

# Resemblance and difference between beta-integers and ordinary integers

L'. Balková, J.-P. Gazeau, K. Klouda, E. Pelantová

Numeration : Mathematics and Computer Science, Marseille-Luminy

March 26, 2009

# Outline

- 1 Background: beta-integers
- 2 Beta-integers versus integers
- 3 Asymptotic behavior of beta-integers
- 4 Repetitions in beta-integers

# Definition of beta-integers

# Definition of beta-integers

- Let  $\beta > 1$  and  $x \geq 0$ , any series

$$x = \sum_{i=-\infty}^k x_i \beta^i =: x_k x_{k-1} \dots x_0 \bullet x_{-1} \dots, \quad x_i \in \mathbb{N},$$

is a  $\beta$ -representation of  $x$

# Definition of beta-integers

- Let  $\beta > 1$  and  $x \geq 0$ , any series

$$x = \sum_{i=-\infty}^k x_i \beta^i =: x_k x_{k-1} \dots x_0 \bullet x_{-1} \dots, \quad x_i \in \mathbb{N},$$

is a  $\beta$ -representation of  $x$

- $\beta$ -expansion  $\langle x \rangle_\beta$  of  $x = \beta$ -representation of  $x$  obtained by the greedy algorithm

# Definition of beta-integers

- Let  $\beta > 1$  and  $x \geq 0$ , any series

$$x = \sum_{i=-\infty}^k x_i \beta^i =: x_k x_{k-1} \dots x_0 \bullet x_{-1} \dots, \quad x_i \in \mathbb{N},$$

is a  $\beta$ -representation of  $x$

- $\beta$ -expansion  $\langle x \rangle_\beta$  of  $x = \beta$ -representation of  $x$  obtained by the greedy algorithm
- coefficients of  $\beta$ -expansions
  - in  $\{0, 1, \dots, \beta - 1\}$  for  $\beta \in \mathbb{N}$
  - in  $\{0, 1, \dots, \lfloor \beta \rfloor\}$  for  $\beta \notin \mathbb{N}$

# Definition of beta-integers

- Let  $\beta > 1$  and  $x \geq 0$ , any series

$$x = \sum_{i=-\infty}^k x_i \beta^i =: x_k x_{k-1} \dots x_0 \bullet x_{-1} \dots, \quad x_i \in \mathbb{N},$$

is a  $\beta$ -representation of  $x$

- $\beta$ -expansion  $\langle x \rangle_\beta$  of  $x = \beta$ -representation of  $x$  obtained by the greedy algorithm
- coefficients of  $\beta$ -expansions
  - in  $\{0, 1, \dots, \beta - 1\}$  for  $\beta \in \mathbb{N}$
  - in  $\{0, 1, \dots, \lfloor \beta \rfloor\}$  for  $\beta \notin \mathbb{N}$
- $\mathbb{Z}_\beta := \{x \in \mathbb{R} \mid \langle |x| \rangle_\beta = x_k x_{k-1} \dots x_0 \bullet\}$

# Example



## Example

- Golden ratio  $\tau = \frac{1}{2}(1 + \sqrt{5}) \doteq 1.618$  root of  $x^2 - x - 1$

## Example

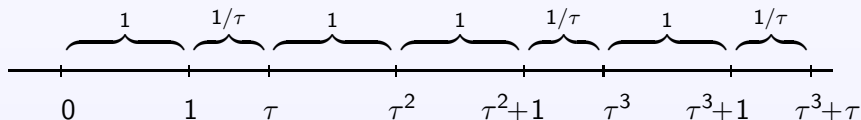
- Golden ratio  $\tau = \frac{1}{2}(1 + \sqrt{5}) \doteq 1.618$  root of  $x^2 - x - 1$
- $\lfloor \tau \rfloor = 1 \Rightarrow$  coefficients of  $\tau$ -expansions are 0, 1

## Example

- Golden ratio  $\tau = \frac{1}{2}(1 + \sqrt{5}) \doteq 1.618$  root of  $x^2 - x - 1$
- $\lfloor \tau \rfloor = 1 \Rightarrow$  coefficients of  $\tau$ -expansions are 0, 1

$$\mathbb{Z}_\tau = \left\{ \pm \sum_{i=0}^k x_i \tau^i \mid x_i \in \{0, 1\}, x_i x_{i+1} = 0 \right\}$$

$$\mathbb{Z}_\tau = \pm \{0, 1, \tau, \tau^2, \tau^2 + 1, \tau^3, \tau^3 + 1, \tau^3 + \tau, \tau^4, \tau^4 + 1, \dots\}$$



# Properties of beta-integers

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points



# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

- distances in  $\mathbb{Z}_\beta$  bounded from above by 1

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

- distances in  $\mathbb{Z}_\beta$  bounded from above by 1
- distances in  $\mathbb{Z}_\beta$  not necessarily bounded from below (not necessarily a Delone set)

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

- distances in  $\mathbb{Z}_\beta$  bounded from above by 1
- distances in  $\mathbb{Z}_\beta$  not necessarily bounded from below (not necessarily a Delone set)
- $\beta\mathbb{Z}_\beta \subset \mathbb{Z}_\beta$

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

- distances in  $\mathbb{Z}_\beta$  bounded from above by 1
- distances in  $\mathbb{Z}_\beta$  not necessarily bounded from below (not necessarily a Delone set)
- $\beta\mathbb{Z}_\beta \subset \mathbb{Z}_\beta$

$\beta$  is a *Parry number* if the number of distances in  $\mathbb{Z}_\beta$  finite

# Properties of beta-integers

for  $\beta \in \mathbb{N}$ :  $\mathbb{Z}_\beta = \mathbb{Z}$

for  $\beta \notin \mathbb{N}$ :

- $\mathbb{Z}_\beta$  not periodic
- $\mathbb{Z}_\beta$  has no accumulation points

$$\mathbb{Z}_\beta^+ = \{b_n \mid n \in \mathbb{N}\}$$

with  $b_0 = 0$  and  $b_{n+1} > b_n$

- distances in  $\mathbb{Z}_\beta$  bounded from above by 1
- distances in  $\mathbb{Z}_\beta$  not necessarily bounded from below (not necessarily a Delone set)
- $\beta\mathbb{Z}_\beta \subset \mathbb{Z}_\beta$

$\beta$  is a *Parry number* if the number of distances in  $\mathbb{Z}_\beta$  finite

Pisot numbers  $\subset$  Parry numbers  $\subset$  Perron numbers

# Infinite words coding beta-integers

# Infinite words coding beta-integers

## Definition

Given Parry number  $\beta$  and set of distances  $\{\Delta_0, \Delta_1, \dots, \Delta_{m-1}\}$  in  $\mathbb{Z}_\beta$ ,



# Infinite words coding beta-integers

## Definition

Given Parry number  $\beta$  and set of distances  $\{\Delta_0, \Delta_1, \dots, \Delta_{m-1}\}$  in  $\mathbb{Z}_\beta$ , define  $u_\beta = u_0 u_1 u_2 \dots$

# Infinite words coding beta-integers

## Definition

Given Parry number  $\beta$  and set of distances  $\{\Delta_0, \Delta_1, \dots, \Delta_{m-1}\}$  in  $\mathbb{Z}_\beta$ , define  $u_\beta = u_0 u_1 u_2 \dots$

$$u_n := i \quad \text{if} \quad b_{n+1} - b_n = \Delta_i$$

# Infinite words coding beta-integers

## Definition

Given Parry number  $\beta$  and set of distances  $\{\Delta_0, \Delta_1, \dots, \Delta_{m-1}\}$  in  $\mathbb{Z}_\beta$ , define  $u_\beta = u_0 u_1 u_2 \dots$

$$u_n := i \quad \text{if} \quad b_{n+1} - b_n = \Delta_i$$

- Fabre:  $u_\beta =$  fixed point of one of 2 possible primitive substitutions (simple and non-simple Parry)

# Infinite words coding beta-integers

## Definition

Given Parry number  $\beta$  and set of distances  $\{\Delta_0, \Delta_1, \dots, \Delta_{m-1}\}$  in  $\mathbb{Z}_\beta$ , define  $u_\beta = u_0 u_1 u_2 \dots$

$$u_n := i \quad \text{if} \quad b_{n+1} - b_n = \Delta_i$$

- Fabre:  $u_\beta =$  fixed point of one of 2 possible primitive substitutions (simple and non-simple Parry)
- **Example:**  $u_\tau = \varphi(u_\tau)$  for  $\varphi$  defined by

$$\varphi(0) = 01, \quad \varphi(1) = 0$$

# Simple Parry numbers

## Simple Parry numbers

If  $\varphi$ ,  $u_\beta = \varphi(u_\beta)$ , of the form

$$\begin{aligned}\varphi(0) &= 0^{t_1}1 \\ \varphi(1) &= 0^{t_2}2 \\ &\vdots \\ \varphi(m-2) &= 0^{t_{m-1}}(m-1) \\ \varphi(m-1) &= 0^{t_m}\end{aligned}$$

with  $t_i \in \mathbb{N}$  and  $t_j t_{j+1} \cdots t_m < t_1 t_2 \cdots t_m$  for every  $1 < j \leq m$  and  $t_m \neq 0$ , then

$\beta$  is a *simple Parry number*

## Simple Parry numbers

If  $\varphi$ ,  $u_\beta = \varphi(u_\beta)$ , of the form

$$\begin{aligned}\varphi(0) &= 0^{t_1}1 \\ \varphi(1) &= 0^{t_2}2 \\ &\vdots \\ \varphi(m-2) &= 0^{t_{m-1}}(m-1) \\ \varphi(m-1) &= 0^{t_m}\end{aligned}$$

with  $t_i \in \mathbb{N}$  and  $t_j t_{j+1} \cdots t_m < t_1 t_2 \cdots t_m$  for every  $1 < j \leq m$  and  $t_m \neq 0$ , then

$\beta$  is a *simple Parry number*

*Parry polynomial* of  $\beta$ :

$$p(x) = x^m - t_1 x^{m-1} - t_2 x^{m-2} - \cdots - t_m$$

# Non-simple Parry numbers



## Non-simple Parry numbers

If  $\varphi$  of the form

$$\begin{aligned} \varphi(0) &= 0^{t_1}1 \\ \varphi(1) &= 0^{t_2}2 \\ &\vdots \\ \varphi(p-2) &= 0^{t_{p-1}}(p-1) \\ \varphi(p-1) &= 0^{t_p}p \\ &\vdots \\ \varphi(m-2) &= 0^{t_{m-1}}m-1 \\ \varphi(m-1) &= 0^{t_m}p \end{aligned}$$

with  $p \in \mathbb{N}$ ,  $p \neq 0$ ,  $t_i \in \mathbb{N}$  and any suffix of  $t_1 \dots t_p(t_{p+1} \dots t_m)^\omega$  lexicographically smaller than the sequence itself, then

$\beta$  is a *non-simple Parry number*

# Asymptotic behavior of beta-integers

# Asymptotic behavior of beta-integers

- For Parry numbers: a simple formula for

$$c_\beta := \lim_{n \rightarrow +\infty} \frac{b_n}{n},$$

where  $b_n$  is the  $n$ -th  $\beta$ -integer

# Asymptotic behavior of beta-integers

- For Parry numbers: a simple formula for

$$c_\beta := \lim_{n \rightarrow +\infty} \frac{b_n}{n},$$

where  $b_n$  is the  $n$ -th  $\beta$ -integer

For simple Parry numbers:  $c_\beta = \frac{\beta-1}{\beta^m-1} p'(\beta)$

# Asymptotic behavior of beta-integers

- For Parry numbers: a simple formula for

$$c_\beta := \lim_{n \rightarrow +\infty} \frac{b_n}{n},$$

where  $b_n$  is the  $n$ -th  $\beta$ -integer

For simple Parry numbers:  $c_\beta = \frac{\beta-1}{\beta^m-1} p'(\beta)$

## Theorem

*For any Pisot number such that its Parry and minimal polynomial coincide,  $(b_n - c_\beta n)_{n \in \mathbb{N}}$  is a bounded sequence.*

# Asymptotic behavior of beta-integers

- For Parry numbers: a simple formula for

$$c_\beta := \lim_{n \rightarrow +\infty} \frac{b_n}{n},$$

where  $b_n$  is the  $n$ -th  $\beta$ -integer

For simple Parry numbers:  $c_\beta = \frac{\beta-1}{\beta^m-1} p'(\beta)$

## Theorem

*For any Pisot number such that its Parry and minimal polynomial coincide,  $(b_n - c_\beta n)_{n \in \mathbb{N}}$  is a bounded sequence.*

## Conjecture

*If  $\beta$  is a Parry number, then  $(b_n - c_\beta n)_{n \in \mathbb{N}}$  is a bounded sequence if and only if  $\beta$  is a Pisot number such that its Parry and minimal polynomial coincide.*

# Repetitions in beta-integers

- quadratic non-simple Parry case
- simple Parry case

# Powers of words

## Definition

Let  $w$  be a nonempty word,  $r \in \mathbb{Q}$ , then  $v$  is  $r$ -th power of  $w$  if  $v$  is a prefix of  $w^\omega$  and  $r = \frac{|v|}{|w|}$ , i.e.,

$$v = w^r := w^{\lfloor r \rfloor} w',$$

where  $w'$  is a proper prefix of  $w$ .

## Example

Let  $w = 123$  and  $v = 12312312312 = (123)^3 12$ , then  $r = \frac{|v|}{|w|} = \frac{11}{3} = 3 + \frac{2}{3}$  and so  $v$  is  $\frac{11}{3}$ -power of  $w$ .



# Index of finite word

## Definition

Let  $u = (u_n)_{n \geq 1}$  be an infinite word and  $w$  its nonempty factor. Then the **index of  $w$  in  $u$**  is given by

$$\text{ind}(w) = \sup\{r \in \mathbb{Q} \mid w^r \text{ is a factor of } u\}.$$

## Remark

$\text{ind}(w) = \max\{r \in \mathbb{Q} \mid w^r \text{ is a factor of } u\}$  or  $\text{ind}(w) = \infty$

## Example

$u = 12(121)^\omega = 12\ 121\ 121\ 121\ \dots$ , then

$$\text{ind}(121) = \infty, \text{ ind}(12) = 2 + \frac{1}{2}$$

# Index of infinite word

## Definition

Let  $u = (u_n)_{n \geq 1}$  be an infinite word. Then the **index of  $u$**  is given by

$$\text{ind}(u) = \sup\{\text{ind}(w) \mid w \text{ is a factor of } u\}.$$

# Index of infinite word

## Definition

Let  $u = (u_n)_{n \geq 1}$  be an infinite word. Then the **index of  $u$**  is given by

$$\text{ind}(u) = \sup\{\text{ind}(w) \mid w \text{ is a factor of } u\}.$$

## Remark

For  $u$  uniformly recurrent and aperiodic,  $\text{ind}(w) < \infty$  for all factors  $w$ .

# Index of infinite word

## Definition

Let  $u = (u_n)_{n \geq 1}$  be an infinite word. Then the **index of  $u$**  is given by

$$\text{ind}(u) = \sup\{\text{ind}(w) \mid w \text{ is a factor of } u\}.$$

## Remark

For  $u$  uniformly recurrent and aperiodic,  $\text{ind}(w) < \infty$  for all factors  $w$ . However,  $\text{ind}(u)$  may be  $= \infty$ , even for Sturmian words.

# Important lemma

# Important lemma

## Definition

A factor  $v$  of an infinite word  $u$  is **bispecial** if  $v$  has two distinct left extensions and two distinct right extensions in  $u$ , i.e.,  $av$ ,  $bv$ ,  $vc$ ,  $vd$  are factors of  $u$  for some letters  $a \neq b$ ,  $c \neq d$ .

# Important lemma

## Definition

A factor  $v$  of an infinite word  $u$  is **bispecial** if  $v$  has two distinct left extensions and two distinct right extensions in  $u$ , i.e.,  $av, bv, vc, vd$  are factors of  $u$  for some letters  $a \neq b, c \neq d$ .

## Lemma

Let  $w$  be a factor of  $u_\beta$  and let  $w$  have the maximal index among factors of the same length. Put  $k := \lfloor \text{ind}(w) \rfloor$  and denote  $w'$  the prefix of  $w$  such that

$$w^{\text{ind}(w)} = w^k w'.$$

Then all the following factors are bispecial:

$$w', ww', \dots, w^{k-1} w'.$$

# Quadratic non-simple Parry case

$u_\beta = \varphi(u_\beta)$ , where

$$\varphi(0) = 0^p 1,$$

$$\varphi(1) = 0^q 1,$$

$$p, q \in \mathbb{N}, p > q \geq 1$$



# Bispecial factors in $u_\beta$

## Lemma

Let  $v$  be a bispecial factor of  $u_\beta$  containing at least one letter 1. Then there exists a unique bispecial factor  $\tilde{v}$  such that

$$v = 0^q 1 \varphi(\tilde{v}) 0^q =: T(\tilde{v}),$$

*i.e.,*

each bispecial factor is either  $0^s$ ,  $s = 1, 2, \dots, p - 1$ , or it is equal to the  $T$ -image of another bispecial factor or of the empty word.

# Index of $u_\beta$

## Corollary

The index of  $u_\beta$  is given by the following formula

$$\text{ind}(u_\beta) = \sup\{\text{ind}(w^{(n)}) \mid n \in \mathbb{N}\},$$

where

$$w^{(1)} = 0, \quad w^{(n+1)} = 0^q 1 \varphi(w^{(n)}) (0^q 1)^{-1}.$$

Moreover, the maximal power

- of 0 is  $0^p$ ,
- of  $w^{(n+1)}$  is  $v^{(n+1)} = 0^q 1 \varphi(v^{(n)}) (0^q 1)^{-1}$ .

## Calculation of $\text{ind}(w^{(n)})$

$$\text{Substitution matrix } M_\varphi = \begin{pmatrix} |\varphi(0)|_0 & |\varphi(0)|_1 \\ |\varphi(1)|_0 & |\varphi(1)|_1 \end{pmatrix} = \begin{pmatrix} p & 1 \\ q & 1 \end{pmatrix}$$

## Calculation of $\text{ind}(w^{(n)})$

Substitution matrix  $M_\varphi = \begin{pmatrix} |\varphi(0)|_0 & |\varphi(0)|_1 \\ |\varphi(1)|_0 & |\varphi(1)|_1 \end{pmatrix} = \begin{pmatrix} p & 1 \\ q & 1 \end{pmatrix}$

For each factor  $w$

$$(|\varphi(w)|_0, |\varphi(w)|_1) = (|w|_0, |w|_1)M_\varphi$$

## Calculation of $\text{ind}(w^{(n)})$

$$\text{Substitution matrix } M_\varphi = \begin{pmatrix} |\varphi(0)|_0 & |\varphi(0)|_1 \\ |\varphi(1)|_0 & |\varphi(1)|_1 \end{pmatrix} = \begin{pmatrix} p & 1 \\ q & 1 \end{pmatrix}$$

For each factor  $w$

$$(|\varphi(w)|_0, |\varphi(w)|_1) = (|w|_0, |w|_1)M_\varphi$$

$$\begin{aligned} \text{ind}(w^{(n)}) &= \frac{|v^{(n)}|}{|w^{(n)}|} = \\ &= \frac{(p+1, 0)M_\varphi^n \begin{pmatrix} 1 \\ 1 \end{pmatrix} + (0, \frac{2q+1-p}{q})M_\varphi^n \begin{pmatrix} 1 \\ 1 \end{pmatrix} - (1, \frac{2q+1-p}{q}) \begin{pmatrix} 1 \\ 1 \end{pmatrix}}{(1, 0)M_\varphi^n \begin{pmatrix} 1 \\ 1 \end{pmatrix}} \\ &= p+1 + \frac{\frac{2q+1-p}{q} ((1-\beta')\beta^{n+1} - (1-\beta)\beta'^{n+1}) - \frac{3q+1-p}{q}(\beta - \beta')}{\beta^{n+1} - \beta'^{n+1}} \end{aligned}$$

# Result for quadratic non-simple Parry case

## Theorem

If  $p \leq 3q + 1$ ,

$$\text{ind}(u_\beta) = p + 1 + \frac{2q + 1 - p}{\beta - 1},$$

otherwise

$$\text{ind}(u_\beta) = \text{ind}(w^{(n_0)}) > p + 1 + \frac{2q + 1 - p}{\beta - 1}$$

for certain  $n_0 \in \mathbb{N}$ .

# Simple Parry case

$$\text{ind}(u_\beta) = \sup\{\text{ind}(\varphi^n(0)) \mid n \in \mathbb{N}\}$$

- Recursive construction of the sequence  $(v^{(n)})_{n \in \mathbb{N}}$  of the maximal powers of  $\varphi^n(0)$
- Explicit form of  $v^{(n)}$  for  $u_\beta$  satisfying
  - $t_1 > \max\{t_2, \dots, t_{m-1}\}$

$$\text{ind}(u_\beta) \geq \beta + t_m + \frac{\beta^{m-1} - t_m}{\beta^{m-1} - 1} > t_1 + t_m$$

- $t_1 = \dots = t_{m-1} =: t$

$$\text{ind}(u_\beta) \geq t + t_m + \frac{t}{\beta - 1}$$