq (f + \text{id})|_{\lambda_i}(x)$ , hence there exists  $j \in v(x, y)$ , such that  $j \neq i$  and  $\partial_i f_j(x) = f_j(x) + f_j(x + e_i) = 1$ , and  $\mathcal{G}(f)(x)$  has an edge from  $i$  to  $j$ . As a consequence,  $\mathcal{G}(f)(x)$  has an infinite path, hence a cycle, and by symmetry, so has  $\mathcal{G}(f)(y)$ .

**10 Lemma.** *If  $C$  is a cycle of  $\mathcal{G}(f)(x)$  with vertex set  $I$ , then  $C$  is positive (resp. negative) when  $x$  has an even (resp. odd) out-degree in  $\Gamma(f)$ , i.e., when  $\sum_{i \in I} (x_i + f_i(x)) = 0$  (resp. 1). In particular, if  $x$  is a fixed point of  $f$  and  $C$  is any cycle in  $\mathcal{G}(f)(x)$ , then  $C$  is positive.*

The first assertion follows from the fact that  $C = k_1 \rightarrow \dots \rightarrow k_p \rightarrow k_1 = k_{p+1}$  is positive if and only if

$$\sum_{i=1}^p (x_{k_i} + f_{k_{i+1}}(x)) = 0 = \sum_{i=1}^p x_{k_i} + \sum_{i=1}^p f_{k_i}(x) = \sum_{i=1}^p (x_{k_i} + f_{k_i}(x)).$$

**11 Theorem** (Remy-R.-Thieffry, Comet-Richard). *If  $\mathcal{G}(f)$  has no local positive cycle, then  $f$  has a unique attractor.*

Assume  $f$  has several attractors. Then by Lemma 3, some projection  $f|_{\kappa}$  has two fixed points. Hence  $f|_{\kappa} + \text{id}$  is not bijective, and by Theorem 9 applied to  $f|_{\kappa}$ , there exist two points  $x, y$  such that  $\mathcal{G}(f|_{\kappa})(x)$  and  $\mathcal{G}(f|_{\kappa})(y)$  have cycles. These cycles are also cycles of  $\mathcal{G}(f)(x)$  and  $\mathcal{G}(f)(y)$ , and are positive by Lemma 10.

**12 Theorem** (Remy-R.-Thieffry). *If  $\Gamma(f)$  has an attractive cycle, then  $\mathcal{G}(f)$  has a negative cycle.*

Attractive cycle  $(x^1, x^2, \dots, x^p, x^1)$ . Sequence  $(k_1, \dots, k_p)$  defined by  $e^{k_i} = x^i + x^{i+1}$ . Subsequence  $(k_\ell, \dots, k_m)$  maximal without repetition. Then  $k_{m+1} = k_\ell$  and  $\mathcal{G}(f)(x^i)$  has an edge from  $k_i$  to  $k_{i+1}$  for  $i = \ell, \dots, m$ . Hence  $\mathcal{G}(f)$  has a cycle  $(k_\ell, \dots, k_m, k_\ell)$ . Negative sign because

$$\sum_{i=\ell}^m x_{k_i}^i + f_{k_{i+1}}(x^i) = \sum_{i=\ell}^m x_{k_i}^i + x_{k_{i+1}}^{i+1} = x_{k_\ell}^\ell + x_{k_{m+1}}^{m+1} = x_{k_\ell}^\ell + x_{k_\ell}^{m+1} = 1,$$

since  $k_{\ell+1}, \dots, k_m \neq k_\ell$ .

**13 Lemma.** *Let  $p \geq 1$  and  $(x^0, \dots, x^p)$  be a trajectory of  $\Gamma(f)$ . If  $f(x^0) = x^0 + e^i$  and  $f_j(x^p) \neq x_j^p$ , then  $\mathcal{G}(f)$  has a path from  $i$  to  $j$  whose sign is positive if and only if  $x_i^0 = x_j^p$ .*

Induction on  $p$ . If  $p = 1$ , since  $f(x^0) = x^0 + e^i$ ,  $\mathcal{G}(f)$  has an edge from  $i$  to  $j$ , with positive sign if and only if  $x_i^0 = x_j^1$ .

Let  $p > 1$  and  $q$  be minimal s.t.  $q \geq 1$  and

$$f_j(x^q) = f_j(x^{q+1}) = \dots = f_j(x^p) \neq x_j^p.$$

For some  $k$ ,  $x^{q-1} + x^q = e^k$ , and  $\mathcal{G}(f)(x^{q-1})$  has an edge from  $k$  to  $j$ . Its sign is positive if and only if

$$x_k^{q-1} = f_j(x^{q-1}) = x_j^p.$$

If  $q = 1$ ,  $k = i$  because  $f(x^0) = x^0 + e^i$ , and  $\mathcal{G}(f)$  has an edge from  $i$  to  $j$ , with positive sign if and only if  $x_i^0 = x_j^p$ . If  $q > 1$ , induction with  $(x^0, \dots, x^{q-1})$  gives a path from  $i$  to  $k$  whose sign is positive if and only if  $x_i^0 = x_k^{q-1}$ , whence a path from  $i$  to  $j$  through  $k$ , whose sign is positive if and only if  $x_i^0 = x_j^p$ .

**14 Theorem** (Richard). *If  $\Gamma(f)$  has a cyclic attractor, then  $\mathcal{G}(f)$  has a negative cycle.*

Let  $\kappa$  be a minimal subcube s.t.  $\Gamma(f|_{\kappa})$  has a cyclic attractor;  $\kappa$  is an  $I$ -subcube. Let  $\theta$  be a cyclic attractor,  $i \in I$ , and  $\kappa_0, \kappa_1$  be the two subcubes of  $\kappa$  defined by  $x_i = 0, x_i = 1$ . By minimality,  $\kappa_1$  has some point  $z \in \theta$ , and  $\Gamma(f|_{\kappa_1})$  has a trajectory from  $z$  to some fixed point  $x^0$  of  $f|_{\kappa_1}$ . Since  $x^0 \in \theta$ ,  $f(x^0) = x^0 + e^i$ . Applying Lemma 13 to a trajectory from  $x^0$  to any  $y \in \kappa_0$  s.t.  $f_i(y) \neq y_i$  gives a path from  $i$  to  $i$  in  $\mathcal{G}(f)$ , whose sign is negative because  $x_i^0 = 1 \neq 0 = y_i$ . To conclude, it remains to note that such a path contains a negative cycle.

**15 Theorem** (Richard). *If  $f$  is non-expansive and has no fixed point, then  $\mathcal{G}(f)$  has a local negative cycle.*

Being non-expansive is a local property:  $f$  is non-expansive iff for all  $x$ ,  $\mathcal{G}(f)(x)$  has out-degree  $\leq 1$ . Indeed, if  $f$  is non-expansive,  $f(x) + f(x + e^i) = 0$  or  $e^j$  for some  $j$ . Conversely, if  $\mathcal{G}(f)(x)$  has out-degree  $\leq 1$ , for any direct path  $(x = z_0, \dots, z_{d(x,y)} = y)$  from  $x$  to  $y$ :

$$d(f(x), f(y)) \leq \sum_{i=1}^{d(x,y)} d(f(z_{i-1}), f(z_i)) \leq \sum_{i=1}^{d(x,y)} 1 = d(x, y).$$

Being non-expansive is a hereditary property: if  $f$  is non-expansive, so is any subnetwork. This follows immediately from locality.

Let  $\kappa$  be a minimal subcube such that  $f|_{\kappa}$  has no fixed point. Then  $g = f|_{\kappa}$  is non-expansive, and if  $\kappa$  is an  $I$ -subcube, for each  $i \in I$ , each of the two subcubes  $\kappa_0, \kappa_1$  of  $\kappa$  defined by  $x_i = 0, x_i = 1$  has a point  $x^0 \in \kappa_0, x^1 \in \kappa_1$  which is fixed respectively by  $g|_{\kappa_0}, g|_{\kappa_1}$ . Thus  $x_i^0 \neq x_i^1$  and  $g(x^0) + x^0 = e^i = g(x^1) + x^1$ . We call a pair  $(x^0, x^1)$  with these two properties a *mirror pair* of  $g$ .

We now show, by induction on the dimension, that the existence of a mirror pair in a non-expansive network suffices to entail a local negative cycle. In a 1-dimensional network, the result is obvious. Let  $f$  be an  $n$ -dimensional network with a mirror pair. If  $d(a, b) < n$  for some mirror pair  $(a, b)$ , the strict subnetwork  $f|_{[a,b]}$  is non-expansive and has the same mirror pair, hence a local negative cycle. So we may assume that any

mirror pair  $(a, b)$  is *antipodal*, i.e. satisfies  $d(a, b) = n$ . We may also assume w.l.o.g. that  $f(a) + a = e^1 = f(b) + b$ , with  $a_1 = 0, b_1 = 1$ .

First, we observe that  $f$  has no fixed point. Otherwise, a fixed point  $c$  would satisfy either  $c_1 = 0$  or  $c_1 = 1$ . In the first case,  $d(f(c), f(a)) = d(c, a + e^1) = d(c, a) + 1$ , and in the second case,  $d(f(c), f(b)) = d(c, b + e^1) = d(c, b) + 1$ . Anyway, we get a contradiction.

Let  $a^1 = a, a^2 = a + e^1$ . Since  $f$  is non-expansive,  $d(f(a^1), f(a^2)) \leq 1$  and  $f(a^2)$  is not a fixed point, hence  $f(a^2) = f(a^1) + e^{k_2} = a^2 + e^{k_2}$  for some  $k_2$ . This gives rise to sequences

$$a^1 = a, a^2, a^3, \dots, a^n \text{ and } k_1 = 1, k_2, k_3, \dots, k_n$$

such that  $f(a^i) = a^i + e^{k_i}$  for all  $i$ . If for some  $i \neq j$ ,  $k_i = k_j$ , then  $(a^i, a^j)$  is a mirror pair such that  $d(a^i, a^j) < n$ , contradiction. Thus  $k_1 = 1, k_2, \dots, k_n$  are all different and  $f(a^n) = b$ . Antipodality entails a similar sequence  $a^{n+1} = b, a^{n+2}, \dots, a^{2n}$  starting from  $b$ , with the same sequence  $k_1 = 1, \dots, k_n$ , so that  $f$  has an antipodal attractive cycle

$$(a^1 = a, a^2, \dots, a^n, a^{n+1} = b, a^{n+2}, \dots, a^{2n}, a).$$

We may assume, up to a permutation of variables, that  $k_i = i$  for all  $i$ . Now, for any  $1 \leq i \leq 2n$ ,  $\mathcal{G}(f)(a^i)$  and  $\mathcal{G}(f)(a^{i+1})$  have an edge from  $i$  to  $i + 1 \pmod n$ . Let us prove that, for all  $1 \leq i < p \leq n$ , if  $\mathcal{G}(f)(a^p)$  has an edge from  $i$  to  $i + 1$ , so has  $\mathcal{G}(f)(a^{p+1})$ . There are two cases.

1. For  $p = i + 1$ , we note that

$$d(a^{i+1}, f(a^i + e^{i+1})) = d(f(a^i), f(a^i + e^{i+1})) \leq d(a^i, a^i + e^{i+1}) = 1.$$

If  $f_{i+1}(a^i + e^{i+1}) \neq a_{i+1}^i$ , then  $f(a^i + e^{i+1}) = a^{i+2} = a^i + e^{i, i+1}$  and  $(a^i + e^{i+1}, a^{i+n \pmod{2n}})$  is a mirror pair contradicting antipodality. Therefore,  $f_{i+1}(a^i + e^{i+1}) = a_{i+1}^i$  and  $f_{i+1}(a^{i+2}) = a_{i+1}^{i+2} \neq a_{i+1}^i$ , so that  $\mathcal{G}(f)(a^{i+2})$  has an edge from  $i$  to  $i + 1$ .

2. For  $p \geq i + 2$ , we have

$$d(a^{p+1}, f(a^p + e^i)) = d(f(a^p), f(a^p + e^i)) \leq d(a^p, a^p + e^i) = 1.$$

Since  $\mathcal{G}(f)(a^p)$  has an edge from  $i$  to  $i + 1$  and  $i \neq p, p + 1$ , we have  $f_{i+1}(a^p + e^i) \neq a_{i+1}^p = a_{i+1}^{p+1}$ , therefore  $f(a^p + e^i) = a^{p+1} + e^{i+1}$ . On the other hand:

$$\begin{aligned} d(f(a^{p+1} + e^i), a^{p+1} + e^{i+1}) &= d(f(a^{p+1} + e^i), f(a^p + e^i)) \\ &\leq d(a^{p+1} + e^i, a^p + e^i) \\ &= 1. \end{aligned}$$

If  $f_{i+1}(a^{p+1} + e^i) = (a^{p+1} + e^i)_{i+1} = a_{i+1}^{p+1}$ , necessarily  $f(a^{p+1} + e^i) = a^{p+1}$  and  $(a^{p+1} + e^i, a^{i+n \pmod{2n}})$  is a mirror pair contradicting antipodality. Therefore  $f_{i+1}(a^{p+1} + e^i) \neq a_{i+1}^{p+1} = f_{i+1}(a^{p+1})$  and  $\mathcal{G}(f)(a^{p+1})$  has an edge from  $i$  to  $i + 1$ .

We conclude that  $\mathcal{G}(f)(a^{n+1}) = \mathcal{G}(f)(b)$  has a Hamiltonian cycle, which has to be negative because  $f(b) + b = e^1$ .

**16 Proposition** (Richard, R.). *For an and-net  $f$ , a cycle  $C$  of  $\mathcal{G}(f)$  is local if and only if it has no delocalizing triple.*

It is sufficient to show that, given an edge  $(w, s, v)$  of  $\mathcal{G}(f)$  and  $x \in \mathbb{F}_2^n$ ,  $(w, s, v)$  is an edge of  $\mathcal{G}(f)(x)$  if and only if  $f_v$  has no positive input  $u \neq w$  such that  $x_u = 0$ , and no negative input  $u \neq w$  such that  $x_u = 1$ .

1. On one hand, if  $(w, s, v)$  is an edge of  $\mathcal{G}(f)(x)$ , then  $f_v(x) \neq f_v(x + e^w)$ , so either  $f_v(x) = 1$  or  $f_v(x + e^w) = 1$ , and we deduce that  $x_u = (x + e^w)_u = 1$  for every positive input  $u \neq w$  of  $f_v$ , and  $x_u = (x + e^w)_u = 0$  for every negative input  $u \neq w$  of  $f_v$ .
2. On the other hand, if  $f_v$  has no positive input  $u \neq w$  such that  $x_u = 0$ , and no negative input  $u \neq w$  such that  $x_u = 1$ , then  $f_v(x) \neq f_v(x + e^w)$  and we deduce that  $(w, s, v)$  is an edge of  $\mathcal{G}(f)(x)$ .

**17 Theorem (R.).** *If  $f$  is an and-net and has an antipodal attractive cycle, then  $\mathcal{G}(f)$  has a local negative cycle.*

We show that an and-net  $f$  has an antipodal attractive cycle if and only if  $\mathcal{G}(f)$  is a (chordless) Hamiltonian negative cycle. The if part is trivial.

Conversely, if an and-net  $f$  has an antipodal attractive cycle  $\theta$ , we may assume that  $\theta$  is  $(0, \dots, e^{1, \dots, n-1}, \bar{0}, \dots, \overline{e^{1, \dots, n-1}}, 0)$  up to translation and a permutation of coordinates, so that  $\mathcal{G}(f)$  has a negative cycle  $C = (1, 2, \dots, n, 1)$ . If  $C$  has a negative chord  $(i, j)$ , then  $f_j(x) = 0$  as soon as  $x_i = 1$ .

- Now, if  $j \leq i$ , then  $e_j^{1, \dots, i} = 1$ . Since  $e_i^{1, \dots, i} = 1$  as well, by the above remark,  $f_j(e^{1, \dots, i}) = 0$ , hence  $i + 1$  and  $j$  are degrees of freedom of  $e^{1, \dots, i}$ . Since  $(i, j)$  is a chord,  $j \neq i + 1 \pmod n$ , and  $e^{1, \dots, i}$  has at least two degrees of freedom.
- Otherwise  $i \leq j - 1$ , so  $e_i^{1, \dots, j-1} = 1$ , and  $f_j(e^{1, \dots, j-1}) = 0$  by the above remark. Since  $e_j^{1, \dots, j-1} = 0$  too,  $j$  is not a degree of freedom of  $e^{1, \dots, j-1}$ .

In both cases, we have a contradiction with the hypothesis that  $\theta$  is an attractive cycle, and a similar argument applies for a positive chord at  $\overline{e^{1, \dots, i}}$ .

**18 Theorem (Richard, R.).** *1. If  $f$  is an and-net and every negative cycle of  $\mathcal{G}(f)$  has an internal delocalizing triple, then  $f$  has  $\geq 1$  fixed point.*

2. *If every odd cycle of a directed graph  $G$  has an internal killing triple, then  $G$  has  $\geq 1$  kernel.*
3. *The constructions of  $f^*$  and  $G^\pm$  relate fixed points to kernels, positive and negative cycles to even and odd cycles, and (good) delocalizing triples to killing triples.*

See *From kernels in directed graphs to fixed points and negative cycles in Boolean networks*, A. Richard, P. Ruet (Discrete Applied Mathematics 161: 1106-1117, 2013).

**19 Proposition.** *Let  $f$  be the negative and-net associated to the directed graph  $G$ . The fixed points of  $f$  are in bijection with the kernels of  $G^{\text{op}}$ .*

Let  $K : \mathbb{F}_2^n \rightarrow \mathcal{P}(\{1, \dots, n\})$  be the bijection defined by mapping any point  $x \in \mathbb{F}_2^n$  to the set  $K(x)$  of  $i$  such that  $x_i = 1$ . We prove that  $x$  is a fixed point of  $f$  if and only if  $K(x)$  is a kernel of  $G^{\text{op}}$ .

1. Let  $x$  be a fixed point of  $f$ . If  $(j, i)$  is an edge of  $g^{\text{op}}$  and  $i \in K(x)$ , then  $i$  is a negative input of  $j$  and  $x_i = 1$ , so  $x_j = f_j(x) = 0$ , hence  $j \notin K(x)$ . Therefore  $K(x)$  is an independent set of vertices in  $G^{\text{op}}$ . Moreover, if  $j \notin K(x)$ , then  $0 = x_j = f_j(x)$  and  $f_j$  has at least one negative input  $i$  such that  $x_i = 1$ . Therefore  $(j, i)$  is an edge of  $G^{\text{op}}$  and  $i \in K(x)$ . We conclude that  $K(x)$  is an absorbent set of  $G^{\text{op}}$ , hence a kernel.
2. Assume on the other hand that  $K(x)$  is a kernel of  $G^{\text{op}}$ , and let  $j$  be any vertex. If  $j \in K(x)$ , then any vertex  $i$  dominated by  $j$  in  $G^{\text{op}}$  is not in  $K(x)$ . In other words,  $f_j$  has no input  $i$  in  $K(x)$ , and we deduce that  $f_j(x) = 1 = x_j$ . On the other hand, if  $j \notin K(x)$ , then  $j$  dominates some vertex  $i \in K(x)$  in  $G^{\text{op}}$ . Hence  $f_j$  has an input  $i$  such that  $x_i = 1$ , and we deduce that  $f_j(x) = 0 = x_j$ . Therefore  $x$  is a fixed point of  $f$ .

**20 Theorem** (Remy-R.-Thieffry, Comet-Richard). *If  $\gamma$  is a discrete network such that  $\mathcal{G}(\gamma)$  has no local positive cycle, then  $\gamma$  has  $\leq 1$  fixed point.*

Assume that  $\gamma$  has two fixed points, and let  $\kappa$  be a minimal subspace such that  $\gamma|_{\kappa}$  has two fixed points. Then  $\kappa = [x, y]$  for two fixed points  $x, y$  of  $\gamma|_{\kappa}$ . Let  $y'$  be the unique point of  $\kappa$  satisfying  $|x_i - y'_i| = 1$  for all  $i$  such that  $x_i \neq y_i$ , and  $\beta$  be the increasing bijection  $[x, y'] \rightarrow \mathbb{F}_2^I$ . We show, by induction on the diameter  $k$  of  $\kappa$ , that  $\mathcal{G}(\gamma)(x, y')$  has a positive cycle.

If  $k = 1$ , then  $y = y' = x + e^i$  or  $x - e^i$  for some  $i$ , and  $\mathcal{G}(\gamma)(x, y')$  has a positive loop on  $i$ .

If  $k > 1$ , let  $f$  be the map associated to the Boolean network  $\beta(\gamma|_{[x, y']})$ . Let  $i \in I$  and  $x'$  be the unique point of  $\kappa$  such that  $d(x, x') = 1$  and  $x_i \neq x'_i$ . By minimality,  $y$  is the unique fixed point of the subnetwork  $\gamma|_{[x', y]}$ . If  $\gamma|_{[x', y]}$  has an edge leaving  $x'$  in the direction  $i$ , then  $\gamma|_{[x, x']}$  has two fixed points, in contradiction with minimality. Therefore, there exists  $j \neq i$  such that  $f_j(\beta(x) + e^i) = f_j(\beta(x')) \neq f_j(\beta(x))$ , and  $\mathcal{G}(f)(\beta(x))$  has an edge from  $i$  to  $j$ . Since this holds for any  $i \in I$ ,  $\mathcal{G}(f)(\beta(x))$  has a cycle, which is positive because  $\beta(x)$  is a fixed point of  $f$ .

**21 Theorem** (Richard). *If  $\gamma$  is a discrete network such that  $\mathcal{G}(\gamma)$  has no local cycle, then  $\gamma$  has a unique fixed point.*

Assume that  $\gamma$  has no fixed point, and let  $\kappa = \prod_{i \in I} \{m_i, \dots, M_i\}$  be a minimal subspace such that  $\gamma|_{\kappa}$  has no fixed point. Let  $i \in I$ . There exist  $a, b \in \kappa$  such that  $a_i = m_i, b_i = M_i$  and the only edges of  $\mu = \gamma|_{\kappa}$  leaving  $a$  or  $b$  are in direction  $i$ . Define the discrete network  $N_i(\mu)$  to be the same as  $\mu$ , except for edges in direction  $i$ : if  $d(x, y) = 1$  and  $x_i \neq y_i$ ,  $N_i(\mu)$  has an edge from  $x$  to  $y$  when  $\mu$  has not. Then for any  $x, y$ , the local graphs  $\mathcal{G}(N_i(\mu))(x, y)$  and  $\mathcal{G}(\mu)(x, y)$  have the same edges (maybe with different signs). Since  $a, b$  are two fixed points of  $N_i(\mu)$ ,  $\mathcal{G}(N_i(\mu))$  has a local (positive) cycle, and  $\mathcal{G}(\mu)$  has a local cycle.

**22 Lemma** (R.). *Let  $f$  be a negative and-net and  $S$  be a set of cycles of  $\mathcal{G}(f)$ . If  $f$  has an  $S$ -quasi-delocalizing function, then  $f$  can be expanded to an and-net  $g$  such that every cycle of  $\mathcal{G}(g)$  above a cycle of  $S$  is delocalized.*



Let  $\chi$  be an  $S$ -quasi-delocalizing function of  $f$ . We proceed in two steps. We first define an and-net  $f'$  by replacing in  $\mathcal{G}(f)$  each edge  $(i, j) \in \text{Im}(\chi_2)$  by two edges  $(i, i')$ ,  $(i', j)$ , where  $i'$  is a new vertex,  $(i, i')$  is positive and  $(i', j)$  is negative. Since  $\text{Im}(\chi_1) \cap \text{Im}(\chi_2) = \emptyset$ ,  $\text{Im}(\chi_1)$  is a set of negative edges of  $f'$ .

We then define  $g$  by adding to  $f'$ , for each  $(i, k) \in \text{Im}(\chi_1)$ , three edges  $(i, i'')$ ,  $(i'', i')$  and  $(i'', k)$ , where  $i''$  is a new vertex,  $(i, i'')$ ,  $(i'', i')$  are positive and  $(i'', k)$  is negative.

Now,  $f'$  reduces to  $f$  and  $g$  reduces to  $f'$ , so these two steps are expansions, as required. Finally, a cycle of  $\mathcal{G}(g)$  which is above

$$C = (i, j, \dots, k, \dots, i) \in S,$$

where  $\chi(C) = ((i, k), (i, j))$ , is

$$\text{either } (i, i', j, \dots, k, \dots, i) \text{ or } (i, i'', i', j, \dots, k, \dots, i).$$

The first one is delocalized by the triple  $(i'', i', k)$ , the second one by  $(i, i', k)$ .

**23 Corollary (R.).** *Let  $f$  be a negative and-net and  $S$  be the set of negative cycles of  $\mathcal{G}(f)$ . If  $f$  has an  $S$ -quasi-delocalizing function, then  $f$  can be expanded to an and-net without local negative cycle.*

Let  $g$  be the and-net given by expansion of  $f$  in Lemma 22. Each elementary negative cycle of  $\mathcal{G}(g)$  is above some negative cycle of  $\mathcal{G}(f)$ , thus above a cycle of  $S$ : it is therefore delocalized.

## 2 Exercises

1. Compute the Jacobian matrix  $\mathcal{J}(f)(x)$  of

$$f(x) = \begin{pmatrix} (x_3 \vee x_4) \neg x_2 \\ x_3 \neg x_4 \\ x_4 \neg x_1 \neg x_2 \\ x_1 x_2 \neg x_3 \end{pmatrix},$$

and the subnetworks  $f|_{\kappa_0}, f|_{\kappa_1}$ , where  $\kappa_0, \kappa_1$  are the two  $\{1, 2, 3\}$ -subcubes defined by  $x_4 = 0, x_4 = 1$ . Compute the reduced network  $f'$  of  $f$  on 4.

2. Show that the following local inverse theorem holds: if  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  and  $x \in \mathbb{F}_2^n$  are such that  $\mathcal{J}(f)(x)$  is invertible, then the restriction of  $f$  to the unit ball  $B(x, 1)$ , defined by  $d(x, y) \leq 1$ , is injective.
3. Show that arbitrary cyclic attractors are generally not preserved by reduction.
4. Prove the theorems of Robert and Bahi-Michel: if  $\mathcal{G}(f)$  has no cycle, then  $f$  has a unique fixed point and the iteration of  $f$  terminates in  $\leq n$  steps, and  $\Gamma(f)$  is collapsing.
5. Let  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  be a Boolean network. Show that if  $x \in \mathbb{F}_2^n$  has odd out-degree in  $\Gamma(f)$  and the Jacobian matrix  $\mathcal{J}(f)(x)$  is invertible, then  $\mathcal{G}(f)(x)$  has a negative cycle.

6. Show that if  $f + \text{id}$  is bijective, then  $\mathcal{G}(f)$  has a local positive loop (cycle of length 1) if and only if it has a local negative loop.
7. Let  $f : \mathbb{F}_2^4 \rightarrow \mathbb{F}_2^4$  be defined by

$$f(x) = \begin{pmatrix} x_4(x_2 + 1)(x_3 + 1) \\ x_1(x_3 + 1)(x_4 + 1) \\ x_2(x_4 + 1)(x_1 + 1) \\ x_3(x_1 + 1)(x_2 + 1) \end{pmatrix}.$$

Show that  $f$  is hereditarily upf, but that  $\mathcal{G}(f)$  has a unique local cycle, which is positive. It is therefore not true that if a Boolean network  $f$  is hereditarily upf, then  $\mathcal{G}(f)$  has a local positive cycle if and only if it has a local negative cycle.

8. Is the set of Boolean networks which have a unique fixed point closed under translation? Give either a proof or a counterexample.
9. Show that a Boolean network  $f$  may be directly terminating but not hereditarily upf. Show that the fact  $f + \text{id}$  is bijective does not suffice to conclude that  $\Gamma(f)$  is weakly terminating.
10. Show that a Boolean network may be hereditarily upf and have a local cycle.
11. A pair  $(x, y) \in \mathbb{F}_2^n \times \mathbb{F}_2^n$  is called a *mirror pair* of  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  when  $(f + \text{id})|_{[x,y]}(x) = (f + \text{id})|_{[x,y]}(y)$ , i.e., when  $x$  and  $y$  have the same degrees of freedom for the map  $f|_{[x,y]}$ . Prove that  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  is hereditarily upf if and only if  $f$  has no mirror pair.
12. A point  $x \in \mathbb{F}_2^n$  is said to be *even* (resp. *odd*) when  $\sum_{i=1}^n x_i = 0$  (resp. 1). The sum here is again addition in the field  $\mathbb{F}_2$ . A network  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  is *even* (resp. *odd*) when the image  $\text{Im}(f + \text{id})$  of  $f + \text{id}$  is the set of even (resp. odd) points of  $\mathbb{F}_2^n$ . Letting  $\bar{x}$  denote the *antipode*  $x + e_1 + \dots + e_n$  of  $x \in \mathbb{F}_2^n$ , a network  $f$  is said to be a *mirror network* when for any  $x \in \mathbb{F}_2^n$ ,  $f(\bar{x}) = \overline{f(x)}$ , i.e.  $(x, \bar{x})$  is a mirror pair.
- Let  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  be a Boolean network. Assume that for any  $i \in \{1, \dots, n\}$  and  $\{1, \dots, n\} \setminus \{i\}$ -subcube  $\kappa$ ,  $(f + \text{id})|_{\kappa}$  is bijective, and that  $f + \text{id}$  is not bijective. Let  $F = f + \text{id}$ . Show that for any  $a \in \mathbb{F}_2^n$  and  $i \in \{1, \dots, n\}$ ,  $F^{-1}(\{a, a + e_i\})$  has cardinality 2.
  - Prove that, under the same assumptions,  $f$  is an even or odd mirror network.
  - Show that  $f + \text{id}$  is hereditarily bijective if and only if  $f$  has no even or odd mirror subnetwork.
13. Show that if the graph associated to a square matrix  $M$  with entries in  $\mathbb{F}_2$  has no cycle, then  $M$  is nilpotent. Show that the converse is wrong.
14. An  $n \times n$  matrix  $M = (M_{i,j})_{i,j \in \{1, \dots, n\}}$  with entries in  $\mathbb{F}_2$  is said to be *hereditarily invertible* (resp. *hereditarily nilpotent*) when so are all square submatrices  $M_I = (M_{i,j})_{i,j \in I}$ , for  $I \subseteq \{1, \dots, n\}$ . Show that the following are equivalent:

- (a) the graph whose adjacency matrix is  $M$  has no cycle;
- (b)  $M$  is hereditarily nilpotent;
- (c)  $\mathcal{I} + M$  is hereditarily invertible, where  $\mathcal{I}$  denotes the identity matrix.

Prove that if  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  is such that  $\mathcal{G}(f)(x)$  is hereditarily invertible for each  $x \in \mathbb{F}_2^n$ , then  $f$  is hereditarily bijective.

15. Given a permutation  $\sigma \in \mathfrak{S}_{2^n}$ , let  $F : \mathbb{F}_2^{n+1} \rightarrow \mathbb{F}_2^{n+1}$  be the map defined on the subcube  $0[\{1, \dots, n\}]$  by:

$$F(x, 0) = \begin{cases} (\sigma(x), 0) & \text{if } \sigma(x) \text{ is even,} \\ (\sigma(x), 1) & \text{otherwise,} \end{cases}$$

and by  $F(x, 1) = F(\bar{x}, 0)$ . Show that  $f = F + \text{id}$  is an even mirror network, and that any even mirror network can be constructed as above.

16. Let  $f$  be a non-expansive Boolean network. Show that if  $f$  has a cyclic attractor, then  $\mathcal{G}(f)$  has a local negative cycle. (Hint: take a minimal subcube such that the assumption holds.)