

# Rosen continued fractions and Veech groups

Thomas A. Schmidt  
Oregon State University

23 March 2009

In this expository talk, we give an introduction to the Rosen continued fractions, and sketch a geometric application of these and related expansions.

# I. Simple Continued Fractions

- ▶ Each real  $x$  has SCF-expansion

$$x = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \cdots + \frac{1}{a_n + \cdots}}}$$

$$= [a_0; a_1, a_2, \dots, a_n, \dots].$$

# I. Simple Continued Fractions

- ▶ Each real  $x$  has SCF-expansion

$$x = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \cdots + \frac{1}{a_n + \cdots}}}$$

$$= [a_0; a_1, a_2, \dots, a_n, \dots].$$



with *convergents*  $[a_0; a_1, a_2, \dots, a_n] =: p_n/q_n$ .

# Underlying map is shift on continued fractions

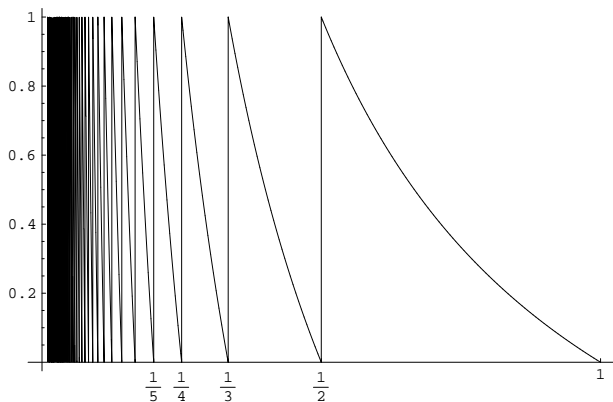
$$T : [0, 1) \rightarrow [0, 1)$$

$$x \mapsto \frac{1}{x} \bmod 1$$

$$= \frac{1}{x} - \left\lfloor \frac{1}{x} \right\rfloor, \quad x \neq 0;$$

$$(T(0) = 0).$$

# Underlying map, Figure



# Matrices and Convergents

- ▶ The convergents of  $8/3$  are

$$\frac{2}{1}, \frac{3}{1}, \frac{8}{3}.$$

# Matrices and Convergents

- ▶ The convergents of  $8/3$  are

$$\frac{2}{1}, \frac{3}{1}, \frac{8}{3}.$$

- ▶ Consecutive convergents give determinant one matrices:

$$\begin{pmatrix} -2 & 3 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} 3 & 8 \\ 1 & 3 \end{pmatrix}$$



# Matrices and Convergents

- ▶ The convergents of  $8/3$  are

$$\frac{2}{1}, \frac{3}{1}, \frac{8}{3}.$$

- ▶ Consecutive convergents give determinant one matrices:

$$\begin{pmatrix} -2 & 3 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} 3 & 8 \\ 1 & 3 \end{pmatrix}$$

- ▶ In general,

$$\begin{pmatrix} \epsilon p_{n-1} & p_n \\ \epsilon q_{n-1} & q_n \end{pmatrix}$$

gives a determinant one matrix, with  $\epsilon = \pm 1$ .

- ▶ Continued fraction expansions can be constructed by composing

$$S : x \mapsto x + 1 \quad \text{and} \quad T : x \mapsto -1/x .$$

- ▶ Continued fraction expansions can be constructed by composing

$$S : x \mapsto x + 1 \quad \text{and} \quad T : x \mapsto -1/x .$$

- ▶ Formally,

$$[a_0 ; a_1, a_2, \dots] = S^{a_0} T S^{-a_1} T S^{a_2} T \dots$$

- ▶ Continued fraction expansions can be constructed by composing

$$S : x \mapsto x + 1 \quad \text{and} \quad T : x \mapsto -1/x .$$

- ▶ Formally,

$$[a_0 ; a_1, a_2, \dots] = S^{a_0} T S^{-a_1} T S^{a_2} T \dots$$

- ▶ Details of parity for finite expansions. The alternating sign is related to:

Convergents alternate above and below  $x$ .

- ▶ With möbius action

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} x := \frac{ax + b}{cx + d},$$

$$S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad T = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

- ▶ With möbius action

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} x := \frac{ax + b}{cx + d},$$

$$S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad T = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

- ▶ Projective Action

$$\begin{pmatrix} a\mu & b\mu \\ c\mu & d\mu \end{pmatrix} x := \frac{ax + b}{cx + d}.$$

# Modular Group

- ▶  $S$  and  $T$  are determinant one, with integer entries.

# Modular Group

- ▶  $S$  and  $T$  are determinant one, with integer entries.



$$\Gamma := \mathrm{PSL}(2, \mathbf{Z})$$

is group of such  $2 \times 2$  integer matrices up to equivalence.



# Modular Group

- ▶  $S$  and  $T$  are determinant one, with integer entries.



$$\Gamma := \mathrm{PSL}(2, \mathbf{Z})$$

is group of such  $2 \times 2$  integer matrices up to equivalence.

- ▶ Extend möbius action to complex  $z$ . Circles sent to circles; real line preserved by any real  $2 \times 2$  matrix.

# Modular Group

- ▶  $S$  and  $T$  are determinant one, with integer entries.

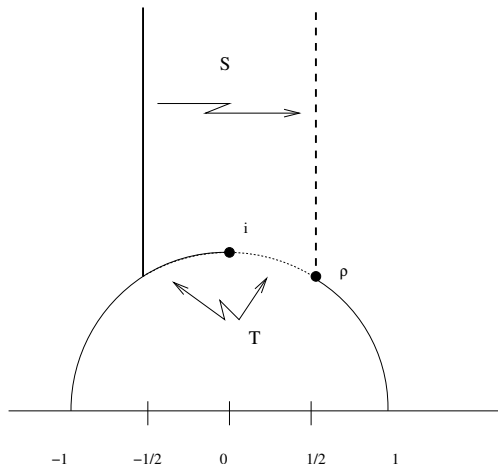


$$\Gamma := \mathrm{PSL}(2, \mathbf{Z})$$

is group of such  $2 \times 2$  integer matrices up to equivalence.

- ▶ Extend möbius action to complex  $z$ . Circles sent to circles; real line preserved by any real  $2 \times 2$  matrix.
  
- ▶ Find all of  $\mathrm{PSL}(2, \mathbf{R})$  acts on upper half-plane.

# Fundamental Domain



Hyperbolic metric  $ds^2 = \frac{dx^2 + dy^2}{y^2}$

## II. Hecke groups

- ▶ The Hecke (triangle Fuchsian) group,  $G_q$ , with  $q \in \{3, 4, 5, \dots\}$  is the group generated by

$$S = \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} \text{ and } T = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

$$\lambda = \lambda_q = 2 \cos \pi/q.$$

## II. Hecke groups

- ▶ The Hecke (triangle Fuchsian) group,  $G_q$ , with  $q \in \{3, 4, 5, \dots\}$  is the group generated by

$$S = \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} \text{ and } T = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

$$\lambda = \lambda_q = 2 \cos \pi/q.$$

- ▶ Example:  $G_3 = \text{PSL}(2, \mathbb{Z})$ .

## II. Hecke groups

- ▶ The Hecke (triangle Fuchsian) group,  $G_q$ , with  $q \in \{3, 4, 5, \dots\}$  is the group generated by

$$S = \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} \text{ and } T = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

$$\lambda = \lambda_q = 2 \cos \pi/q.$$

- ▶ Example:  $G_3 = \text{PSL}(2, \mathbb{Z})$ .

- ▶ Let  $U = ST$ , so

$$U = \begin{pmatrix} \lambda & -1 \\ 1 & 0 \end{pmatrix}.$$

Then  $U^q = \text{Id}$ , and  $G_q \cong \mathbb{Z}/2 \star \mathbb{Z}/q$ .

- ▶ Any

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad ad - bc = 1$$

with integral entries gives an element of the modular group.

- ▶ Any

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad ad - bc = 1$$

with integral entries gives an element of the modular group.

- ▶ **Question** Which determinant one matrices with  $a, b, c, d \in \mathbb{Z}[\lambda_q]$  are in  $G_q$  ?



- ▶ Any

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad ad - bc = 1$$

with integral entries gives an element of the modular group.

- ▶ **Question** Which determinant one matrices with  $a, b, c, d \in \mathbb{Z}[\lambda_q]$  are in  $G_q$  ?
- ▶ **Difficulty**  $G_q$  is of infinite index in  $\mathrm{PSL}(2, \mathbb{Z}[\lambda_q])$

# Rosen Continued Fractions

- ▶ 1952 Ph.D. dissertation, David Rosen proposed a new type of continued fraction to resolve word problem.

# Rosen Continued Fractions

- ▶ 1952 Ph.D. dissertation, David Rosen proposed a new type of continued fraction to resolve word problem.
- ▶ Determine  $a_i$  with nearest integer multiple of  $\lambda_q$

Need  $\epsilon_j = \pm 1$

$$\alpha = a_0\lambda + \frac{\epsilon_1}{a_1\lambda + \frac{\epsilon_2}{a_2\lambda + \frac{\epsilon_3}{\ddots}}}$$

$$\alpha = [a_0; \epsilon_1 : a_1\lambda, \epsilon_2 : a_2\lambda, \dots]$$

# Rosen Maps Figure

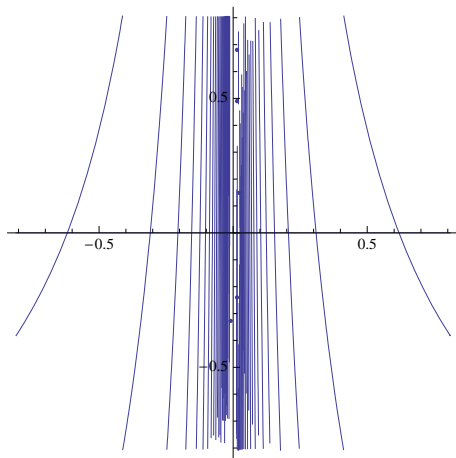


Figure: Approximate graph of  $f_q(x)$ ,  $q=5$

# Rosen's Theorem

► For

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

let

$$A \cdot \infty = \frac{a}{c} \quad \text{and} \quad A \cdot 0 = \frac{b}{d}.$$

- ▶ For

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

let

$$A \cdot \infty = \frac{a}{c} \quad \text{and} \quad A \cdot 0 = \frac{b}{d}.$$

- ▶ **Theorem** Let  $M \in \text{SL}(2, \mathbb{Z}[\lambda_q])$ . Then  $M \in G_q$  if and only if, up to sign, the columns of  $M$  are made from consecutive convergents of  $M \cdot \infty$ , or of  $M \cdot 0$ .

**Rosen's Cusp Challenge** Determine the cusp set for each  $G_q$ :

$$M \cdot \infty \quad \text{with} \quad M \in G_q .$$

- ▶ Rosen '54 cusp set exactly finite  $\lambda$ CF-expansions

**Rosen's Cusp Challenge** Determine the cusp set for each  $G_q$ :

$$M \cdot \infty \quad \text{with} \quad M \in G_q .$$

- ▶ Rosen '54 cusp set exactly finite  $\lambda$ CF-expansions
- ▶  $q = 3$  modular group: cusp set  $\mathbb{Q} \cup \{\infty\}$



**Rosen's Cusp Challenge** Determine the cusp set for each  $G_q$ :

$$M \cdot \infty \quad \text{with} \quad M \in G_q .$$

- ▶ Rosen '54 cusp set exactly finite  $\lambda$ CF-expansions
- ▶  $q = 3$  modular group: cusp set  $\mathbb{Q} \cup \{\infty\}$
- ▶  $q = 4, 6$  easily determined cusp set

**Rosen's Cusp Challenge** Determine the cusp set for each  $G_q$ :

$$M \cdot \infty \quad \text{with} \quad M \in G_q .$$

- ▶ Rosen '54 cusp set exactly finite  $\lambda$ CF-expansions
- ▶  $q = 3$  modular group: cusp set  $\mathbb{Q} \cup \{\infty\}$
- ▶  $q = 4, 6$  easily determined cusp set
- ▶  $q = 5$  Rosen '63: Units of  $\mathbb{Z}[\lambda_5]$  are cusps

# Sometimes cusp set is field

- ▶  $q = 5$       Leutbecher '67:  $G_5 \cdot \infty = \mathbb{Q}(\lambda_5) \cup \{\infty\}$

# Sometimes cusp set is field

- ▶  $q = 5$       Leutbecher '67:  $G_5 \cdot \infty = \mathbb{Q}(\lambda_5) \cup \{\infty\}$
- ▶ Leutbecher, Borho, Rosenberger, Wolfart, Seibold through '85:      Only for  $q = 3$  or  $q = 5$  is the cusp set exactly  $\mathbb{Q}(\lambda_q) \cup \{\infty\}$

# Sometimes cusp set is field

- ▶  $q = 5$       Leutbecher '67:  $G_5 \cdot \infty = \mathbb{Q}(\lambda_5) \cup \{\infty\}$
- ▶ Leutbecher, Borho, Rosenberger, Wolfart, Seibold through '85:      Only for  $q = 3$  or  $q = 5$  is the cusp set exactly  $\mathbb{Q}(\lambda_q) \cup \{\infty\}$
- ▶ McMullen 2003, using techniques related to Veech groups, determined cusp sets of certain 'triangle groups'.

# Periodic Expansion

- ▶ Any real quadratic number, such as  $\sqrt{2}$ , or  $\frac{1+\sqrt{5}}{2}$ , has a periodic ordinary continued fraction expansion. For example,

$$\sqrt{14} = [3; \overline{1, 2, 1, 6}]$$

- ▶ Any real quadratic number, such as  $\sqrt{2}$ , or  $\frac{1+\sqrt{5}}{2}$ , has a periodic ordinary continued fraction expansion. For example,

$$\sqrt{14} = [3; \overline{1, 2, 1, 6}]$$

- ▶ **Rosen's Periodic Expansions Question** Which real numbers have periodic expansion with respect to the  $\lambda$  continued fractions?

# Orbits of elements of $\mathbb{Q}(\lambda)$

Towse et al 2008, extending techniques of the “German school”, show that

- ▶ For any even  $q$ , there are infinitely many  $G_q$  orbits of elements of  $\mathbb{Q}(\lambda)$ .



# Orbits of elements of $\mathbb{Q}(\lambda)$

Towse et al 2008, extending techniques of the “German school”, show that

- ▶ For any even  $q$ , there are infinitely many  $G_q$  orbits of elements of  $\mathbb{Q}(\lambda)$ .
- ▶ For odd  $q$ , the number of orbits of the field elements must go to infinity with  $q$ .

- ▶ Dynamics and Metric Theory

- ▶ Dynamics and Metric Theory
- ▶ Diophantine Approximation

- ▶ Dynamics and Metric Theory
- ▶ Diophantine Approximation
- ▶ Various forms of Natural Extensions

- ▶ Dynamics and Metric Theory
- ▶ Diophantine Approximation
- ▶ Various forms of Natural Extensions
- ▶ Geodesic Coding

- ▶ Dynamics and Metric Theory
- ▶ Diophantine Approximation
- ▶ Various forms of Natural Extensions
- ▶ Geodesic Coding
- ▶ Modular forms, related arithmetic

- ▶ Dynamics and Metric Theory
- ▶ Diophantine Approximation
- ▶ Various forms of Natural Extensions
- ▶ Geodesic Coding
- ▶ Modular forms, related arithmetic
- ▶ Today's next talk!

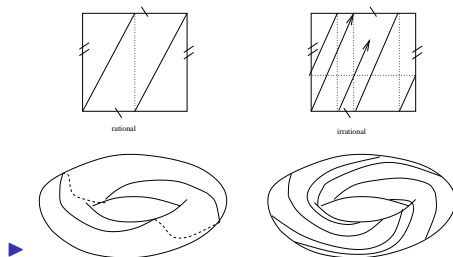
### III. Veech Groups — flat torus is the touchstone

- ▶ The flat torus has *optimal dynamics* — when we follow a line, we either return to starting point or get arbitrarily close to every point.



### III. Veech Groups — flat torus is the touchstone

- ▶ The flat torus has *optimal dynamics* — when we follow a line, we either return to starting point or get arbitrarily close to every point.



**Figure:** Indeed, have ergodic invariant measure for this “linear flow” in the second case.

- ▶ Say that a “flat” surface has *optimal dynamics* if dichotomy as for flat torus holds.

# Optimal Dynamics

- ▶ Say that a “flat” surface has *optimal dynamics* if dichotomy as for flat torus holds.
- ▶ To each such surface, can associate a subgroup of  $SL(2, R)$ .

- ▶ Say that a “flat” surface has *optimal dynamics* if dichotomy as for flat torus holds.
  - ▶ To each such surface, can associate a subgroup of  $SL(2, R)$ .
- ▶ **Theorem**
- Veech 1989:** A “flat surface” has *optimal dynamics* if its associated group is appropriately large in  $SL(2, R)$ .

- ▶ Say that a “flat” surface has *optimal dynamics* if dichotomy as for flat torus holds.
- ▶ To each such surface, can associate a subgroup of  $SL(2, R)$ .
- ▶ **Theorem**  
**Veech 1989:** A “flat surface” has *optimal dynamics* if its associated group is appropriately large in  $SL(2, R)$ .
  - ▶ Veech gave examples with this group isomorphic to (index 2 subgroup of) Hecke group,  $G_q$ .

# Billiards on Square gives Torus

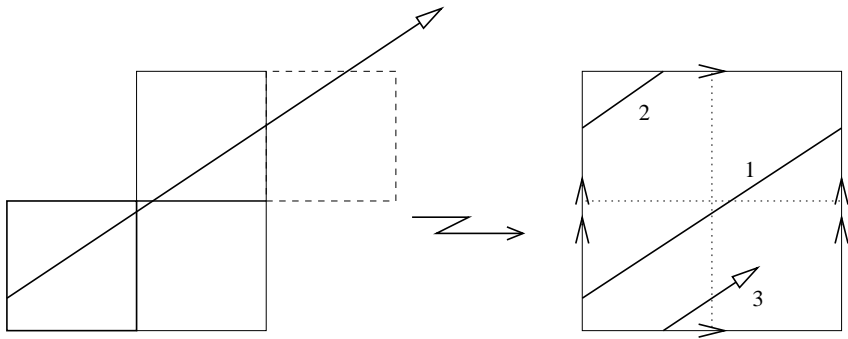


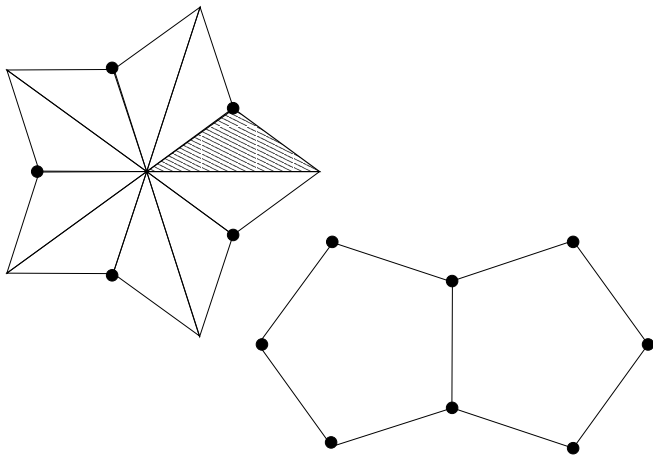
Figure: Unfolding; square table to torus surface.

# Billiards to Surfaces: Genus 2

- ▶ Triangle with angles  $(\pi/5, \pi/5, 3\pi/5)$  yields a genus two surface: flat except for one point of angle  $6\pi$ .

## Billiards to Surfaces: Genus 2

- ▶ Triangle with angles  $(\pi/5, \pi/5, 3\pi/5)$  yields a genus two surface: flat except for one point of angle  $6\pi$ .





# Translation Surfaces

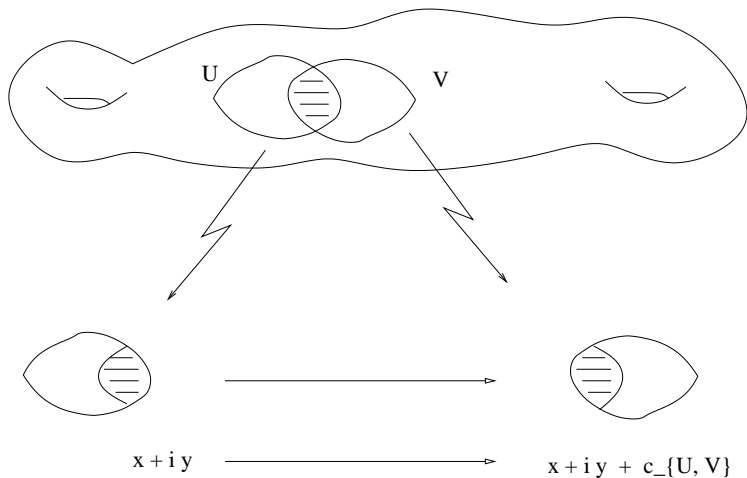
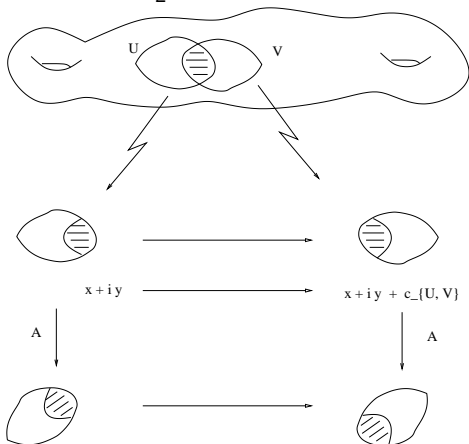


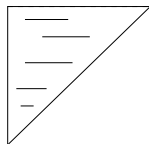
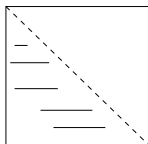
Figure: Idea of translation surface

Post-compose with  $A \in SL_2\mathbb{R}$ .



New translation surface.

# Affine Diffeomorphisms

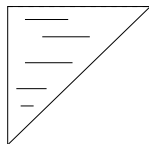
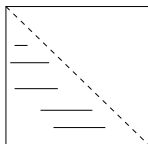


$$(x, y) \xrightarrow{\quad\quad\quad} (x, x + y \bmod 1)$$

$$A = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$



# Affine Diffeomorphisms

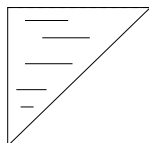
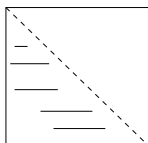


$$(x, y) \longrightarrow (x, x + y \bmod 1)$$

$$A = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

- ▶
- ▶ An *affine diffeomorphism* is some  $f : X \rightarrow X$  whose *derivative* (off of singularities) is constant  $A \in SL_2R$ .

# Affine Diffeomorphisms

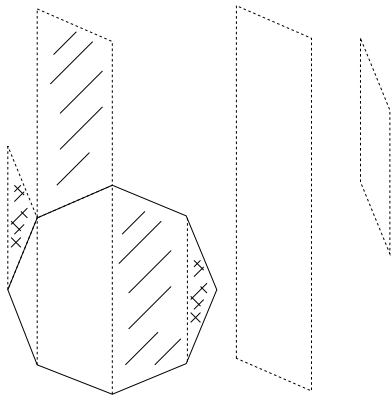


$$(x, y) \longrightarrow (x, x + y \bmod 1)$$

$$A = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

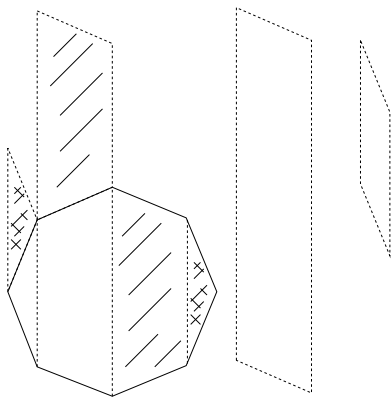
- ▶
- ▶ An *affine diffeomorphism* is some  $f : X \rightarrow X$  whose *derivative* (off of singularities) is constant  $A \in SL_2\mathbb{R}$ .
- ▶ Group of all these derivatives is the *Veech group*:  $SL(X, \omega)$ .

# Octagon: vertical direction has two cylinders



- ▶ Gives  $\begin{pmatrix} 1 & 0 \\ \mu & 1 \end{pmatrix}$ ,  $\mu = 2(1 + \sqrt{2})$ .

# Octagon: vertical direction has two cylinders



- ▶ Gives  $\begin{pmatrix} 1 & 0 \\ \mu & 1 \end{pmatrix}$ ,  $\mu = 2(1 + \sqrt{2})$ .
- ▶ With rotation, get triangle group that is isomorphic to index 2 subgroup of  $G_8$ .

# Continued fractions give geometric information

- ▶ The set of periodic directions on octagon is given by slopes in  $\mathbb{Q}(\sqrt{2})$ .



# Continued fractions give geometric information

- ▶ The set of periodic directions on octagon is given by slopes in  $\mathbb{Q}(\sqrt{2})$ .
- ▶ For the 12-gon, find  $\mathbb{Q}(\sqrt{3})$ . But for decagon, find a proper subfield of  $\mathbb{Q}(\mu_{10}) := \mathbb{Q}(2 \cot \pi/10) = \mathbb{Q}(\sqrt{5 + \sqrt{5}})$ .

# Continued fractions give geometric information

- ▶ The set of periodic directions on octagon is given by slopes in  $\mathbb{Q}(\sqrt{2})$ .
- ▶ For the 12-gon, find  $\mathbb{Q}(\sqrt{3})$ . But for decagon, find a proper subfield of  $\mathbb{Q}(\mu_{10}) := \mathbb{Q}(2 \cot \pi/10) = \mathbb{Q}(\sqrt{5 + \sqrt{5}})$ .
- ▶ Rosen's result that 1 has periodic expansion for even  $q$  gives  $(1 + \cos \pi/q) / \sin \pi/q$  is non-periodic direction on the  $2q$ -gon. In fact, there is a corresponding pseudo-Anosov diffeomorphism.