

# REGULARITIES OF THE DISTRIBUTION OF $\beta$ -ADIC VAN DER CORPUT SEQUENCES

WOLFGANG STEINER\*

ABSTRACT. For Pisot numbers  $\beta$  with irreducible  $\beta$ -polynomial, we prove that the discrepancy function  $D(N, [0, y))$  of the  $\beta$ -adic van der Corput sequence is bounded if and only if the  $\beta$ -expansion of  $y$  is finite or its tail is the same as that of the expansion of 1. If  $\beta$  is a Parry number, then we can show that the discrepancy function is unbounded for all intervals of length  $y \notin \mathbb{Q}(\beta)$ . We give explicit formulae for the discrepancy function in terms of lengths of iterates of a reverse  $\beta$ -substitution.

## 1. INTRODUCTION

Let  $(x_n)_{n \geq 0}$  be a sequence with  $x_n \in [0, 1)$  and

$$D(N, I) = \#\{0 \leq n < N : x_n \in I\} - N\lambda(I)$$

its *discrepancy function* on the interval  $I$ , where  $\lambda(I)$  denotes the length of the interval. Then  $(x_n)_{n \geq 0}$  is uniformly distributed if and only if  $D(N, I) = o(N)$  for all intervals  $I \subseteq [0, 1)$ . Van Aardenne-Ehrenfest [25] proved that the discrepancy function cannot be bounded (in  $N$ ) for all intervals  $I \subseteq [0, 1)$ . W.M. Schmidt showed in [23] that the set of lengths of intervals with bounded discrepancy function,  $\{\lambda(I) : \sup_{N \geq 0} D(N, I) < \infty\}$ , is at most countable and in [22] that  $\sup_{I \subseteq [0, 1)} D(N, I) \geq C \log N$  for some constant  $C > 0$ . For more details on the discrepancy, see Drmota and Tichy [4].

For some special sequences, the intervals with bounded discrepancy function were determined. If  $x_n = \{n\alpha\}$ , then  $D(N, I)$  is bounded if and only if  $\lambda(I) = \{m\alpha\}$  for some  $m \geq 0$  (Hecke [10] and Kesten [13]). More generally, Rauzy [18] and Ferenczi [8] characterized bounded remainder sets for irrational rotations on the torus  $\mathbb{T}^s$ . Liardet [14] extended Hecke's and Kesten's result on these rotations and studied bounded remainder sets for  $x_n = \{p(n)\}$ , where  $p(n)$  is a real polynomial with irrational leading coefficient, as well as for  $q$ -multiplicative sequences.

If  $(x_n)_{n \geq 0}$  is the van der Corput sequence in base  $q$ , then  $D(N, I)$  is bounded if and only if  $\lambda(I)$  has finite  $q$ -ary expansion (W.M. Schmidt [23] and Shapiro [24] for  $q = 2$ , Hellekalek [11] for integers  $q \geq 2$ ). Faure extended this result in [6] on generalized van

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\*Institute of Discrete Mathematics and Geometry, Vienna University of Technology, Wiedner Hauptstraße 8-10/104, 1040 Vienna, Austria.

der Corput sequences and recently in [7] on digital  $(0, 1)$ -sequences over  $\mathbb{Z}_q$  generated by a nonsingular upper triangular matrix where  $q$  is a prime number (see also Drmota, Larcher and Pillichshammer [3]). Hellekalek [12] considered generalizations of the Halton sequences in higher dimensions.

The aim of this article is to determine the intervals with bounded discrepancy function for the  $\beta$ -adic van der Corput sequences, which were introduced by Ninomiya [15] who proved that these sequences are low discrepancy sequences, i.e.  $\sup_{I \subseteq [0,1]} D(N, I) = \mathcal{O}(\log N)$ , if  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial.

For a given real number  $\beta > 1$ , the *expansion of 1* with respect to  $\beta$  is the sequence of nonnegative integers  $(a_j)_{j \geq 1}$  satisfying

$$1 = .a_1 a_2 \dots = \frac{a_1}{\beta} + \frac{a_2}{\beta^2} + \dots \text{ with } a_j a_{j+1} \dots < a_1 a_2 \dots \text{ for all } j \geq 2$$

(Throughout this article, let  $<$  denote the lexicographical order for words.) For  $x \in [0, 1)$ , the  $\beta$ -*expansion* of  $x$ , introduced by Rényi [19] and characterized by Parry [16], is given by

$$x = .\epsilon_1 \epsilon_2 \dots = \frac{\epsilon_1}{\beta} + \frac{\epsilon_2}{\beta^2} + \dots \text{ with } \epsilon_j \epsilon_{j+1} \dots < a_1 a_2 \dots \text{ for all } j \geq 1.$$

The elements of the  $\beta$ -*adic van der Corput sequence*  $(x_n)_{n \geq 0}$  are the real numbers  $x \in [0, 1)$  with finite  $\beta$ -expansion,

$$\{x_n : n \geq 0\} = \{.\epsilon_1 \epsilon_2 \dots : \epsilon_j \epsilon_{j+1} \dots < a_1 a_2 \dots \text{ for all } j \geq 1, \epsilon_\ell \epsilon_{\ell+1} \dots = 0^\infty \text{ for some } \ell \geq 1\},$$

ordered lexicographically with respect to the (inversed) word  $\dots \epsilon_2 \epsilon_1$ , i.e. for  $x_n = .\epsilon_1 \epsilon_2 \dots$  and  $x_{n'} = .\epsilon'_1 \epsilon'_2 \dots$ , we have  $n < n'$  if we have some  $k \geq 1$  such that  $\epsilon_k < \epsilon'_k$  and  $\epsilon_j = \epsilon'_j$  for all  $j > k$ .

If the expansion of 1 is finite,  $a_1 a_2 \dots = a_1 \dots a_d 0^\infty$ , or eventually periodic,  $a_1 a_2 \dots = a_1 \dots a_{d-p} (a_{d-p+1} \dots a_d)^\infty$ , then  $\beta$  is a *Parry number* and it is the dominant root of the  $\beta$ -*polynomial*  $x^d - a_1 x^{d-1} - \dots - a_d$  (with  $a_d > 0$ ) and  $(x^d - a_1 x^{d-1} - \dots - a_d) - (x^{d-p} - a_1 x^{d-p-1} - \dots - a_{d-p})$  (where  $p$  is assumed to be minimal) respectively. In this case, we obtain results for the discrepancy function.

**Theorem 1.** *If  $\beta$  is a Parry number and  $D(N, I)$  is bounded (in  $N$ ), then  $\lambda(I) \in \mathbb{Q}(\beta)$ .*

Bertrand [1] and K. Schmidt [21] proved that all *Pisot numbers* (algebraic integers for which all algebraic conjugates have modulus  $< 1$ ) are Parry numbers. If furthermore the  $\beta$ -polynomial is the minimal polynomial of  $\beta$ , then we can completely characterize the intervals  $[0, y)$  with bounded discrepancy function.

**Theorem 2.** *If  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial, then  $D(N, [0, y))$  is bounded (in  $N$ ) for  $y \in [0, 1)$  if and only if the  $\beta$ -expansion of  $y$  is finite or its tail is the same as that of the expansion of 1 with respect to  $\beta$ , i.e. if  $y = .y_1 y_2 \dots$  with  $y_k y_{k+1} \dots = 0^\infty$  or  $y_k y_{k+1} \dots = (a_{d-p+1} \dots a_d)^\infty$  for some  $k \geq 1$ .*

*Remark.* Another way to formulate the condition on  $y$  is: the infinite  $\beta$ -expansion of  $y$  has the same tail as the infinite expansion of 1 (which is  $1 = .(a_1 \dots a_{d-1} (a_d - 1))^\infty$  if  $1 = .a_1 \dots a_d$ ).

The classification for general intervals  $I$  seems to be more difficult. Of course,  $D(N, [y, y'])$  is bounded if  $D(N, [0, y])$  and  $D(N, [0, y'])$  are bounded because of  $D(N, [y, y']) = D(N, [0, y']) - D(N, [0, y])$ . From the proof of Theorem 2 we see that  $D(N, [y, y'])$  is bounded if  $y = .y_1y_2\dots$  and  $y' = .y'_1y'_2\dots$  with  $y_ky_{k+1}\dots = y'_ky'_{k+1}\dots$  for some  $k \geq 1$ .

The boundedness of  $D(N, I)$  is not necessarily invariant under translation of the interval. E.g. for  $1 = .31^\infty$ ,  $D(N, [0, .1^\infty])$  is bounded, but  $D(N, [.1^\infty, .2^\infty])$  is unbounded. It is also possible that  $D(N, [y, y'])$  is bounded and  $D(N, [0, y' - y])$  is unbounded:  $D(N, [.02, 1])$  is bounded and  $D(N, [0, 1 - .02]) = D(N, [0, .2^\infty])$  is unbounded.

This article is organised as follows. In Section 2 we recapitulate some facts about number systems defined by substitutions (due to Dumont and Thomas [5]) and define a reverse  $\beta$ -substitution which determines  $x_n$ . Theorem 1 is proved in Section 3 similarly to Shapiro [24]. The remaining parts of Theorem 2 are proved in Section 4, where explicit formulae for the discrepancy function in terms of lengths of iterates of the reverse  $\beta$ -substitution are given.

## 2. NUMBER SYSTEMS DEFINED BY SUBSTITUTIONS

**2.1. Generalities.** Let  $\sigma$  be a substitution on the alphabet  $\mathcal{A} = \{1, \dots, d\}$ , i.e. a mapping from  $\mathcal{A}$  into the set of nonempty finite words on  $\mathcal{A}$ , which is extended to a mapping on words by concatenation,  $\sigma(ww') = \sigma(w)\sigma(w')$ . A sequence of words  $m_k, \dots, m_1$  is called  $\sigma$ -*b-admissible* if we have a companion sequence of letters  $b_j$  with  $b_{k+1} = b$  such that  $m_jb_j \leq_p \sigma(b_{j+1})$  for all  $j \leq k$  (where  $w \leq_p w'$  means that  $w$  is a prefix of  $w'$ ). For a given sequence  $m_k, \dots, m_1$ , clearly the sequence  $b_k, \dots, b_1$  is unique.

If  $\sigma(1) = 1w$  for some word  $w$ , then the limit  $\sigma^\infty(1) = \lim_{k \rightarrow \infty} \sigma^k(1)$  exists because of  $\sigma^{k+1}(1) = \sigma^k(1w) = \sigma^k(1)\sigma^k(w)$  and we have

$$(1) \quad \sigma^{k-1}(m_k) \dots \sigma^0(m_1) \leq_p \sigma^k(1) \leq_p \sigma^\infty(1)$$

for all  $\sigma$ -1-admissible sequences  $m_k, \dots, m_1$ . Furthermore, every prefix  $u_1 \dots u_n \leq_p \sigma^\infty(1)$ ,  $n \geq 1$ , can be written as the left hand side of (1) with a unique  $\sigma$ -1-admissible sequence  $m_k, \dots, m_1$  with  $|m_k| > 0$  (where  $|m|$  denotes the length of  $m$ ). Denote these  $m_j$  by  $m_{j,\sigma}(n)$  and set  $m_{j,\sigma}(n) = \varepsilon$  (the empty word) for all  $j > k$ . For  $n = 0$ , set  $m_{j,\sigma}(0) = \varepsilon$  for all  $j \geq 1$ . Then

$$n = \sum_{j=1}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n))| = \sum_{j=1}^{\infty} \sum_{b=1}^d |m_{j,\sigma}(n)|_b |\sigma^{j-1}(b)|,$$

where  $|m|_b$  denotes the number of  $b$ 's in  $m$ . If  $m_{j,\sigma}(n') = m_{j,\sigma}(n)$  for all  $j > k$  and  $|m_{k,\sigma}(n')| > |m_{k,\sigma}(n)|$ , i.e.  $m_{k,\sigma}(n') = m_{k,\sigma}(n)b_jw$  for some word  $w$ , then  $\sigma^{k-2}(m_{k-1,\sigma}(n)) \dots \sigma^0(m_{1,\sigma}(n))$  is a strict prefix of  $\sigma^{k-1}(b_k)$ , hence  $\sum_{j=1}^{k-1} |\sigma^{j-1}m_{j,\sigma}(n)| < \sigma^{k-1}(b_k)$  and we have

$$n' \geq \sum_{j=k}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n'))| \geq \sum_{j=k}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n))| + |\sigma^{k-1}(b_k)| > n,$$

thus

$$(2) \quad n < n' \text{ if } \dots |m_{2,\sigma}(n)| |m_{1,\sigma}(n)| < \dots |m_{2,\sigma}(n')| |m_{1,\sigma}(n')|$$

**2.2.  $\beta$ -substitution.** If  $\beta$  is a Parry number, then the  $\beta$ -substitution  $\sigma$  is defined by

$$\sigma(b) = \begin{cases} 1^{a_b}(b+1) & \text{if } 1 \leq b < d \\ 1^{a_d} & \text{if } b = d, 1 = .a_1 \dots a_d \\ 1^{a_d}(d-p+1) & \text{if } b = d, 1 = .a_1 \dots a_{d-p}(a_{d-p+1} \dots a_d)^\infty \end{cases}$$

(where  $1^{a_j}$  denotes the concatenation of  $a_j$  letters 1).

If we set  $G_k = |\sigma^k(1)|$  for all  $k \geq 0$ , then

$$G_k = \sum_{j=1}^k a_j G_{k-j} + \begin{cases} 1 & \text{if } a_j = 0 \text{ for all } j > k \\ 0 & \text{else} \end{cases}$$

(in particular  $G_k = \sum_{j=1}^d a_j G_{k-j}$  if  $1 = .a_1 \dots a_d$  and  $k > d$ ) and

$$n = \sum_{j=1}^{\infty} |m_{j,\sigma}(n)| |\sigma^{j-1}(1)| = \sum_{j=1}^{\infty} |m_{j,\sigma}(n)| G_{j-1}$$

since the words  $m_{j,\sigma}(n)$  consist only of ones. Thus the  $|m_{j,\sigma}(n)|$  are the digits in the  $G$ -ary expansion of  $n$  with  $G = (G_j)_{j \geq 0}$  and the  $\sigma$ -1-admissible sequences  $m_k, \dots, m_1$  are exactly those sequences consisting only of ones with  $|m_j| \dots |m_1| 0^\infty < a_1 a_2 \dots$  for all  $j \leq k$ .

*Example.* If  $1 = .402$ , then

$$\sigma(1) = 11112, \quad \sigma(2) = 3, \quad \sigma(3) = 11.$$

An example of a  $\sigma$ -1-admissible sequence with  $k = 5$  is

$$(m_5, b_5), \dots, (m_1, b_1) = (11, 1), (1111, 2), (\varepsilon, 3), (\varepsilon, 1), (1, 1)$$

which corresponds to

$$n = |\sigma^4(11)\sigma^3(1111)\sigma^2(\varepsilon)\sigma(\varepsilon)1| = 2G_4 + 4G_3 + 1 = 1053.$$

**2.3. Reverse  $\beta$ -substitution.** For a Parry number  $\beta$ , set  $t_1 = 0^\infty$  and let  $\{t_2, \dots, t_{d+1}\}$  be the set of words  $\{a_j a_{j+1} \dots : j \geq 2\}$  with

$$0^\infty = t_1 < t_2 < \dots < t_d < t_{d+1} = a_1 a_2 \dots$$

For  $1 \leq b \leq d$  set

$$\tau(b) = \begin{cases} u_0(b) \dots u_{a_1}(b) & \text{if } a_1 t_b < a_1 a_2 \dots \\ u_0(b) \dots u_{a_1-1}(b) & \text{else} \end{cases}$$

with

$$u_j(b) = b' \text{ if } t_{b'} \leq j t_b < t_{b'+1}.$$

We clearly have  $u_0(1) = 1$ , thus  $\tau^\infty(1)$  exists and every  $n \geq 1$  corresponds to a unique  $\tau$ -1-admissible sequence  $m_k, \dots, m_1$  with  $|m_k| > 0$ .

The following example and proposition show (for  $b = 1$ ) that the possible sequences of “digits”  $|m_{j,\tau}(n)|$  are the same as for  $|m_{j,\sigma}(n)|$ , but in reversed order. Therefore we call  $\tau$  *reverse  $\beta$ -substitution*.

*Example.* For  $1 = .402$ , we have  $t_1 = 0^\infty$ ,  $t_2 = 020^\infty$ ,  $t_3 = 20^\infty$ ,  $t_4 = 4020^\infty$ , thus

$$\tau(1) = 12333, \quad \tau(2) = 1233, \quad \tau(3) = 2233.$$

We have a  $\tau$ -1-admissible sequence with  $|m_5| \dots |m_1| = 10042$ ,

$$(m_5, b_5), \dots, (m_1, b_1) = (1, 2), (\varepsilon, 1), (\varepsilon, 1), (1233, 3), (22, 3)$$

which corresponds to

$$n = |\tau^4(1)\tau^3(\varepsilon)\tau^2(\varepsilon)\tau(1233)22| = G_4 + 19 = 373.$$

**Proposition 1.** *Each  $\tau$ - $b$ -admissible sequence  $m_k, \dots, m_1$  satisfies*

$$(3) \quad |m_j| \dots |m_k| t_b < a_1 a_2 \dots \text{ for all } j \leq k.$$

*Conversely, for each sequence  $\epsilon_1 \dots \epsilon_k$  with  $\epsilon_j \dots \epsilon_k t_b < a_1 a_2 \dots$  for all  $j \geq 1$ , we have a (unique)  $\tau$ - $b$ -admissible sequence  $m_k, \dots, m_1$  with  $|m_1| \dots |m_k| = \epsilon_1 \dots \epsilon_k$ .*

*Proof.* Assume first that  $m_k, \dots, m_1$  is  $\tau$ - $b$ -admissible and let  $b_k, \dots, b_1$  be its companion sequence ( $m_j b_j \leq_p \tau(b_{j+1})$ ,  $b_{k+1} = b$ ). Assume further

$$|m_j| \dots |m_{\ell-1}| = a_1 \dots a_{\ell-j} \text{ and } t_{b_\ell} < a_{\ell-j+1} a_{\ell-j+2} \dots$$

(which is trivially true for  $j = \ell$ ). We have  $b_\ell = u_{|m_\ell|}(b_{\ell+1})$ , hence

$$|m_\ell| t_{b_{\ell+1}} < t_{b_{\ell+1}} \leq a_{\ell-j+1} a_{\ell-j+2} \dots$$

This implies  $|m_j| \dots |m_\ell| < a_1 \dots a_{\ell-j+1}$  or

$$|m_j| \dots |m_\ell| = a_1 \dots a_{\ell-j+1} \text{ and } t_{b_{\ell+1}} < a_{\ell-j+2} a_{\ell-j+3} \dots$$

In the latter case, we proceed inductively and obtain

$$|m_j| \dots |m_k| t_{b_{k+1}} = |m_j| \dots |m_k| t_b < a_1 a_2 \dots$$

Hence, (3) is proved.

For the converse, assume  $\epsilon_j \dots \epsilon_k t_b < a_1 a_2 \dots$  for all  $j \geq 1$  and

$$t_{b_{\ell+1}} \leq \epsilon_{\ell+1} t_{b_{\ell+2}} \text{ for all } \ell \in \{j+1, \dots, k\}$$

(which is trivially true for  $j = k$ ). Then we have

$$\epsilon_j t_{b_{j+1}} \leq \epsilon_j \epsilon_{j+1} t_{b_{j+2}} \leq \dots \leq \epsilon_j \dots \epsilon_k t_{b_{k+1}} = \epsilon_j \dots \epsilon_k t_b < a_1 a_2 \dots,$$

thus  $b_j = u_{\epsilon_j}(b_{j+1})$  exists and  $m_j = u_0(b_{j+1}) \dots u_{\epsilon_{j-1}}(b_{j+1})$ . Furthermore, we have  $t_{b_j} \leq \epsilon_j t_{b_{j+1}}$  and obtain, by induction, a (unique)  $\tau$ - $b$ -admissible sequence  $m_k, \dots, m_1$  with  $|m_1| \dots |m_k| = \epsilon_1 \dots \epsilon_k$ .  $\square$

By Proposition 1 ( $b = 1$ ), every finite  $\beta$ -expansion  $\epsilon_1 \dots \epsilon_k 0^\infty$  corresponds to some  $n < |\tau^k(1)|$  such that  $\epsilon_1 \dots \epsilon_k = |m_{1,\tau}(n)| \dots |m_{k,\tau}(n)|$ . By (2), we have  $n < n'$  for  $n, n' < |\tau^k(1)|$  if

$$\epsilon_k \dots \epsilon_1 = |m_{k,\tau}(n)| \dots |m_{1,\tau}(n)| < |m_{k,\tau}(n')| \dots |m_{1,\tau}(n')| = \epsilon'_k \dots \epsilon'_1.$$

Therefore the  $\beta$ -adic van der Corput sequence is given by

$$x_n = \sum_{j=1}^{\infty} |m_{j,\tau}(n)| \beta^{-j}.$$

Note that we have  $|\tau^k(1)| = |\sigma^k(1)| = G_k$  for all  $k \geq 0$ .

### 3. PROOF OF THEOREM 1

Let  $\mathcal{D}$  be the set of all sequences  $(m_j, b_j)_{j \geq 1}$  of words  $m_j$  and letters  $b_j$  with  $m_j b_j \leq_p \tau(b_{j+1})$  for all  $j \geq 1$ . Set

$$\delta((m_j, b_j)_{j \geq 1}, (m'_j, b'_j)_{j \geq 1}) = 1/k$$

if  $(m_j, b_j) = (m'_j, b'_j)$  for all  $j < k$  and  $(m_j, b_j) \neq (m'_j, b'_j)$ . Then  $\mathcal{D}$  is a compact metric space with the metric  $\delta$ .

In order to extend the addition of 1 in the number system defined by  $\tau$ ,  $(m_{j,\tau}(n))_{j \geq 1} \mapsto (m_{j,\tau}(n+1))_{j \geq 1}$ , define the *successor function* (or *odometer* or *adic transformation*) on  $\mathcal{D}$  by

$$S((m_j, b_j)_{j \geq 1}) = (m'_j, b'_j)_{j \geq 1} \text{ with } (m'_j, b'_j) = \begin{cases} (m_j, b_j) & \text{if } j > k \\ (m_k b_k, b'_k) & \text{if } j = k \\ (\varepsilon, u_0(b'_{j+1})) & \text{if } j < k \end{cases}$$

where  $k \geq 1$  is the smallest integer such that  $\tau(b_{k+1}) = m_k b_k b'_k w$  for some letter  $b'_k$  and some word  $w$ . If  $(m_j, b_j)_{j \geq 1}$  is a maximal sequence, i.e.  $m_k b_k = \tau(b_{k+1})$  for all  $k \geq 1$ , then let its successor be the (unique) minimal sequence  $(\varepsilon, 1), (\varepsilon, 1), \dots$

If the maximal sequence is unique, then  $S$  is a homeomorphism and  $(\mathcal{D}, S)$  is a transformation group, but in many cases the maximal sequence is not unique. In particular if  $a_2 a_3 \dots > (a_1 - 1)^\infty$ , then every maximal sequence satisfies  $|m_j| = a_1$ ,  $|m_{j'}| = a_1 - 1$  for some  $j, j' \geq 1$ , and we obtain a different maximal sequence by shifting this sequence. Hence  $(\mathcal{D}, S)$  is only a transformation semigroup.

Define a continuous function  $f : \mathcal{D} \rightarrow [0, 1)$  by

$$f((m_j, b_j)_{j \geq 1}) = \sum_{j=1}^{\infty} |m_j| \beta^{-j}.$$

Then we have  $x_n = f(S^n((\varepsilon, 1), (\varepsilon, 1), \dots))$ . If  $S$  is invertible, then  $(x_0, x_1, \dots)$  can be extended to a bisequence  $(x_n)_{n \in \mathbb{Z}}$  by this definition.

Let  $X$  denote the orbit closure of  $(x_0, x_1, \dots)$  under the shift  $T$ , and define  $\varphi : \mathcal{D} \rightarrow X$  by

$$(\varphi((m_j, b_j)_{j \geq 1}))_k = f(S^k((m_j, b_j)_{j \geq 1}))$$

Then  $\varphi$  is a homeomorphism and  $\varphi \circ S = T \circ \varphi$ . Hence the transformation (semi)group  $(X, T)$  is isomorphic to  $(\mathcal{D}, S)$ . If  $S$  is invertible, then  $(X, T)$  is minimal by Theorem 2.2 of Shapiro [24] and we can apply Theorem 5.1 of this article, which states that  $\exp(2\pi i\lambda(I))$  is an eigenvalue of  $T$  and thus of  $S$  if  $D(N, I)$  is bounded. Lemma 1 shows that Shapiro's proof is valid for our transformation semigroup as well.

By Théorème 5.2 of Canterini and Siegel [2], we have a continuous and surjective “desubstitution map”  $\Gamma : \Omega \rightarrow \mathcal{D}$ , where  $\Omega$  is the set of biinfinite words which have the same language as  $\tau^\infty(1)$ . Let  $\Delta$  be the shift on  $\Omega$ . By Théorème 5.1 of this article and since the minimal sequence in  $\mathcal{D}$  is unique, we have  $S \circ \Gamma = \Gamma \circ \Delta$ . Therefore the eigenvalues of  $S$  are a subset of the eigenvalues of  $\Delta$  and, by Proposition 5 of Ferenczi, Mauduit and Nogueira [9], these eigenvalues are of the form  $\exp(2\pi iy)$  with  $y \in \mathbb{Q}(\beta)$ . This concludes the proof of Theorem 1.

*Remarks.* Ferenczi, Mauduit and Nogueira [9] gave a more precise description of the set of eigenvalues of  $\Delta$  in their Proposition 4, which is too complicated to be cited here.

For more details on the spectrum of these dynamical systems, see Chapter 7.3 in Pytheas Fogg [17], but note that the result of [9] is cited incorrectly: According to Theorem 7.3.28 of [17], the eigenvalues of  $\Delta$  associated with the trivial coboundary are in  $\exp(2\pi i\mathbb{Z}[\beta])$ , but  $\mathbb{Z}[\beta]$  should be  $\mathbb{Q}[\beta]$  and the condition on the coboundary is unnecessary. Nevertheless, the author considered the coboundary and showed that all reverse  $\beta$ -substitutions  $\tau$  have only the trivial coboundary, but the proof is rather lengthy and technical and therefore not given in this article.

**Lemma 1.** *If  $D(N, I)$  is bounded, then  $\exp(2\pi i\lambda(I))$  is an eigenvalue of  $S$ .*

*Proof.* Set

$$g((m_j, b_j)_{j \geq 1}) = \chi_I \left( \sum_{j=1}^{\infty} |m_j| \beta^{-j} \right) - \lambda(I)$$

where  $\chi_I$  denotes the indicator function of  $I$ . Let  $\omega = (m_j, b_j)_{j \geq 1}$  be a sequence with  $|m_1| |m_2| \dots = y_1 y_2 \dots$ , hence  $\sum_{j=0}^{N-1} g(S^j \omega) = D(N, I)$  is bounded. Set  $U(x, \eta) = (Sx, \eta + g(x))$  for  $x \in \mathcal{D}$ ,  $\eta \in \mathbb{R}$ . Then we have

$$U^k(x, \eta) = \left( S^k x, \eta + \sum_{j=0}^{k-1} g(S^j x) \right).$$

The positive semi-orbit  $\{U^k(\omega, 0) : k \geq 0\}$  is bounded and has therefore compact closure. Denote by  $M$  the set of limit points of this semi-orbit. Then  $M$  is nonempty, closed and invariant under  $U$  (NCI). It is easy to see that  $\{S^k x : k \geq 0\}$  is dense in  $\mathcal{D}$  for all  $x \in \mathcal{D}$ . Since  $M$  is NCI, we must therefore have some point  $(x, \eta) \in M$  for all  $x \in \mathcal{D}$ .

Below we show that, for a given  $x$ , this  $\eta$  is unique, i.e.  $\eta = \eta(x)$ . Then the graph  $(x, \eta(x))$  is the compact set  $M$ , therefore  $\eta$  is continuous. Since  $U(x, \eta(x)) = (Sx, \eta(x) +$

$g(x)$ ), we have

$$\begin{aligned}\eta(Sx) &= \eta(x) + g(x), \\ \exp(-2\pi i\lambda(I)) &= \exp(2\pi i g(x)) = \exp(2\pi i\eta(Sx)) / \exp(2\pi i\eta(x)).\end{aligned}$$

Therefore  $K(x) = \exp(-2\pi i\eta(x))$  is a continuous function with

$$K(Sx) = \exp(2\pi i\lambda(I))K(x)$$

and  $\exp(2\pi i\lambda(I))$  is an eigenvalue of  $S$ .

To prove that  $\eta(x)$  is unique, we show first  $\eta(\omega) = 0$ . Suppose  $(\omega, \eta) \in M$ . Since  $M$  consists of limit points of  $\{U^k(\omega, 0) : k \geq 0\}$ , we have a sequence  $k_j \rightarrow \infty$  with

$$\lim_{j \rightarrow \infty} U^{k_j}(\omega, 0) = (\omega, \eta).$$

This implies

$$\lim_{j \rightarrow \infty} S^{k_j} \omega = \omega \quad \text{and} \quad \lim_{j \rightarrow \infty} \sum_{i=0}^{k_j-1} g(S^i \omega) = \eta,$$

hence

$$\lim_{j \rightarrow \infty} U^{k_j}(\omega, \eta) = \left( \lim_{j \rightarrow \infty} S^{k_j} \omega, \eta + \lim_{j \rightarrow \infty} \sum_{i=0}^{k_j-1} g(S^i \omega) \right) = (\omega, \eta + \eta).$$

Since  $M$  is invariant, we have  $U^{k_j}(\omega, \eta) \in M$  for all  $j$  and, since  $M$  is closed,  $(\omega, 2\eta) \in M$ . Inductively we obtain  $(\omega, k\eta) \in M$  for all  $M$ , which implies  $\eta = 0$  since  $M$  is bounded.

Next suppose  $(x, \eta) \in M$  and  $(x, \eta') \in M$ . Since  $\{S^k x : k \geq 0\}$  is dense, we have some  $k_j \rightarrow \infty$  such that

$$\lim_{j \rightarrow \infty} S^{k_j} x = \omega.$$

Since  $M$  is compact, we can refine the sequence  $k_j$  so that the sequences  $U^{k_j}(x, \eta)$  and  $U^{k_j}(x, \eta')$  converge (to points in  $M$ ). Since the first coordinate of the limit points is  $\omega$ , the second coordinate must be 0 for both points. Therefore

$$\lim_{j \rightarrow \infty} \left( \eta + \sum_{\ell=0}^{k_j-1} g(S^\ell x) \right) = \lim_{j \rightarrow \infty} \left( \eta' + \sum_{\ell=0}^{k_j-1} g(S^\ell x) \right),$$

hence  $\eta = \eta'$  and we have proved that  $\eta(x)$  is unique.  $\square$

#### 4. PROOF OF THEOREM 2

Because of Theorem 1, we just have to consider  $y \in \mathbb{Q}(\beta)$  for Theorem 2, but first we compute formulae for the discrepancy function of arbitrary intervals  $[0, y)$ . Let  $A(N, I) = \#\{x_n \in I : 0 \leq n < N\}$ . Then we have, for  $y = .y_1 y_2 \dots$ ,

$$D(N, [0, y)) = \sum_{k=1}^{\infty} (A(N, [.y_1 \dots y_{k-1}, .y_1 \dots y_k)) - N y_k \beta^{-k}).$$

**Lemma 2.** *We have*

$$A(N, [.y_1 \dots y_{k-1}, .y_1 \dots y_k]) = y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^d |m_{\ell, \tau}(N)|_b |\tau^{\ell-k-1}(b)| + \mu_k(N, y)$$

with

$$\mu_k(N, y) = \begin{cases} y_k & \text{if } |m_{k, \tau}(N)| \geq y_k \\ |m_{k, \tau}(N)| + 1 & \text{if } |m_{k, \tau}(N)| < y_k, \\ & |m_{k-1, \tau}(N)| \dots |m_{1, \tau}(N)| > y_{k-1} \dots y_1 \\ |m_{k, \tau}(N)| & \text{else.} \end{cases}$$

*Proof.* For  $G_L \leq N < G_{L+1}$ , we have

$$\begin{aligned} & \{(m_{1, \tau}(n), \dots, m_{L, \tau}(n)) : 0 \leq n < N\} \\ &= \bigcup_{\ell=1}^L \bigcup_{m: mb \leq_p m_{\ell, \tau}(N)} \{(m_1, \dots, m_{\ell-1}, m, m_{\ell+1, \tau}(N), \dots, m_{L, \tau}(N)) : m_{\ell-1}, \dots, m_1 \text{ is } \tau\text{-}b\text{-adm.}\} \end{aligned}$$

and  $x_n \in [.y_1 \dots, y_{k-1}, .y_1 \dots y_k]$  if and only if

$$|m_{1, \tau}(n)| \dots |m_{k-1, \tau}(n)| = y_1 \dots y_{k-1}, \quad |m_{k, \tau}(n)| < y_k.$$

Thus, for  $\ell > k$ , we have to count the  $\tau$ - $b$ -admissible sequences  $m_{\ell-1}, \dots, m_1$  with  $|m_1| \dots |m_{k-1}| = y_1 \dots y_{k-1}$ ,  $|m_k| < y_k$ . By Proposition 1, every  $\tau$ - $b$ -admissible sequence  $m_{\ell-1}, \dots, m_{k+1}$  can be prolonged to such a sequence for all  $|m_k| < y_k$  because of

$$|m_j| \dots |m_{\ell-1}| t_b < y_j \dots y_k \leq a_1 a_2 \dots \text{ for } j \leq k.$$

Therefore we have  $y_k |\tau^{\ell-k-1}(b)|$  such sequences for every letter  $b$  in  $m_{\ell, \tau}(N)$ .

For  $\ell = k$ , we need  $|m| < |m_{k, \tau}(N)|$  and  $|m| < y_k$ . For each such  $|m|$  (and the corresponding  $b$ ), there is one  $\tau$ - $b$ -admissible sequence  $m_{k-1}, \dots, m_1$  with  $|m_1| \dots |m_{k-1}| = y_1 \dots y_{k-1}$ . Thus, the contribution is  $\max(|m_{k, \tau}(N)|, y_k)$ .

Finally, for  $\ell < k$ , we need  $|m| = y_\ell < |m_{\ell, \tau}(N)|$ ,  $|m_{k, \tau}(N)| < y_k$  and  $|m_{\ell+1, \tau}(N)| \dots |m_{k-1, \tau}(N)| = y_{\ell+1} \dots y_{k-1}$ . Thus the contribution is 1 if  $|m_{k, \tau}(N)| < y_k$ ,  $|m_{k-1, \tau}(N)| \dots |m_{1, \tau}(N)| > y_{k-1} \dots y_1$  and 0 else.  $\square$

The characteristic polynomial of the incidence matrix of the  $\beta$ -substitution  $\sigma$  is the  $\beta$ -polynomial. Hence  $\sigma$  is of Pisot type (one eigenvalue is  $> 1$  and all other eigenvalues have modulus  $< 1$ ) if and only if  $\beta$  is a Pisot number and the  $\beta$ -polynomial is irreducible. Since  $|\sigma^k(1)| = |\tau^k(1)|$  for all  $k \geq 0$ ,  $\beta$  is an eigenvalue of  $\tau$  as well. Furthermore,  $\tau$  is of Pisot type because the alphabet has the same size as the alphabet of  $\sigma$ . Hence we have some constants  $c_{b,j}$  and  $\rho < 1$  such that

$$|\tau^k(b)| = c_{b,1} \beta^k + c_{b,2} \beta_2^j + \dots + c_{b,d} \beta_d^k = c_{b,1} \beta^k + \mathcal{O}(\rho^k),$$

where the  $\beta_j$ ,  $2 \leq j \leq d$  are the conjugates of  $\beta$ . Thus

$$\begin{aligned} D(N, [0, y)) &= \sum_{k=1}^{\infty} \left( y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^d |m_{\ell, \tau}(N)|_b |\tau^{\ell-k-1}(b)| + \mu_k(N, y) \right. \\ &\quad \left. - y_k \sum_{\ell=1}^{\infty} \sum_{b=1}^d |m_{\ell, \tau}(N)|_b |\tau^{\ell-1}(b)| \beta^{-k} \right) \\ &= \sum_{k=1}^{\infty} \left( y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^d |m_{\ell, \tau}(N)|_b \sum_{j=2}^d c_{b,j} (\beta_j^{\ell-k-1} - \beta_j^{\ell-1} \beta^{-k}) + \mu_k(N, y) \right. \\ &\quad \left. - y_k \sum_{\ell=1}^k \sum_{b=1}^d |m_{\ell, \tau}(N)|_b \left( c_{b,1} \beta^{\ell-1-k} + \sum_{j=2}^d \beta_j^{\ell-1} \beta^{-k} \right) \right) = \sum_{k=1}^{\infty} y_k \mathcal{O}(1) \end{aligned}$$

and

$$\begin{aligned} D(N, [0, y)) &= \sum_{\ell=1}^{\infty} \left( \sum_{b=1}^d |m_{\ell, \tau}(N)|_b \left( \sum_{k=1}^{\ell-1} y_k \sum_{j=2}^d c_{b,j} (\beta_j^{\ell-k-1} - \beta_j^{\ell-1} \beta^{-k}) \right) \right. \\ &\quad \left. + \mu_{\ell}(N, y) - \sum_{b=1}^d |m_{\ell, \tau}(N)|_b \sum_{k=\ell}^{\infty} y_k \left( c_{b,1} \beta^{\ell-k-1} + \sum_{j=2}^d c_{b,j} \beta_j^{\ell-1} \beta^{-k} \right) \right) \\ &= \sum_{\ell=1}^{\infty} \left( \mu_{\ell}(N, y) - \sum_{b=1}^d |m_{\ell, \tau}(N)|_b \left( c_{b,1} \sum_{k=\ell}^{\infty} y_k \beta^{\ell-k-1} - \sum_{j=2}^d c_{b,j} \sum_{k=1}^{\ell-1} y_k \beta_j^{\ell-k-1} \right) \right) + \mathcal{O}(1) \end{aligned}$$

By the above formulae, we easily see that  $D(N, [0, y))$  is bounded if  $y_k > 0$  for only finitely many  $k \geq 1$ . Now we consider  $y \in \mathbb{Q}(\beta)$ . Bertrand [1] and K. Schmidt [21] proved independently that the elements  $y \in \mathbb{Q}(\beta)$  are exactly those who have eventually periodic  $\beta$ -expansion. (See Rigo and Steiner [20] for an alternative proof including number systems defined by substitutions.) Furthermore, by the above formulae, a finite number of digits of the  $\beta$ -expansion of  $y$  as well as a shift of digits has no influence on the boundedness of  $D(N, [0, y))$ . Therefore we may assume that the  $\beta$ -expansion of  $y$  is purely periodic.

For  $y = .(y_1 \dots y_q)^\infty$ , we have

$$\sum_{k=\ell}^{\infty} y_k \beta^{\ell-k-1} = \frac{y_{\ell} \beta^{p-1} + \dots + y_{\ell+p-1}}{\beta^p - 1} = s_{\ell, d-1} \beta^{d-1} + \dots + s_{\ell, 0} \beta^0 = P_{\ell}(\beta)$$

for some  $s_{\ell, j} \in \mathbb{Q}$ . If we set  $y_k = y_{k+q}$  for  $k \leq 0$ , then we obtain

$$\begin{aligned} \sum_{k=-\infty}^{\ell-1} y_k \beta_i^{\ell-k-1} &= \frac{y_{\ell-p} \beta_i^{p-1} + \dots + y_{\ell-1}}{1 - \beta_i^p} = -P_{\ell}(\beta_i), \\ \gamma_{\ell}(b) &= c_{b,1} \sum_{k=\ell}^{\infty} y_k \beta^{\ell-k-1} - \sum_{i=2}^d c_{b,i} \sum_{k=-\infty}^{\ell-1} y_k \beta_i^{\ell-k-1} = s_{\ell, d-1} |\tau^{d-1}(b)| + \dots + s_{\ell, 0} |\tau^0(b)| \end{aligned}$$

and

$$D(N, [0, y)) = \sum_{\ell=1}^{\infty} \left( \mu_{\ell}(N, y) - \gamma_{\ell}(m_{\ell, \tau}(N)) \right) + \mathcal{O}(1)$$

by extending  $\gamma_{\ell}$  naturally on words,  $\gamma_{\ell}(w) = \sum_{b=1}^d |w|_b \gamma_{\ell}(b)$ .

We split the remaining part of the proof into two lemmata.

**Lemma 3.** *If  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial, then  $D(N, [0, \cdot(a_{d-p+1} \dots a_d)^{\infty}))$  is bounded.*

*Proof.* We have

$$\cdot y_{\ell} y_{\ell+1} \dots = \cdot a_{d-p+\ell} a_{d-p+\ell+1} \dots = \beta^{d-p+\ell-1} - a_1 \beta^{d-p+\ell-2} - \dots - a_{d-p+\ell-1}$$

and, by Proposition 1, we easily see

$$|\tau^k(b)| = a_1 |\tau^{k-1}(b)| + \dots + a_k |\tau^0(b)| + \begin{cases} 1 & \text{if } a_1 \dots a_k t_b < a_1 a_2 \dots \\ 0 & \text{else} \end{cases}$$

for all  $k > 0$ , hence

$$\gamma_{\ell}(b) = \begin{cases} 1 & \text{if } t_b < a_{d-p+\ell} a_{d-p+\ell+1} \dots \\ 0 & \text{else.} \end{cases}$$

By definition, we have  $t_{u_j(b_{\ell+1})} \leq j t_{b_{\ell+1}} < t_{u_j(b_{\ell+1})+1}$ , therefore

$$\gamma_{\ell}(u_j(b_{\ell+1})) = \begin{cases} 1 & \text{if } j t_{b_{\ell+1}} < a_{d-p+\ell} a_{d-p+\ell+1} \dots \\ 0 & \text{else.} \end{cases}$$

With  $m_{\ell, \tau}(N) = u_0(b_{\ell+1}) \dots u_{|m_{\ell, \tau}(N)|-1}(b_{\ell+1})$ , we obtain

$$\gamma_{\ell}(m_{\ell, \tau}(N)) = \begin{cases} |m_{\ell, \tau}(N)| & \text{if } |m_{\ell, \tau}(N)| \leq a_{d-p+\ell} \\ a_{d-p+\ell} & \text{if } |m_{\ell, \tau}(N)| > a_{d-p+\ell}, \\ & t_{b_{\ell+1}} \geq a_{d-p+\ell+1} a_{d-p+\ell+2} \dots \\ a_{d-p+\ell} + 1 & \text{else} \end{cases}$$

and

$$\begin{aligned} \Delta_{\ell} &= \mu_{\ell}(N, \cdot(a_{d-p+1} \dots a_d)^{\infty}) - \gamma_{\ell}(m_{\ell, \tau}(N)) \\ &= \begin{cases} -1 & \text{if } |m_{\ell, \tau}(N)| > a_{d-p+\ell}, t_{b_{\ell+1}} < a_{d-p+\ell+1} a_{d-p+\ell+2} \dots \\ 1 & \text{if } |m_{\ell, \tau}(N)| < a_{d-p+\ell}, \\ & |m_{\ell-1, \tau}(N)| \dots |m_{1, \tau}(N)| > a_{d-p+\ell-1} \dots a_{d-p+1} \\ 0 & \text{else.} \end{cases} \end{aligned}$$

If  $\Delta_{\ell} = -1$ , then  $t_{b_{\ell+1}} < a_{d-p+\ell+1} a_{d-p+\ell+2} \dots$  and

$$t_{b_{\ell+1}} \leq |m_{\ell+1, \tau}(N)| t_{b_{\ell+2}} < t_{b_{\ell+1}+1} \leq a_{d-p+\ell+1} a_{d-p+\ell+2} \dots$$

implies either  $|m_{\ell+1, \tau}(N)| < a_{d-p+\ell+1}$ , thus  $\Delta_{\ell+1} = 1$ , or

$$|m_{\ell+1, \tau}(N)| = a_{d-p+\ell+1}, t_{b_{\ell+2}} < a_{d-p+\ell+2} a_{d-p+\ell+3} \dots \text{ and } \Delta_{\ell+1} = 0.$$

Inductively, we obtain some  $k > \ell$  such that  $\Delta_{\ell+1} = \dots = \Delta_{k-1} = 0$  and  $\Delta_k = 1$ .

If  $\Delta_\ell = 1$ , then  $|m_{\ell-1,\tau}(N)| \dots |m_{1,\tau}(N)| > a_{d-p+\ell-1} \dots a_{d-p+1}$  implies either

$$|m_{\ell-1,\tau}(N)| > a_{d-p+\ell-1} \text{ and } t_{b_\ell} \leq |m_{\ell,\tau}(N)| t_{b_{\ell+1}} < a_{d-p+\ell},$$

thus  $\Delta_{\ell-1} = -1$ , or

$$|m_{\ell-1,\tau}(N)| = a_{d-p+\ell-1}, |m_{\ell-2,\tau}(N)| \dots |m_{1,\tau}(N)| > a_{d-p+\ell-2} \dots a_{d-p+1}$$

and  $\Delta_{\ell-1} = 0$ . Inductively, we obtain some  $k < \ell$  such that  $\Delta_k = -1$  and  $\Delta_{k+1} = \dots = \Delta_{\ell-1} = 0$ .

Therefore we have  $\sum_{\ell=1}^{\infty} \Delta_\ell = 0$  and the discrepancy function is bounded.  $\square$

$D(N, [0, .(a_{d-p+j} \dots a_d a_{d-p+1} \dots a_{d-p+j-1})^\infty])$ ,  $1 < j \leq p$ , is bounded as well because a shift of digits does not change the boundedness.

**Lemma 4.** *If  $D(N, [0, y))$  is bounded and  $y \neq 0$  has purely periodic  $\beta$ -expansion, then the expansion of 1 is eventually periodic and  $y = .a_L a_{L+1} \dots$  for some  $L > d - p$ .*

*Proof.* Let the  $\beta$ -expansion of  $y$  be  $.y_1 y_2 \dots = .(y_1 \dots y_q)^\infty$ . Consider sequences of integers  $N_K$  given by

$$(m_{1,\tau}(N_K), m_{2,\tau}(N_K), \dots) = ((m_1, \dots, m_{Jq})^K, \varepsilon, \varepsilon, \dots)$$

with  $m_{\ell+1} = \dots = m_{Jq} = \varepsilon$  for some  $\ell \geq 1$ ,  $J \geq 1$  such that  $b_{\ell+1} = 1$  and  $y_{\ell+1} \dots y_{Jq} > 0 \dots 0$ . For these sequences, we have

$$\mu_{j+kJq}(N_K, y) = \mu_j(N_K, y), \quad \gamma_{j+kJq}(m_{j+kJq,\tau}(N_K)) = \gamma_j(m_j)$$

for all  $j \leq Jq$ ,  $k < K$ . Thus  $D(N_K, [0, y))$  is bounded if and only if

$$\sum_{j=1}^{Jq} (\mu_j(N_1, y) - \gamma_j(m_j)) = 0$$

Let furthermore  $m_1 = \dots = m_{k-1} = \varepsilon$  for some  $k \in \{1, \dots, \ell\}$ , hence  $\mu_j(N_1, y) = \gamma_j(m_j)$  for all  $j < k$ . Consider simultaneously integers  $N'_K$  with  $m'_k = \varepsilon$  and  $m'_j = m_j$  for all  $j \neq k$ . Then we have  $\mu_j(N'_1, y) = \gamma_j(m'_j) = 0$  for all  $j < k$ ,  $\gamma_j(m'_j) = \gamma_j(m_j)$  for all  $j > k$  and

$$\sum_{j=k+1}^{Jq} \mu_j(N_1, y) = \sum_{j=k+1}^{Jq} \mu_j(N'_1, y) + \begin{cases} 1 & \text{if } |m_k| > y_k, \\ |m_{k+1}| \dots |m_{Jq}| < y_{k+1} \dots y_{Jq} \\ 0 & \text{else,} \end{cases}$$

thus

$$\begin{aligned} \gamma_k(m_k) - \mu_k(N_1, y) &= \sum_{j=k+1}^{Jq} (\mu_j(N_1, y) - \gamma_j(m_j)) \\ &= \begin{cases} 1 & \text{if } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| \leq y_{k+1} \dots y_\ell \\ 0 & \text{else} \end{cases} \end{aligned}$$

and

$$\gamma_k(m_k) = \begin{cases} |m_k| & \text{if } |m_k| \leq y_k \\ y_k & \text{if } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ y_k + 1 & \text{else.} \end{cases}$$

If  $m_k b_k <_p \tau(b_{k+1})$ , then  $m_\ell, \dots, m_{k+1}, m_k b_k$  is a  $\tau$ -1-admissible sequence and we obtain

$$(4) \quad \gamma_k(b_k) = \gamma_k(m_k b_k) - \gamma_k(m_k) = \begin{cases} 1 & \text{if } |m_k| \dots |m_\ell| \leq y_k \dots y_\ell \\ 0 & \text{else,} \end{cases}$$

in particular  $\gamma_k(1) = 1$  for all  $k \geq 1$  (with  $k = \ell, m_k = \varepsilon$ ).

If  $m_k b_k = \tau(b_{k+1})$ , consider

$$.y_{k+1}y_{k+2}\dots = \beta \times .y_k y_{k+1} \dots - y_k = s_{k,d-1}\beta^d + \dots + s_{k,0}\beta - y_k,$$

hence

$$\begin{aligned} \gamma_{k+1}(b_{k+1}) &= s_{k,d-1}|\tau^d(b_{k+1})| + \dots + s_{k,0}|\tau(b_{k+1})| - y_k \\ &= s_{k,d-1}|\tau^{d-1}(m_k b_k)| + \dots + s_{1,0}|m_k b_k| - y_k = \gamma_k(m_k) + \gamma_k(b_k) - y_k \\ &= \gamma_k(b_k) + \begin{cases} -1 & \text{if } |m_k| < y_k \text{ (i.e. } |m_k| = a_1 - 1, y_k = a_1) \\ 0 & \text{if } |m_k| = y_k \text{ or } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ 1 & \text{else.} \end{cases} \end{aligned}$$

In case  $|m_k| = |\tau(b_{k+1})| - 1 = a_1 - 1$ ,  $y_k = a_1$ , we have  $a_1 t_{b_{k+1}} \geq a_1 a_2 \dots$ ,  $y_{k+1} y_{k+2} \dots < a_2 a_3 \dots$  and  $t_{b_{k+1}} \leq |m_{k+1}| t_{b_{k+2}} \leq \dots \leq |m_{k+1}| \dots |m_\ell| 0^\infty$ , hence  $|m_{k+1}| \dots |m_\ell| \geq a_2 \dots a_{\ell-k+1} \geq y_{k+1} \dots y_\ell$ . One of these inequalities is strict because  $t_{b_{k+1}} = |m_{k+1}| \dots |m_\ell| 0^\infty = a_2 \dots a_{\ell-k+1} 0^\infty$  implies  $|m_{k+1}| \dots |m_\ell| = a_2 \dots a_d 0^{\ell-k-d+1} > y_{k+1} \dots y_\ell$ . Therefore we have, for all  $b_k, b_{k+1}$ ,

$$\gamma_k(b_k) - \gamma_{k+1}(b_{k+1}) = \begin{cases} 1 & \text{if } |m_k| \dots |m_\ell| \leq y_k \dots y_\ell, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ -1 & \text{if } |m_k| \dots |m_\ell| > y_k \dots y_\ell, |m_{k+1}| \dots |m_\ell| \leq y_{k+1} \dots y_\ell \\ 0 & \text{else.} \end{cases}$$

and, with  $\gamma_{\ell+1}(b_{\ell+1}) = \gamma_{\ell+1}(1) = 1$ , (4) holds for all  $m_k, b_k$ .

Now, let  $k = 1$  and  $m_\ell, \dots, m_1$  and  $m'_\ell, \dots, m'_1$  be  $\tau$ -1-admissible sequences with companion sequences  $b_\ell, \dots, b_1$  and  $b'_\ell, \dots, b'_1$ . If  $b_1 < b'_1$ , then we have  $|m_1| t_{b_2} < t_{b_1+1} \leq t_{b'_1} \leq |m'_1| t_{b'_2}$ , thus either  $|m_1| < |m'_1|$  or  $|m_1| = |m'_1|$ ,  $b_2 < b'_2$ . Inductively, we obtain  $|m_1| \dots |m_\ell| < |m'_1| \dots |m'_\ell|$  and  $\gamma_1(b_1) \geq \gamma_1(b'_1)$ . Therefore we have some  $b' \geq 2$  such that

$$\gamma_1(b) = \begin{cases} 1 & \text{if } b < b' \\ 0 & \text{else.} \end{cases}$$

Finally, consider the system of linear equations

$$s_{1,d-1}|\tau^{d-1}(b)| + \dots + s_{1,0}|\tau^0(b)| = \begin{cases} 1 & \text{if } b < b' \\ 0 & \text{else} \end{cases}$$

for  $1 \leq b \leq d$ . We have  $t_{b'} = a_L a_{L+1} \dots$  for some  $L \geq 2$ . Then, by the proof of Lemma 3,  $(s_{1,d-1}, \dots, s_{1,0}) = (0, \dots, 0, 1, -a_1, \dots, -a_{L-1})$  is a solution of this system, i.e.  $y = .a_L a_{L+1} \dots$ . To show that these solutions are unique, consider linear combinations of

the column vectors  $(|\tau^\ell(1)|, \dots, |\tau^\ell(d)|)^T$  (over  $\mathbb{Q}$ ). We have, with  $\beta_1 = \beta$ ,

$$\sum_{\ell=0}^{d-1} r_\ell \begin{pmatrix} |\tau^\ell(1)| \\ \vdots \\ |\tau^\ell(d)| \end{pmatrix} = \sum_{\ell=0}^{d-1} r_\ell M^\ell \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} = \sum_{\ell=0}^{d-1} r_\ell \sum_{j=1}^d v_j \beta_j^\ell \mathbf{e}_j = \sum_{j=1}^d v_j \mathbf{e}_j \sum_{\ell=0}^{d-1} r_\ell \beta_j^\ell,$$

where  $M$  is the incidence matrix of  $\tau$ ,  $M = (|\tau(b)|_c)_{1 \leq b, c \leq d}$ , and the  $\mathbf{e}_j$ ,  $1 \leq j \leq d$ , are right eigenvectors of  $M$  to the eigenvalues  $\beta_j$ . If  $r_\ell \in \mathbb{Q}$ , then all  $r_\ell$  must be zero, hence the vectors  $(|\tau^\ell(1)|, \dots, |\tau^\ell(d)|)$ ,  $0 \leq \ell < d$ , are linearly independent and the system of linear equations has a unique solution.

To conclude the proof of the lemma, note that  $a_L a_{L+1} \dots$  is purely periodic if and only if  $L > d - p$ .  $\square$

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