



# EQUATIONS for HoTT

Matthieu Sozeau,  $\pi.r^2$ , Inria Paris & IRIF

HoTT Conference  
August 13th 2019  
Carnegie Mellon University  
Pittsburgh, PA, USA

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions with first-match semantics and **inaccessible**(/dot) patterns
- ▶ **with** and **where** clauses, pattern-matching lambdas

Inductive  $\text{fin} : \text{nat} \rightarrow \text{Set} :=$

|  $\text{fz} : \forall n : \text{nat}, \text{fin} (\text{S } n)$                        $\text{fin } n \simeq [0, n)$   
 |  $\text{fs} : \forall n : \text{nat}, \text{fin } n \rightarrow \text{fin} (\text{S } n).$

Equations  $\text{fineq} \{k\} (n \ m : \text{fin } k) : \{ n = m \} + \{ n \neq m \} :=$

$\text{fineq fz fz} := \text{left idpath} ;$

$\text{fineq (fs } n) (\text{fs } m) \text{ with fineq } n \ m \Rightarrow \{$   
      $\text{fineq (fs } n) (\text{fs } ?(n)) (\text{left idpath}) := \text{left idpath} ;$

$\text{fineq (fs } n) (\text{fs } m) (\text{right } p) :=$   
          $\text{right } (\lambda \{ | \text{idpath} := p \text{idpath} \}) \} ;$

$\text{fineq } x \ y := \text{right } \_.$

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)
- ▶ Propositional equations for defining clauses

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)
- ▶ Propositional equations for defining clauses
- ▶ Systematic derivation of **functional elimination** principles (involves transport in the dependent case)

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)
- ▶ Propositional equations for defining clauses
- ▶ Systematic derivation of **functional elimination** principles (involves transport in the dependent case)
- ▶ Original no-confusion notion and homogeneous equality (UIP on a type-by-type basis, configurable)

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)
- ▶ Propositional equations for defining clauses
- ▶ Systematic derivation of **functional elimination** principles (involves transport in the dependent case)
- ▶ Original no-confusion notion and homogeneous equality (UIP on a type-by-type basis, configurable)
- ▶ Parameterized by a logic: **Prop** (extraction-friendly), **Type** (proof-relevant equality), **SProp** (strict proof-irrelevance), ...

- ▶ EPIGRAM/AGDA/IDRIS-style pattern-matching definitions
- ▶ **with** and **where** clauses, pattern-matching lambdas
- ▶ Nested and mutual structurally recursive and **well-founded** definitions: applies to **inductive families** (heterogeneous subterm relation)
- ▶ Propositional equations for defining clauses
- ▶ Systematic derivation of **functional elimination** principles (involves transport in the dependent case)
- ▶ Original no-confusion notion and homogeneous equality (UIP on a type-by-type basis, configurable)
- ▶ Parameterized by a logic: **Prop** (extraction-friendly), **Type** (proof-relevant equality), **SProp** (strict proof-irrelevance), ...

Purely **definitional**, axiom-free translation to Coq (CIC) terms



```
Equations filter {A} (l : list A) (p : A → bool) : list A :=  
filter nil p := nil ;  
filter (cons a l) p with p a := {  
  | true := a :: filter l p ;  
  | false := filter l p }.
```

# Reasoning support: elimination principle

```
Equations filter {A} (l : list A) (p : A → bool) : list A :=  
filter nil p := nil ;  
filter (cons a l) p with p a := {  
  | true := a :: filter l p ;  
  | false := filter l p }.
```

```
Check (filter_elim :  
  ∀ (P : ∀ (A : Type) (l : list A) (p : A → bool), list A → Type),  
  let P0 := fun (A : Type) (a : A) (l : list A) (p : A → bool)  
    (refine : bool) (res : list A) ⇒  
    p a = refine → P A (a :: l) p res  
  in  
  (∀ (A : Type) (p : A → bool), P A [] p []) →  
  (∀ (A : Type) (a : A) (l : list A) (p : A → bool),  
    P A l p (filter l p) → P0 A a l p true (a :: filter l p)) →  
  (∀ (A : Type) (a : A) (l : list A) (p : A → bool),  
    P A l p (filter l p) → P0 A a l p false (filter l p)) →  
  ∀ (A : Type) (l : list A) (p : A → bool), P A l p (filter l p)).
```

- 1 Dependent Pattern-Matching 101
  - Pattern-Matching and Unification
  - Covering
  
- 2 Dependent Pattern-Matching and Axiom K
  - History and preliminaries
  - A homogeneous no-confusion principle
  - Support for HoTT

**Idea:** reasoning up-to the theory of equality and constructors

Example: to eliminate  $t : \mathbf{vector} A m$ , we unify with:

- 1  $\mathbf{vector} A \mathbf{0}$  for  $\mathbf{vnil}$
- 2  $\mathbf{vector} A (\mathbf{S} n)$  for  $\mathbf{vcons}$

Unification  $t \equiv u \rightsquigarrow Q$  can result in:

- ▶  $Q = \mathbf{Fail}$
- ▶  $Q = \mathbf{Success} \sigma$  (with a substitution  $\sigma$ );
- ▶  $Q = \mathbf{Stuck} t$  if  $t$  is outside the theory (e.g. a constant)

Two successes in this example for  $[m := \mathbf{0}]$  and  $[m := \mathbf{S} n]$  respectively.

# Unification rules

SOLUTION

$$\frac{x \notin \mathcal{FV}(t)}{x \equiv t \rightsquigarrow \mathbf{Success} \sigma[x := t]}$$

OCCUR-CHECK

$$\frac{C \text{ constructor context}}{x \equiv C[x] \rightsquigarrow \mathbf{Fail}}$$

DISCRIMINATION

$$\frac{}{C \_ \equiv D \_ \rightsquigarrow \mathbf{Fail}}$$

INJECTIVITY

$$\frac{t_1 \dots t_n \equiv u_1 \dots u_n \rightsquigarrow Q}{C t_1 \dots t_n \equiv C u_1 \dots u_n \rightsquigarrow Q}$$

PATTERNS

$$\frac{p_1 \equiv q_1 \rightsquigarrow \mathbf{Success} \sigma \quad (p_2 \dots p_n)\sigma \equiv (q_2 \dots q_n)\sigma \rightsquigarrow Q}{p_1 \dots p_n \equiv q_1 \dots q_n \rightsquigarrow Q \cup \sigma}$$

DELETION

$$\frac{}{t \equiv t \rightsquigarrow \mathbf{Success} \square}$$

STUCK

$$\frac{\text{Otherwise}}{t \equiv u \rightsquigarrow \mathbf{Stuck} u}$$

# Unification examples

- ▶  $0 \equiv S\ n \rightsquigarrow \text{Fail}$
- ▶  $S\ m \equiv S\ (S\ n) \rightsquigarrow \text{Success } [m := S\ n]$
- ▶  $0 \equiv m + 0 \rightsquigarrow \text{Stuck } (m + 0)$

Stuck cases indicate a variable to eliminate, to refine the pattern-matching problem (here variable  $m$ ).

Pattern-matching compilation uses unification to:

- ▶ Decide which program clause to choose
- ▶ Decide which constructors can apply when we eliminate a variable

Overlapping clauses and first-match semantics:

```
Equations equal (m n : nat) : bool :=  
  equal O O := true;  
  equal (S m') (S n') := equal m' n';  
  equal m n := false.
```

```
cover(m n : nat ⊢ m n : (m n : nat)) ← context map
```

Overlapping clauses and first-match semantics:

Equations  $\text{equal } (m \ n : \text{nat}) : \text{bool} :=$   
   $\text{equal } \mathbf{O} \ \mathbf{O} := \text{true};$   
   $\text{equal } (\mathbf{S} \ m') \ (\mathbf{S} \ n') := \text{equal } m' \ n';$   
   $\text{equal } m \ n := \text{false}.$

$\text{cover}(m \ n : \text{nat} \vdash m \ n) \rightarrow \mathbf{O} \ \mathbf{O} \equiv m \ n \rightsquigarrow \text{Stuck } m$



Overlapping clauses and first-match semantics:

```
Equations equal (m n : nat) : bool :=  
  equal O O := true;  
  equal (S m') (S n') := equal m' n';  
  equal m n := false.
```

```
Split(m n : nat ⊢ m n, m, [ ])
```

Overlapping clauses and first-match semantics:

```
Equations equal (m n : nat) : bool :=  
  equal O O := true;  
  equal (S m') (S n') := equal m' n';  
  equal m n := false.
```

```
Split(m n : nat ⊢ n m, m, [  
  cover(n : nat ⊢ O n)  
  cover(m' n : nat ⊢ (S m') n)])
```

Overlapping clauses and first-match semantics:

```
Equations equal (m n : nat) : bool :=  
  equal O O := true;  
  equal (S m') (S n') := equal m' n';  
  equal m n := false.
```

```
Split(m n : nat ⊢ m n, m, [  
  Split(n : nat ⊢ O n, n, [  
    Compute(⊢ O O ⇒ true),  
    Compute(n' : nat ⊢ O (S n') ⇒ false)]),  
  cover(m' n : nat ⊢ (S m') n)])
```

Overlapping clauses and first-match semantics:

```
Equations equal (m n : nat) : bool :=  
  equal O O := true;  
  equal (S m') (S n') := equal m' n';  
  equal m n := false.
```

```
Split(m n : nat ⊢ m n, m, [  
  Split(n : nat ⊢ O n, n, [  
    Compute(⊢ O O ⇒ true),  
    Compute(n' : nat ⊢ O (S n') ⇒ false)]),  
  Split(m' n : nat ⊢ (S m') n, n, [  
    Compute(m' : nat ⊢ (S m') O ⇒ false),  
    Compute(m' n' : nat ⊢ (S m') (S n') ⇒ equal m' n')]]])
```

# Dependent pattern-matching

```
Inductive vector (A : Type) : nat → Type :=  
| vnil : vector A 0  
| vcons : A → ∀ (n : nat), vector A n → vector A (S n).  
Equations vtail A n (v : vector A (S n)) : vector A n :=  
  vtail A n (vcons _ ?(n) v) := v.
```

Each variable must appear only once, except in **inaccessible** patterns.

```
cover(A n v : vector A (S n)) ⊢ A n v
```

# Dependent pattern-matching

**Inductive vector** ( $A : \text{Type}$ ) :  $\text{nat} \rightarrow \text{Type} :=$

| **vnil** : **vector**  $A$  0

| **vcons** :  $A \rightarrow \forall (n : \text{nat}), \text{vector } A \ n \rightarrow \text{vector } A \ (\text{S } n)$ .

**Equations** **vtail**  $A \ n \ (v : \text{vector } A \ (\text{S } n)) : \text{vector } A \ n :=$

**vtail**  $A \ n \ (\text{vcons } \_ \ ?(n) \ v) := v$ .

Each variable must appear only once, except in **inaccessible** patterns.

**Split**( $A \ n \ (v : \text{vector } A \ (\text{S } n)) \vdash A \ n \ v, v, [$

**Fail**; //  $0 \neq \text{S } n$

**cover**( $A \ n' \ a \ (v' : \text{vector } A \ n') \vdash A \ n' \ (\text{vcons } a \ ?(n') \ v'))])$

# Dependent pattern-matching

```
Inductive vector (A : Type) : nat → Type :=
| vnil : vector A 0
| vcons : A → ∀ (n : nat), vector A n → vector A (S n).
Equations vtail A n (v : vector A (S n)) : vector A n :=
  vtail A n (vcons _ ?(n) v) := v.
```

Each variable must appear only once, except in **inaccessible** patterns.

```
Split(A n (v : vector A (S n)) ⊢ A n v, v, [
  Fail; // S n ≠ 0
  Compute(A n' a (v' : vector A n') ⊢ A n' (vcons a ?(n') v')
    ⇒ v')])
```

Equations  $\text{nth} \{A \ n\} (v : \text{vector } A \ n) (f : \text{fin } n) : A :=$   
   $\text{nth} (\text{cons } x \ \_) (\text{fz } \_) := x;$   
   $\text{nth} (\text{cons } \_ \ ?(n) \ v) (\text{fs } n \ f) := \text{nth } v \ f.$



- 1 Dependent Pattern-Matching 101
  - Pattern-Matching and Unification
  - Covering
  
- 2 Dependent Pattern-Matching and Axiom K
  - History and preliminaries
  - A homogeneous no-confusion principle
  - Support for HoTT

- ▶ **Coquand (1992)** introduced the dependent pattern-matching notion as a new primitive in type theory, introducing  $K$  at the same time:

$$K : \forall A (x : A) (e : x = x), e = \text{eq\_refl}$$

- ▶ **Coquand (1992)** introduced the dependent pattern-matching notion as a new primitive in type theory, introducing  $K$  at the same time:

$$K : \forall A (x : A) (e : x = x), e = \text{eq\_refl}$$

- ▶ This axiom was shown independent from type theory (MLTT or CIC) by **Hofmann and Streicher (1994)**.
  - ▶ It is a consequence of proof-irrelevance.

- ▶ **Coquand (1992)** introduced the dependent pattern-matching notion as a new primitive in type theory, introducing  $K$  at the same time:

$$K : \forall A (x : A) (e : x = x), e = \text{eq\_refl}$$

- ▶ This axiom was shown independent from type theory (MLTT or CIC) by **Hofmann and Streicher (1994)**.
  - ▶ It is a consequence of proof-irrelevance.
  - ▶ It is incompatible with the univalence axiom

- ▶ **Coquand (1992)** introduced the dependent pattern-matching notion as a new primitive in type theory, introducing  $K$  at the same time:

$$K : \forall A (x : A) (e : x = x), e = \text{eq\_refl}$$

- ▶ This axiom was shown independent from type theory (MLTT or CIC) by **Hofmann and Streicher (1994)**.
  - ▶ It is a consequence of proof-irrelevance.
  - ▶ It is incompatible with the univalence axiom
- ▶ **McBride (1999)**; **Goguen et al. (2006)** introduce the idea of “internalizing” dependent pattern-matching using just the eliminators for inductive families and equality. This uses an axiomatized heterogeneous equality type, even stronger than  $K$ .

# Derived constructions on inductives

To internalize dependent pattern-matching, we must witness the unification steps with proof terms.

- ▶ For the SOLUTION rule we just use  $J$ .

# Derived constructions on inductives

To internalize dependent pattern-matching, we must witness the unification steps with proof terms.

- ▶ For the SOLUTION rule we just use  $\mathbf{J}$ .
- ▶ For manipulations of telescopes, standard  $\Sigma$ -types (with their  $\eta$  law) suffice.

# Derived constructions on inductives

To internalize dependent pattern-matching, we must witness the unification steps with proof terms.

- ▶ For the **SOLUTION** rule we just use **J**.
- ▶ For manipulations of telescopes, standard  $\Sigma$ -types (with their  $\eta$  law) suffice.
- ▶ For inductives  $I : \Pi \Delta, \mathbf{Type}$ , we automatically derive:
  - 1 Their standard case-analysis eliminator
  - 2 A signature:  $\bar{I} := \Sigma i : \bar{\Delta}. I i$  (i.e., the total space over  $I$ )
  - 3 **NoConfusion** $_{\bar{I}}$ : for **INJECTIVITY** and **DISCRIMINATION**.
  - 4 **EqDec** $_{\bar{I}}$ : decidable equality (if derivable) for **DELETION** (which requires **UIP** in general).
  - 5 **Subterm** $_{\bar{I}}$ : the subterm relation, and its well-foundedness, which allows to prove acyclicity of inductive values (e.g.  $x \neq S x$ ) (**OCCUR-CHECK**)



# Derived constructions on inductives

To internalize dependent pattern-matching, we must witness the unification steps with proof terms.

- ▶ For the SOLUTION rule we just use **J**.
- ▶ For manipulations of telescopes, standard  $\Sigma$ -types (with their  $\eta$  law) suffice.
- ▶ For inductives  $I : \Pi\Delta, \mathbf{Type}$ , we automatically derive:
  - 1 Their standard case-analysis eliminator
  - 2 A signature:  $\bar{I} := \Sigma i : \bar{\Delta}. I i$  (i.e., the total space over  $I$ )
  - 3 **NoConfusion** $_{\bar{I}}$ : for INJECTIVITY and DISCRIMINATION.
  - 4 **EqDec** $_{\bar{I}}$ : decidable equality (if derivable) for DELETION (which requires **UIP** in general).
  - 5 **Subterm** $_{\bar{I}}$ : the subterm relation, and its well-foundedness, which allows to prove acyclicity of inductive values (e.g.  $x \neq S x$ ) (OCCUR-CHECK)
- ▶ All simplification steps must have good computational behavior: they are *strong* unifiers / type equivalences ( $\simeq_s$ ). Going back and forth through a strong equivalence must preserve reflexive equalities definitionally.

Heterogeneous vs homogeneous equality:

To eliminate  $v$  in

$$\Gamma = n : \mathbb{N}, v : \mathbf{vector} A (\mathbf{S} n) \vdash \tau$$

We generalize  $v$  and its index:

$$\Gamma' = n' : \mathbb{N}, v' : \mathbf{vector} A n'$$

We also add an equality to get a goal equivalent to the original:

$$\blacktriangleright \Gamma' \vdash \forall \Gamma, (n', v') =_{\Sigma n : \mathbb{N}. \mathbf{vector} A n} (\mathbf{S} n, v) \rightarrow \tau$$

Eliminate  $v'$  and simplify the equalities in the theory of constructors and uninterpreted functions (decidable). Done!

# Dependent Pattern-Matching and Axiom K

To compile pattern-matching, we use the no-confusion principle on inductive families to solve equations like:

$$\mathbf{fs} \ n \ f =_{\mathbf{fin} \ (S \ n)} \mathbf{fs} \ n \ f'$$

# Dependent Pattern-Matching and Axiom K

To compile pattern-matching, we use the no-confusion principle on inductive families to solve equations like:

$$\begin{aligned} & \mathbf{fs} \ n \ f =_{\mathbf{fin}} (\mathbf{S} \ n) \ \mathbf{fs} \ n \ f' \\ \simeq_s \quad & (n; f) =_{\Sigma x:\mathbf{nat}. \mathbf{fin} \ x} (n; f') \end{aligned}$$

# Dependent Pattern-Matching and Axiom K

To compile pattern-matching, we use the no-confusion principle on inductive families to solve equations like:

$$\begin{aligned} & \mathbf{fs} \ n \ f =_{\mathbf{fin}} (\mathbf{S} \ n) \ \mathbf{fs} \ n \ f' \\ \simeq_s & \ (n; f) =_{\Sigma x:\mathbf{nat}. \mathbf{fin} \ x} (n; f') \\ \simeq_s & \ \Sigma(e : n =_{\mathbf{nat}} n). e \# f =_{\mathbf{fin} \ n} f' \end{aligned}$$

# Dependent Pattern-Matching and Axiom K

To compile pattern-matching, we use the no-confusion principle on inductive families to solve equations like:

$$\begin{aligned} & \mathbf{fs} \ n \ f =_{\mathbf{fin}} (\mathbf{S} \ n) \ \mathbf{fs} \ n \ f' \\ \simeq_s & \ (n; f) =_{\Sigma x:\mathbf{nat}. \mathbf{fin} \ x} (n; f') \\ \simeq_s & \ \Sigma(e : n =_{\mathbf{nat}} n). e \ \# \ f =_{\mathbf{fin} \ n} f' \end{aligned}$$

To simplify and obtain  $f = f'$  through a strong equivalence, we would need to know

$$(e : n =_{\mathbf{nat}} n) \equiv \mathbf{eq\_refl}$$

As you know, not true!

# Dependent Pattern-Matching and Axiom K

To compile pattern-matching, we use the no-confusion principle on inductive families to solve equations like:

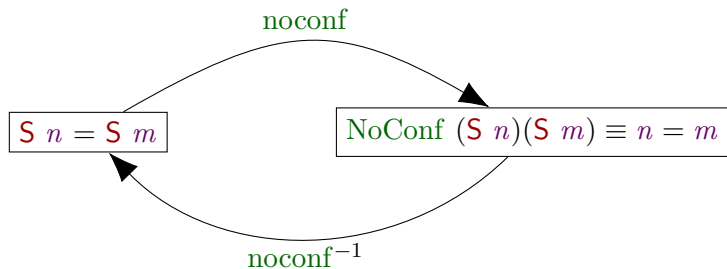
$$\begin{aligned} \text{fs } n \ f &=_{\text{fin}} (\text{S } n) \ \text{fs } n \ f' \\ \simeq_s \ (n; f) &=_{\Sigma x:\text{nat}. \text{fin } x} (n; f') \\ \simeq_s \ \Sigma(e : n =_{\text{nat}} n). e \ \& \ f &=_{\text{fin } n} f' \end{aligned}$$

To simplify and obtain  $f = f'$  through a strong equivalence, we would need to know

$$(e : n =_{\text{nat}} n) \equiv \text{eq\_refl}$$

As you know, not true!

However, `isHSet nat`, so  $(n =_{\text{nat}} n) \simeq \top$  for a regular (non-strong) type equivalence. These do not provide the right reduction behavior for elaborated definitions however: they get stuck on the indices, as the `UIP` proof for `nat` inspects the indices recursively.



$$\text{noconf}^{-1}\ x\ y\ (\text{noconf}\ x\ y\ e) = e \quad (\text{regular})$$

$$\text{noconf}^{-1}\ (S\ n)\ (S\ n)\ (\text{noconf}\ (S\ n)\ (S\ n)\ \text{idpath}) \equiv \text{idpath} \quad (\text{strong})$$



# Pattern-Matching without K

**Question:** how to restrict pattern-matching to not rely on K?

**Cockx (2017):** proof-relevant unification algorithm based on simplification of equalities, avoiding K by restricting deletion (can't be made into a strong equivalence):

DELETION

---

**eq\_refl** :  $t =_T t \rightsquigarrow \text{Success}$   $\square$

# Pattern-Matching without K

**Question:** how to restrict pattern-matching to not rely on K?

**Cockx (2017):** proof-relevant unification algorithm based on simplification of equalities, avoiding K by restricting deletion (can't be made into a strong equivalence):

$$\frac{\text{DELETION}}{\text{eq\_refl} : t =_T t \rightsquigarrow \text{Success} \quad \square}$$

Also restricts the injectivity rule for indexed inductive types, e.g.:

$$\frac{\text{INJECTIVITY} \quad \text{noconf } e : n =_{\text{nat}} n \rightsquigarrow Q}{e : \text{fz } n =_{\text{fin}} (S \ n) \ \text{fz } n \rightsquigarrow Q}$$

Huge restriction in practice, lifted by **Cockx and Devriese (2018)** using a higher-dimensional unification algorithm. We propose a more direct way to treat it.

Brady et al. (2003) proposed the notion of *forced argument* of constructors to justify compile-time optimizations for the representation of constructors. For `fin`:

```
Inductive fin : nat → Set :=  
| fz : ∀ n : nat, fin (S n)  
| fs : ∀ n : nat, fin n → fin (S n).
```

The  $n$  argument of each constructor is “forced” by the index  $S\ n$ .

Brady et al. (2003) proposed the notion of *forced argument* of constructors to justify compile-time optimizations for the representation of constructors. For `fin`:

```
Inductive fin : nat → Set :=  
| fz : ∀ n : nat, fin (S n)  
| fs : ∀ n : nat, fin n → fin (S n).
```

The  $n$  argument of each constructor is “forced” by the index `S n`.

The associated **heterogeneous** no-confusion principle:

```
Equations NoConfHet {n n'} (f : fin n) (f' : fin n') : Type :=  
  NoConfHet (fz n) (fz n') := n = n';  
  NoConfHet (fs n f) (fs n' f') := (n; f) = (n'; f');  
  NoConfHet _ _ := ⊥.
```

Brady et al. (2003) proposed the notion of *forced argument* of constructors to justify compile-time optimizations for the representation of constructors. For `fin`:

```
Inductive fin : nat → Set :=  
| fz : ∀ n : nat, fin (S n)  
| fs : ∀ n : nat, fin n → fin (S n).
```

The  $n$  argument of each constructor is “forced” by the index `S n`.

A refined **homogeneous** no-confusion principle to handle this:

```
Equations NoConf {n} (f f' : fin n) : Type :=  
  NoConf (fz ?(n)) (fz n) := ⊤;  
  NoConf (fs ?(n) f) (fs n f') := f = f';  
  NoConf _ _ := ⊥.
```

# Homogeneous No-Confusion

**Eqns** `noconf`  $\{n\}$   $(f\ f' : \text{fin } n)$   $(e : f = f')$  : `NoConf`  $f\ f'$  :=

...

**Eqns** `noconfeq`  $\{n\}$   $(f\ f' : \text{fin } n)$   $(e : \text{NoConf } f\ f')$  :  $f = f' :=$   
`noconfeq`  $(\text{fz } ?(n))$   $(\text{fz } n)$   $\_ := \text{eq\_refl}$ ;

...

These two functions form **strong** type equivalence: it transports reflexivity proofs to reflexivity proofs **definitionally** *for equalities between constructor-headed terms*.

# Pattern-matching without K

This justifies the new injectivity rule:

$$\frac{\text{noconfeq} \text{ (noconf } e) \equiv \text{eq\_refl} : (\text{fz } n) =_{\text{fin}} (\text{s } n) (\text{fz } n) \rightsquigarrow \text{Success} \quad \square}{e : \text{fz } n =_{\text{fin}} (\text{s } n) \text{ fz } n \rightsquigarrow \text{Success} \quad [e := \text{eq\_refl}]}$$

⇒ Forced arguments do not need to be unified: they are definitionally equal by typing.

- ▶ In AGDA, this justifies the unification used in the `--without-K` mode
- ▶ EQUATIONS uses this refined no-confusion principle to provide axiom-free definitions, even when they involved complex inversions on inductive families.
- ▶ We also have a mode where user-provided proofs of UIP can be used, but do not guarantee the computational behavior in that case (it can be useful in proofs though).

To implement pattern-matching simplification **internally** we use:

- ▶ Cumulative, universe polymorphic notions of equality and sigma-types/telescopes.



To implement pattern-matching simplification **internally** we use:

- ▶ Cumulative, universe polymorphic notions of equality and sigma-types/telescopes.
- ▶ Derivation of the homogeneous no-confusion principle for indexed families in addition to the heterogeneous one.

To implement pattern-matching simplification **internally** we use:

- ▶ Cumulative, universe polymorphic notions of equality and sigma-types/telescopes.
- ▶ Derivation of the homogeneous no-confusion principle for indexed families in addition to the heterogeneous one.
- ▶ **Definitional** fixpoint equations for recursion on the derived subterm relation, otherwise **propositional**. Similar to EPIGRAM/LEAN's Below definitions.

# Comparison with Cockx and Devriese

Cockx and Devriese (2018): higher-dimensional unification.

- ▶ More expressive, based on the functoriality of `ap` and the fact that it preserves equivalences. Can solve box-filling problems (e.g. pattern matching on squares of equalities).

# Comparison with Cockx and Devriese

Cockx and Devriese (2018): higher-dimensional unification.

- ▶ More expressive, based on the functoriality of `ap` and the fact that it preserves equivalences. Can solve box-filling problems (e.g. pattern matching on squares of equalities).
- ▶ Gives the same notion of path equality for the forced arguments problems, albeit with a more complex proof term.

Cockx and Devriese (2018): higher-dimensional unification.

- ▶ More expressive, based on the functoriality of `ap` and the fact that it preserves equivalences. Can solve box-filling problems (e.g. pattern matching on squares of equalities).
- ▶ Gives the same notion of path equality for the forced arguments problems, albeit with a more complex proof term.
- ▶ Unfortunately, quite incompatible with `COQ`'s syntactic guardedness check (esp due to representation of telescopes using sigma-types). `EQUATIONS` provides support for well-founded recursion though (see paper for details).

Cockx and Devriese (2018): higher-dimensional unification.

- ▶ More expressive, based on the functoriality of `ap` and the fact that it preserves equivalences. Can solve box-filling problems (e.g. pattern matching on squares of equalities).
- ▶ Gives the same notion of path equality for the forced arguments problems, albeit with a more complex proof term.
- ▶ Unfortunately, quite incompatible with `COQ`'s syntactic guardedness check (esp due to representation of telescopes using sigma-types). `EQUATIONS` provides support for well-founded recursion though (see paper for details).
- ▶ Methodological difference: `AGDA` relies on the metatheoretical proofs of *op. cit.* (many done internally), but one must trust the implementation and its interaction with e.g. sized-types. Here we elaborate instead (not touching the kernel).

Cockx and Devriese (2018): higher-dimensional unification.

- ▶ More expressive, based on the functoriality of `ap` and the fact that it preserves equivalences. Can solve box-filling problems (e.g. pattern matching on squares of equalities).
- ▶ Gives the same notion of path equality for the forced arguments problems, albeit with a more complex proof term.
- ▶ Unfortunately, quite incompatible with COQ's syntactic guardedness check (esp due to representation of telescopes using sigma-types). EQUATIONS provides support for well-founded recursion though (see paper for details).
- ▶ Methodological difference: AGDA relies on the metatheoretical proofs of op. cit. (many done internally), but one must trust the implementation and its interaction with e.g. sized-types. Here we elaborate instead (not touching the kernel).
- ▶ Future work: integration in our simplification engine.

**Equations** `sing_contr`  $\{A\} (x : A) : \text{Contr} (\Sigma y : A, x = y) :=$   
`sing_contr`  $x := \{ | \text{center} := (x, 1); \text{contr} := \text{contr} | \}$   
**where** `contr`  $: \forall y : (\Sigma y : A, x = y), (x, 1) = y :=$   
`contr`  $(y, 1) := 1.$

- ▶ A new elimination tactic `dependent_elimination` `foo` as `[p1 | .. | pn]` based on the simplification engine. Gives robust naming and ordering of inversions, and patterns can even use notations...
- ▶ Use of arbitrary user-provided proofs `UIP` is configurable: show `UIP l` ( $\equiv$  `isHSet l`) and simplification uses it for the deletion and injectivity rules. These `UIP` proofs are relevant for reduction (unless using `SProp`).
- ▶ Integration with dependent elimination tactic: abstracts away that, e.g. `nat` has `UIP` to eliminate  $(e : n = n)$  to `idpath`.



## Ongoing work:

- ▶ IDE support for refinement mode (Proof-General & VSCoq)
- ▶ Support for Coq-HoTT and UniMath (reusing the basic definitions from those libraries).
- ▶ Integration with **SProp** and an equality with built-in UIP (“strict” pattern-matching).
- ▶ Integration with Almost-Full relations for (foundational) termination checking: subsumes Size-Change Termination, Terminator (Vytiniotis et al., 2012).

## Future work:

- ▶ Implementation and elaboration correctness proof in METACOQ (Sozeau et al., 2019).
- ▶ Link with rewrite rules: dependent pattern-matching and well-founded recursion as a definitional translation to CIC.
- ▶ Extension to co-patterns and co-recursion.

[github.com/mattam82/Coq-Equations#hott-logic](https://github.com/mattam82/Coq-Equations#hott-logic)

```
# opam install coq.dev coq-hott.dev \  
  coq-equations-hott.dev
```

Soon to be released along with Coq 8.10 (uses the equality type as defined in the HoTT/Coq library).

- Edwin Brady, Conor McBride, and James McKinna. [Inductive Families Need Not Store Their Indices](#). In Stefano Berardi, Mario Coppo, and Ferruccio Damiani, editors, *TYPES*, volume 3085 of *Lecture Notes in Computer Science*, pages 115–129. Springer, 2003.
- Jesper Cockx. [Dependent Pattern Matching and Proof-Relevant Unification](#). PhD thesis, Katholieke Universiteit Leuven, Belgium, 2017.
- Jesper Cockx and Dominique Devriese. [Proof-relevant unification: Dependent pattern matching with only the axioms of your type theory](#). *J. Funct. Program.*, 28:e12, 2018.
- Thierry Coquand. [Pattern Matching with Dependent Types](#), 1992. Proceedings of the Workshop on Logical Frameworks.
- Healfdene Goguen, Conor McBride, and James McKinna. [Eliminating Dependent Pattern Matching](#). In Kokichi Futatsugi, Jean-Pierre Jouannaud, and José Meseguer, editors, *Essays Dedicated to Joseph A. Goguen*, volume 4060 of *Lecture Notes in Computer Science*, pages 521–540. Springer, 2006.
- Martin Hofmann and Thomas Streicher. [A Groupoid Model Refutes Uniqueness of Identity Proofs](#). In *LICS*, pages 208–212. IEEE Computer Society, 1994.
- Conor McBride. [Dependently Typed Functional Programs and Their Proofs](#). PhD thesis, University of Edinburgh, 1999.
- Matthieu Sozeau, Abhishek Anand, Simon Boulter, Cyril Cohen, Yannick Forster, Fabian Kunze, Gregory Malecha, Nicolas Tabareau, and Théo Winterhalter. [The MetaCoq Project](#). Submitted, April 2019.
- Dimitrios Vytiniotis, Thierry Coquand, and David Wahlstedt. [Stop When You Are Almost-Full - Adventures in Constructive Termination](#). In Lennart Beringer and Amy P. Felty, editors, *Interactive Theorem Proving - Third International Conference, ITP 2012, Princeton, NJ, USA, August 13-15, 2012. Proceedings*, volume 7406 of *Lecture Notes in Computer Science*, pages 250–265. Springer, 2012.

# Input syntax

term, type	$t, \tau$	$::=$	$x \mid \lambda x : \tau, t \mid \forall x : \tau, \tau' \mid \lambda \{ \overrightarrow{up} := t \}^+$
binding	$d$	$::=$	$(x : \tau) \mid (x := t : \tau)$
context	$\Gamma, \Delta$	$::=$	$\overrightarrow{d}$
programs	$progs$	$::=$	$prog \overrightarrow{mutual}$
mutual programs	$mutual$	$::=$	<b>with</b> $p \mid where$
where clause	$where$	$::=$	<b>where</b> $p \mid where not$
notation	$not$	$::=$	''string'' := term (: scope)?
program	$p, prog$	$::=$	$f \Gamma : \tau$ ( <b>by</b> <i>annot</i> )? := clauses
annotation	$annot$	$::=$	<b>struct</b> $x \mid wf t R$
clauses	$clauses$	$::=$	$\overrightarrow{c} \mid \{ \overrightarrow{c} \}$
user clause	$c$	$::=$	$f \overrightarrow{up} n \mid \overrightarrow{up}^+ n$
user pattern	$up$	$::=$	$x \mid \mathbf{C} \overrightarrow{up} \mid ?(t) \mid (x := up)$
user node	$n$	$::=$	$:= t where \mid :=! x$ $\mid with t, \overrightarrow{t} := clauses$

# Program representation: splitting trees

context map	$c$	$::=$	$\Delta \vdash \vec{p} : \Gamma$
pattern	$p$	$::=$	$x \mid \mathbf{C} \vec{p} \mid ?(t)$
splitting	$spl$	$::=$	$\mathbf{Split}(c, x, (spl?)^n) \mid \mathbf{Compute}(c'' =_i'' rhs)$
node	$rhs$	$::=$	$t, w \mid \mathbf{Refine}(t, c, \ell, spl)$
label	$\ell$	$::=$	$\epsilon \mid \ell.n \quad (n \in \mathbb{N})$

# Elimination principle: inductive graph

For  $f.l : \Pi \Delta, f_{\text{comp}} \vec{t}$  we generate  $f.l_{\text{ind}} : \Pi \Delta, f_{\text{comp}} \vec{t} \rightarrow \text{Prop}$  and prove  $\Pi \Delta, f.l_{\text{ind}} \bar{\Delta} (f.l \bar{\Delta})$ .

$\text{ABSREC}(f, t)$  abstracts all the calls to  $f_{\text{comp\_proj}}$  from the term  $t$ , returning a new derivation  $\Gamma' \vdash t'$  where  $\Gamma'$  contains bindings of the form  $x : \Pi \Delta, f_{\text{comp}} \vec{t}$  for all the recursive calls.

Define  $\text{HYPs}(\Gamma)$  by a map to produce the corresponding inductive hyps of the form  $H_x : \Pi \Delta, f_{\text{ind}} \vec{t} (x \bar{\Delta})$ .

Direct translation from the splitting tree:

- ▶  $\text{Split}(c, x, s), \text{Rec}(v, s)$  : collect the constructors for the subsplitting(s)  $s$ , if any.
- ▶  $\text{Compute}(\Delta \vdash \vec{p} : \Gamma'' = \iota'' \text{ rhs})$  : By case on  $\text{rhs}$ :
  - ▶  $t$  : Compute  $\Psi \vdash t' = \text{ABSREC}(f, t)$  and return the statement

$$\Pi \Delta \Psi \text{ HYPs}(\Psi), \text{f.l.ind } \vec{p} \ t'$$

- ▶  $\text{Refine}(t, \Delta' \vdash \vec{v}^x, x, \vec{v}_x : \Delta^x, x : \tau, \Delta_x, \ell.n, s)$  :  
 Compute  $\Psi \vdash t' = \text{ABSREC}(f, t)$  and return:

$$\Pi \Delta \Psi \text{ HYPs}(\Psi) (\text{res} : \text{f.comp } \vec{p}) \\ \text{f.l.n.ind } \overline{\Delta^x} \ t' \ \overline{\Delta_x} \ \text{res} \rightarrow \text{f.l.ind } \vec{p} \ \text{res}$$

We continue with the generation of the  $\text{f.l.n.ind}$  graph.

- 1 Dependent Pattern-Matching 101
  - Pattern-Matching and Unification
  - Covering
  
- 2 Dependent Pattern-Matching and Axiom K
  - History and preliminaries
  - A homogeneous no-confusion principle
  - Support for HoTT



- ▶ Syntactic guardness checks are fragile (and buggy)
- ▶ Do not work well with abstraction/modularity
- ▶ Restricted to structural recursion on a single argument, with no currying allowed

**Idea** Use the logic instead: well-founded recursion!

Use **well-founded** recursion on the subterm relation for inductive families  $I : \Pi \Delta, \text{Type}$ .

Use **well-founded** recursion on the subterm relation for inductive families  $I : \Pi \Delta, \mathbf{Type}$ .

- ▶ General definition of direct subterm:

$$I_{sub} : \Pi \Delta_l \Delta_r, I \overline{\Delta_l} \rightarrow I \overline{\Delta_r} \rightarrow \mathbf{Prop}$$

- ▶ Define the subterm relation on telescopes:

$$I_{sub} : \mathbf{relation} (\Sigma \Delta, I \overline{\Delta})$$

Derive Subterm for vector.

# Subterm relation example: vectors

Derive `Subterm` for `vector`.

Inductive `vector_strict_subterm` ( $A : \text{Type}$ )

:  $\forall H H0 : \text{nat}, \text{vector } A H \rightarrow \text{vector } A H0 \rightarrow \text{Prop} :=$

`vector_strict_subterm_1_1` :  $\forall (a : A) (n : \text{nat}) (H : \text{vector } A n),$

`vector_strict_subterm`  $A n (\text{S } n) H (\text{Vcons } a H).$

Check `vector_subterm` :  $\forall A : \text{Type}, \text{relation } \{ \text{index} : \text{nat} \ \& \ \text{vector } A \ \text{index} \}.$

# Subterm relation example: vectors

Derive Subterm for vector.

Inductive `vector_strict_subterm` ( $A : \text{Type}$ )  
:  $\forall H H0 : \text{nat}$ , `vector`  $A H \rightarrow$  `vector`  $A H0 \rightarrow \text{Prop} :=$   
  `vector_strict_subterm_1_1` :  $\forall (a : A) (n : \text{nat}) (H : \text{vector } A n)$ ,  
    `vector_strict_subterm`  $A n (\text{S } n) H (\text{Vcons } a H)$ .

Check `vector_subterm` :  $\forall A : \text{Type}$ , `relation`  $\{ \text{index} : \text{nat} \ \& \ \text{vector } A \ \text{index} \}$ .

Equations `unzip`  $\{ A B n \}$  ( $v : \text{vector } (A \times B) n$ )  
: `vector`  $A n \times$  `vector`  $B n :=$   
`unzip`  $A B n v$  by `rec`  $v :=$   
`unzip`  $A B ?(\text{O}) \text{Vnil} := (\text{Vnil}, \text{Vnil})$  ;  
`unzip`  $A B ?(\text{S } n) (\text{Vcons } (\text{pair } x y) n v)$  with `unzip`  $v := \{$   
   $| (\text{pair } xs ys) := (\text{Vcons } x xs, \text{Vcons } y ys) \}$ .

- 1 Dependent Pattern-Matching 101
  - Pattern-Matching and Unification
  - Covering
  
- 2 Dependent Pattern-Matching and Axiom K
  - History and preliminaries
  - A homogeneous no-confusion principle
  - Support for HoTT

**Goal:** keep an **abstract** view of definitions if desired.

- ▶ Equations for the clauses hold definitionally in CCI.
- ▶ If UIP is used, only propositionally.
- ▶ All put together in a rewrite database,  $f$  can be considered opaque.



- ▶ Abstracts away the pattern-matching and recursion pattern of the program.
- ▶ Can be used to modularly work on definitions not yet proven terminating.
- ▶ Generates equalities for each **with** in the program
- ▶ Supports nested and mutual structural or well-founded recursions: one predicate by function/**where** clause
- ▶ Generated in **Type** if possible, to allow proof-relevant definitions: useful in HoTT for example, or to prove **reflect** predicates.

- ▶ `simp f` allows to rewrite with the equations of a definition `f`
- ▶ `noconf H` uses pattern-matching simplification to simplify an equality hypothesis (combines injection, discriminate, subst, and acyclicity)
- ▶ dependent elimination `id as [p1 .. pn]` launches a dependent pattern-matching covering on the goal variable `id`. You can use arbitrary notations for patterns, no more cryptic `destruct as` clauses!